# FY2019 Procedures for Preparing and Entering a Research Proposal Document for "Specially Promoted Research" (New Proposal)

Applicants for the KAKENHI should fill in this Research Proposal Document, giving details of the research project based on the Application Procedures, and submit it to the Independent Administrative Legal Entity Japan Society for the Promotion of Science (hereinafter referred to as JSPS) prior to application. This Research Proposal Document is used as a review material at the JSPS Scientific Research Grant Committee.

The applicant should fill in the form correctly, while taking the following points into account.

When the application is adopted as a result of the review at the Scientific Research Grant Committee, a notice concerning the provisional grant decision will be issued. By the form of the formal application for grant delivery, the submission based on the notice will be done. The KAKENHI will be disbursed if the research plan, etc. are acknowledged as appropriate.

### Items to be noted

- \* This Procedure for Preparing and Entering is to be used to prepare Research Proposal Document for <u>"Specially Promoted Research"</u> as "New Application" (including an application for a grant for the fiscal year before the final fiscal year of a research plan)".
- \* When preparing the Research Proposal Document, the Principal Investigator should make the preparations with responsibility, in accordance with the rules set forth in the Application Procedures.
- \* <u>Characters and symbols in 11-point font size or larger (10-point or larger in English)</u> should be used, considering a large number of application forms for research project will be reviewed.
- \* The Research Proposal Document is consist of "II-1. Application Information (items to be entered in the Website) (First half)", "I-1. Research Proposal Document [form S-1(1)] (forms to be uploaded)", "I-2. Research Proposal Document [form S-1(2)] (forms to be uploaded)", "II-2. Application Information (items to be entered in the Website) (Second half)" and "I-3. Research Proposal Document [form S-1 (3)] (forms to be uploaded)".
- \* Make sure that the title of each column is at the top of the page. Also, do not exceed the number of pages specified for each item. As a result of filling according to the instructions of each item, blank pages may be generated but in that case, do not delete them.
- \* The sentences in italics on the form should be deleted but do not delete other instructions and boxes.
- \* The reviewers of the Scientific Research Grant Committee and the researchers in charge of the writing of the review comments who belong to domestic research institutions conduct the review based on all the forms, and the researchers in charge of the writing of the review comments who belong to overseas research institutions conduct the review based on the "II-1. Application Information (items to be entered in the Website) (First half)" and "I-1. Research Proposal Document [form S-1(1)] (forms to be uploaded)".
- \* Research Proposal Document prepared in English will be accepted.
- \* Before submitting, be sure to check whether the contents of the Research Proposal Document converted to the PDF file are complete (missing characters, charts, garbled characters, etc.).

#### I. Research Proposal Document (forms to be uploaded)

The Research Proposal Document (forms to be uploaded) is consists of "I-1. Research Proposal Document [form S-1(1)] (forms to be uploaded)", "I-2. Research Proposal Document [form S-1(2)] (forms to be uploaded)" and "I-3. Research Proposal Document [form S-1 (3)] (forms to be uploaded)".

The Principal Investigator should download the "Research Proposal Document (forms to be uploaded)" from the JSPS website for Grants-in-Aid for Scientific Research, and fill it in. He or she should then access the "Electronic Application System", and upload the filled-in file to the "Electronic Application System". (Files above 3 MB cannot be uploaded.)

When preparing the Overall Research Plan, the instructions given for each column should be observed. The margin of style is set with upper 20 mm, lower 20 mm, left 25 mm, and right 25 mm. Do not change the setting margins because there is a risk of missing characters, etc. when preparing the review material.

#### I-1. Research Proposal Document [Form S-1 (1)] (forms to be uploaded)

The contents of this file will be subjected to review that the researchers in charge of the writing of the review comments who belong to overseas research institutions conduct as following points:

- ① Whether the objectives and contents of the research project are outstanding and distinctive research plan that opens up new scientific fields in the current state and trend of the research field
- ② Is the research project an excellent research project with high creativity based on the conception of the researcher
- ③ Does the researcher recognize the ability to conduct the research project

Also the reviewers of the Scientific Research Grant Committee and the researchers in charge of the writing of the review comments who belong to domestic research institutions conduct the review the contents of this file in conjunction with "Research Proposal Document [form S-1(2)] (forms to be uploaded)", "II-2. Application Information (items to be entered in the Website) (Second half)" and "I-3. Research Proposal Document [form S-1 (3)] (forms to be uploaded)"

This file should be filled in completely in English, and, as a general principle, the character size and the font should respectively be 10 point or larger and "Times New Roman".

However, in cases which fall under the category "Not appropriate" under the column "Appropriateness of Comments by an Overseas Researcher", the applicant should fill in only the sections 2. "CURRICULA VITAE". (In this case, they can be filled in Japanese.)

Moreover, the applicant should keep in mind that the submission of the "Research Proposal Document [Form S-1 (1)] (forms to be uploaded)" is also necessary in cases which fall under the category "Not appropriate" under the section "Appropriateness of Comments by an Overseas Researcher".

\* When attaching the file to the electronic application system, the applicant should upload it in the column "S-1 (1)"

of "Management of Research Proposal Document" screen. Moreover, he or she should make sure that the file name starts with "en" (capital letters are possible).

## 1. "PROJECT DESCRIPTION"

This column is the part the overseas researchers in charge of the writing of the review comments refer to when examining the above-mentioned points ① to ③. With this in mind, firstly describe the summary of the research briefly in a total of 200 words and then (1) the background of this proposal, (2) the goal that this proposal aims, (3) the research plan and method, (4) the necessity/importance of this proposal and its position in the academic field, (5) past related achievements, briefly in English quoting relevant literature (clearly stating the volume and issue) as necessary (within 4 pages in total).

# Moreover, it is not necessary to describe in this column sensitive research ideas the leakage of which could lead to substantial disadvantage for the applicant.

On the other hand, details on the research project for which the current application is being made should be described in each column of the "Research Proposal Document [form S-1 (2)] (forms to be uploaded)".

### 2. "CURRICULA VITAE"

The applicant should prepare the section "CURRICULA VITAE" on separate pages for each Principal Investigator and Co-Investigator (in the order mentioned in the column "Project Members List"), according to the instructions below and the instructions mentioned in the respective columns to be filled in.

Moreover, because the forms from (1) to (5) listed below form an integrated set, each researcher should complete them.

- In the column "Age" the applicant should enter his/her age as of April 1, 2019.
   In the column "Research Institution, Academic Unit (School, Faculty, etc.) and Position", the applicant should enter his/her research institution, academic unit (school, faculty, etc.) and position at the time of the preparation of the Research Proposal Document.
- (2) In "Role in this Project", the applicant should enter concisely the roles of the researchers in the proposal (linking it with the column "Research Proposal Document [form S-1 (2)] (forms to be uploaded)" "Research Plan and Methods").
- (3) In "Research Careers and Experience", the applicants should enter their principal research careers and experience since graduation in their last school in a retroactive chronological order.
- (4) "RECENT RESEARCH ACTIVITIES I (Publications)" is not limited to the contents of the proposed research projects, but select the main ones including the articles, books (excluding textbooks, academic abstracts, lecture abstracts), industrial property rights etc., and fill it within 1 page in a retroactive chronological order. (The papers under submission can be included only if they are already accepted for publication.) For a research paper in an academic journal, the title, author(s), journal, refereed or not, volume, first and last pages, and publication year should be given. (As long as all items of information are given, their order is

unimportant.) In case there is more than one author, it is allowed to fill in several main authors and to omit the others. (In case the applicant omits authors, he or she should fill in the column "the total number of authors (A) and your entry number in the author list counted from the first author (B). (e.g. "(B)/(A)") ".) He or she should mark the Principal Investigator with a double underline, and the Co-Investigator(s) with a single underline. Moreover, a corresponding author should be marked with an asterisk ("\*") on the left side.

Publications that are related to the proposed research project should be marked with a plus symbol ("+") in the beginning.

As for the research achievements such as papers, books excluding textbooks, academic abstracts, and lecture abstracts, industrial property rights, etc. described in this column, a reference should be numbered in ascending order with Arabic figures.

(5) Select main events for "RECENT RESEARCH ACTIVITIES II (Invited Lectures and Talks, Prizes, etc.)" from invited lectures and awards at international conferences considered to be particularly important, and fill the year of presentation within 1 page by going back to the past. In case there are no items to be mentioned, this section can be left blank.

