

# Application Procedures for Grants-in-Aid for Scientific Research

FY2011

Specially Promoted Research,  
Scientific Research,  
Challenging Exploratory Research  
and  
Grant-in-Aid for Young Scientists (A/B)

September 1, 2010

Japan Society for the Promotion of Science  
(<http://www.jsps.go.jp/>)

# Introduction

The current round of call for proposals lists the necessary procedures and other matters for the Details of the Call for Proposals or Application of the Grants-in-Aid for Scientific Research for FY2011 “Specially Promoted Research, Scientific Research, Challenging Exploratory Research, Grant-in-Aid for Young Scientists (A/B)”

It consists of:

- I Outline of the Grants-in-Aid for Scientific Research**
- II Details of the Call for Proposals**
- III Instructions & Procedures for those Intending to Apply**
- IV Instructions & Procedures for those Who Have Already Been Accepted**
- V Instructions & Procedures for Staff of the Research Institution**

Among these, are listed in the “II Details of the Call for Proposals”: Eligible Candidates for the Research Categories for which a Call for Proposals is Organized; Total budget provided and Research period and other matters; and Schedule from Application to Receipt of Funding and other issues.

In addition, in “III Instructions & Procedures for those Intending to Apply”, “IV Instructions & Procedures for those Who Have Already Been Accepted” and “V Instructions & Procedures for Staff of the Research Institution” are listed: “Conditions for Applying”, “Necessary Procedures”, and other matters, for those who are eligible to apply. Individuals to whom it may concern are requested to make sure that they verify the relevant parts of the text.

Moreover, the major changes for FY2011 are as follows.

## <The major changes for FY2011>

### ① **The eligibility to apply has changed. (See page 20,42,91)**

“Students” who are in a position of receiving an education and being guided in their research cannot apply for Grants-in-Aid for Scientific Research. Therefore, from the call for proposals of FY2011 on, students cannot apply, even if they hold a position in which they conduct research activities in the research institution to which they belong or in another research institution.

However, persons who have a position consisting of conducting research activities in the research institution to which they belong, as their main work (e.g., university teaching staff, researchers from companies, etc.), and who also have student status are not included in the term “student” for the purposes of this process.

Moreover, they can implement research projects also from FY2011 on, but only if they are already implementing the research in question as the Principal Investigator. Furthermore, if they are already participating as Co-Investigator (*kenkyū-buntansha*) or Co-Investigator (*renkei-kenkyūsha*), they need to withdraw as project members when they apply for receipt of funding for the research project in question.

### ② **The handling of research grant employees (persons who are employed through Grants-in-Aid for Scientific Research) has been clarified. (See page 21,42,87,91)**

Research grant employees, as a rule, need to concentrate on work related to a Grant-in-Aid for Scientific Research at their place of employment (hereinafter called “employment related work”) according to their employment contracts. Therefore, considering the working hours they need to allot to the employment related work, they cannot apply for Grants-in-Aid for Scientific Research themselves. In the call for proposals of FY2011, the handling of this point has been made clear.

However, if they provide a clear explanation on the time they can spend besides their employment related work, and if during this time they themselves attempt to conduct research using a Grant-in-Aid for Scientific Research, on their own initiative, it is possible for them to apply for Grants-in-Aid for Scientific Research, on condition that the following points have been verified in the research institution. In this case, they can apply as Principal Investigator, and they can also become Co-Investigators (*kenkyū-buntansha*), Co-Investigators (*renkei-kenkyūsha*), or other project members.

Moreover, also in case of continued research projects, they themselves can likewise

implement research using a Grant-in-Aid for Scientific Research, on condition that the following points have been verified in the research institution.

- It has been determined in the employment contract that research grant employees themselves can conduct research on their own initiative, besides the employment related work.
- The employment related work and the work devoted to research that they conduct themselves on their own initiative has clearly been divided in the working hours and the effort.
- Time that can be allotted to research which they attempt to conduct themselves on their own initiative has been secured, besides the time spent for employment related work.

③ **The handling of a case in which the report on the research achievements has not been submitted is clarified. (See page 6,22,88,95)**

No Grants-in-Aid for Scientific Research will be funded to researchers who do not submit the report on the research achievements at the end of the research, without any reason. Moreover, it may happen that the decision to grant the funding to the researcher in question is cancelled, or that an order to return the grant is issued. It may also happen that information, such as the name of the research institution to which the researcher in question belongs and other data, is made public.

Furthermore, if researchers have failed, without good reason, to submit the scheduled report on the research achievements, then implementation of other Grants-in-Aid for Scientific Research due to be implemented in the same fiscal year will be suspended. Therefore, it is the responsibility of the representative of the research institution to ensure that the report on the research achievements is submitted without fail.

④ **The handling of the replacement of the Principal Investigator has changed (See page 44,88)**

The Principal Investigator is the researcher who assumes full responsibility for the implementation of the research plan, and thus plays a central role. Persons who, at the time they apply, are expected to lose their eligibility to apply during the research period, due to retirement or other reasons, and thus become unable to carry out their responsibility, are requested to avoid becoming a Principal Investigator.

For this reason, from FY2011 on, replacements of Principal Investigators of research

projects that already have been adopted will no longer be accepted.

- ⑤ The promotion of the “Dialogue on Science and Technology with Citizens” is mentioned. (See page 10)

Because recently “On the Promotion of the ‘Dialogue on Science and Technology with Citizens’ (A Basic Course of Action)” (June 19, 2010, the Minister of State for Science and Technology Policy and the Experts of the Council for Science and Technology Policy) has been compiled and made public, its content is mentioned.

- ⑥ **The “List of Categories, Areas, Disciplines and Research Fields” has been partially changed (See page 49-84)**

After deliberations in the Research Grant Screening Section of the Section Meeting for Science of the Academic Deliberation Council for Science and Technology, the list has changed as indicated below.

1) Area “Comprehensive fields”

- The discipline “museology” and the research field “museology” have been added.

2) Area “New multidisciplinary fields”

- To the discipline “Biomolecular Science” the research field “Chemical Biology” has been added.

3) Area “Medicine, Dentistry, and Pharmacy”

- To the discipline “Boundary Medicine” the research field “Pain science” has been added.

- ⑦ **New calls for proposals for “Grant-in-Aid for Young Scientists (S)” have been suspended.**

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## References

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Supplementary Volume

### **Application Procedures for Grants-in-Aid for Scientific Research for FY2011 (Specially Promoted Research, Scientific Research, Challenging Exploratory Research, Grant-in-Aid for Young Scientists (A/B)) (Application Forms and Data Entry)**

#### **1. Proposal for grant-in-aid**

##### **(1) Specially Promoted Research**

Procedures for preparing and data entry of proposal for grant-in-aid (new/continued)

##### **First Half, application information (Items to be filled in on the form on the website)**

Application information (Items to be filled in on the form on the website) (screenshot)

##### **Second Half, Files with Project Description**

Form S-1-1 (1): Proposal for grant-in-aid “Specially Promoted Research” (new / English version)

Form S-1-1 (2): Proposal for grant-in-aid “Specially Promoted Research” (new / Japanese version)

Form S-1-2: Proposal for grant-in-aid “Specially Promoted Research” (continued)

##### **(2) Research categories other than Specially Promoted Research**

##### **First Half, application information (Items to be filled in on the form on the website)**

Application information (Items to be filled in on the form on the website) (Scientific Research, Challenging Exploratory Research and Grant-in-Aid for Young Scientists (A/B))

Preparation and data entry of application information

Application information (Items to be filled in on the form on the website) (screenshot)

##### **Second Half, Files with Project Description (procedures for preparation and data entry of proposal for grant-in-aid, and form for proposal for grant-in-aid)**

Form S-1-6: Proposal for grant-in-aid “Scientific Research (S)” (new)

Form S-1-7: Proposal for grant-in-aid “Scientific Research (A/B) (General)” (new)

Form S-1-8: Proposal for grant-in-aid “Scientific Research (C) (General)” (new)

Form S-1-9: Proposal for grant-in-aid “Scientific Research (A/B) (Overseas Academic Research)” (new)

Form S-1-10: Proposal for grant-in-aid “Challenging Exploratory Research” (new)

Form S-1-12: Proposal for grant-in-aid “Grant-in-Aid for Young Scientists (A/B)” (new)

Form S-1-13: Proposal for grant-in-aid (continued)

## **2. Written consent of the Co-Investigator (*kenkyū-buntansha*)**

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Form C-12: Written consent of the Co-Investigator (*kenkyū-buntansha*) (for same institution)

## **3. Notice of Completion of Grant-Aided Project**

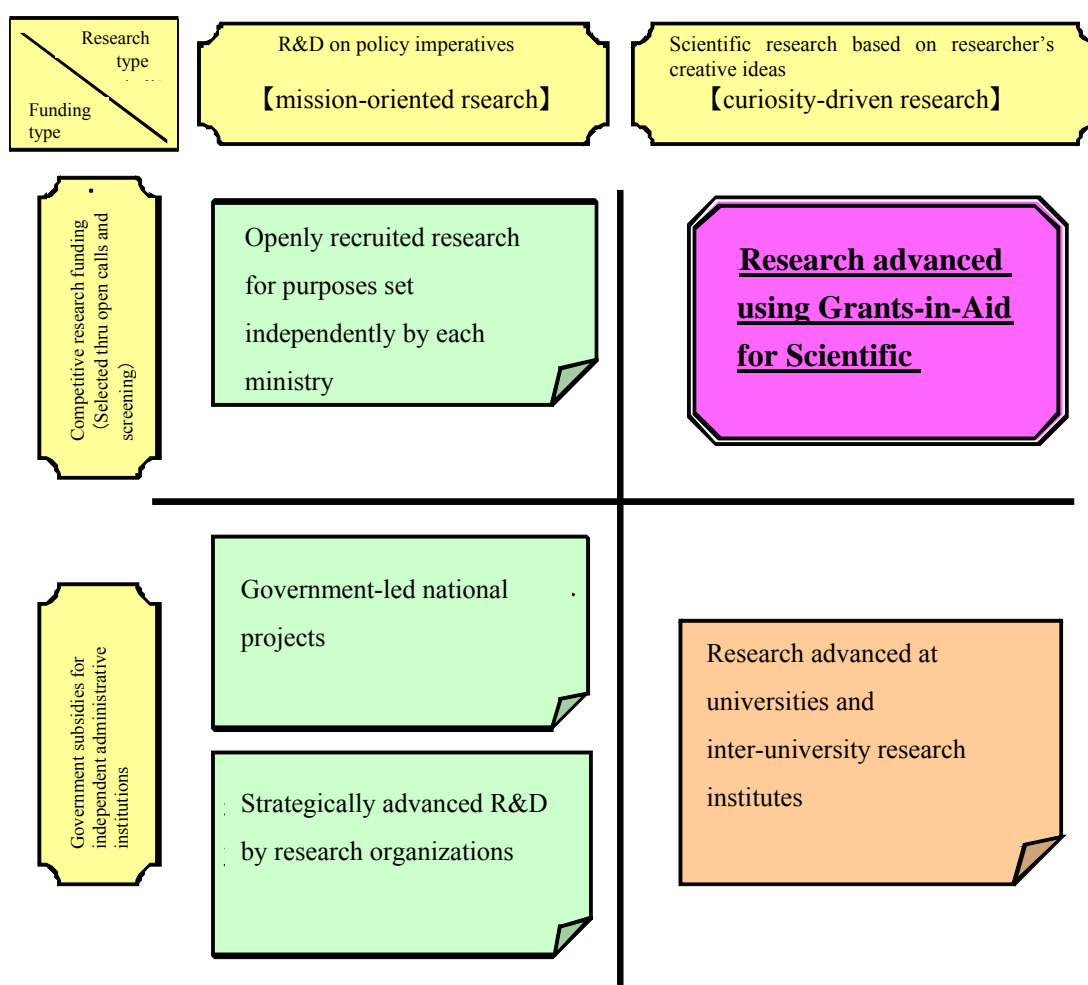
Form U-1: Notice of Completion of Project Funded with Grant-in-Aid for Scientific Research  
FY2010

# I. Outline of the Grants-in-Aid for Scientific Research

## 1. Purpose and Character of Grants-in-Aid for Scientific Research

Grants-in-Aid for Scientific Research are competitive funds that are intended to significantly develop all scientific research (research based on the free ideas of the researcher), from basic to applied research in all fields, ranging from the humanities and the social sciences to the natural sciences. The grants provide financial support for creative and pioneering research projects that will become the foundation of social development. The research projects are selected using a peer-review screening process (screening by multiple researchers whose field of specialization is close to that of the applicant).

### The position of “Grants-in-Aid for Scientific Research” in the policy on the promotion of science, technology and scientific research in Japan



❖ Grants-in-Aid for Scientific Research (200 billion yen) account for about 43% of the entire budget for competitive funding (approximately 463.1 billion yen).

## **2. Research Categories**

For the following research categories, research institutions manage and carry out the different procedures on behalf of researchers.

Research categories, etc.	Purposes and description of the research category
Grants-in-Aid for Scientific Research	
Grant-in-Aid for Specially Promoted Research	Highly regarded research in the international arena that is likely to yield highly acclaimed research achievements (There is no limit to the period or budget although, as a guide, a period of three to five years and a budget of around 500 million yen per project may be awarded.)
Scientific Research on Priority Areas	Research fields that will lead to the upgrading and enhancement of scientific research in Japan; research fields that require effort on a global scale; and/or research fields that have particularly strong social demand will be specified. The objective is to flexibly and effectively plan the promotion of research. (The period is three to six year. In principle, the budget is set at around 20 million to 600 million yen per fiscal year per field.)
Scientific Research on Innovative Areas	(Research in a proposed research area) New research areas that will lead to the upgrading and enhancement of scientific research in Japan. The new research areas are proposed by one researcher or by a group of researchers, and will develop through the effort to cultivate collective research, research personnel, etc. (The period is five years. In principle, the budget is set at around 10 million to 300 million yen per fiscal year per field.) (Research a proposed research project) Innovative and challenging research that is very likely to lead to a breakthrough in academic research by the development of the research project in question. The funding is not restricted to research projects that are expected to yield certain and tangible research achievements. (The period is three years. The budget is 10 million yen per fiscal year.)
Scientific Research	(S) Creative/pioneering research done by one researcher or a relatively small group of researchers (The period is five years. The budget ranges from 50 million yen to around 200 million yen per project.) (A)(B)(C) Creative/pioneering research done by one researcher or jointly by multiple researchers (The period is three to five years.) (A) From 20 million to 50 million yen (Classified in A, B or C, depending on the total budget provided) (B) From 5 million yen to 20 million yen (C) 5 million yen or less
Challenging Exploratory Research	Early-stage research that is based on a unique concept, that is challenging, and that sets a high goal (The period is one to three years. The budget is up to 5 million yen per project.)
Grant-in-Aid for Young Scientists	(S) Research done by one researcher aged 42 or less (The period is five years. The budget ranges roughly from 30 million yen to 100 million yen per project.) (A)(B) Research done by one researcher aged 39 or less (The period is two to four years. Classified in A or B, depending on the total budget provided.) (A) from 5 million yen to 30 million yen (B) 5 million yen or less
Grant-in-Aid for Research Activity Start-up	Research done by one researcher who has just been employed by the research institution, by one researcher who returns from childcare leave or other kinds of leave, or other researchers. (The period is up to two years. The budget is up to 1.5 million per fiscal year.)

