

IV. Instructions & Procedures for Those Who Have Already Been Accepted

1. On the handling of research projects that are scheduled to be continued in FY2014 (hereinafter called “continued research projects”).

It is not necessary to submit application forms for continued research projects. (However, in order to receive KAKENHI, it is necessary to prepare and to submit the necessary documents, like the grant application form, after receiving a notification of the informal decision to grant the funding.) Moreover, as a general rule, it is not possible to decline a continued research project and to apply for a new research project. However, the applicant should verify that, depending of the research category, the handling of research projects will be as mentioned below.

(1) Specially Promoted Research

1) If the applicant would like to make significant changes in the research project.

If the applicant would like to make significant changes in the research project, he/she needs to submit the application forms. Because the application procedure is the same as for “Preparing the Application (Proposal for Grant-in-Aid) and Submitting the Application (Proposal for Grant-in-Aid)” (see page 43), the applicant should verify it. Furthermore, when preparing the Proposal for Grant-in-Aid, he or she should select the same area as when he or she was accepted for the Desired Area for Screening.

Moreover, since, in this case, the application needs to be screened again, it may happen that the change will not be recognized and that the amount of the budget to be granted will not be granted from FY2014 on.

Moreover, a significant change to the research project can be, concretely speaking, (1) a change to the purpose of the research or a change to the title of the proposed project, (2) a change to the annual plan of the budget that is scheduled to be funded from FY2014 (except a change to the annual plan of the budget making use of the Adjustment Funds), (3) an increase or a reduction of the budget, and a shortening of the research period, etc. Please consult in advance with the Scientific Research Aid Division No. 2 of the Department of Research Projects of the Japan Society for the Promotion of Science (JSPS), in order to know whether the change the applicant wants to make falls under these categories (see “Inquiries”).

(2) Research categories except Specially Promoted Research

1) If the applicant would like to make significant changes in the research project.

If the applicant would like to make significant changes in the research project, he/she needs to submit the application forms. Because the application procedure is the same as for “Preparing the Application (Proposal for KAKENHI) and Submitting the Application (Proposal for KAKENHI)” (see page 43), the applicant should verify it. Moreover, as a general rule, applications for an increase of the budget for continued research projects are not accepted. In addition, for KAKENHI (Multi-year Fund), KAKENHI (Partial Multi-year Fund) (adjustment funds) and KAKENHI (Series of Single-year Grants) using KAKENHI (Partial Multi-year Fund) grants and the Adjustment Funds, applicants can make changes to the annual plan of the research budget, depending on the needs of the research. Therefore, changes to the annual plan of the research budget that is scheduled to be granted from FY2014, do not fall under the category of significant changes in the research project.

Moreover, since, in this case, the application needs to be screened again, it may happen that the change will not be recognized and that the amount of the budget to be granted will not be granted from FY2014 on. Therefore, the applicant should consult in advance with the Scientific Research Aid Division No. 1 of the Department of Research Projects of the Japan Society for the Promotion of Science (JSPS), in order to know whether the change the applicant wants to make falls under these categories (see “Inquiries”).

Moreover, even if the applicant makes significant changes in a continued research project, the KAKENHI granted will not change from the KAKENHI that was originally scheduled to be granted.

2) If the research proceeded beyond expectation, and the original attainment targets of the continued research project have already been reached

In case the applicant changes the research category and aims for a new research development (※), because the research proceeded beyond expectation, and because the original attainment targets of the continued research project have already been reached, he or she can apply for a new research project, after submitting a Notice of Completion of Research Project and a Statement of Reason (cf. Supplementary Volume Application Forms and Data Entry) by October 24 (Thursday), 2013. (Documents that arrive later will not be accepted.)

Moreover, please note that, if the content of the Statement of Reason is deemed inappropriate by the screening panel for applications for new research projects, the research project for which a new application is made becomes ineligible for screening, and that, in this case, no funding of

KAKENHI from FY2014 on can be requested for the continued research project that has already been completed.

- ※ “Cases where the applicant changes the research category and aims for a new research development” are cases where the applicant makes a change such as, for example, from “Scientific Research (C) (General)” to “Scientific Research (B) (General)”. However, it also includes cases where the applicant only makes a change to the screening division, such as, for example, a change from “Scientific Research (A) (General)” to “Scientific Research (A) (Overseas Academic Research)”.

2. On the Handling of Continued Research Projects in Which the Principal Investigator Has Failed to Submit the Report on the Research Achievements

In the same way as for new research projects, no KAKENHI will be funded to researchers who do not submit the report on the research achievements at the end of the research, without any reason. Moreover, it may happen that the decision to grant the funding to the researcher in question is cancelled, or that an order to return the grant is issued.

Furthermore, if researchers have failed, without good reason, to submit the scheduled report on the research achievements, then implementation of other KAKENHI due to be implemented in the same fiscal year will be suspended.