Moreover, for the invited lectures and talks at international conferences, the name of the international conference, the time and date when and the place where the conference took place, and the title of the lecture or talk should be filled in. For the prizes received, the name of the prize should be filled in. Items that are related to the proposed research project should be marked with a plus symbol ("+") in the beginning.

As for the research achievements such as invited lectures, awards, etc. at international conferences described in this column, a reference should be numbered in ascending order with Arabic figures.

### I-2. Research Proposal Document [Form S-1 (2)] (forms to be uploaded)

The applicant should enter in the columns from (1) to (4) below, according to instructions mentioned in the respective column to be filled in. Moreover, the applicant is allowed to add pages. However, the information in the columns from (1) to (4) should be limited to the main points within <u>10 pages in total</u>.

\* When attaching the file to the electronic application system, the applicant should upload it in the column "S-1 (2)" of "Management of Research Proposal Document" screen. Moreover, he or she should make sure that the file name starts with "jpa" (capital letters are possible).

# (1) "Research Objectives, Background of the Research Project, etc." column

In this column, the description should be given what kind of research you plan and its summary in this proposal in accordance with the instruction specified in the Research Proposal Document to be submitted this time so that the overall structure can be clarified. The summary should be given with approximately 10 lines of paragraph.

#### (2) "Significance as Specially Promoted Research" column

In this column, the description should be given the applicant's hitherto research activities in accordance with the instruction specified in the Research Proposal Document.

### (3) "Research Plan and Methods" column

In this column, the description should be given the research plan and methods to achieve the objective of this proposal in accordance with the instruction specified in the Research Proposal Document. In addition, the preparation status on the research environment such as research facilities and equipment, research

materials, etc. which is indispensable for the implementation of the research plan should be given in the "Applicant's Ability to Conduct the Research and the Research Environment" column. (In case the Co-Investigator(s) are involved, their research environments are also explained.)

### (4) "Applicant's Ability to Conduct the Research and the Research Environment" column

Enter in accordance with the instruction specified in the Research Proposal Document.

The description for the "(1) applicant's hitherto research activities and the details of the achievements," should be focused on the research activities relevant to the submitted research plan and also the details of achievements obtained from the research activities should be given with a view to showing the feasibility of the research plan. In case the Co-Investigator involves, it should be focused on the research activities relevant to the prospected roles in the research plan by the Co-Investigator.

As for the research achievements of the Principal Investigator such as research papers, books and lectures, main items of those are given in the columns of "RECENT RESEARCH ACTIVITIES I (Publications)" and "RECENT RESEARCH ACTIVITIES II (Invited Lectures and Talks, Prizes, etc.)" rather than using this column. (In case the Co-Investigator(s) are involved, their research achievements are also handled with the same manner.)

On showing the achievements from the past research activities in this column, a sufficient information to identify the achievement should be given in case there are special needs to show some particular detailed research achievements such as papers, books, patents, invited talks, etc. (For example, as for a research paper, there should be the title of the

paper, the name of the author(s), the journal name, the number of the volume and pages, etc., the publication year, the book reviews in case of books, and so on.)

However, in case the achievements already given in the columns of "RECENT RESEARCH ACTIVITIES I (Publications)" and "RECENT RESEARCH ACTIVITIES II (Invited Lectures and Talks, Prizes, etc.)" are to be shown again, the achievements in this column should be referred in the following manner with a clear correspondence relation.

(An example)

In case of the achievements by Mr. Taro Gakushin, the Principal Investigator, already described in the "RECENT RESEARCH ACTIVITIES I (Publications)", the remarks in this column may be as follows;

• "The achievements on something have been done. (Refer to the No.2 in the column "RECENT RESEARCH ACTIVITIES I (Publications)" of Mr. Taro Gakushin.)"

# I-3. Research Proposal Document [Form S-1 (3)] (forms to be uploaded)

The applicant should enter the column from (1) to (3) mentioned below, according to instructions mentioned in the respective column to be filled in.

\* When attaching the file to the electronic application system, the applicant should upload it in the column "S-1 (3)" of "Management of Research Proposal Document" screen. Moreover, he or she should make sure that the file name starts with "jpb" (capital letters are possible).

# (1) "Issues Relevant to Human Right Protection and Legal Compliance" column

Enter in accordance with the instruction specified in the Research Proposal Document. If the proposed research does not fall under such categories, enter "N/A (not applicable)".

(2) "Items to be Entered When New Application is Made in the Fiscal Year Previous to the Final Year of the Research Period of an On-Going KAKENHI Project" column

If applicant is newly applying as "New Application is Made in the Fiscal Year Previous to the Final Year of the Research Period of an On-Going KAKENHI Project" (*cf.* Application Procedures for Grants-in-Aid for Scientific Research), enter the each item with approximately 10 lines of paragraph in accordance with the instruction specified in the Research Proposal Document. If not, submit this form leaving the column blank without any deletion.

# (3) "Reason(s) Why Comments by an Overseas Researcher is not Appropriate" column

Enter in accordance with the instruction specified in the Research Proposal Document. If the proposed research does not fall under such categories, enter "N/A (not applicable)".

### II. Application Information (items to be entered in the Website)

"Application Information (items to be entered in the Website)" consists of "Application Information (items to be entered in the Website) (First half)" and "Application Information (items to be entered in the Website) (Second half)".

The "Application Information (items to be entered in the Website)" are the items which the Principal Investigator directly enters after accessing the electronic application system using the ID and the password for the Cross-Ministerial Research and Development Management System (hereinafter referred to as "e-Rad"), which has been provided by his or her research institution.

Also, a part of content entered (title of Proposed Research Project, information of project member, effort, etc.) will be provided to the e-Rad.

\* The confirmation of the content of the application and the preparation of the review material is based on the "Application Information (items to be entered in the Website)" of Research Proposal Document, which constitutes one part of the application documents. Consequently, it is possible that the information entered in the website will have an influence on the results of the review, or it is possible that the research project will not be accepted for review, because of the content entered. Therefore, the applicant should prepare the application information with care.

Select "application information input" of Specially Promoted Research from the "List of Research Categories" in the electronic application system. The "Management of Research Proposal Document" screen will be displayed. Next, select "application information input" in the entered application information ("Research Project Information", "Research facility/Existing Equipment", "Research Expenditure and Their Necessity", "The Status of Application and Acquisition of Research Grants"). The respective input screen will be displayed. On the screen of each input, if the "Japanese > English" button at the upper left of the screen is clicked, then the explanations are translated into English.

As for the Research Proposal Document (forms to be uploaded), following files should be uploaded into each specified area on the "Management of Research Proposal Document" screen; the "Research Proposal Document [S-1(1)] (forms to be uploaded)", the "Research Proposal Document [S-1(2)] (forms to be uploaded)", and the "Research Proposal Document [S-1(3)] (forms to be uploaded)".

Moreover, when uploading, the file names should be as follows.

- The name of the "Research Proposal Document [S-1(1)] (forms to be uploaded)" should start with "en" (or Capital letters are possible)
- The name of the "Research Proposal Document [S-1(2)] (forms to be uploaded)" should start with "jpa" (or Capital letters are possible).
- The name of **the "Research Proposal Document [S-1(3)] (forms to be uploaded)"** should start with "jpb" (or Capital letters are possible).

### II-1. Application Information (items to be entered in the Website) (First half)

#### 1. New Proposal or Continued

The applicant should select "New Proposal".

If he or she selects "continued", this is limited to research projects that fall under the category "research projects in which significant changes are made". Applicant should consult in advance with the Research Aid Division II of the Research Program Department, in order to know whether the change the applicant wants to make falls under this category.

### 2. If Continued, Research Project Number

Because this is an item that needs to be entered only in the case of a continued application, the applicant cannot enter it.

**3.** Application for Research Proposal Submission in the Fiscal Year Previous to the Final Fiscal Year of the Research Period of an On-going Research Project (This content is not converted to the PDF file.)

The applicant should select the appropriate item from among "Applying" or "Not Applying". Moreover, when the applicant selects "Applying", he or she should be sure to enter the research project number of

the continued research project of which 2019 is the final fiscal year and which is eligible for an application for research proposal submission in the fiscal year previous to the final fiscal year of the research period of an on-going research project. He or she should be sure to describe the necessary items in the column "Items to be Entered When New Application is Made in the Fiscal Year Previous to the Final Year of the Research Period of an On-Going KAKENHI Project" (*cf.* Application Procedures for Grants-in-Aid for Scientific Research) in **the "Research Proposal Document [form S-1(3)] (forms to be uploaded)"**.