Encouragement of Scientists	Research done by one person who is an employee of an educational/research institution, a company employee, or others
Grant-in-Aid for Special Purposes	Funding of urgent and important research projects.
Grant-in-Aid for Publication of Scientific Research Results	
Scientific Literature	Funding of Scientific Literature issued by an individual or a group of researchers to disclose scientific research achievements
Databases	Funding of databases created by an individual or a group of researchers for public availability
Grant-in-Aid for JSPS Fellows	Funding of research done by JSPS Fellows, including Foreign JSPS Fellows (for a period of up to three years)
Grant-in-Aid for Creative Scientific Research	Among research supported by Grants-in-Aid for Scientific Research and others, focus is placed on the most outstanding research field. Research projects that are especially important in promoting the research field in question are selected to promote highly creative scientific research (recommendation required; for a period of five years)

In addition to this, there are the application divisions “Publication of Scientific Research Results (B/C)” and “Scientific Periodicals” in “Grants-in-aid for the publication of Scientific Research Results”.

### **3. The Relationship between MEXT and JSPS**

The Ministry of Education (currently, the Ministry of Education, Culture, Sports, Science and Technology) publicly recruited, screened applications and delivered grants in all of the research categories up to FY1998. In FY1999 these tasks began to be transferred to the Japan Society for the Promotion of Science (JSPS). The call for proposals, screening and funding are currently being conducted as indicated below. From here on, the transfer of these tasks will proceed gradually.

<b>Research category</b>	<b>Call for proposals and screening</b> (Main body in the preparation of the procedures for lodging applications and the location where the applications should be submitted)	<b>Funding</b> (Main body handling the criteria for selection, notice of the decision, and the location where the application forms for grants and the various other necessary documents should be submitted)
Grants-in-Aid for Scientific Research, Type 1		
Scientific Research on Priority Areas, Scientific Research on Innovative Areas, Grant-in-Aid for Special Purposes, Grant-in-Aid for Publication of Scientific Research Results (Publication of Scientific Research Results (B/C))	MEXT	MEXT
Grants-in-Aid for Scientific Research, Type 2		
Specially Promoted Research		MEXT

Grant-in-Aid for Young Scientists (A/B)	JSPS	
Grants-in-Aid for Scientific Research, Type 3		
Scientific Research, Challenging Exploratory Research, Grant-in-Aid for Young Scientists (S), Grant-in-Aid for Research Activity Start-up, Encouragement of Scientists, Grant-in-Aid for Publication of Scientific Research Results (Scientific Periodicals, Scientific Literature and Databases), Grant-in-Aid for JSPS Fellows, Grant-in-Aid for Creative Scientific Research	JSPS	JSPS

❖ As of September 2010

#### **4. Rules Relating to Grants-in-Aid for Scientific Research**

Grants-in-Aid for Scientific Research are governed by the Law on Optimizing Implementation of Budgets Relating to Subsidies (Law No. 179, 1955), Procedures on the Handling of Grants-in-Aid for Scientific Research (Announcement of the MEXT), Grants-in-Aid for Scientific Research (Scientific Research, etc.) Management Procedures of the Japan Society for the Promotion of Science (Regulations No. 17, 2003), and Others.

##### (1) Three types of rules for Grants-in-Aid for Scientific Research

There are three types of rules for Grants-in-Aid for Scientific Research, as follows:

- 1) Application rules: rules concerning the applications
- 2) Assessment rules: rules concerning the preliminary assessment (screening), the interim assessment, the ex-post assessment, and the research project progress assessment
- 3) Spending rules: rules concerning the use of the Grants-in-Aid for Scientific Research

Moreover, these three sets of rules on Grants-in-Aid for Scientific Research apply as follows, according to the type of scientific research (being Grants-in-Aid for Scientific Research Type 1, Grants-in-Aid for Scientific Research Type 2, and Grants-in-Aid for Scientific Research Type 3):

	<b>Application rules</b>	<b>Assessment rules</b>	<b>Spending rules</b>
Grants-in-Aid for Scientific Research, Type 1	MEXT Procedures on the call for proposals	MEXT Rules concerning the assessment for Grants-in-Aid for Scientific Research Screening Outline for Grants-in-Aid for Scientific	MEXT For researchers: Supplementary conditions For research institutions: Administrative work and other tasks concerning the use of Grants-in-Aid for Scientific Research, to be performed by

		Research, category “Scientific Research on Innovative Areas”	each research institution
Grants-in-Aid for Scientific Research, Type 2	JSPS  Procedures on the call for proposals	JSPS  Rules concerning the screening and assessment for Grants-in-Aid for Scientific Research (Scientific Research, etc.)	MEXT
Grants-in-Aid for Scientific Research, Type 3			JSPS  For researchers: Supplementary conditions  For research institutions: Administrative work and other tasks concerning the use of Grants-in-Aid for Scientific Research, to be performed by each research institution

(2) Appropriate use of grants-in-aid

Grants-in-Aid for Scientific Research are funded by the tax of citizens and other sources. Researchers receiving Grants-in-Aid for Scientific Research have a duty to comply with the related laws, regulations and spending rules by researchers (subsidiary conditions), and also to use such grants appropriately. To ensure recipients comply with this requirement, we check whether no inappropriate use of the grants-in-aid will be made, when an application is made. (See note below.)

To facilitate the appropriate use of Grants-in-Aid for Scientific Research, research institutions to which the researchers belong are responsible for the management of the grant-in-aid. The Administrative work that each research institution is required to carry out (rules for use for institutions) is determined.

Among other things, the research institution has the duty to secure the appropriate use of the grants-in-aid, for example, by setting up a system for the management and audit of the budget, and, for the expenditure of expenses for goods, by properly implementing inspections of delivered goods.

Researchers and persons in charge in the research institution should fully understand prior to the application that these rules will apply after the application is approved.

(3) Important points on the use of grants-in-aid

Upon application a package plan throughout the research period should be prepared and submitted. However, after the research project is adopted, it will be handled as a project which is

funded for each fiscal year during the research period in question. For example, a grant-in-aid for scientific research cannot be used to pay costs in a fiscal year which falls outside the fiscal year(s) in which the funded project should be carried out.

Moreover, when it can be expected that the funded project will remain unfinished within the fiscal year, due to reasons beyond the control of the applicant(s), which could not be foreseen at the time it was decided to grant the funding, the costs in question can be carried over to the next fiscal year, provided that a request for approval for the carry-over is submitted to the Finance Minister through the Minister of Education, Culture, Sports, Science and Technology (MEXT), and the approval from the Finance Minister is obtained.

(4) The handling of a case in which the report on the research achievements has not been submitted

- ① The report on the research achievements plays the important role of making the achievements of the research funded with a Grant-in-Aid for Scientific Research widely known to the citizens. It is an important tool in order to widely return the achievements of the research funded with a Grant-in-Aid for Scientific Research, which in turn has the tax of citizens and other sources as its resources, to society.

Therefore, researchers should submit the report on the research achievements at the end of the research. The content of the research will be widely disclosed to the public via the Grant-in-Aid for Scientific Research Database (KAKEN) of the National Institute of Informatics and other tools. Moreover, the research institution to which the researchers belong has to collect and submit the reports on the research achievements.

- ② No Grants-in-Aid for Scientific Research will be funded to researchers who do not submit the report on the research achievements at the end of the research, without any reason. Moreover, it may happen that the decision to grant the funding to the researcher in question is cancelled, or that an order to return the grant is issued. It may also happen that information, such as the name of the research institution to which the researcher in question belongs and other data, is made public.

Furthermore, if researchers have failed, without good reason, to submit the scheduled report on the research achievements, then implementation of other Grants-in-Aid for Scientific Research due to be implemented in the same fiscal year will be suspended. Therefore, it is the responsibility of the representative of the research institution to ensure that the report on the research achievements is submitted without fail.

(5) Treatment in case of infringement of related laws

When a research project has been implemented, by violating related laws, guidelines, etc., for example when the content which is entered in the application documents is false, it is possible that the provision of the grant-in-aid is not carried out or cancelled.



(Note) Examples of recent fraudulent use, fraudulent receiving of grants or fraudulent acts committed during the research.

○ Fraudulent use

- Someone instructed a trader to complete a fictitious transaction, pretended to have purchased consumables, had the grant expended by the university, and then had it managed as money deposited to the trader.
- Someone instructed a trader to complete a fictitious transaction, had a false invoice issued on which the name of a good that is different from the good that had actually been purchased and delivered was stated, and then had the grant expended by the university.
- Someone charged fictitious insubstantial personnel costs, and then managed the money himself, as a pooled fund.
- Someone stayed in a destination different from the scheduled travel plan, in order to have a meeting on collective research unrelated to the purpose of the research project, and then put the costs under travel expenses associated with overseas travel.

(Note) The expenditure of grants-in-aid for fictitious and other transactions, like the ones mentioned in the examples, are all considered fraudulent use, even if the expenditure of the grant-in-aid was intended for the research project related to the Grant-in-Aid for Scientific Research in question.

○ Fraudulent receiving of grants

- A researcher who is not eligible to apply filed an application for a Grant-in-Aid for Scientific Research, applied for receipt of funding, and then fraudulently received the grant-in-aid.

○ Fraudulent acts committed during the research

- Someone manipulated or forged a chart in a research paper published as the achievements of research funded with a Grant-in-Aid for Scientific Research, using data from a previous experiment.
- Someone translated an original English-language research paper without obtaining consent, without permission from the author(s), incorporated this translation into a book or report on the research achievements published as the achievements of research funded with a Grant-in-Aid for Scientific Research, and made it public as the research achievements of the research project in question, without clearly mentioning that it was being quoted.

## **5. Guidelines on the Proper Implementation of Competitive Funding**

The “Guidelines on the Proper Implementation of Competitive Funding” (agreement of the liaison meeting of related offices and ministries on competitive funding, dated September 9, 2005) agree on the rules in the field of competitive funding on the elimination of unreasonable reduplication and excessive concentration, fraudulent receiving, of grants, fraudulent use and research-related fraudulent acts in research papers, and other matters in the related offices and ministries.

During the implementation of the competitive funding, including Grants-in-Aid for Scientific Research, these matters will be dealt with appropriately, based on these Guidelines. Therefore, the applicant should consider carefully the following points.

### **(1) Eliminate Unreasonable Reduplication and Excessive Concentration**

- 1) In order to avoid “Unreasonable Reduplication or Excessive Concentration” (\*) of competitive funds, we may, to the extent necessary, share information on a part of the project description of the application between other divisions in charge of competitive funds, including other offices and ministries, independent administrative legal entities, etc, making use of the Cross-ministerial Research and Development management system (e-Rad).

Therefore, in the case of an application for more than one competitive funding (including in the case of an application for more than one Research Categories for Grants-in-Aid for Scientific Research), and other matters, the applicant should be careful when preparing the Proposal for Grant-in-Aid so that, for example, he or she fills in the Title of the Proposed Project in a way that makes it clear that it does not entail unreasonable reduplication.

If unreasonable reduplication or excessive concentration is found, the grant-in-aid may not be delivered.

For Grants-in-Aid for Scientific Research, JSPS has thus far sought to verify “whether applications fall under Unreasonable Reduplication or Excessive Concentration” during the screening process. However, the Ministry of Finance of Japan requested a change in the procedure in its “Budget Implementation Investigation FY2009”: “Thoroughgoing Effort to Limit the Receiving of Grants-in-Aid for Scientific Research for Similar Research Projects”. In the light of this, JSPS would like to draw attention to this point.

- 2) Concerning the completed information on the condition of applications and receiving of other Competitive Funding and other matters, including from other offices and ministries, when preparing the Proposal for Grant-in-Aid (name of Research Funds, Title of Proposed Project, Research period, Effort, etc.), if the stated information turns out to be different from the facts, the Research Project will not be adopted, the adoption will be cancelled, or the allotted research budget will be reduced.

Moreover, concerning the “Effort”, and other matters, necessary for the activity to build a center in the program called “World Premier International Research Center Initiative”, it is necessary to fill in the Proposal for Grant-in-Aid. Therefore, when completing this document, the applicant should verify the “FY2011 Procedures for Preparing and Entering a Proposal for Grant-in-Aid”.

(2) Dealing with Fraudulent Use, Fraudulently Received Grants or Fraudulent Acts Committed During the Research

- 1) No grant-in-aid will be offered, for a fixed period of time, when the researcher has made fraudulent use of a Grant-in-Aid for Scientific Research, has fraudulently received a Grant-in-Aid for Scientific Research, or has committed fraudulent acts. (For details see “(Reference 2) Procedures on the Handling of Grants-in-Aid for Scientific Research”.)

Also researchers who fraudulently use or receive competitive funds other than Grants-in-Aid for Scientific Research (including funds under the control of other ministries), or who commit fraudulent acts by means of these competitive funds, and therefore are excluded from receiving these funds in question, for a fixed period of time, will not receive grants-in-aid for scientific research for a fixed period of time.

Moreover, the researcher who falls in those categories may experience difficulties when applying for other competitive funds, since an outline of the inappropriate use of grants, the inappropriate receiving of grants and/or the inappropriate acts in question (containing an outline of the research achievements in the research institution, the names of the people involved, the institution they belong to, the research project, the budget, the fiscal year of the research, the inappropriate content, details of the measures taken, etc.) will be provided to other bodies in charge of competitive funds, starting with the other ministries, including independent administrative legal entities and other institutions allocating grants.