# 4. Review Section (Section of Category) (This content is not converted to the PDF file.)

The applicant should be sure to select one appropriate category for which he or she wishes review from among "Humanities and Social Sciences", "Science and Engineering" or "Biological Sciences".

# 5. Firstly related to Medium-sized Section/Firstly related to Basic Section/Secondly related to Basic Section (This content is not converted to the PDF file.)

In "Grants-in-Aid for Scientific Research-KAKENHI-, Review Section Table" (hereinafter referred to as "Review Section Table"), select one Medium-sized Section (01-64, 90) firstly related to the research project. Next, select one Basic Section (01010-90150) firstly related to the research project from the Review Section Table. Also, for Basic Section, it is possible to select optionally one more section as secondly related to Basic Section.

### 6. Name of the Principal Investigator

The information on Principal Investigator which has been registered in advance from the research institution will be automatically displayed. Principal Investigator in question should verify whether his/her name has been displayed correctly. If there is an error, save temporarily the input data, then contact the secretariat of your research institution and follow the instructions.

Moreover, in the section (English) below, the applicant should enter his/her name in alphabet, in the order family name, first name.

#### 7. Position, Academic Unit (School, Faculty, etc.) and Research Institution of the Principal Investigator

The information on Principal Investigator which has been registered in advance from the research institution will be automatically displayed. Principal Investigator in question should verify whether his/her name has been displayed correctly. If there is an error, save temporarily the input data, then contact the secretariat of your research institution and follow the instructions.

Moreover, in the section (English) below, the applicant should enter the respective information in English.

### 8. Title of the Research Project

In the column "Title of the research Project", the applicant should enter a title for the proposed research project. The title should express the content of the research until the end of the research period in concrete terms. (The applicant should avoid general or abstract expressions.)

If there are only double-byte characters or double-byte and single-byte characters, you can enter up to 40 letters, and if there are only one-byte characters, up to 200 letters can be entered.

The applicant should keep in mind that voiced sound symbols (*dakuten*) and semivoiced sound symbols (*handakuten*) are not counted independently as one character, but that letters of the alphabet, numbers, symbols, etc. are all counted as one character and displayed as such. The applicant should avoid the use of chemical formulas and mathematical formulas as much as possible. (Display example  $Ca^{2+} \rightarrow$  input as C a 2 +( counts as 4 characters))

Moreover, in the section below (English), the applicant should enter the information in English within 200 characters (only half-width characters). Full-width symbols cannot be entered.

In addition, the revision on the title of the research project is not allowed in principal.

### 9. Research Abstract

The applicant should enter the purpose of the research project, and the methods and other matters to achieve the purpose of the research in a clear manner. It is possible to enter these in Japanese or in English.

Furthermore, it is noted that the review is to be conducted from diverse viewpoints by a review committee consisting of reviewers with different backgrounds.

If there are only double-byte characters or double-byte and single-byte characters, you can enter up to 500 letters, and if there are only one-byte characters, up to 1,000 letters can be entered.

#### 10. Research Expenditure

The contents entered in the "Research Expenditure and Their Necessity" of "Application Information (items to be entered in the Website) (Second half)" will be automatically displayed in the "Research Expenditure" column. Moreover, in the section below the amount, in which \$1=¥100, is shown automatically.

# 11. Researchers in related research areas (This content is not converted to the PDF file.)

In the column "Researchers in related research areas", the applicant should enter the information of researchers of

which he or she thinks that they have the most thorough knowledge of the research area related to the research project, and of which he or she thinks that they are able to assess accurately the content of the research project. He or she should enter the information of researchers who belong to overseas research institutions (3 to 5 persons). (At the time, the "Name", the "Research Institution", and the "E-mail" are items which must be entered.)

A review comment from around one of overseas researchers in related to the research areas entered is to be requested.

However, researchers who are project members in the same research project for which the current application is being made and persons who fall under the points from ① to  $\bigcirc$  below are excluded.

- ① Kinship or a close personal relationship that is equivalent.
- ② A close relationship in which joint research is conducted. (For example, a person with whom the applicant has a close relationship in the implementation of a joint project, the writing of a co-authored research paper, or who is a member of a research association with the same purpose.)
- ③ A relationship in which the researcher belongs to the same research unit (a researcher who belongs to the same laboratory)
- ④ A close master and pupil relationship or a direct employment relationship
- (5) An antagonistic relationship that could be considered as a relationship in which the selection of the research project or the assessment directly benefits the grader, or a competitive relationship.

Moreover, if there are researchers of which the applicant thinks that they are not appropriate as domestic or overseas persons in charge of the writing of the review comments, because they are in a competitive or antagonistic position, or because of other reasons, the applicant should enter in the respective sections "Researchers who belong to a domestic research institution and whom the applicant wishes to avoid as persons in charge of the writing of the review comments" and "Researchers who belong to an overseas research institution and whom the applicant wishes to avoid as persons in charge of the writing of the review comments" (optionally, up to three persons for each section).

As a general rule, a written review comment is prepared for all the research projects. However, if the Principal Investigator judges that review by an overseas researcher is difficult or inappropriate, he or she should select "Not appropriate" in the section "Appropriateness of comments by an overseas researcher" and describe the reasons in the section "Reason(s) Why Comments by an Overseas Researcher is not Appropriate" of the "**Research Proposal Document [form S-1(3)] (forms to be uploaded)**".

In this case, it is not necessary to enter information in the sections "Researchers in related research areas who belong to an overseas research institution and who are considered as suitable as persons in charge of the writing of the review comment" and "Researchers who belong to an overseas research institution and whom the applicant wishes to avoid as persons in charge of the writing of the review comment" among the items that need to be entered in the website.

Moreover, the applicant should bear in mind that the Scientific Research Grant Committee will judge on the appropriateness of the reasons, and that, even if it does not judge that the reasons are appropriate, it will not reject

them as a violation of the requirements of the application, but consider them in the comprehensive judgment during the screening.

### 12. Contact Information of the Principal Investigator (This content is not converted to the PDF file.)

In the "Contact Information of the Principal Investigator" column, enter information of in case that direct contact of the Principal Investigator is required (for example, the work address is not the location of the head office but the campus where the Principal Investigator (PI) actually works.).

## 13. Project Members List

As for the research plan for the Specially Promoted Research, the Co-Investigator and/or the Research Collaborator may be invited to the research activities, if necessary. For the definitions of "Principal Investigator", "Co-Investigator", and "Research Collaborator", refer Application Procedures for Grants-in-Aid for Scientific Research.

In addition, the applicant should keep in mind the following points.

# (1) Project Members Input (Principal Investigator)

- In the column "Distinction", it will be automatically displayed as "Principal Investigator".
- In the column "Researcher Number", "Name (Pronunciation in katakana and Kanji, etc.)", "Age (as of April 1, 2019)", and "Research Institution, Academic Unit (School, Faculty, etc.), Position" of the Principal Investigator, the information on the Principal Investigator which has been registered in e-Rad will be automatically displayed. Principal Investigator should verify whether the information has been displayed correctly.
- In the column "Academic Degree", Principal Investigator should fill in his/her academic degree.
- In the column "Role in This Project", the Principal Investigator should fill in, how the Principal Investigator and the Co-Investigator(s) will cooperate to carry out the research, in a way that clarifies the respective connections between the researchers, and highlighting the allotment of research tasks in the research implementation plan FY2019. Principal Investigator should also enter those information of Co-Investigator.
- In the column "Research Expenditure for FY2019", the share of the grant to the Principal Investigator and the Co-Investigator(s) should be entered in units of thousand yen, based on the research plan. Principal Investigator should also enter those information of Co-Investigator.

The total amount of all the shares of the grant to each researcher should correspond to "Research Expenditure for FY2019 (Thousand Yen)" displayed in the screen. If it does not correspond, "error" is displayed on the confirmation screen after entering.

• In the column "Effort", the Principal Investigator should enter the time allocation rate (an integral number between 1 and 100), assuming that the research project for which the current application is being made would be adopted.

When determining the time allocation rate, the Principal Investigator should determine it keeping in mind the definition of "effort" by the Council for Science and Technology Policy. This definition is "the percentage of time allocation (%) necessary for the implementation of the research in question, if the entire yearly working time of the researcher is set at 100%". Moreover, the "entire working time" does not mean time spent only on

research activities, but entire actual working time, including time spent on educational activities and other activities.