- 2) If it has been established that fraudulent acts have taken place in a research paper, a report, or other research output funded by Grants-in-Aid for Scientific Research, the applicant may be requested to completely or partially return the provided Grant-in-Aid for Scientific Research. Concerning the Grant-in-Aid for Scientific Research in question. The severity of the fraudulent acts, the influence they have on the whole research project, and other matters, will be taken into consideration in making such an evaluation.

In addition, a person who is determined to have a certain responsibility, because, for example, he or she neglected his/her duty of care as a person in charge of the paper, report, etc. in question, will be treated in the same way as stated in the above-mentioned ①, even if it has not been established that he or she was directly involved in the fraudulent acts.

**(\* Eliminate Unreasonable Reduplication and Excessive Concentration**

**“Guidelines on the Proper Implementation of Competitive Funding” -Extract-  
(Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Funding, Dated September 9, 2005 (Revision: March 27, 2009))**

**2. Eliminate Unreasonable Reduplication and Excessive Concentration**

**(1) Basic Policy of the Unreasonable Reduplication and Excessive Concentration**

① In these guidelines, “Unreasonable Reduplication” is a situation in which more than one competitive funding is needlessly and repeatedly allotted to one and the same research project (i.e. the title and the content of the research to which competitive funding is being allotted; the same applies below) carried out by one and the same researcher. Either of the following cases fall under “Unreasonable Reduplication”.

○Cases where applications have been made at the same time for more than one competitive funding for substantively the same research project (including research projects that overlap to a considerable degree; the same applies below), and where these research projects are redundantly adopted .

○Cases where an application has been made again for substantively the same research project as another project that has already been adopted, and for which the allotment of competitive funding has already been completed.

○Cases where there is a reduplication of the use research funds among more than one research project.

○Other cases corresponding to the cases mentioned above.

② In these guidelines, “Excessive Concentration” is a situation in which the entire research funds that are allotted to one and the same researcher or research group (hereinafter called “researcher, etc.”) in the fiscal year in question exceeds the limit within which they can be used effectively and efficiently, and in which the research funds cannot be used within the research period. Either of the following cases fall under “Excessive Concentration”.

○Cases where, in the light of the abilities of the researcher, etc. and the research methods, etc., excessive research funds are allotted.

○Cases where, in comparison with the effort (the time allocation rate (%) of time necessary for the implementation of the research activities with the entire working time of researcher) that is being allotted to the research project in question, excessive research funds are allotted.

○Cases where the purchase of unnecessarily expensive equipment is carried out.

○Other cases corresponding to the cases mentioned above.

**6. On the Promotion of the ‘Dialogue on Science and Technology with Citizens’ (A Basic Course of Action)**

For the Grants-in-Aid for Scientific Research, it has, until now, clearly been mentioned in the spending rules by researchers (subsidiary conditions), the Handbook for Grants-in-Aid for Scientific Research, and other materials, that the expenses for the creation of a homepage for the publication of the research achievements, the expenses for the creation of a pamphlet publicizing research achievements, the expenses associated with outreach activities, such as, for example, activities publicizing the research achievements among the general public, can be paid as direct costs. Moreover, researchers have to try to positively disseminate the achievements produced through Grants-in-Aid for Scientific Research to society and citizens. For example, it is requested that researchers mention information concerning outreach activities in the self-assessment report they are

requested to prepare for research projects of which the research period is 4 years or more.

Furthermore, JSPS has implemented the program “HIRAMEKI ☆ TOKIMEKI SCIENCE” in order to introduce the newest research achievements to elementary school, junior high-school and senior high-school pupils, in an easy-to-understand form, through experiences, experiments and lectures. Researchers are invited to positively make use of this program.

Moreover, recently “On the Promotion of the ‘Dialogue on Science and Technology with Citizens’ (A Basic Course of Action)” (June 19, 2010, the Minister of State for Science and Technology Policy and the Experts of the Council for Science and Technology Policy) has been compiled and made public.

In the Basic Course of Action, the activity in which researchers explain the content and achievements of their research activities to society and citizens in an easy-to-understand form is placed in the above-mentioned ‘Dialogue on Science and Technology with Citizens’. Researchers and other persons who have received an allotment of public research funds amounting more than 30,000,000 yen per year per case are requested to positively work on the ‘Dialogue on Science and Technology with Citizens’. Universities and other research institutions are also requested to make positive efforts in order to enable the proper implementation of the Dialogue on Science and Technology between Citizens, on the one hand, and researchers and other persons who have received public research funds, on the other hand, for example, by setting up support systems.

For the Grants-in-Aid for Scientific Research, there is the question “Are you positively trying to publicize and disseminate the research content and research achievements?”, especially in the research progress assessment of, for example, Specially Promoted Research, for which researchers receive a relatively high amount of research funds, and the interim assessment of, for example, Scientific Research on Innovative Areas (Research in a proposed research area). Therefore, based on the above-mentioned Basic Course of Action, researchers should disseminate the achievements of research funded with Grants-in-Aid for Scientific Research to society and citizens in an even more positive way.

## II. Details of the Call for Proposals

### **1. Research Categories for which a Call for Proposals is Organized**

The following shows the research categories for which the Japan Society for the Promotion of Science is organizing a call for proposals:

- (1) Grants-in-Aid for Scientific Research, Type 2 (Specially Promoted Research, Grant-in-Aid for Young Scientists (A/B))**
- (2) Grants-in-Aid for Scientific Research, Type 3 (Scientific Research, Challenging Exploratory Research)**

### **2. Schedule from Application to Receipt of Funding**

In order to enable researchers to start their research as early as possible, the current call for proposals will start before the passage of the budget for FY2011, so that the preparations for the screening can be started early.

Therefore, applicants should be forewarned that the content and other matters are subject to change, depending of the circumstances of the passage of the budget.



Moreover, the research institution should submit a “Report on the Status of the Implementation of the System, based on the Guidelines on the Management and Audit of Public Research Funds at Research Institutions” (section 4) in “Procedures to Be Completed by the Research Institution”). If it has not been submitted, the applications of researchers belonging to the research institution in question will not be accepted in the Electronic Application System.

**(2) Schedule after the Submission of the Application Documents (plan)**

Specially Promoted Research	Scientific Research (S),	Scientific Research (A/B/C), Challenging Exploratory Research, Grant-in-Aid for Young Scientists (A/B)
December 2010 to April 2011: Screening Late April 2011: Informal decision to grant the funding Middle of May: Application for funding Middle of June: Decision concerning the granting of the funding Late June: Funding provided	December 2010 to May 2011: Screening Late May 2011: Informal decision to grant the funding Middle of June: Application for funding Late June: Decision concerning the granting of the funding Early July: Funding provided	December 2010 to March 2011: Screening Early April 2011: Informal decision to grant the funding Late April: Application for funding Middle of June: Decision concerning the granting of the funding Late June: Funding provided



### **3. Details of Each Research Category**

#### **1) Specially Promoted Research**

- A) Intended for: **Research project carried out by one researcher or by a relatively small group of researchers that is likely to yield highly acclaimed research achievements through intensive funding. The goal of the funding is the increased promotion of research which is highly regarded in the international arena.**
- B) Total budget provided (total budget throughout the research period): There is no limit to the total budget although, as a guide, a total budget of around 500 million yen per research project may be awarded.
- ※ On the amount for the total budget  
In principle, the total budget is set at approximately 500 million yen and the annual budget is set at approximately 100 million yen. However, if it is deemed necessary, the budget applied for can exceed the above-mentioned figures.
- ※ Handling of research projects with a total budget exceeding 500 million yen  
The reason why such a budget is needed should be stated in detail in the appropriate section of the proposal for grant-in-aid. Especially rigorous screening on the appropriateness of the budget will be conducted.
- C) Research period: **Three to five years**
- D) Number of research projects scheduled to be selected: **Around 10 (subject to strict selection)**
- E) Important points: For research projects that have been adopted, a research progress assessment will be conducted in the fiscal year before the final fiscal year of the research period (or, for research projects of which the research period is 3 years, in the final fiscal year). Moreover, based on the results of this research progress assessment, an increase or a reduction of the research budget, cancellation of the research, or other measures may subsequently be implemented, if the need arises.

#### **2) Scientific Research (S)**

- A) Intended for: **Research project performed by one researcher or by a relatively small group of researchers, with the purpose of achieving a major development in creative and pioneering research, based on past**

**research achievements**

B) Total budget provided: **From 50 million yen to around 200 million yen**

C) Research period: **Five years as a general rule**

D) Important points:

- 1) As an exception, the research period may be set at three or four years, in case any of the researchers are expected to leave the research institution, due to reaching retirement age, or for any other reason.
- 2) For research projects that have been adopted, a research progress assessment will be conducted in the fiscal year before the final fiscal year of the research period (or, for research projects of which the research period is 3 years, in the final fiscal year). Moreover, based on the results of this research progress assessment, an increase or a reduction of the research budget, cancellation of the research, or other measures may subsequently be implemented, if the need arises.

**3) Scientific Research (A/B/C)**

A) Intended for: **Research project done by one or by multiple researchers, with the purpose of achieving a major development in creative and pioneering research**

B) Total budget provided: Applications are to be divided into the following three divisions, according to the total budget provided.

Division	Total budget provided	Screening division
<b>Scientific Research (A)</b>	<b>between 20 million and 50 million yen</b>	General / Overseas Academic Research
<b>Scientific Research (B)</b>	<b>between 5 million and 20 million yen</b>	General / Overseas Academic Research
<b>Scientific Research (C)</b>	<b>5 million yen or less</b>	General

C) Research period: **Three to five years**

D) Screening division: When applying, select one of the following screening divisions, because the criteria of the screening are different depending on the nature of the research project for which the applicant applies.

**Screening division: “General”**

The screening division accepts applications relating to **Scientific Research (A/B/C)**. It is intended for projects which will develop innovative research.

All applications should be made for this screening division, except for research projects which are classified as “Overseas Academic Research”.

**Screening division: “Overseas Academic Research”**

This screening division only accepts applications for **Scientific Research (A/B)**. It is intended for research projects having as their **major purpose** in terms of research subject and research methods **conducting a field survey, observation, or collecting data at a specific location overseas.**

If a field survey, or a similar survey, is not the main purpose of the project, please apply for the “General” screening division. As far as equipment is concerned, the use of grants in the “Overseas Academic Research” screening division is limited to equipment that is directly used for surveys, observation or collection of data overseas, excluding inexpensive personal computers.

**4) Challenging Exploratory Research**

- A) Intended for: **Research at an exploratory stage, done by one or multiple researchers, that is based on a unique concept, that is challenging, and that sets an ambitious goal.**
- B) Total budget provided: **5 million yen or less**
- C) Research period: **One to three years**

**5) Grant-in-Aid for Young Scientists (A/B)**

- A) Intended for: **A research project conducted by one researcher aged 39 or less as of April 1, 2011 (a person born on April 2, 1971, or thereafter) with an original idea that is expected to bring forth a major development in the future**
- B) Total budget provided: Applications are to be divided into the following two divisions, depending on the total budget provided

Division	Total budget provided
<b>Grant-in-Aid for Young Scientists (A)</b>	<b>From 5 million yen to 30 million yen</b>
<b>Grant-in-Aid for Young Scientists (B)</b>	<b>5 million yen or less</b>

C) Research period: **Two to four years**

D) Important points: On the “Restriction on the Number of Times of Receiving a Grant(\*)” and transitional measures.

From the call for proposals of FY2010 on, JSPS decided to introduce a limitation on the number of times applicants can receive grants through Grant-in-Aid for Young Scientists (S/A/B). JSPS decided that applicants can receive Grants-in-Aid for Scientific Research up to a limit of two times through Grant-in-Aid for Young Scientists (S/A/B).

Concretely speaking, researchers can apply for research in one of the three research categories Grant-in-Aid for Young Scientists (S), Grant-in-Aid for Young Scientists (A), or Grant-in-Aid for Young Scientists (B), within the age limitations, and receive funding two times.

In addition, between now and the call for proposals of FY2013, JSPS decided to establish the following transitional measures.

- Even if the number of times an applicant received a Grant-in-Aid for Young Scientists (S/A/B) is already more than two times, he or she can receive a grant for one of the three research categories Grant-in-Aid for Young Scientists (A) or (B) one more time, if this happens within the age limitations.

(\*)“Receiving a grant” means being selected as a Grant-in-Aid for Young Scientists (S/A/B) “Receiving a decision concerning the granting of the funding” here.

In addition, even if a research project of which the research period goes over more than one fiscal year received a decision concerning the granting of the funding, under one and the same project number, the “Number of Times of Receiving a Grant” will be considered as “one time”.

Therefore, if, for example, researcher A conducted research from FY2003 to FY2004 with a “Grant-in-Aid for Young Scientists (B) (project number: 15\*\*\*\*\*)”, and is conducting research from FY2006 to FY2009 with a “Grant-in-Aid for Young Scientists (A) (project number: 18\*\*\*\*\*)”, the “Number of Times of Receiving a Grant” will be considered as “two times”.

Moreover, in both the following cases, the “Number of Times of Receiving a Grant” will be considered as “one time”.

- Cases where the researcher declined the application for funding in the middle of the

research period, or where he or she discontinued the research, after he or she received a decision concerning the granting of the funding.

- Cases where the researcher applied during Grants-in-Aid for Scientific Research FY2006 for a “Grant-in-Aid for Special Purposes (Trial of Multiple Applications per Year)” with a research plan suitable for a “Grant-in-Aid for Young Scientists”, where that application was adopted, and where the researcher received the decision concerning the granting of the funding.

(Reference) Please note that the following cases do not contain a “Number of Times of Receiving a Grant”.

- In cases where, after the researcher received an informal decision to grant the funding for new research projects, he or she refused the application for funding, and did not receive the decision concerning the granting of the funding, there is no “Number of Times of Receiving a Grant”. (This also includes cases where the researcher declines the grant, after he or she suspended the application for funding.)
- For Continued Research Projects of the category “Grant-in-Aid for Young Scientists (B)” in FY2002 (projects that have been newly approved in FY2001 as “Encouragement of Scientists (A)” with project number “13\*\*\*\*\*”) there is no “Number of Times of Receiving a Grant”, even if the researcher would have received the decision concerning the granting of the funding.

### III. Instructions & Procedures for those Intending to Apply

#### **1. Procedures to be Completed Prior to the Application**

**Three matters need to be completed before the application: (1) Verification of the Eligibility to Apply, (2) Verification of the Registration of the Researcher Information, (3) Obtaining an ID and Password to Use the Electronic Application System.**

##### **(1) Verification of the Eligibility to Apply**

A qualified person should apply for a Grant-in-Aid for Scientific Research as a Principal Investigator.

Applicants should meet the requirements 1) and 2) below.

Moreover, if a qualified applicant belongs to more than one research institution, he or she can apply simultaneously from each of these research institutions. However, in that case, it is necessary to consider the rules on duplicate applications (see page 24).

In addition, JSPS Fellows and Foreign JSPS Fellows cannot apply for "Grant-in-Aid for Scientific Research".

Students, such as, for example, graduate students, cannot apply for Grants-in-Aid for Scientific Research. (See note.) Therefore, applicants should bear in mind that, from the call for proposals of FY2011 on, students cannot apply, even if they hold a position in which they conduct research activities in the research institution to which they belong or in another research institution.

(Note) Persons who have a position consisting of conducting research activities in the research institution to which they belong, as their main work (e.g., university teaching staff, researchers from companies, etc.), and who also have a student status are not included in the term "student".

**① At the time of the application, a person needs to be recognized by the research institution (Note) to which he or she belongs to be a researcher who meets the requirements 1) , 2) and 3) below, and needs to be a researcher whose Researcher Information has been registered in e-Rad as "Eligible to Apply for Grants-in-Aid for Research".**

##### **Requirements**

- 1) **The researcher should belong to the research institution as a person who has *inter alia* the duty to perform research activities within the research institution in question (irrespective of whether the work is paid or unpaid, full-time of part-time. Moreover, it is not necessary for the researcher to perform these research activities as such as his or her main duty.)**
- 2) **The researcher should actually be engaged in research activities at the research**

**institution in question** (research assistant excluding) (This does not apply to cases where he or she is only engaged as a research assistant.)

3) The researcher is not a graduate student or any other category of student. (However, this does not apply to persons who have a position consisting of conducting research activities in the research institution to which they belong, as their main work (e.g., university teaching staff, researchers from companies, etc.), and who also have a student status.)

Note: Research institutions as prescribed in Article 2 of the Rules for the Handling of Grants-in-Aid for Scientific Research (announced by the Ministry of Education)

(Reference) Requirements that need to be met by the research institution(see page 90)

**Requirements**

- If a grant-in-aid is given, the research activity should be conducted as an activity of the research institution in question.
- If a grant-in-aid is given, the research institution should carry out the management of the grant-in-aid.

② **A person should not fall under “Not eligible for receipt of funding” in FY2010, because he or she committed fraudulent use, fraudulent receiving of grants or fraudulent acts of/with Grants-in-Aid for Scientific Research or other competitive funding.**

Persons who are employed through Grants-in-Aid for Scientific Research (hereinafter called “research grant employees”), as a rule, need to concentrate on work related to a Grant-in-Aid for Scientific Research at their place of employment (hereinafter called “employment related work”) according to their employment contracts. Therefore, considering the working hours they need to allot to the employment related work, they cannot apply for Grants-in-Aid for Scientific Research themselves. In the call for proposals of FY2011, the handling of this point has been made clear.

However, if they provide a clear explanation on the time they can spend besides their employment related work, and if during this time they themselves attempt to conduct research using a Grant-in-Aid for Scientific Research, on their own initiative, it is possible for them to apply for Grants-in-Aid for Scientific Research, on condition that the following points have been verified in the research institution.

- It has been determined in the employment contract that research grant employees themselves can conduct research on their own initiative, besides the employment related work.
- The employment related work and the work devoted to research that they conduct themselves on their own initiative has clearly been divided in the working hours and the effort.
- Time that can be allotted to research which they attempt to conduct themselves on their own initiative has sufficiently been secured, besides the time spent for employment related work.

(Note) As a rule, research grant employees are in a position where they receive instructions from the Principal Investigator or other researchers, and where they are engaged solely in work funded with a Grant-in-Aid for Scientific Research at their place of employment. Therefore, from FY2010 on, it is clearly written in the subsidiary conditions that “When employing a Research Collaborator, it is not the Principal Investigator but the research institution who, as a party, has to conclude an employment contract in which the work content, the working hours and other matters are clearly mentioned.”

In addition, it may happen to researchers that they are treated as indicated below, even if their researcher information has been registered in e-Rad as “Eligible to Apply for Grants-in-Aid for Research”.

- No Grants-in-Aid for Scientific Research will be funded, if there is a new application for Grants-in-Aid for Scientific Research from researchers who do not submit the report on the research achievements at the end of the research, without any reason, even if their research has been adopted after screening. Moreover, if researchers have failed, without good reason, to submit the scheduled report on the research achievements, then implementation of other Grants-in-Aid for Scientific Research due to be implemented in the same fiscal year will be suspended.
- If it is judged in the research institution to which researchers belong that it is not appropriate to let them conduct their research activities as activities of the research institution in question, it may happen that the research institution does not recognize the application. It may also happen that the application for funding by these researchers in question is not recognized and that the application for funding of the Grant-in-Aid for Scientific Research is rejected.

## **(2) Verification of the Registration of the Researcher Information in e-Rad**

A Principal Investigator who tries to apply for research categories for which a call for proposals is organized this time should be a person who is eligible to apply at the time of the deadline for the submission of the application documents, and should be a person whose researcher information is registered in e-Rad as “Eligible to Apply for Grants-in-Aid for Research”.

Therefore, **when applying, it is necessary to first perform a verification of the content of the registration in e-Rad.**

However, for the registration in e-Rad, the applicant does not need to perform the procedures directly with the MEXT or JSPS, but the Principal Investigator should verify the registration procedures that the research institution to which he or she belongs needs to perform (the registration deadline within the research institution, methods of verification of the current state of the registration, etc.) with the research institution to which he or she belongs, because the



research institution to which he or she belongs needs to perform the procedures using e-Rad. (if there is any item (such as “the institution”, “the position”, or others) that needs to be corrected, even though he or she has already been included in e-Rad of the research institution, the applicant needs to register the correct information on e-Rad.)

### **(3) Obtaining an ID and a Password to Use the Electronic Application System**

When applying, it is necessary to login into e-Rad, to access the Electronic Application System, and to prepare the application documents.

Therefore, the applicant should first be **provided with an ID and a password for e-Rad** by the research institution.

Moreover, once the ID and the password have been provided they can be used, unless the research institution changes. In addition, Researchers who already obtained an ID and a password issued by e-Rad do not need to obtain it again.

(Reference) On “Grant-in-Aid for Research Activity Start-up”

The “Grant-in-Aid for Research Activity Start-up” is aimed at supporting persons who cannot apply for the call for proposals this time, such as researchers who have just been employed by their research institutions, researchers who return from childcare leave or other kinds of leave, or other researchers.

The FY2011 call for proposals for this research category is scheduled for March 2011, and the eligibility to apply is scheduled to be as follows.

- ① Persons who could not apply for a research category, because they became eligible to apply for Grants-in-Aid for Scientific Research on the day after the application deadline (November 10, 2010) for the research categories (\*1) for which the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and the Japan Society for the Promotion of Science (JSPS) organized a call for proposals in September 2010.
- ② Persons who could not apply for the research categories (\*1) for which the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and the Japan Society for the Promotion of Science (JSPS) organized a call for proposals in September 2010, because they took up maternity leave or childcare leave in FY2010.

(Applicants should verify the details in the Application Procedures of March 2011.)

The research institution is responsible for conducting the registration of the researcher information and other matters in e-Rad. Therefore, researchers who may come to fall under the above-mentioned point ①, should respond appropriately and, for example, contact the office worker in charge in the research institution.

(\*1) Among the Grants-in-Aid for Scientific Research for FY2011 there are “Scientific Research on Innovative Areas”, “Scientific Research on Priority Areas”, “Specially Promoted Research”, “Scientific Research”, “Challenging Exploratory Research” and “Grant-in-Aid for Young Scientists”.

## **2. Verification of the Restrictions on Duplication**

**Before preparing the application forms, researchers who would like to apply for Grants-in-Aid for Scientific Research need to sufficiently verify the rules for “restrictions on duplication” in order to find out whether it is possible to apply for the research category they would like to apply for.**

### **(1) Restrictions on Duplication in the Basic Policy**

In the Grants-in-Aid for Scientific Research different “Research Categories” and “Screening Divisions” have been made, based on the scale of the research, the content, and other factors, This makes it possible to apply for research projects that meet the demands of various research forms.

On the other hand, taking into consideration the necessity to support many excellent researchers with limited resources, the danger of negatively affecting the operation of proper reviewing by an increase in the number of applications, and other elements, “Rules for Restrictions on Duplication” have been set up, based on the following fundamental principles.

- ① Making sure that as many excellent researchers as possible are supported with limited resources.
- ② Making sure that the number of applications does not increase dramatically, based on the reviewing system of each research category.
- ③ When setting up restrictions, primarily making the Principal Investigator who bears all responsibility eligible for the implementation of research projects, but also making the Co-Investigator (*kenkyū-buntansha*) eligible in some cases, for example, if the amount of funds in a research category is large.
- ④ Based on the fundamental principles outlined above, taking into consideration the purpose, character, and other elements of the “Research Categories” of the Grants-in-Aid for Scientific Research, and setting up restrictions on duplication separately, by making a distinction between the restrictions on application or restrictions on receiving of funds.

Moreover, restrictions on duplication have also been established in the research categories for which a call for proposals is organized this time. Therefore, when applying, the applicant should sufficiently verify the description below and the “Table of Restrictions on Duplication” showed on pp. 32-37.

### **(2) Restrictions on Duplicate Applications**

- ① Cases where a researcher tries to apply as the “Principal Investigator” for two research projects.  
【Type “Principal Investigator→Principal Investigator”】 (see page 32)

Consequently, he or she cannot make more than one application for one and the same research category (screening division) at the same time (**In case he or she has a continued research project, he or she cannot apply for a new research project in one and the same research category (screening division).**)

(cases that fall under “—” in the table)

In case one researcher tries to make a duplicate application for two research projects, as the Principal Investigator for both, the following restrictions on duplicate applications of the type from A to E below apply.

However, this does not apply in case of an “Application for a grant for the fiscal year before the final fiscal year of a research project” (See “Special cases in the restrictions on duplicate applications”, page 30).

A Cases where a researcher can only apply for one research project.

(cases that fall under “×” in the table)

B Cases where a researcher cannot apply for a new research project, because he or she is implementing a continued research project.

(cases that fall under “▲” in the table)

C Cases where a researcher can apply for both research projects, but, if both are adopted, he or she can only implement the research of one research project, as laid down in the rules.

〔 For “■” in the table, the research categories in the section A are given priority  
For “□”, the research categories in the section B are given priority 〕

D Cases where a researcher can apply for both research projects, but, if both are adopted, the researcher who applied has to decide which one he or she will implement.

(cases that fall under “※”)

E Cases where, as a general rule, duplicate applicants are not recognized, but where a researcher can apply for both research projects, only if the conditions added below are met.

〔 If a researcher applies as a Principal Investigator for “Scientific Research”, screening division “Overseas Academic Research”, as a general rule, he or she cannot apply as a Principal Investigator for “Scientific Research”, screening division “General” However, except in cases where it is necessary to conduct individually two research projects which clearly differ in objective, plan or methodology within the same fiscal year. 〕

(cases that fall under “★” in the table)

② Cases where a researcher who applies as the Principal Investigator tries to participate as the Co-Investigator (*kenkyū-buntansha*) of another research project.  
【Type “Principal Investigator→Co-Investigator (*kenkyū-buntansha*)”】 (see page 34)

In case one researcher applies as the Principal Investigator for a certain research project and at the same time also tries to participate as the Co-Investigator (*kenkyū-buntansha*) of another research project, or, in case a researcher who has already become the Principal Investigator of a research project the continuation of which is scheduled in FY2011 (continued research project) also tries to participate as the Co-Investigator (*kenkyū-buntansha*) of another research project, he or she can normally apply for both projects.

However, for a part of the research categories, mainly Specially Promoted Research, Scientific Research on Innovative Areas (Research in a Proposed Research Project), Challenging Exploratory Research, etc., there are restrictions on duplicate applications of the type from A to C below.

A Cases where a researcher can only apply for one research project.

(cases that fall under “×” in the table)

B Cases where a researcher cannot apply for a new research project, because he or she is implementing a continued research project.

(cases that fall under “▲” in the table)

- C Cases where a researcher can apply for both research projects, but, if both are adopted, he or she can only implement the research of one research project, as laid down in the rules.

**For “■” in the table, the research categories in the section A are given priority**  
**For “□”, the research categories in the section B are given priority**

Moreover, restrictions when opting for a “Participation of the Principal Investigator of Challenging Exploratory Research as the Co-Investigator (*kenkyū-buntansha*) of Scientific Research on Innovative Areas (Research in a Proposed Research Project)” or an “Application by the Co-Investigator (*kenkyū-buntansha*) of Scientific Research on Innovative Areas (Research in a Proposed Research Project) as the Principal Investigator of Challenging Exploratory Research” have been established from the Procedures on the Call for Proposals FY2010 on. However, in case a researcher has already started research entailing this combination in FY2009, or before that (i.e. before the notification of the current Procedures on the Call for Proposals), he or she can continue both research projects without change.

- ③ Cases where a researcher who participates in research as the Co-Investigator (*kenkyū-buntansha*) tries to apply as the Principal Investigator of another research project.  
【Type “Co-Investigator (*kenkyū-buntansha*)→Principal Investigator”】 (see page 36)

In case one researcher tries to participate as the Co-Investigator (*kenkyū-buntansha*) in a certain research project and at the same time also applies as the Principal Investigator of another research project, or, in case a researcher who has already become the Co-Investigator (*kenkyū-buntansha*) of a research project the continuation of which is scheduled in FY2011 (continued research project) also applies as the Principal Investigator of another research project, he or she can normally apply for both projects.

However, for a part of the research categories, mainly Specially Promoted Research, or other projects, there are the same restrictions on duplicate applications as in point ②).