Moreover, when the research project for which the current application is being made is adopted, the Principal Investigator will be requested to confirm the effort anew at that time and to perform the procedure of formal application for grant delivery. If the effort is changed at that time, it is necessary to correct the effort in e-Rad before formal application for grant delivery.

### (2) Project Members Input (Co-Investigator)

• Concerning the entry column for the Project Members List (Co-Investigator), when pressing the button "Add" on the left side of the entry screen one time for every member of the project, the edit box in which the data need to be entered is displayed.

The Principal Investigator should delete edit boxes for data entry that are not being used (when he or she entered data but finally does not use them, or when he or she did not enter data at all), by pressing the button "Delete" on the left side.

The column "Number of Project Members", displayed on the lowermost part of the screen is displayed automatically, according to the number of edit boxes for data entry.

If the number in the column "Number of Project Members" and the total number of persons for whom data have actually been entered do not correspond, "error" is displayed on the confirmation screen, the data have been entered. Therefore, the applicant should always delete edit boxes for data in which no data has been entered.

- In the column "Distinction", it will be automatically displayed as "Co-I(s)".
- In the column "Researcher Number", "Name (Pronunciation in katakana and Kanji, etc.)", "Age (as of April 1, 2019)", and "Research Institution, Academic Unit (School, Faculty, etc.), Position" of the Co-Investigator, enter the researcher number of the Co-Investigator and click on the [Search] button, his/her information will be displayed, and click [OK] button.

Since the Co-Investigator may belong to more than one research institution, ask the Co-Investigator at first to confirm which affiliation he/she wishes to list for the application.

- In the columns "Academic Degree" and "Effort", the contents which the Co-Investigator entered in the consent process of Co-Investigator will be displayed.
- In the columns "Role in This Project" and "Research Expenditure for FY2019", the Principal Investigator should enter the contents. (Pease refer to the column "(1) Project Members Input (Principal Investigator)" for the entry method).

### [About the Consent Process of Co-Investigator]

- The consent both from Co-Investigator him/herself and his/her research institution should be given on the electronic application system to list him/her on the project members as a Co-Investigator.
- To make a request for Co-Investigators, check the checkbox at "Request" in the "Status of Consent" row and save it temporarily. The researcher registered in the field is provisionally requested to participate in the research project as a Co-Investigator. Check the checkbox again to confirm that you want to request him/her to participate in the research project. (In addition, contact him/her that you had made the Co-Investigator request

on the system.)

- When the consent both from Co-Investigator him/herself and his/her research institution have been given, the "Status of Consent" will be changed to "Obtained the consent from the Co-Investigator" and "Obtained the consent from the institution".
- You cannot request the researcher to become a Co-Investigator whose status of consent is "Dissented by the Co-Investigator" or "Dissented by the Institution". Press [Delete] button on the left hand side of that column and delete the frame into which the data is being entered.
- When you request the researchers to become a Co-Investigator, your official request should be based on the high probability in obtaining the consent from the Co-Investigator-to-be after surely confirming the following items with the researchers.
  - ① For this research project, the Co-Investigator has to bear responsibility for the implementation of the research project in cooperation with the Principal Investigator.
  - ② As well as the Co-Investigator understand and comply with both the KAKENHI supplementary conditions (funding conditions) and the terms stipulated in the (a), (b), and (c) below, and to receive a share of KAKENHI from the Principal Investigator and use it properly.
    - (a) The Co-Investigator has to recognize that citizens have placed trust in scientific research and that the KAKENHI are funded with their tax, and you therefore have to use the KAKENHI in a proper and efficient way and refrain from committing research misconduct during my research.
    - (b) The Co-Investigator has to fulfil the requirement for the research ethics education coursework either by reading a textbook such as "For the Sound Development of Science The Attitude of a Conscientious Scientist –" ("For the Sound Development of Science" Editorial Committee on JSPS), by taking an e-Learning course such as the "e-Learning Course on Research Ethics [eL CoRE] or "APRIN Japan e-learning program (CITI Japan)", or by participating in the research ethics education course conducted at his/her institution based on the "Guidelines for Responding to Misconduct in Research" (Adopted August 26, 2014 by MEXT), prior to the formal application for grant delivery (or, in case the grant has already been delivered, by the time the "application for approval of change for the Co-Investigator" is submitted by the Principal Investigator to JSPS).
    - (c) The Co-Investigator has to understand thoroughly and confirm on what the researchers should consider, in conducting of their researches, from amongst the contents of both the statement "Code of Conduct for Scientists -Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" by JSPS, by the time of the formal application for grant delivery of the concerned research project. (Or, by the time when the "application for approval of change of the Co-Investigator" is to be submitted to JSPS by the Principal Investigator, in case the official grant decision thereof has been already made.)
- \* Refer to the KAKENHI (Grants-in-Aid for Scientific Research) Electronic Application System Operation Manual (URL: <u>http://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei ka.html</u>) for the detailed information on the consent processes of the Co-Investigator such as operating environment, operating method, and so on.

- \* The Co-Investigator(s)-to-be who have applied for the Specially Promoted Research input "The Status of Application and Acquisition of Research Grants" of their own in the course of the consent process. When inputting the column above, refer to the "3. Application Information Input (The Status of Application and Acquisition of Research Grants)" set forth in the Procedures for Preparing and Entering a Research Proposal Document.
  - (3) Joint researchers other than Co-Investigators become "Research Collaborators". Therefore, the applicant does not have to enter them in the Project Members List of the proposed project in question. When the applicant mention about Research Collaborator in the Research Proposal Document, if necessary, the applicant can mention them in a column where he or she explains the overall research plan, for example, in the column "Research Plan and Methods".

(4) In each column where (English) is written, the applicant should enter the respective items in English.

# Application Information (Items to be entered in the Website) (Screenshot) [Specially Promoted Research] "Management of Research Proposal Document" screen

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# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (1) "Application Information Input" screen

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# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (2)

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# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (3)

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# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (4) "List of Consent Request of the Co-Investigator" screen

研究分担者承諾 List of Consent	告依頼一覧 Request of the C	o-Investigator			
研究種目名 Research Category	研究代表者氏名 Principal Investigator Name	所属研究機関名・部局名・職名 Research Institution Academic Unit-Position	研究課題名 Title of Research Project	依頼先機関名 Name of Request Destination Institution	承諾状況 Status of Consent
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# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (5) "Registration of Co-Investigators' Consent/Dissent" screen

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応募者向けメニュー(Menu for Appl Co-Investigators' Consent/Disse	<u>licant</u> )>研究分担者承諾依頼一覧(List of Consent Request of the Co-Investigator)>( <b>研究分担者承諾-不承諾登録(Registration of</b> <b>ant)</b> >研究分担者承諾-不承諾登録完了(Consent/Dissent of Co-Investigator Registered))
研究分担者承諾 Registration of C	・不承諾登録 Co-Investigators' Consent/Dissent 画面を表示してから29分経過
以下の応募者からの研究分割	旦者の承諾・不承諾を登録します。
よろしければ、[OK]ボタンをク	リックしてください。
Register consent/dissent of (	Co-Investigator requested from below applicant.
If it is OK with you, click [OK	] button.
<注意事項>	
<ul> <li>*のついた項目は必須項</li> <li>入力中に、一定時間、ボ クして情報を保存して下さい。</li> </ul>	[目です。 タン操作をせずにおくと、セッションタイムアウトにより、入力した情報が保存されない場合があります。随時[一時保存]ボタンをクリッ さい。もし、セッションタイムアウトのエラーメッセージが出た場合は、「電子申請のご案内」ページの「よくあるご質問」をご参照下さ
Field marked in asterisk	(*) is mandatory.
	le for a certain period of time, a session time out may clear any information entered. Click Temporarily Save periodically to store æ, please read the frequently asked questions on the electronic application system information page.
研究種目名 Research Category	
氏名	(漢字等)(姓)特推 (名)進一郎
正居研究機関ク	(フリガナ)(姓)トクスイ(名)シンイチロウ
Research Institution 対日ク	×××+ · · · · · · · · · · · · · · · · · ·
Academic Unit 融少	
Position 研究理解之	
Title of Research Project	XXXXXXXXXX
研究分担者	○承語(Consent)
Co-Investigator	○示和Codisent/ ○不承諾(Dissent)
If you consent to become a C 標記研究課題について、研 究分担者となることを承諾しま For this research project, I c cooperation with the Principa 科研費の補助条件(交付系 ことを約束します。 I have read, understand and following, and pledge that I wil 。 学術研究に対する国民の いて不正行為を行わない。 You have to recognize th KAKENHI in a proper and 当該研究課題の文付申記 材(『科学の健全な発展の (e-LearningCourse on R bitる不正行為への対応	consent to become a Co-Investigator who bears responsibility for the implementation of the research project in I Investigator. 条件) 及び以下の内容を理解し、遵守するとともに研究代表者から分担金の配分を受け科研費を適正に使用する will comply with the KAKENHI supplementary conditions (funding conditions) and the terms stipulated in the ill receive my share of KAKENHI from the Principal Investigator and use it properly. の負託及び科研費が国民の負重な税金で賄われていることを十分認識し、科研費を適正かつ効率的に使用するとともに、研究にお こと hat citizers have placed trust in scientific research and that KAKENHI are funded with their tax, and you therefore have to use d efficient way and refrain from committing research and that KAKENHI are funded with their tax, and you therefore have to use d efficient way and refrain from committing research misconduct during my research. 諸前まで(文付決定後においては、研究代表者が日本学術振興会に研究分担者の変更承認申請を行う前まで)に研究倫理教育教 のために一誠実な科学者の心得一訳日本学術振興会(科学の健全な発展のために)編集委員会、研究倫理eラーニングコース tessanch Ethics[e] CREE)、APRIN Japan e ーラーニングブログラム(CIT) Japan)等)の通道を履行をすること、または、「研究活動」に で等に関するガイドライン」(2014年8月26日 文部科学大臣決定)を踏まえ研究機関が実施する研究倫理教
course such as the "e-L research ethics educatio 26, 2014 by the MEXT), approval of change for th 当該研究課題の交付申 声明[科学者の行動規題 慮すべき事項こついて、 You have to understand both the statement "Coo Science - The Attitude o project. (Or, by the time	guirement for the research ethics education coursework either by reading a textbook such as "For the Sound Development of of a Conscientious Scientist

# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (6)

承諾する場合は、研究分割 研究の「エフォート」を入力	但者の「氏名」、「所属研究機関」、「部局」、「職」の英語名を入力してください。また研究分担者の「学位」と分担する してください。
If you consent to become the English name. And als	a Co-Investigator, enter your "Name", the "Research Institution", the "Academic Unit" and the "Position" with o enter your "Academic Degree" and the ″Effort″ of the research project in which you are supposed to participate.
氏名(英語) Name	(建/Family Name) (名/First Name)
所属研究機関(英語) Research Institution	
部局(英語) Academic Unit (School, Faculty, etc.)	
職(英語) Position	
学位 Academic Degree	* (日本語/Japanese) (英語/English)
エフォート(%) Effort(%)	* ※本欄に記載したエフォートは、e-Radに提供されます。本研究課題が採択された際に、交付申請時点のエフォートに反映されますので、その時点で変更の必要があれば変更手続きを行ってください。 * The data of effort you enter in this column will be provided to e-Rad and shown at the time of the formal application for grant delive
	ry when the proposed research project is adopted. You can accordingly carry out the procedure to modify your effort if necessary.
	状況 / The Status of Application and Acquisition of Research Grants】
※研究課題を入力する場合、人 なかった場合)については、当言	、力画面左側の「追加ボタンを必要な回数押すことでデータ入力枠が設定されます。使用しない欄(入力したが使用しない場合や全く入力し 変闇の左側の[削除]ボタンを押してデータ入力枠を削除してください。
ください。	研究資金の不合理な重複や過度の集中にならず、研究課題が十分に遂行し得るかどうか」を判断する際に参照しますので、正確に入力して 時点における(1)応募中の研究費、(2)受入予定の研究費について入力してください。具体的な記入方法等については、研究計画調書作
の場め式機関内で領 「本応募研究課題と 所属研究機関の特定 人へ配分される研究 応募中の研究費の「 者に直接連絡してく	割は「その他」を選択し、「1・資金制度・研究費名(配分機関名)」に研究費の名称とその配分機関名を入力してください。 年間の全仕事時間を100%とした場合、それぞれの研究の実施等に必要となる時間の配分率(%)を入力してください。 後争的に配分される研究費についても入力してください。 当該研究課題との関係」欄に、I、I及びIIについての理由を具体的かつ明確に入力してください。 その目的(ミッション)に沿って行われるプロジェクト研究に参加している場合に、配分される研究費についても入力してください。なお、個 「費が明確でない場合は、その旨を「I:研究内容の相違点」欄に入力してください。 研究期間」「研究課題名」または「期間全体額」は研究代表者が応募情報を入力するまで表示されません。確認したい場合は、研究代表
	side of the window to insert additional research project fields. Click "Delete" on the left-hand side of each field to delete unused fields. (if er required)
and/or excessive concentration List, at the time of submission	pe referred to by the review committee in order to ensure that the grant status would not constitute a case of "urreasonable duplication n in the grant allocation" so that the proposed research project can be duly carried out in parallel with other projects. of this KAKENHI application, (1) research grant application(s) in the review process and (2) research grant(s) adopted and to be delivered Refer to the "Procedures for Preparing and Entering Research Proposal Document (to be entered in the Website)" for the specific entry
• For research grants	other than KAKENHI, select "Others" and enter the name of the research grant and the name of the funding agency in "1. Funding
<ul> <li>In the "Effort Perce</li> <li>If the applicant has a</li> <li>Enter the reasons fc</li> <li>If you are participati</li> <li>research grants. If the columns for the</li> <li>Application(s) in the</li> </ul>	ant name (funding agency name)". ntage" entry, enter the percentage of hours allocated to each research project, with the total yearly working hours set at 100%. aquired competitive research grants within his/her research institution, they should be also listed. or I, I and II concretely and clearly in the column "Relationship between this KAKENHI application and the other projects". ng in project research conducted according to the specific purpose (mission) of your research institution, also enter the funded ne research grants funded to individuals are not clear, fill in "I : Distinction of the research conducted according to the specific purpose "Research Period", the "Title of the Research Period" or the "Research Expenditure (throughout the period)" in the Research Grant Review Process are not displayed until the Principal Investigator input the application information data. If you want to refer to, please the Principal Investigator directly.
	画面を表示してから29分経過 「時保存 Temporarily Save

# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (7)

	役割 Role in this Project	1 資金制度・研究費名(配分機関名) 2.研究期間 3.研究課題名 4.研究代表者氏名 1. Funding System/Research Grant Name (Funding Agency Name)List 2. Research Period 3. Title of the Research Project 4. Name of the Principal Investigator	2019年度 研究経費 (期間全体 額) (千円) Research Expenditure for FV2019 (throughout the period) (Unit : thousand yen)	2019年度 エフォート (% FY 2019 Elfort (%)	本応募研究課題と当該研究課題との関係 I:研究内容の相違点 I:制該研究課題に加えて本応募研究課題に応募する理由 (科研費の研究代表者である場合は、研究期間全体の受入 を入力すること。) Relationship between this KAKENHI application and the othe projects I :Distinction of the research contents I :Reason for submission of this KAKENHI application in addition to the other projects. (For a KAKENHI grant as Principal Investigator, enter the total research expenditure for the whole research period.)
	分担(Co-I(s))	1.【本応募研究課題】特別推進研究 22019年度~2023年度 3.〇〇の××Iこ関する研究 4.(姓)代表(名)一郎	9,999,999	XX%	総額/Sum Total – 千円/Thousand Y
削除 Delete	*	1.*	*	* %	I.* 総額/Sum Total ★ 千円/Thousand Y I.*
追加 Add		/ (2) Research Grant(s) Adopted and to			
					本応募研究課題と当該研究課題との関係
	役割 Role in this Project	1.資金制度・研究費名(配分機関名) 2.研究期間 3.研究課題名 4.研究代表者氏名 1. Funding Agency NameList Ust 2. Research Period 3. Title of the Research Project 4. Name of the Principal Investigator	2019年度 研究経費 (期間全体 額) (千円) Research Expenditure for FY2019 (throughout the period) (Unit : thousand yen)	FY 2019	1: 研究内容の相違点 I: 研究内容の相違点 I: 研究内容の相違点 II: 当該研究課題に加えて本応募研究課題に応募する理 II: 現在逐行中の研究(「新学術領域研究」、「基憲研究」、「 類的研究」、「挑戦的前芽研究」、「若手研究」)を取りやめて 研究を行う理由 Relationship between this KAKENHI application and the other projects I: Distinction of the research contents II: Reason for submission of this KAKENHI application in addition to the other projects. III: Reason for applying this research after withdrawing current research underway ("Grant-in-Aid for Scientific Research on Innovative Areas", "Grant-in-Aid for Scientific Research, "Grant-in-Aid for Challenging Research", "Grant- in-Aid for Challenging Exploratory Research", "Grant-in-Aid for Young Scientifis")
削除 elete	Role in this	2.研究課題 3.研究課題名 4.研究代表者氏名 1. Funding System/Research Grant Name (Funding Agency Name)List (Lat) 2. Research Period 3. Title of the Research Project	(期間全体 額) (千円) Research Expenditure for FY2019 (throughout the period) (Unit : thousand	年度 エフォート (%) FY 2019 Effort	#203001761、1959201949と701751、1753-401751、17475974900 研究在行力理由 Relationship between this KAKENHI application and the ot projects I :Distinction of the research contents II :Reason for submission of this KAKENHI application i addition to the other projects. III :Reason for applying this research after withdrawing current research underway ("Grant-in-Aid for Scientif Research", "Grant-in-Aid for Scientif Research", "Grant-in-Aid for Scientif in-Aid for Challenging Exploratory Research", "Grant-in-A for Young Scientists") I .*
制除 Pelete Pelete	Role in this Project	2.研究課題 3.研究課題名 4.研究代表者氏名 1. Funding System/Research Grant Name (Funding Agency Name)List List 2. Research Period 3. Title of the Research Project 4. Name of the Principal Investigator 1.* 2.(西暦/FY) * 年度~(西暦/FY) * 年度 3.* 4.(姓/Family Name)* (名/First N	(期間全体 額) (千円) Research Expenditure for FY2019 (throughout the period) (Unit : thousand yen)	年度 エフォート (%) FY 2019 Effort (%)	#2030017.1.1 日を記が出た。 研究在行力理由 Relationship between this KAKENHI application and the ot projects I :Distinction of the research contents II :Reason for submission of this KAKENHI application in addition to the other projects. III :Reason for applying this research after withdrawing current research underway ("Grant-in-Aid for Scientific Research on Innovative Areas", "Grant-in-Aid for Scientific Research of Challenging Reparch", "Grant-in-Aid for Scientific In-Aid for Challenging Exploratory Research", "Grant-in-Aid for Young Scientists") I *