- ④ Cases where a researcher who participates as the Co-Investigator (*kenkyū-buntansha*) of a research project also tries to participate as the Co-Investigator (*kenkyū-buntansha*) of another research project.  
【Type “Co-Investigator (*kenkyū-buntansha*)→Co-Investigator (*kenkyū-buntansha*)”】 (see table below)

In case one researcher tries to participate as the Co-Investigator (*kenkyū-buntansha*) in a certain research project and at the same time also tries to participate as the Co-Investigator (*kenkyū-buntansha*) of another research project, or, in case a researcher who has already become the Co-Investigator (*kenkyū-buntansha*) of a research project the continuation of which is scheduled in FY2011 (continued research project) also tries to participate as the Co-Investigator (*kenkyū-buntansha*) of another research project, he or she can normally apply for both projects.

However, for a part of the research categories, mainly Specially Promoted Research, or other projects, there are the following restrictions on duplicate applications.

- A For Specially Promoted Research, a researcher cannot participate in two research projects as the Co-Investigator (*kenkyū-buntansha*). In addition, in case a researcher has already become the Co-Investigator (*kenkyū-buntansha*) of Specially Promoted Research, he or she cannot participate as the Co-Investigator (*kenkyū-buntansha*) of other Specially Promoted Research either.

B In case a researcher has already become the Co-Investigator (*kenkyū-buntansha*) of Scientific Research on Innovative Areas (Research in a Proposed Research Project), participating as the Co-Investigator (*kenkyū-buntansha*) of Specially Promoted Research is not permitted. (Moreover, conversely, in case a researcher has already become the Co-Investigator (*kenkyū-buntansha*) of Specially Promoted Research, participating as the Co-Investigator (*kenkyū-buntansha*) of Scientific Research on Innovative Areas (Research in a Proposed Research Project) is not recognized either.)

C A researcher who has become the Co-Investigator (*kenkyū-buntansha*) of Grant-in-Aid for Creative Scientific Research can apply for research projects in which he or she participates as the Co-Investigator (*kenkyū-buntansha*) of Specially Promoted Research. However, if both are adopted, only the implementation of the Co-Investigator (*kenkyū-buntansha*) of Specially Promoted Research is recognized.

(Reference) Type “Co-Investigator (*kenkyū-buntansha*) (section A)

→Co-Investigator (*kenkyū-buntansha*) (section B)”

This table shows the restrictions on duplication in case “a person who tries to participate in a research project mentioned in section A as the Co-Investigator (*kenkyū-buntansha*), or a person who has already become the Co-Investigator (*kenkyū-buntansha*)” participates to a research project mentioned in section B as the Co-Investigator (*kenkyū-buntansha*).

Section A \ Section B		Section B	
		New	<i>buntansha</i>
Specially Promoted Research	New	<i>buntansha</i>	×
	Continued	<i>buntansha</i>	▲
Grant-in-Aid for Creative Scientific Research	Continued	<i>buntansha</i>	□
Scientific Research on Innovative Areas (Research a proposed research project)	Continued	<i>buntansha</i>	▲

Blank cell: The researcher can apply for both research projects.

×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in section A, he or she cannot apply for a research project mentioned in section B).

▲: The researcher cannot apply for a research project mentioned in section B (He or she only implements the research of a continued research project mentioned in section A).

□: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

### (3) Restriction Rules on the Receiving of Grants

Among the Restrictions on Duplication, the handling of cases that fall under the category “A researcher can apply for both research projects. However, in case both are adopted, he or she can only implement the research of one research project” (restrictions on receiving of grants) is as follows.

① On the handling in case both applications that fall under “■” or “□” are adopted
--

A In cases of “Principal Investigator” and “Principal Investigator” (cases of Principal Investigator of Specially Promoted Research and Principal Investigator of other research categories, etc.), as a result of the restrictions on duplication, a researcher should abandon (or should decline to accept) the research project he or she does not implement, if he or she can only implement the research category mentioned in section A or section B, as laid down in the rules.

However, for research projects of the research category “Scientific Research on Priority Areas” (Summarizing Group Research Projects, Support Group Research Projects and Adjustment Group Research Projects), it may happen that the implementation of the research through a replacement of the Principal Investigator is recognized, in “cases where it is recognized that the research of the area or the research project in question can be continued by a person from among the Co-Investigator(s) (*kenkyū-buntansha*) who can replace the Principal Investigator”.

B As a result of the Restrictions on Duplication of Principal Investigators of Specially Promoted Research and Co-Investigators (*kenkyū-buntansha*) of other research categories, a researcher should cease being a “Co-Investigator (*kenkyū-buntansha*)” for research projects other than Specially Promoted Research, if he or she can only implement a research project of Specially Promoted Research (as the Principal Investigator).

Moreover, if he or she ceases being the “Co-Investigator (*kenkyū-buntansha*)”, he or she should abandon (or should decline to accept) research projects of which he or she cannot continue the research.

C As a result of the Restrictions on Duplication in case of Co-Investigators (*kenkyū-buntansha*) of Specially Promoted Research and Principal Investigators of other research categories, a researcher should abandon (or should decline to accept) research projects he or she does not implement, if he or she can only implement a research project of Specially Promoted Research (as Co-Investigator (*kenkyū-buntansha*)).

However, for research projects of the research category “Scientific Research on Priority Areas” (Summarizing Group Research Projects, Support Group Research Projects and Adjustment Group Research Projects), it may happen that the implementation of the research through a replacement of the Principal Investigator is recognized, in “cases where it is recognized that the research of the area or the research project in question can be continued by a person from among the Co-Investigator(s) (*kenkyū-buntansha*) who can replace the Principal Investigator”.

D As a result of the Restrictions on Duplication of Co-Investigators (*kenkyū-buntansha*) of Specially Promoted Research and Co-Investigators (*kenkyū-buntansha*) of Grant-in-Aid for Creative Scientific Research, a researcher should cease being a “Co-Investigator

(*kenkyū-buntansha*)” for research projects of Grant-in-Aid for Creative Scientific Research, if he or she can only implement a research project of Specially Promoted Research (as the Co-Investigators (*kenkyū-buntansha*)).

Moreover, if he or she ceases being the “Co-Investigator (*kenkyū-buntansha*)”, he or she should abandon (or should decline to accept) research projects of which he or she cannot continue the research.

② On the handling in case both applications that fall under “※” are adopted, but the researcher selects one of the research projects

A In case a researcher selects and implements a research project of “Scientific Research (S)”, he or she should abandon (or should decline to accept) research projects of “Scientific Research on Innovative Areas (Research in a Proposed Research Area)” (Planned Research).

B In case a researcher implements a research project of “Scientific Research on Innovative Areas (Research in a Proposed Research Area)” (Planned Research), he or she should abandon (or should decline to accept) research projects of “Scientific Research (S)”.

#### (4) Other Important Points

① Even if duplicate application, etc. is possible according to the rules on restriction of duplication, the researcher should consider the restrictions in case of “Situations where the applicant cannot carry out his/her responsibility as a Principal Investigator or a Co-Investigator (*kenkyū-buntansha*), due to participation in multiple research projects”. Altogether, he or she should consider the content of “Elimination of Unreasonable Reduplication and Excessive Concentration” mentioned on page 8.

② Even if the application has been accepted in the Electronic Application System, it may happen in some cases that afterwards it is not accepted for reviewing, due to the Restrictions on Duplicate Applications. This may happen, for example, in case a change has taken place in the project members of continued research projects. The researcher should sufficiently verify this before the submission of the application documents.

③ Even when a researcher who is eligible to make applications in multiple research institutions applies at the same time from multiple research institutions separately, the restrictions on duplicated applications apply to that researcher in question (Principal Investigator or Co-Investigator (*kenkyū-bentansha*)).

④ When verifying the “Table of Restrictions on Duplication”, the participation form to “Summarizing Group Research Projects” in case of research categories creating research areas, etc. is special (see “Application Procedures for Grants-in-Aid for Scientific Research FY2011 (MEXT)”). Therefore, applicants should take note of the following points.

A The “Principal Investigator of Summarizing Group Research Projects in Scientific Research on Innovative Areas (Research in a Proposed Research Area)” should verify the relation with “Principal Investigators or Co-Investigators (*kenkyū-buntansha*) of research projects who try to make a duplicate application” in the relevant section of the “Table of Restrictions on Duplication”.

B The “Co-Investigator (*kenkyū-buntansha*) of Summarizing Group Research Projects in

Scientific Research on Innovative Areas (Research in a Proposed Research Area)” should verify the relation with “Participation Form to General Planned Research (Planned Research Other than Summarizing Group Research Projects) (Principal Investigators and Co-Investigators (*kenkyū-buntansha*))” and with “Principal Investigators or Co-Investigators (*kenkyū-buntansha*) of research projects who try to make a duplicate application” in the “Table of Restrictions on Duplication”.

- C Persons who participate as Principle Investigators or Co-Investigators (*kenkyū-buntansha*) to “Summarizing Group Research Projects”, “Support Group Research Projects” or “Adjustment Group Research Projects” in “Scientific Research on Priority Areas” should verify the relation with “Participation Form to General Planned Research (Summarizing Group Research Projects, Support Group Research Projects and Adjustment Group Research Projects) (Principal Investigators and Co-Investigators (*kenkyū-buntansha*))” and with “Principal Investigators or Co-Investigators (*kenkyū-buntansha*) of research projects who try to make a duplicate application” in the “Table of Restrictions on Duplication”.

- ⑤ In case the continued research project which needs to be abandoned according to the restriction on the receiving of grants ① has FY2011 as the final fiscal year, and ② has been selected before FY2009, the Principal Investigator should submit a report on the research achievements (a working paper) and other matters related to the research project in question between June 20 and June 30, 2012 (except for “Challenging Exploratory Research”).

**(5) Special cases in the restrictions on duplicate applications (Application for a grant for the fiscal year before the final fiscal year of a research project)**

- ① When a Principal Investigator of a research project wishes to restructure the research project in the light of developments in the research in question, and the research project (continued research project) belongs to the type “Specially Promoted Research”, “Scientific Research” or Grant-in-Aid for Young Scientists, the research period is 4 years or more, and FY2011 is the last fiscal year of the research period, then he or she may apply for an “Application for a grant for the fiscal year before the final fiscal year of a research project”.

Moreover, based on one continued research project, the number of projects a researcher can make a new application for is limited to one.

- ② The research categories for which new applications may be made, as “Application for a grant for the fiscal year before the final fiscal year of a research project”, are “Specially Promoted Research”, and “Scientific Research”. However, the only research category for which a new application can be made, based on research projects of the category “Grant-in-Aid for Young Scientists (S/A/B)”, is “Scientific Research”.

- ③ The restrictions on duplicate applications do not apply to cases where there is, on the one hand, a new application for a research project of the type “Application for a grant for the fiscal year before the final fiscal year of a research project” and, on the other hand, a continued research project on which the new application is based.

However, the restrictions on duplicate applications do apply to cases where there are, on the one hand, these projects and, on the other hand, other research projects under the supervision of the same Principal Investigator for which an application has been made (including continued research projects).

- ④ When the research project for which a new application has been made is selected, the grant



of FY2010 for the continued research project on which the new application is based will, as a general rule, not be paid. Even in case when the grand has been paid, the full amount of the grant should be refunded. For this reason, the proposal for grant-in-aid for a research project for which a new application is made should include a part of the budget necessary for the implementation of the continued research project for FY2010.

Moreover, in this case, the Principal Investigator should submit a report on the research achievements (a working paper) and other matters related to the continued research project in question between June 20 and June 30, 2012. Therefore, he or she should include the budget for the report, etc. in question, when completing the preparations.

# Attached Table 1 Table of Restrictions on Duplication

1-1) Type “Principal Investigator (New/Continued) (Section A) → Principal Investigator (Section B)”

This table shows the restrictions on duplication in case of "a person who tries to apply as Principal Investigator for a research project mentioned in section A (research categories for which JSPS organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2011 (continued research project) mentioned in section A" applies as Principal Investigator for mentioned in section B.

Section B \ Section A			Specially Promoted Research		Scientific Research (S)				Scientific Research (A)				Scientific Research (B)				Scientific Research (C)		Scientific Research on Priority Areas		Scientific Research on Priority Areas		Challenging Exploratory Research		
			New		Continued		General		General Overseas Academic Research		General		General Overseas Academic Research		General		Grant-in-Aid for Young Scientists(A)		Grant-in-Aid for Young Scientists(B)		Planned research			Publicly invited research	
			PI		PI		PI		PI		PI		PI		PI		PI		PI		PI			PI	
			New		Continued		New		Continued		New		Continued		New		Continued		New		Continued			New	
Specially Promoted Research	New	PI	—	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	×	■	■	■		
	Continued	PI	—	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲		
Scientific Research (S)	New	PI	□	—	■	■	×	×	×	×	×	×	×	×	×	×	×	×	□	※					
	Continued	PI	□	—	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲		
Scientific Research (A)	General	New	PI	□	□	—	★	×	★	×	×	×	×	×	×	×	×	×	□						
		Continued	PI	□	▲	—	★	▲	★	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲					
	General Overseas Academic Research	New	PI	□	□	★	—	★	×	★	×	×	×	×	×	×	×	×	□						
		Continued	PI	□	▲	★	—	★	▲	★	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲					
Scientific Research (B)	General	New	PI	□	×	×	★	—	★	×	×	×	×	×	×	×	×	×	□						
		Continued	PI	□	▲	▲	★	—	★	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲					
	General Overseas Academic Research	New	PI	□	×	★	×	★	—	★	×	×	×	×	×	×	×	×	□						
		Continued	PI	□	▲	★	▲	★	—	★	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲					
Scientific Research (C)	General	New	PI	□	×	×	★	×	★	—	×	×	×	×	×	×	×	×	□				×		
		Continued	PI	□	▲	▲	★	▲	★	—	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲				▲	
Grant-in-Aid for Young Scientists(S)	Continued	PI	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲		
Grant-in-Aid for Young Scientists(A)	New	PI	□	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	□						
	Continued	PI	□	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲					
Grant-in-Aid for Young Scientists(B)	New	PI	□	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	□				×		
	Continued	PI	□	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲				▲	
Challenging Exploratory Research	New	PI	□							×		×							□				—		
	Continued	PI	□							▲		▲							▲				—		
Grant-in-Aid for Creative Scientific Research	Continued	PI	□	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲		
Grant-in-Aid for Research Activity Start-up	Continued	PI	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□		

Blank cell:The researcher can apply for both research projects.

—:A researcher can only apply for one research project in one and the same research category (screening division) (In case he or she has a continued research project mentioned in section A, he or she cannot apply for a research project mentioned in section B)

×:The researcher can only apply for one research project (in case he or she applied for a research project mentioned in section A, he or she cannot apply for a research project mentioned in section B).

▲:The researcher cannot apply for a research project mentioned in section B (He or she only implements the research of a continued research project mentioned in section A).

■:The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in A.

□:The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

※:A researcher can apply for both research projects. However, in case both are adopted, the researcher selects only one research project and implements it.

★:As a rule duplicate applications are not accepted. (This does not apply to cases where it is necessary to conduct two clearly different research projects within the same fiscal year.)

1-2) Type “Principal Investigator (New/Continued) (Section A) → Principal Investigator (Section B)”

This table shows the restrictions on duplication in case of "a person who tries to apply as Principal Investigator for a research project mentioned in section A (research categories for which MEXT organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2011 (continued research project) mentioned in section A" applies as Principal Investigator for mentioned in section B.

Section A				Section B										
				Specially Promoted Research		Scientific Research (S)		Scientific Research (A)		Scientific Research (B)		Scientific Research (C)		
				General	General Overseas Academic Research	General	General Overseas Academic Research	General	General Overseas Academic Research	General	Grant-in-Aid for Young Scientists(A)	Grant-in-Aid for Young Scientists(B)	Challenging Exploratory Research	
				New	New	New	New	New	New	New	New	New	New	
				PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI
Scientific Research on Innovative Areas (Research in a proposed research area)	Summarizing group	New	PI	×	■									
		Continued	PI	▲	▲									
	Planned research	New	PI	□	※									
		Continued	PI	□	▲									
	Publicly invited research	New	PI	□										
		Continued	PI	□										
Scientific Research on Priority Areas	Planned research	New	PI	□										
		Continued	PI	□										
	Publicly invited research	New	PI	□										
		Continued	PI	□										
Scientific Research on Innovative Areas (Research a proposed research project)	Continued	PI	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲		

Blank cell: The researcher can apply for both research projects.

×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in section A, he or she cannot apply for a research project mentioned in section B).

▲: The researcher cannot apply for a research project mentioned in section B (He or she only implements the research of a continued research project mentioned in section A).

■: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in A.

□: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

※: A researcher can apply for both research projects. However, in case both are adopted, the researcher selects only one research project and implements it.

2-1) Type “Principal Investigator (New/Continued) (Section A) → Co-Investigator (kenkyū-buntansha) (Section B)

This table shows the restrictions on duplication in case of "a person who tries to apply as Principal Investigator for a research project mentioned in section A (research categories for which JSPS organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2011 (continued research project) mentioned in section A" participates in a research project mentioned in section B as Co-Investigator (kenkyū-buntansha).

Section B			Specially Promoted Research	Scientific Research (S)		Scientific Research (A)		Scientific Research (B)		Scientific Research (C)		Scientific Research on Priority Areas		Challenging Exploratory Research
				General	General Overseas Academic Research	General	General Overseas Academic Research	General	General Overseas Academic Research	Planned research	Publicly invited research	Planned research	Research project research areas	
				Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	Co-I (kenkyū-buntansha)	
Section A														
Specially Promoted Research	New	PI	×	■	■	■	■	■	■	■	■	■	■	■
	Continued	PI	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲
Scientific Research (S)	New	PI												
	Continued	PI												
Scientific Research (A)	General	New	PI											
		Continued	PI											
	General Overseas Academic Research	New	PI											
		Continued	PI											
Scientific Research (B)	General	New	PI											
		Continued	PI											
	General Overseas Academic Research	New	PI											
		Continued	PI											
Scientific Research (C)	General	New	PI											
		Continued	PI											
Grant-in-Aid for Young Scientists(S)	Continued	PI												
Grant-in-Aid for Young Scientists(A)	New	PI												
	Continued	PI												
Grant-in-Aid for Young Scientists(B)	New	PI												
	Continued	PI												
Challenging Exploratory Research	New	PI												
	Continued	PI												
Grant-in-Aid for Creative Scientific Research	Continued	PI	□	▲										
Grant-in-Aid for Research Activity Start-up	Continued	PI												

Blank cell: The researcher can apply for both research projects.

×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in section A, he or she cannot apply for a research project mentioned in section B).

▲: The researcher cannot apply for a research project mentioned in section B (He or she only implements the research of a continued research project mentioned in section A).

■: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in A.

□: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

2-2) Type “Principal Investigator (New/Continued) (Section A) → Co-Investigator (kenkyū-buntansha)(Section B)”

This table shows the restrictions on duplication in case of "a person who tries to apply as Principal Investigator for a research project mentioned in section A (research categories for which MEXT organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2011 (continued research project) mentioned in section A" participates in a research project mentioned in section B as Co-Investigator (kenkyū-buntansha).

Section A				Section B								
				Specially Promoted Research	Scientific Research (S)	Scientific Research (A)		Scientific Research (B)		Scientific Research (C)		Challenging Exploratory Research
						General	General Overseas Academic Research	General	General Overseas Academic Research	General		
				New	New	New	New	New	New	New	New	
Co-I (kenkyu-buntansha)	Co-I (kenkyu-buntansha)	Co-I (kenkyu-buntansha)	Co-I (kenkyu-buntansha)	Co-I (kenkyu-buntansha)	Co-I (kenkyu-buntansha)	Co-I (kenkyu-buntansha)	Co-I (kenkyu-buntansha)					
Scientific Research on Innovative Areas (Research in a proposed research area)	Summarizing group	New	PI	×								
		Continued	PI	▲								
	Planned research	New	PI	□								
		Continued	PI	□								
	Publicly invited research	New	PI	□								
		Continued	PI	□								
Scientific Research on Priority Areas	Planned research	New	PI	□								
		Continued	PI	□								
	Publicly invited research	New	PI	□								
		Continued	PI	□								
Scientific Research on Priority Areas (Research a proposed research project)	Continued	PI	▲									

Blank cell: The researcher can apply for both research projects.

×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in section A, he or she cannot apply for a research project mentioned in

▲: The researcher cannot apply for a research project mentioned in section B (He or she only implements the research of a continued research project mentioned in section A).

□: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

3-1) Type "Co-Investigator (kenkyū-buntansha) (New/Continued) (Section A) → Principal Investigator (Section B)

This table shows the restrictions on duplication in case of "a person who tries to participate as Co-Investigator (kenkyū-buntansha) in a research project mentioned in section A (research categories for which JSPS organizes a call for proposals), or a person who has already become Co-Investigator (kenkyū-buntansha) of a research project that is scheduled to be continued in FY2011 (continued research project) mentioned in section A" applies as Principal Investigator for mentioned in section B.

Section B			Specially Promoted Research	Scientific Research (S)	Scientific Research (A)		Scientific Research (B)		Scientific Research (C)	Grant-in-Aid for Young Scientists(A)	Grant-in-Aid for Young Scientists(B)	Scientific Research on Priority Areas		Scientific Research on Priority Areas			Challenging Exploratory Research			
					General	General Overseas Academic Research	General	General Overseas Academic Research				General	Grant-in-Aid for Young Scientists(A)	Grant-in-Aid for Young Scientists(B)	Planned research	Publicly invited research		Research in a proposed research area		
																		総括班	Planned research	Publicly invited research
					New	New	New	New				New	New	New	New	New		New	New	New
Section A			PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI						
Specially Promoted Research	New	Co-I (kenkyu-buntansha)	×									■	■	×	■	■				
	Continued	Co-I (kenkyu-buntansha)	▲									▲	▲	▲	▲	▲				
Scientific Research (S)	New	Co-I (kenkyu-buntansha)	□																	
	Continued	Co-I (kenkyu-buntansha)	□																	
Scientific Research (A)	General	New	Co-I (kenkyu-buntansha)	□																
		Continued	Co-I (kenkyu-buntansha)	□																
	General Overseas Academic Research	New	Co-I (kenkyu-buntansha)	□																
		Continued	Co-I (kenkyu-buntansha)	□																
Scientific Research (B)	General	New	Co-I (kenkyu-buntansha)	□																
		Continued	Co-I (kenkyu-buntansha)	□																
	General Overseas Academic Research	New	Co-I (kenkyu-buntansha)	□																
		Continued	Co-I (kenkyu-buntansha)	□																
Scientific Research (C)	General	New	Co-I (kenkyu-buntansha)	□																
		Continued	Co-I (kenkyu-buntansha)	□																
Challenging Exploratory Research	New	Co-I (kenkyu-buntansha)	□																	
	Continued	Co-I (kenkyu-buntansha)	□																	
Grant-in-Aid for Creative Scientific Research	Continued	Co-I (kenkyu-buntansha)	□																	

Blank cell: The researcher can apply for both research projects.

×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in section A, he or she cannot apply for a research project mentioned in section B).

▲: The researcher cannot apply for a research project mentioned in section B (He or she only implements the research of a continued research project mentioned in section A).

■: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in A.

□: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

3-2) Type “Co-Investigator (kenkyū-buntansha) (New/Continued) (Section A) → Principal Investigator (Section B)”

This table shows the restrictions on duplication in case of "a person who tries to participate as Co-Investigator (kenkyū-buntansha) in a research project mentioned in section A (research categories for which MEXT organizes a call for proposals), or a person who has already become Co-Investigator (kenkyū-buntansha) of a research project that is scheduled to be continued in FY2011 (continued research project) mentioned in section A" applies as Principal Investigator for mentioned in section B.

Section B				Specially Promoted Research	Scientific Research (S)	Scientific Research (A)		Scientific Research (B)		Scientific Research (C)	Grant-in-Aid for Young Scientists(A)	Grant-in-Aid for Young Scientists(B)	Challenging Exploratory Research			
						General	General Overseas Academic Research	General	General Overseas Academic Research	General						
				Section A				New	New	New	New	New	New	New	New	New
								PI	PI	PI	PI	PI	PI	PI	PI	PI
Scientific Research on Innovative Areas (Research in a proposed research area)	Planned research	New	Co-I (kenkyu-buntansha)	<input type="checkbox"/>												
		Continued	Co-I (kenkyu-buntansha)	<input type="checkbox"/>												
Scientific Research on Priority Areas	Planned research	New	Co-I (kenkyu-buntansha)	<input type="checkbox"/>												
		Continued	Co-I (kenkyu-buntansha)	<input type="checkbox"/>												
	Publicly invited research	New	Co-I (kenkyu-buntansha)	<input type="checkbox"/>												
		Continued	Co-I (kenkyu-buntansha)	<input type="checkbox"/>												
Scientific Research on Priority Areas (Research a proposed research project)		Continued	Co-I (kenkyu-buntansha)	▲	▲	▲	▲	▲	▲	▲		▲				

Blank cell: The researcher can apply for both research projects.

×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in section A, he or she cannot apply for a research project mentioned in section B).

▲: The researcher cannot apply for a research project mentioned in section B (He or she only implements the research of a continued research project mentioned in section A).

□: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

### **3. Preparing the Application (Proposal for Grant-in-Aid) and Submitting the Application (Proposal for Grant-in-Aid)**

The document necessary for the application is the Proposal for Grant-in-Aid.

The Principal Investigator should prepare the Proposal for Grant-in-Aid (PDF file) by entering the application information (Items to be filled in on the form on the website), and by attaching the separately prepared Files with Project Description (Items to be entered in the attached file) to the Electronic Application System. Then he or she should submit (send) the Proposal for Grant-in-Aid to the research institution he or she belongs to, by the deadline set by the research institution.

Details on the preparation of the Proposal for Grant-in-Aid and the way how to apply are as follows. The applicant should verify this information.

#### **(1) Application via the Electronic Application System**

When applying, **the applicant should login into the “e-Rad” using the e-Rad ID and Password that is provided by the research institution to which he or she belongs. Then he or she should access the “Electronic Application System” and prepare the application documents.**

- ① Researchers who apply as Principal Investigators, based on the “FY2011 Grants-in-Aid for Scientific Research, Procedures for Preparing and Entering a Proposal for Grant-in-Aid for Specially Promoted Research (New/Continued)”, in the case of “Specially Promoted Research”, and based on the “Procedures for Preparing and Entering Application Information (Items to be filled in on the form on the website) (Scientific Research, Challenging Exploratory Research, Grant-in-Aid for Young Scientists (A/B))”, in the case of the other research categories. Finally they should attach the project description file (Items to be entered in the attached file), that has been separately

**Note** The project description file (items to be entered in the attached file) can also be downloaded from the JSPS website on grants-in-aid for scientific research (<http://www.jsps.go.jp/j-grantsinaid/index.html>) before obtaining an ID and a password.

- ② The research institution to which the Principal Investigator belongs should compile and submit the necessary proposal for grant-in-aid.

Therefore, the Principal Investigator should **submit (send) the application documents to the research institution he/she belongs to, by the deadline decided the research institution.** (He or she cannot submit (send) them directly to JSPS.)

Moreover, when submitting (sending) it, he or she should sufficiently check the details of the Proposal for Grant-in-Aid (PDF file) he or she prepared, and perform the “check completed



and submission” process.

(He or she should submit the proposal for grant-in-aid (PDF file) to the research institution to which he or she belongs.)

## **(2) Preparing the proposal for grant-in-aid**

The Principal Investigator should prepare a proposal for grant-in-aid, for **“Specially Promoted Research”, in accordance with the “FY2011 Grants-in-Aid for Scientific Research, Procedures for Preparing and Entering a Proposal for Grants-in-Aid for Specially Promoted Research (New and Continued)”** and, for the research categories other than **“Specially Promoted Research”, in accordance with the “Procedures for Preparing and Entering Application Information (to be entered in the website) (Scientific Research, Challenging Exploratory Research, Grant-in-Aid for Young Scientists (A/B))”** and **“FY2011 Grants-in-Aid for Scientific Research, Procedures for Preparing and Entering a Proposal for Grant-in-Aid”** for each research category (screening panel).

### **On the Proposal for grant-in-aid**

① A proposal for grant-in-aid consists of the following two parts:

**First part:** Enter **the application information (to be entered in the website)** (\*1) in the electronic application system.