# Application Information (Items to be entered in the Website (First half)) (Screenshot) [Specially Promoted Research] – (8)

不承諾の理由 Reason for the Dissent	入力文字数(Number of characters entered): 0文字/50文字 ※50文字以内で入力してください。 * Enter within 50 characters.	
	〇K 一時保存 戻る Temporarily Save Return	

# II-2. Application Information (items to be entered in the Website) (Second half)

### 1. Application Information Input (Research Facility/Existing Equipment)

#### (1) "Research Facility" column

The applicant should enter information about the main research laboratory or experimental laboratory he or she can use for his/her research plan.

Moreover, if the applicant is using facilities for shared use in joint use with other research plans, he or she should enter how many hours a week it is possible to use the facilities and other information in the remarks column.

### (2) "The existing equipment (main equipment and devices already installed)" column

List the machines and equipment currently available for the research project.

He or she should enter how many hours a week it is possible to use the equipment and other information in the remarks column. Also, enter the calendar year in year of installation.

### 2. Application Information Input (Research Expenditure and Their Necessity)

Details of research expenditure, their necessity and the basis of their estimation should be given for every fiscal year in connection with the research scale, research system, etc. stated in the **Research Proposal Document [form S-1(2)]** (forms to be uploaded) "Research Plan and Methods".

Because the review committee also examines the optimum scale and amount of research, enter concretely specifically for the main item and expensive item. (For example, for expensive equipment costs, explain that you cannot utilize the existing equipment because its performance is necessary for research. For a large amount of consumables expenses, write concrete usage, quantity and amount. Write the personnel and the amount about the personnel cost/honoraria.)

Also, when the total amount of research expenditure exceeds 500 million yen, the necessity of that should be clarified in "Reason for the Total Amount of Entries Exceeding 500 Million Yen" column.

Moreover, if any of the expenditure categories (equipment costs, travel expenses, or personnel cost/honoraria) exceeds 90% of the total yearly expenditure in any FY of the research period, or if the expenditure in category Consumables or Miscellaneous constitutes a significant portion of the total expenditure, the necessity of that spending should be clarified (by showing the itemized breakdown etc.).

Pay attention to "target expenditure (direct expense)" and "non-eligible expenditure" described below. Also, be aware that research plan with research expenditure less than 100,000 yen in any year of the research period will not be eligible for call for proposal.

#### "Target expenditure (direct expense)"

The expenditure necessary for the implementation of the research plan (including the budget necessary for summarizing the research achievements) is eligible.

#### "Ineligible expenditure"

The following expenditures are not included in the funding:

① Expenditure for buildings and other facilities (excluding the expenditure for minor installations which became necessary because of the introduction of goods that have been purchased by means of direct

expense)

- ② Expenditure for handling accidents or disasters that occurred during the implementation of funded project
- ③ Personnel cost/Honoraria for the Principal Investigator or Co-Investigator(s)
- ④ Other expenditure which fall under indirect expense\*
  - \* Indirect expense is expenditure necessary for the management of the research institution and other things that arise during the implementation of the research project (corresponding with 30% of the amount of the direct expense). The expenditure is used by the research institution.
    This time, it is scheduled to set up indirect expense for the research categories for which a call for proposals is organized. However, the Principal Investigator does not need to state that indirect expense in the Research Proposal Document.

Research expenditure and usage breakdown are automatically calculated from the details of each expense. Initial display is for 5 years from FY2019 to FY2023, but when applying for more than 6 years of research period, by pressing the "Add" button on the left side of the input screen, the breakdown column for the year to be added is displayed. In addition, the "details of equipment costs" column and the "details of consumables expenses" column for the year added are set up.

Also, for data entry fields that you do not use (if you have entered but do not use it or if you did not enter at all), delete the data entry field by pressing the "Delete" button on the left side.

However, the fiscal year to be displayed cannot be less than 5 years (even if the research period is 3 to 4 years, display will be 5 years).

Enter the amount of "Details of Equipment Costs" column and "Details of Consumables Expenses" column in thousand yen units rounding off fractions smaller than one thousand yen. After completing all the details of each expense, click the recalculate button.

Note the following points when entering details of each expense. However, the way to journalize each expense should be handled in accordance with such as the accounting rules of the research institutions to which you belong including but not limited to the following examples.

### (1) "Details of Equipment Costs" column

- When purchasing equipment in the final year of the research period, enter the reasons that are particularly necessary in the "Necessity" column of the relevant fiscal year.
- When purchasing a large number of books and/or materials, input, to some extent, the contents of the books and/or materials to clarify what they are, such as "the books related to the Western medieval political history" (As for the books, the same way to journalize should be applied even if they were not handled as equipment.).
- In the case of machinery and equipment, simply enter a set of XXX as well as its breakdown.
- Enter concisely the calculation basis of the amount in the "list price/estimate" column (e.g. list price or price by estimation, XX% of list price etc.). To examine the adequacy of research expenditure, enter the price according to the estimate, except in unavoidable cases.

#### (2) "Details of Consumables Expenses" column

- For consumables expenses, enter for each product name such as chemicals, laboratory animals, glassware, etc.
- O For travel expenses, select appropriate one between "Domestic Travel Expenses" and "Overseas Travel Expenses". For Principal Investigator, Co-Investigator(s), and Research Collaborator(s) (data collection, various investigations, meetings of research, announcement of results of research, etc.), enter the expenditures (transportation fee, accommodation fee and daily allowance), etc. for each matter.
- O For personnel cost/honoraria, enter the expenditures for each matter such as honoraria, compensation, wages and salaries to Research Collaborator(s) (postdoctoral researchers, research assistants (RA), researchers belonging to overseas research institutions, and others) who engaged in organizing materials, assisting in experiments, performing translation or proofreading, providing technical knowledge, distributing and collecting questionnaires and collecting information materials for research), and payment to temporary staff agencies. (Example: Organizing materials: [breakdown: X (number of people) × Y (number of months)] = XXXX yen)
- For miscellaneous expenses, except for above mentioned expenditure, enter the expenditures to carry out the research (e.g. the costs for printing, photocopying, developing/printing, correspondence (stamps and phone calls), transport, renting or leasing the research facilities (only where the grant-aided project cannot be conducted in the facilities of the research institution), meetings (rental of the venue and meals (excluding alcohol)), equipment rental (e.g. computers, automobiles, experimental equipment), equipment repairs, transportation other than travel expenses, presentation of the research achievements (fees for contributing to the publications of academic societies, website creation, and preparation of pamphlets to publicize the research achievements, costs of PR activities disseminating research achievements to the general public, and other matters) , experiment waste disposal cost) for each matter.

#### (3) "Reason for the Total Amount of Entries Exceeding 500 Million Yen" column

Explain in detail the reason why 500 million yen cannot be managed, and <u>the necessity</u> to make the total amount more than 500 million yen, only when the total amount of entrance research expenses exceeds the maximum application amount of 500 million yen.

(If the total amount of research expenditures is less than 500 million yen, even if you enter it, it will not be reflected in the Research Proposal Document.)

#### 3. Application Information Input (The Status of Application and Acquisition of Research Grants)

The entries in this column will be referred to by the review committee in order to ensure that the grant status would not constitute a case of "unreasonable duplication and/or excessive concentration in the grant allocation" so that the proposed research project can be duly carried out in parallel with other projects. Therefore, it is strictly required that all the relevant information on research grants be correctly entered.

Principal Investigator and Co-Investigator should input and confirm following information up to the time of current application such as (1) research grant application(s) in the review process, (2) research grant(s) adopted and to be delivered, and (3) other activities.

In addition, as for the information on the Co-Investigator, the contents which have been inputted by the Co-Investigator in the course of the consent process are displayed on the screen. Therefore the

Principal-Investigator should confirm the contents which the Co-Investigator has inputted and fill in the column of the "total research expenditure for the whole research period" for the research project applied.

- This research project should be entered at the head of "(1) research grant application(s) in the review process".
- Not only KAKENHI but also other competitive research grants should be entered in "(1) research grant application(s) in the review process" and "(2) research grant(s) adopted and to be delivered".
- There is no need to enter the fundamental research grants that are allocated so that research activities, etc. can carry out as duties within the research institution to which the researcher belongs in "(1) research grant application(s) in the review process" or "(2) research grant(s) adopted and to be delivered". The effort of the research activities and so on that utilize such grants is included in "(3) other activities". Moreover, the effort in the research project supported by a KAKENHI in which the researcher participates as Research Collaborator is included in "(3) other activities".
- If you are participating in project research conducted according to the specific purpose (mission) of your research institution, also enter the funded research grants. If the research grants funded to individuals are not clear, fill in "I: Distinction of the research contents" to that effect.
- If the applicant is a Research Fellowship for Young Scientists (SPD/PD/RPD) and plan to receive a Grant-in-Aid for JSPS Fellows in FY2019, enter it in "(2) research grant(s) adopted and to be delivered". Moreover, do not enter the Grant-in-Aid that is paid monthly by JSPS (research implementation costs).
- As for the research proposal submission in the fiscal year previous to the final fiscal year of the research period of an on-going research project related to the continued research project, do not enter expected amounts in the "(2) research grant(s) adopted and to be delivered".

 $\circ$  "Role in this Project"

Select "PI" if the role of the researcher is a Principal Investigator or select "Co-I" if the role of the researcher is a Co-Investigator.

### o "Funding Scheme, Grant Category (Funding Organization)"

In case of KAKENHI, select the research category. For cases other than KAKENHI, "Other" and enter the name of the research grant and the name of the funding organization in the lower row.

o "Research Period"

Enter the research period.

o "Title of Proposed Research Project"

Enter the title of proposed research project.

o "Name of Principal Investigator"

If applicant select "Co-I" in the column of Role in the Project, enter the name of the Principal Investigator (or equivalent) of the research subject.

o "Research Expenditure for FY2019 (Research Expenditure for the whole period) (Unit: thousand yen)"

Enter the amount of direct expense of research expenditure to be received and used by himself/herself in FY2019 (items under application is the applied amount) in the upper row, and at the same time enter the total amount (planned amount) to be used by himself/herself during the whole period in the lower row.

If applicant select "Co-I" in the column of Role in the Project, enter the amount of contribution (planned amount) to be received and used by himself/herself in FY2019 in the upper row, and at the same time enter the total amount of contribution (planned amount) to be used by himself/herself during the whole period in the lower row. (Enter "0" if contributions are not distributed in the respective column.

#### "Effort Percentage in FY2019 (%)"

Based on 100% of the total working hours for "(1) research grant application(s) in the review process", (2) research grant(s) adopted and to be delivered" and "(3) other activities" to be entered in this column, enter the allocation rate (%) of the time required for conducting activities etc. The "total working hours" does not mean time spent only on research activities, but entire actual working time, including time spent on educational activities and other activities. In KAKENHI, enter "-" (hyphen) if the applicant enter the research category that can be applied in parallel with the Specially Promoted Research but not to be adopted in addition to it.

In addition, when conducting research by the competitive research grants, be sure to enter the effort related to the research activity. When the research project for which the current application is being made is selected, the applicant will be requested to confirm the effort anew at that time and to perform the procedure of formal application for grant delivery. If the effort is changed at that time, it is necessary to correct the effort it e-Rad before formal application for grant delivery.

### o "Relationship between this KAKENHI application and the other projects"

The applicant should respectively describe the connection between the research grant application(s) in the review process or adopted and to be delivered and the research project. State concretely and precisely the distinction of the research contents in column "I", the reason for submission of this KAKENHI application in addition to the other projects. in column "II", and the Reason for applying this research after withdrawing current research underway (research conducted as "Scientific Research on Innovative Areas", "Scientific Research", "Challenging Exploratory Research", "Challenging Research (Pioneering/Exploratory)", and "Young Scientists") in column "III".

If applicant is a Principal Investigator of KAKENHI, enter the total amount of direct expense for the whole research period including the shared amount to the Co-Investigators in the column "I: Distinction of the research contents".

· If the "Specially Promoted Research" is adopted

The Principal Investigator of "Specially Promoted Research" should abolish the research projects of the categories "Scientific Research on Innovative Areas" (Research in a Proposed Research Area/Publicly Offered Research), "Scientific Research", "Challenging Exploratory Research", "Challenging Research (Pioneering/Exploratory)", "Young Scientists (A/B)", "Early-Career Scientists" and "Research Activity Start-up" for which he or she has already been selected as a Principal Investigator. Moreover, he or she cannot participate in research projects of other research categories as a Co-Investigator.

Moreover, he or she cannot implement and/or participate in the research as for the "Scientific Research on Innovative Areas" (Research in a Proposed Research Area/Planned Research).

# Application Information (Items to be entered in the Website (Second half)) (Screenshot) [Specially Promoted Research] – (1) "Research facility/Existing equipment" screen

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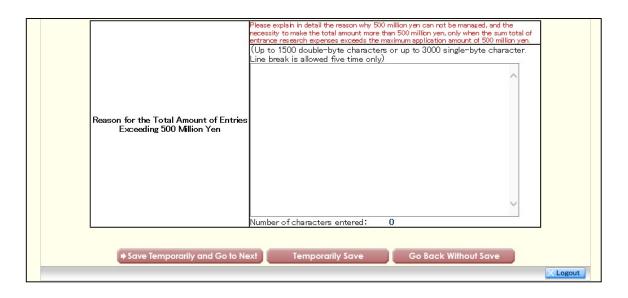
Application Information (Items to be entered in the Website (Second half)) (Screenshot) [Specially Promoted Research] – (2) "Research Expenditure and Their Necessity" screen

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	N.	FY 20		*							
	INE	cessity of the E	uipmei	IL COSTS							
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# Application Information (Items to be entered in the Website (Second half)) (Screenshot) [Specially Promoted Research] – (3)

		Recalculation
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FY 2019:Consumables expenses		
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FY 2019: Travel Expense		Anodint (Thousands of Ter
Domestic/Overseas	Item	Amount
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	Subtotal	
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FY 2019: Miscellaneous expenses	Item	Amount
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FY 2019: Necessity of the Consumables Expenses		
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# Application Information (Items to be entered in the Website (Second half)) (Screenshot) [Specially Promoted Research] – (4)



Application Information (Items to be entered in the Website (Second half)) (Screenshot)