(\*1) Information to be entered by the Principal Investigator in the website via the electronic application system includes the title of proposed project, basic data on the proposed project, like the budget for which the application is made, basic data on the project members, etc.

**Second part:** Download **the project description file** (\*2) from the section “Grants-in-Aid for Scientific Research” of the JSPS website (<http://www.jsp.go.jp/j-grantsinaid/index.html>), and prepare the proposal for grant-in-aid (PDF file) by attaching it to the “electronic application system”.  
**(Paper-based applications will not be accepted.)**

(\*2) Details on the research project including the purpose of the research, the research plan and research methods should be entered.

Research category	Proposal for grant-in-aid	
	First part	Second part
	Application information (to be entered in the website)	Project description file
Specially Promoted Research (New) (English Version)	To be entered in the electronic application system	S-1-1 (1)
Specially Promoted Research (New) (Japanese Version)		S-1-1 (2)
Specially Promoted Research (Continued)		S-1-2
Scientific Research (S)		S-1-6
Scientific Research (A)		S-1-7
Research related to the screening panel for Overseas Academic Research		S-1-9
Scientific Research (B)		S-1-7
Research related to the screening panel for Overseas Academic Research		S-1-9
Scientific Research (C)		S-1-8
Challenging Exploratory Research		S-1-10
Grant-in-Aid for Young Scientists (A)		S-1-12
Grant-in-Aid for Young Scientists (B)		S-1-12
Continued Research Project (in the case of a major change in the research project)		S-1-13

② A copy of the proposal for grant-in-aid in black-and-white print is sent to the screening committee. Therefore, when preparing the proposal for grant-in-aid, the applicant should pay attention not to make a version of which the content becomes unclear when copied.

③ The personal information included in the proposal for grant-in-aid will be used to eliminate unreasonable reduplication and excessive concentration of competitive funds and to carry out

service on Grants-in-Aid for Scientific Research. (This also includes offering personal information to external private enterprises in charge of electronic processing and management of the data.) The personal information included in the application forms will also be provided to the e-Rad. (It may happen that information will be supplied to the Government Research and Development Database of the Cabinet Office through e-Rad.)

Moreover, in the case of selected research projects, the title of the proposed project, the name of the Principal Investigator, the amount of the budget to be granted, etc. will be disclosed through press release materials, the database of the National Institute of Informatics, etc.

Information like professional affiliation, name, etc. of the Principal Investigator of the selected research project will be entered in the database of JSPS screening committee candidates, as the need arises. A request for updating the database will be made annually through the research institution to which the Principal Investigators belong (planned for April).

#### Issues that Need to Be Considered When Preparing the Proposal for Grant-in-Aid

When preparing the Proposal for Grant-in-Aid, the applicant should check the following points and verify whether there are no flaws in the content.

##### ① **Whether or not it is an Ineligible Research Project**

The following research projects are not eligible:

- A) Research projects which merely aim at purchasing ready-made research equipment.
- B) Research projects which aim at producing large-size research equipment and similar things which should be funded by other budgets.
- C) Research projects which directly aim at developing and selling goods and services (including market trend surveys on the development and sale of goods and services).
- D) Funded research which is carried out as commercial business.
- E) Research projects with a budget of **less than 100,000 yen** in any of the fiscal years of the research period.

##### ② **Whether the following requirements are met for the Project Members**

When necessary, the Principal Investigator (See page 44 1)) can set up a team of project members together with a Co-Investigator (*kenkyū-buntansha*) (See page 45 2)), a Co-Investigator (*renkei-kenkyūsha*) (See page 45 3)), and/or a Research Collaborator (See 4) below), according to the nature of the research project.

Moreover, **regarding the Co-Investigator (*kenkyū-buntansha*) and the Co-Investigator (*renkei-kenkyūsha*), like in the case of the Principal Investigator, the research institution (Note) needs to verify whether, at the time of the application, the following requirements**

**are met.**

However, Research Collaborators do not necessarily need to be registered in e-Rad.

Moreover, JSPS Fellows, Foreign JSPS Fellows and students, such as, for example, graduate students cannot become Principal Investigators. They can neither become Co-Investigators (*kenkyū-buntansha*) and Co-Investigators (*renkei-kenkyūsha*).

#### **Requirements**

- 1) **The researcher should belong to the research institution as a person who has *inter alia* the duty to perform research activities within the research institution in question** (irrespective of whether the work is paid or unpaid, full-time or part-time. Moreover, it is not necessary for the researcher to perform these research activities as such as his or her main duty.)
- 2) **The researcher should actually be engaged in research activities at the research institution in question** (This does not apply to cases where he or she is only engaged as a research assistant.)
- 3) The researcher is not a graduate student or any other category of student. (However, this does not apply to persons who have a position consisting of conducting research activities in the research institution to which they belong, as their main work (e.g., university teaching staff, researchers from companies, etc.), and who also have a student status.)

Note: Research institutions as prescribed in Article 2 of the Rules for the Handling of Grants-in-Aid for Scientific Research (announced by the Ministry of Education)

#### **(References) Requirements that need to be met by the research institution**(see page 90)

##### Requirements

- If a grant-in-aid is given, the research activity should be conducted as an activity of the research institution in question.
- If a grant-in-aid is given, the research institution should carry out the management of the grant-in-aid.

Research grant employees, as a rule, need to concentrate on their employment related work according to their employment contracts. Therefore, considering the working hours they need to allot to the employment related work, they cannot apply for Grants-in-Aid for Scientific Research themselves.

However, if they provide a clear explanation on the time they can spend besides their employment related work, and if during this time they themselves attempt to conduct research using a Grant-in-Aid for Scientific Research, on their own initiative, it is possible for them to apply for Grants-in-Aid for Scientific Research, on condition that the following points have been verified in the research institution. In this case, they can apply as Principal Investigator,

and they can also become Co-Investigator (*kenkyū-buntansha*), Co-Investigator (*renkei-kenkyūsha*), or other project members.

- It has been determined in the employment contract that research grant employees themselves can conduct research on their own initiative, besides the employment related work.
- The employment related work and work devoted to research that they conduct themselves on their own initiative has clearly been divided in the working hours and the effort.
- Time that can be allotted to research which they attempt to conduct themselves on their own initiative has sufficiently been secured, besides the time spent for employment related work.

(Note) As a rule, research grant employees are in a position where they receive instructions from the Principal Investigator or other researchers and where they are engaged solely in work funded with a Grant-in-Aid for Scientific Research at their place of employment. Therefore, from FY2010 on, it is clearly written in the subsidiary conditions that “When employing a Research Collaborator, it is not the Principal Investigator but the research institution who, as a party, has to conclude an employment contract in which the work content, the working hours and other matters are clearly mentioned.”

**Principal Investigators and Co-Investigators (*kenkyū-buntansha*) are members of funded projects, as stipulated in the Law on the Improvement of the Administration of the Budget for Grants-in-Aid (1955, Law no. 179), and it has been decided that, in case they commit inappropriate use of the grants-in-aid or the like, no grant-in-aid will be offered, for a fixed period of time.**

In addition, it may happen that researchers are treated as indicated below, even if their researcher information has been registered in e-Rad as “Eligible to Apply for Grants-in-Aid for Research”.

- No Grants-in-Aid for Scientific Research will be funded, if there is a new application for Grants-in-Aid for Scientific Research from researchers who do not submit the report on the research achievements at the end of the research, without any reason, even if their research has been adopted after screening. Moreover, if researchers have failed, without good reason, to submit the scheduled report on the research achievements, then implementation of other Grants-in-Aid for Scientific Research due to be implemented in the same fiscal year will be suspended.
- If it is judged in the research institution to which researchers belong that it is not appropriate to let them conduct their research activities as activities of the research institution in question, it may happen that the research institution does not recognize the application, and it may happen that the application for funding by these researchers in

question is not recognized and that the application for funding of the Grant-in-Aid for Scientific Research is rejected.

### 1) Principal Investigator (The applicant)

- (A) The Principal Investigator is a member of a funded project and is the researcher who assumes full responsibility for the implementation of the research project (including the summarizing of the research achievements).

Moreover, persons who are expected to become unable to carry out their responsibility as a Principal Investigator, for example due to the loss of their applicant eligibility during the period of research, should avoid becoming a Principal Investigator. (See note.)

- (B) When setting up a team of project members, the Principal Investigator should without fail collect a “Written Consent of the Co-Investigator (*kenkyū-buntansha*) (for other institution)”, in case the Co-Investigator (*kenkyū-buntansha*) in question belongs to a different research institution, or a “Written Consent of the Co-Investigator (*kenkyū-buntansha*) (for same institution)”, in case the Co-Investigator (*kenkyū-buntansha*) belongs to the same institution, and retain it.

(Note) The Principal Investigator is the researcher who assumes full responsibility for the implementation of the research plan, and thus plays a central role. Persons who, at the time they apply, are expected to lose their eligibility to apply during the research period, due to retirement or other reasons, and thus become unable to carry out their responsibility, are requested to avoid becoming a Principal Investigator.

For this reason, from FY2011 on, replacements of Principal Investigators will not be accepted anymore.

However, for “Summarizing Group Research Projects” of “Scientific Research on Innovative Areas (Research in a proposed research area)”, it may happen that, after completion of the necessary procedures, replacements of Principal Investigators (or Principal Investigator of Innovative Areas) are accepted.

(For the handling of continued research projects, applicants should verify “IV. Instructions & Procedures for those Who Have Already Been Accepted” (p.85).)

- (C) It is essential that Principal Investigators are not designated as ineligible for receipt of funding in FY2011, because they committed fraudulent use, fraudulent receipt of grants or fraudulent acts using Grants-in-Aid for Scientific Research or other competitive funding.

## 2) Co-Investigator (*kenkyū-buntansha*)

(A) The Co-Investigator (*kenkyū-buntansha*) is a member of the funded project, and engages in research activity, collaborating with the Principal Investigator in the implementation of the research project and sharing the responsibility for the implementation of the research as a funded project. He or she has to receive a share of the grant-in-aid. (Even when the Co-Investigator (*kenkyū-buntansha*) belongs to the same research institution as the Principal Investigator, he or she should be allotted a share of the expenses.)

Moreover, a person who is expected to become unable to carry out one's responsibility as a Co-Investigator (*kenkyū-buntansha*), for example due to the loss of one's applicant eligibility during the period of research, should avoid becoming a Co-Investigator (*kenkyū-buntansha*).

(B) For the Co-Investigator (*kenkyū-buntansha*) it is necessary to establish, like in the case of the Principal Investigator, that he or she is not ineligible for FY2010, because he or she committed fraudulent use, fraudulent receiving of grants or fraudulent acts using Grants-in-Aid for Scientific Research or other competitive funding.

## 3) Co-Investigator (*renkei-kenkyūsha*)

The Co-Investigator (*renkei-kenkyūsha*) is a researcher who participates in the research project as a project member, under the responsibility of the Principal Investigator and the Co-Investigator(s) (*kenkyū-buntansha*).

Since the Co-Investigator (*renkei-kenkyūsha*) is not a member of the funded project, he or she cannot receive a share of the funding, and cannot use subsidies on his/her own initiative.

## 4) Research Collaborator

A Research Collaborator is somebody who cooperates in the implementation of a research project other than the Principal Investigator, the Co-Investigator (*kenkyū-buntansha*) and the Co-Investigator (*renkei-kenkyūsha*). He/she does not necessarily have to be eligible for application.

(For example, a Fellow of the Japan Society for the Promotion of Science (JSPS Fellow), a researcher who belongs to an overseas research institution, a researcher who works for a corporation that is not recognized according to Article 2 of the Rules for the Handling of Grants-in-Aid for Scientific Research, etc.)

### ③ Whether the following requirements are met for the Budget

#### 1) Eligible costs (direct costs)

**The eligible costs are the costs necessary for the implementation of the research project and the costs necessary for the summarizing of the research achievements.**

\* In case of research projects where in any of the fiscal years any of the costs like “equipment”, “travel expenses” or “personnel (technical assistant, labor cost, etc.)” exceeds 90%, the applicant should write down in the proposal for grant-in-aid the reasons why these costs in question are necessary for the implantation of the research.

#### 2) Ineligible costs

**The following costs are not included in the funding:**

- ① Costs for buildings and other facilities (excluding the costs for minor installations which became necessary because of the introduction of goods that have been purchased by means of direct costs)
- ② Costs for handling accidents or disasters that occurred during the implementation of funded project
- ③ Other costs which fall under indirect costs\*

\* Indirect costs are costs necessary for the management of the research institution and other things that arise during the implementation of the research project (corresponding with 30% of the amount of the direct costs). The costs are used by the research institution.

This time, among the research categories for which a call for proposals is organized, indirect costs are paid for “Specially Promoted Research”, “Scientific Research” and “Grant-in-Aid for Young Scientists (A/B)”. However, the Principal Investigator does not need to state those indirect costs in the application documents.

### ④ When applying, the applicant should select a desired area for screening as follows.

#### 1) In the case of an application for “Specially Promoted Research”

When applying, please make sure to select, according to the content of the research project, one desired area for screening from “Humanities and Social Sciences”, “Science and Engineering” or “Biological Sciences”. Moreover, if you select “Science and Engineering”, please select one screening division from the subcategories “Mathematics/Physics”, “Chemistry”, or “Engineering”, which you think is the most closely related to your research project.

#### 2) In case of an application for “Scientific Research” (screening division “General”), “Challenging Exploratory Research” and “Grant-in-Aid for Young Scientists (A/B)”

When applying, please make sure to select, according to the content of the research project, **one appropriate research field** from **Attached Table 2 “List of Categories, Areas,**



**Disciplines and Research Fields for FY2011 Grants-in-Aid for Scientific Research** (hereinafter called “List of Research Fields” ; see pages 49-55), which is a classification table showing the desired areas for screening. In addition, please make sure to select one keyword which the applicant thinks is the most closely related to the content of his/her research project within the selected research field from **Attached Table 3 “Appendix Table of Keywords”** (see pages 56-84).