# [Specially Promoted Research] – (5) "The Status of Application and Acquisition of Research Grants" screen

JSPS 科研費電子申請シス	. <del></del>			Help X Logout						
	<u>募者向けメニュー</u> >研究計画調書作成(Application Information Input>応募情報又は研究計画調書確認>応募情報又は研究計画調書確認完了)									
Application Information Input (The Status of Application and Acquisition of Research Grants) English > Japanese 29 minutes have been passed since the screen had been displayed. Last Saved Date:>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>										
<ul> <li>Note&gt;</li> <li>The item with "*" is mandatory.</li> <li>If the system remains idle for a certain period of time, a session time out may clear any information entered. Click Temporarily Save periodically to store changes. In such instance, please read the frequently asked questions on the electronic application system information page.</li> <li>If you wish to return to "Management of Research Proposal Document", click the "Temporarily Save the information, and click the "Go Back without save" button.</li> <li>The system may experience problems when multiple windows or tabs are opened. Please avoid an access from multiple windows or tabs.</li> </ul>										
	Save Temporarily and Go to Next	Temporaril	y Save	Go Back Without Save						
	Research Proposal Document f	or "Special	ly Promo	oted Research" (FY2019)						
[The Status of Application and Acquisition of Research Grants] * Click "Add" on the left-hand side of the whow to insert additional research project fields. Click "Delete" on the left-hand side of each field to delete unused fields. (if any field is not used or no longer required) Note: PI:Principal Investigator, Co-[16):Co-Investigator(s) * When you add Co-Investigator, at the column [Project Members List (Principal Investigator (PI) and Co-Investigator (Co-II)] on the application information input screen, the page will be added for each additional person on "The Status of Application and Acquisition of Research Grants". * The rame of Co-Investigator is dong have when you enter the name of the researcher and save it in [Project Members List (Principal Investigator (PI) and Co-Investigator (Co-II)] on the application information input screen, the * The rame of Co-Investigator is displayed when you enter the name of the researcher and save it in [Project Members List (Principal Investigator (PI) and Co-Investigator (Co-II)] on the application information input screen. * The "(1) Research Grant Application(s) in the Review Process" or the "(2) Research Grant(s) Adgued and to be Delivered" related to the Co-Investigator will display the contents which were registered when Co-Investigators. The entries in this column will be referred to by the review committee in order to ensure that the grant status would not constitute a case of "unreasonable duplication and/or excessive concentration in the grant allocation" so that the proposed research project can be duly carried out in parallel with other projects. List, at the time of submission of this KAKENHI application, (1) research grant application(s) in the review process and (2) research grant(s) adopted and to be delivered of Principal Investigator or Co-Investigato(s)(Co-I(s)). Refer to the "Procedures for Preparing and Entering Research Proposal Document (to be entered in the Website)" for the specific entry method of this form. • For research grant										
(1) Research Grant App Role in this Project	lication(s) in the Review Process 1. Funding System/Research Grant Name (Funding Agency Name) 2. Research Period 3. Title of the Research Project 4. Name of the Principal Investigator	Research expenditure for FY2019 (throughout the period) (Unit : thousand yen)	FY 2019 Effort (%)	Relationship between this KAKENHI application and the other projects I :Distinction of the research contents II :Reason for submission of this KAKENHI application in addition to the other projects. (For a KAKENHI grant as Principal Investigator, enter the total research expenditure for the whole research period.)						
代表(PI)	1[this KAKENHI application]特別推進研究 2FY 2019~FY 2023 3.〇〇の××に関する研究 4.	9,999,999 *()	XX%	Sum Total 9,999,999 thousand yen						
Delete) *		*	*%	I .* Sum Total* thousand yen I .*						
*Add										

# Application Information (Items to be entered in the Website (Second half)) (Screenshot) [Specially Promoted Research] – (6)

	Role in this Project	1. Funding System/Research Grant Name (Funding Agency Name) 1. Research Period 3. Title of the Research Project 4. Name of the Principal Investigator	Research expenditure for FY2019 (throughout the period) (Unit : thousand yen)	FY 2019 Effort (%)	Relationship between this KAKENHI application and the other projects I :Distinction of the research contents II :Reason for submission of this KAKENHI application in addition to the other projects. III :Reason for applying this research after withdrawing curren research underway ("Grant-in-Aid for Scientific Research or Innovative Areas", "Grant-in-Aid for Scientific Research", "Grant-in-Aid for Challenging Resarch", "Grant-in-Aid for Challenging Exploratory Research", "Grant-in-Aid for Young Scientists")
Delete	*	1.* Please select "Role in this Project" at first. ♥ * 2.FY * ~FY *	*		I.*
		3.* 4.(Family Name) *	*()	*%	
Delete	*	1.*         Please select "Role in this Project" at first. ♥         *         2.FY *         ~FV *	*	*%	
		3.* 4.(Family Name)* (First Name)	*()		
Add		(3) Other Act Total of the effort i (3) abov	n (1), (2) and	XX% 100%	

# Application Information (Items to be entered in the Website (Second half)) (Screenshot) [Specially Promoted Research] – (7)

	Name of the	e Researcher		份担 一郎				
(1) Research Grant Application(s) in the Review Process								
Role in this Project	1. Funding System/R Name (Funding Ag 2. Research F 3. Title of the Rese 4. Name of the I Investigat	ency Name) Period arch Project Principal	Research Expenditure for FY2019 (throughout the period) (Unit : thousand yen)	FY 2019 Effort (%)	Relationship between this KAKENHI application and the other projects I :Distinction of the research contents II :Reason for submission of this KAKENHI application in addition to the other projects (For a KAKENHI grant as Principal Investigator, enter the total research expenditure for the whole research period.)			
分担(Co-I)	1.[this KAKENHI appli 進研究 ) 2.FY 2019~FY 2023 3.00000について 4.代表 一郎		9,999,999	9 XX%	sum total – thousand yen			
分担(Co-I)	分担(Co-t)     1基盤研究(S)       2FY 2019~FY 2023       3.0000についての研究       4.代表 二郎		9,999,999 (9,999,999)	- XX%	I .000000000000000000000000000000000000			
(2) Resear	rch Grant(s) Adopted	l and to be Deli	ivered					
Role in this Project	1 Funding System and Name of Grant Programs 2.Research Period 3.Title of the Research Project 4.Name of the Principal Investigator	Research Expenditure for FY2019 (throughout the period) (Unit : thousand yen)	FY 2019 Effort (%)	II :Reaso III :Reason for for Scientific R	elationship between this KAKENHI application and the other projects I :Distinction of the research contents n for submission of this KAKENHI application in addition to the other projects. applying this research after withdrawing current research underway ("Grant-in-Aid sesarch on Innovative Areas", "Grant-in-Aid for Scientific Research", "Grant-in- ing Reearch", "Grant-in-Aid for Challenging Exploratory Research", "Grant-in-Aid for Young Scientists")			
代表(PI)	1.基盤研究(A)(一般) 2FY 2019~FY 2023 30000について の研究 4.	9,999,99	XX%	I 000000000000000000000000000000000000				
	1.その他(戦略的創造 研究推進事業) 2.FY 2019~FY 2023	9,999,99	99	I .000000000000000000000000000000000000				
分担(co-i)         300000についての研究         XX%         II.0000000000000000           4其他一郎         III.0000000000000000000000000000000000								
	(3) Other Activities 00% Total of the effort in (1), (2) and 100% (3) above 29 minutes have been passed since the screen had been displayed. Temporarily Save							
(1) Resear	rch Grant Application	e Researcher	aw Process	<u>  分担 二郎</u>				
Role in thi Project	1 Funding System Name of Grant Prog	and Rese rams Expendi d FY2 arch (through peri	anch iturefor 019 FY noutthe Ef iod) (1 nousand	2019 fort II:Re %) (Fora	Relationship between this KAKENHI application and the other projects I :Distinction of the research contents ason for submission of this KAKENHI application in addition to the other projects. KAKENHI grant as Principal Investigator, enter the total research expenditure for the whole research period.)			
分担(00-1	1.[this KAKENHI appli n時別推進研究 2.FV2021~FY2025 3.00000について 研究 4.代表 一郎		9,999,999	XX%	sum total – thousand yen			
(2)Research Grant(s) Adopted and to be Delivered								
Role in this Project       1. Funding System and Name of Grant       Research       Expenditure for FY2019       FY 2019       FY 2019       II : Reason for submission of this KAKENHI application and the other projects         2.Research Period)       3.Title of the Research Project       FY 2019       FY 2019       II : Reason for applying this research after withdrawing current research underway ("Grant-in-Aid for Scientific Research", "Grant-in-Aid for Voung Scientists")								
(3) Other Activities     XX%       Total of the effort in (1), (2) and     100%       (3) above     100%								
Save Temporarily and Go to Next Temporarily Save Go Back Without Save								