**About the “List of Disciplines and Research Fields with a Time Limit” (special cases in “Scientific Research (C)”)**

In order to be able to react flexibly to trends in scientific research, a **“List of Disciplines and Research Fields with a Time Limit”** (see pages 52-55), has been set up, as a table separate from the “List of Research Fields”. This list is operated in a flexible way, within the limits of a set period. Only for research projects that fall into the category of “Scientific Research (C)”, one area can be selected as a desired area for screening from this “List of Disciplines and Research Fields with a Time Limit”. Moreover, the research period is 3 to 5 years, regardless of the set period of the research area.

**3) In case of an application for “Scientific Research” (screening division “Overseas Academic Research”)**

When applying, please make sure to select one area you wish to have screened from the following 17 areas, and one research field which you think is the most closely related to your research project.

	<b>Desired area for screening</b>
<b>Humanities and Social Sciences</b>	1) Humanities A (philosophy, literature, linguistics, the arts) 2) Humanities B (history, archaeology) 3) Humanities C (human geography, cultural anthropology) 4) Humanities D (Geography, Area studies, and others which do not fall under Humanities A, B, or C)
	5) Social Sciences A (law, Politics) 6) Social Sciences B (economics, business administration) 7) Social Sciences C (sociology) 8) Social Sciences D (psychology, education)
<b>Science and Engineering</b>	9) Mathematical and physical sciences A (earth and planetary science) 10) Mathematical and physical sciences B (mathematics, physics, and others which do not fall under Mathematical and physical sciences A)
	11) Chemistry
	12) Engineering
	13) Biology

<b>Biological Sciences</b>	14) Agricultural sciences A (agriculture, agricultural chemistry, forestry, boundary agriculture)
	15) Agricultural sciences B (agro-economics, agro-engineering, zootechnical science/veterinary medical science, fisheries science)
	16) Medicine, dentistry, and pharmacy A (pharmacy, basic medicine, boundary medicine, and society medicine)
	17) Medicine, dentistry, and pharmacy B (clinical medicine, dentistry, nursing, and others which do not fall under Medicine, dentistry, and pharmacy A)

## Attached Table 2 List of Categories, Areas, Disciplines and Research Fields

(1) List of Categories, Areas, Disciplines and Research Fields for FY2011 Grants-in-Aid for Scientific Research

### Category: Integrated Science and Innovative Science

Area	Discipline	Research Field	Item Number	Remark
Comprehensive fields	Informatics	Fundamental theory of informatics	1001	
		Software	1002	
		Computer system/Network	1003	A B
		Media informatics/Database	1004	A B
		Intelligent informatics	1005	
		Perception information processing/Intelligent robotics	1006	A B
		Sensitivity informatics/Soft computing	1007	A B
		Library and information science/Humanistic social informatics	1008	A B
		Cognitive science	1009	
		Statistical science	1010	
		Bioinformatics/Life informatics	1011	A B
	Cerebral Neuroscience	Neuroscience in general	1101	
		Nerve anatomy/Neuropathology	1102	A B
		Neurochemistry/Neuropharmacology	1103	
		Neurophysiology and muscle physiology	1104	A B
		Fusional basic brain science	1105	
		Fusional brain recording science	1106	
		Fusional social brain science	1107	
	Laboratory animal science	Laboratory animal science	1201	
	Biomedical engineering	Biomedical engineering/Biological material science	1301	A B
		Medical systems	1302	
		Rehabilitation science/Welfare engineering	1303	A B
	Health/Sports science	Physical education	1401	A B
		Sports science	1402	A B
		Applied health science	1403	A B
	Human life science	General human life sciences	1501	A B
		Eating habits, studies on eating habits	1502	A B
	Science education/Educational technology	Science education	1601	※
		Educational technology	1602	※
	Sociology/History of science and technology	Sociology/History of science and technology	1701	
	Cultural property science	Cultural property science	1801	
	Museology	Museology	1851	
	Geography	Geography	1901	
	Oncology	Carcinogenesis	1951	
		Tumor biology	1952	
		Tumor immunology	1953	
		Tumor diagnosis	1954	
		Clinical oncology	1955	
		Cancer epidemiology and prevention	1956	

Area	Discipline	Research Field	Item Number	Remark	
New multidisciplinary fields	Environmental science	Environmental dynamic analysis	2001		
		Environmental impact assessment/Environmental policy	2002	A B	
		Risk sciences of radiation/Chemicals	2003	A B	
		Environmental technology/Environmental materials	2004	A B	
		Nanostructural science	2101	A B	
		Nanomaterials/Nanobioscience	2102	A B	
	Microscience	Microdevices/Nanodevices	2103	A B	
		Social/Safety system science	Social systems engineering/Safety system	2201	A B
			Natural disaster science	2202	A B
	Genome science	Genome biology	2301		
		Medical genome science	2302		
		System Genome Science	2303		
		Applied Genomics	2304	A B	
	Biomolecular science	Biomolecular science	2401		
		Chemical biology	2402		
	Resource conservation science	Resource conservation science	2501		
	Area studies	Area studies	2601		
Gender	Gender	2701			

### Category: Humanities and Social Sciences

Area	Discipline	Research Field	Item Number	Remark
Humanities	Philosophy	Philosophy/Ethics	2801	
		Chinese philosophy	2802	
		Indian philosophy/Buddhist studies	2803	
		Religious studies	2804	
		History of thought	2805	
		Aesthetics/Art history	2806	
	The arts	Study of the arts/History of the arts/Arts in general	2851	
		Literature	Japanese literature	2901
	Literature in English		2902	
	European literature (English literature excluded)		2903	
	Literatures/Literary theories in other countries and areas		2904	
	Linguistics	Linguistics	3001	※
		Japanese linguistics	3002	
		English linguistics	3003	
		Japanese language education	3004	
		Foreign language education	3005	※
	History	Historical studies in general	3101	
		Japanese history	3102	
		Asian history	3103	
		History of Europe and America	3104	
		Archaeology	3105	
	Human geography	Human geography	3201	
	Cultural anthropology	Cultural anthropology/Folklore	3301	

The first stage of the screening of the research fields that have the indication "A" or "B" in the remarks column is carried out in separate groups. The basis for this division in separate groups is the keywords that need to be selected within each research category. Make sure to select A or B based on the Attached Table "List of Categories, Areas, Disciplines and Research Fields", when applying for these research fields

The first stage of the screening of the research fields that have the symbol "※" is carried out in separate groups. The basis for this division in separate groups is the keywords that need to be selected within "Scientific Research (C)". Make sure to select a division number from 1 to 5 based on the Attached Table "List of Categories, Areas, Disciplines and Research Fields", when applying for these research fields

In the case of "Scientific Research (C)", 13 research fields carried in the "List of Disciplines and Research Fields with a Time Limit" have been set up as areas for screening, besides the main table.

## (Category: Humanities and Social Sciences)

Area	Discipline	Research Field	Item Number	Remark
Social sciences	Law	Fundamental law	3401	
		Public law	3402	
		International law	3403	
		Social law	3404	
		Criminal law	3405	
		Civil law	3406	
		New fields of law	3407	
	Politics	Politics	3501	
		International relations	3502	
	Economics	Economic theory	3601	
		Economic doctrine/ Economic thought	3602	
		Economic statistics	3603	
		Applied economics	3604	
		Economic policy	3605	
		Public finance/ Monetary economics	3606	
		Economic history	3607	
	Business administration	Business administration	3701	※
		Commerce	3702	
		Accounting	3703	
	Sociology	Sociology	3801	※
		Social welfare and social work studies	3802	
	Psychology	Social psychology	3901	
		Educational psychology	3902	
		Clinical psychology	3903	
		Experimental psychology	3904	
	Education	Education	4001	※
		Sociology of education	4002	
		Education on school subjects and activities	4003	※
Special needs education		4004		

## Category: Science and Engineering

Mathematical and physical sciences	Mathematics	Algebra	4101	※	
		Geometry	4102		
		General mathematics (including Probability theory/ Statistical mathematics)	4103		
		Basic analysis	4104		
		Global analysis	4105		
		Astronomy	Astronomy	4201	
	Physics	Particle/Nuclear/Cosmic ray/ Astro physics	4301	※	
		Condensed matter physics I	4302		
		Condensed matter physics II	4303	※	
		Mathematical physics/ Fundamental condensed matter physics	4304		
		Atomic/Molecular/ Quantum electronics	4305		
		Biophysics/Chemical physics	4306		
	Earth and planetary science	Solid earth and planetary physics	4401		
		Meteorology/Physical oceanography/Hydrology	4402		
		Space and upper atmospheric physics	4403		
		Geology	4404		
		Stratigraphy/Paleontology	4405		
		Petrology/Mineralogy/ Science of ore deposit	4406		
		Geochemistry/Astrochemistry	4407		
	Plasma science	Plasma science	4501		
	Chemistry	Basic chemistry	Physical chemistry	4601	
			Organic chemistry	4602	
			Inorganic chemistry	4603	
		Applied Chemistry	Analytical chemistry	4701	
			Synthetic chemistry	4702	
			Polymer chemistry	4703	
			Functional materials chemistry	4704	
			Environmental chemistry	4705	
Bio-related chemistry			4706		
Materials chemistry		Functional materials/Devices	4801		
		Organic industrial materials	4802		
		Inorganic industrial materials	4803		
		Polymer/Textile materials	4804		

Area	Discipline	Research Field	Item Number	Remark	
Engineering	Applied physics	Applied materials science/ Crystal engineering	4901		
		Thin film/Surface and interfacial physical properties	4902		
		Applied optics/Quantum optical engineering	4903		
		Applied physics, general	4904		
		Engineering fundamentals	4905		
		Mechanical engineering	Materials/Mechanics of materials	5001	
	Production engineering/ Processing studies		5002		
	Design engineering/ Machine functional elements/ Tribology		5003		
	Fluid engineering		5004		
	Thermal engineering		5005		
	Dynamics/Control		5006		
	Intelligent mechanics/ Mechanical systems		5007		
	Electrical and electronic engineering		Power engineering/ Power conversion/ Electric machinery	5101	
			Electronic materials/ Electric materials	5102	
			Electron device/ Electronic equipment	5103	
		Communication/Network engineering	5104		
		System engineering	5105		
		Measurement engineering	5106		
		Control engineering	5107		
	Civil engineering	Civil engineering materials/ Construction/ Construction management	5201		
		Structural engineering/ Earthquake engineering/ Maintenance management engineering	5202		
		Geotechnical engineering	5203		
		Hydraulic engineering	5204		
		Civil engineering project/ Traffic engineering	5205		
		Civil and environmental engineering	5206		
	Architecture and building engineering	Building structures/materials	5301		
		Architectural environment/equipment	5302		
		Town planning/Architectural planning	5303		
		Architectural history/design	5304		
	Material engineering	Physical properties of metals	5401		
		Inorganic materials/ Physical properties	5402		
		Composite materials/ Physical properties	5403		
Structural/Functional materials		5404			
Material processing/treatments		5405			
Metal making engineering		5406			
Process engineering	Properties in chemical engineering process/Transfer operation/Unit operation	5501			
	Reaction engineering/ Process system	5502			
	Catalyst/Resource chemical process	5503			
	Biofunction/Bioprocess	5504			
Integrated engineering	Aerospace engineering	5601			
	Naval and maritime engineering	5602			
	Earth system and resources engineering	5603			
	Recycling engineering	5604			
	Nuclear fusion studies	5605			
	Nuclear engineering	5606			
	Energy engineering	5607			

Category: Biological Sciences

Area	Discipline	Research Field	Item Number	Remark		
Biology	Basic biology	Genetics/Genome dynamics	5701			
		Ecology/Environment	5702			
		Plant molecular biology/ Plant physiology	5703			
		Morphology/Structure	5704			
		Animal physiology/ Animal behavior	5705			
		Biodiversity/Systematics	5706			
		Structural biochemistry	5801			
	Biological science	Functional biochemistry	5802			
		Biophysics	5803			
		Molecular biology	5804			
		Cell biology	5805			
		Developmental biology	5806			
		Evolutionary biology	5807			
	Anthropology	Physical anthropology	5901			
		Applied anthropology	5902			
	Agricultural sciences	Agriculture	Breeding science	6001		
			Crop science/Weed science	6002		
			Horticulture/Landscape architecture	6003		
			Plant pathology	6004		
			Applied entomology	6005		
		Agricultural chemistry	Plant nutrition/Soil science	6101		
Applied microbiology			6102			
Applied biochemistry			6103			
Bioproduction chemistry/ Bioorganic chemistry			6104			
Food science			6105			
Forestry		Forest science	6201			
		Wood science	6202			
Fisheries science		General fisheries	6301			
		Fisheries chemistry	6302			
Agro-economics		Agronomy	6401			
Agro-engineering		Irrigation, drainage and rural engineering/Rural planning	6501			
		Agricultural environmental engineering	6502			
		Agricultural information engineering	6503			
Zootechnical science/ Veterinary medical science		Zootechnical science/ Grassland science	6601			
		Applied animal science	6602			
		Basic veterinary science/ Basic zootechnical science	6603			
		Applied veterinary science	6604			
Boundary agriculture		Clinical veterinary science	6605			
		Boundary agriculture	6701			
		Applied molecular and cellular biology	6702			
Medicine, dentistry, and pharmacy		Pharmacy	Chemical pharmacy	6801		
			Physical pharmacy	6802		
			Biological pharmacy	6803	※	
			Drug development chemistry	6804		
			Environmental pharmacy	6805		
			Medical pharmacy	6806		
		Basic medicine	General anatomy (including histology/embryology)	6901	※	
			General physiology	6902		
	Environmental physiology (including physical medicine and nutritional physiology)		6903			
	General pharmacology		6904			
	General medical chemistry		6905			
	Pathological medical chemistry		6906			
	Human genetics		6907			
	Human pathology		6908	※		
	Experimental pathology		6909	※		
	Parasitology (including sanitary zoology)		6910			
	Bacteriology (including mycology)		6911			
	Virology		6912			
	Immunology		6913			
	Medicine, dentistry, and pharmacy		Boundary medicine	Medical sociology	7001	
				Applied pharmacology	7002	
Laboratory medicine		7003				
Pain science		7004				
Society medicine		Hygiene	7101			
		Public health/Health science	7102			
		Legal medicine	7103			
Clinical internal medicine		General internal medicine (including psychosomatic medicine)	7201			
		Gastroenterology	7202	※		
		Circulatory organs internal medicine	7203	※		
		Respiratory organ internal medicine	7204	※		
		Kidney internal medicine	7205	※		
		Neurology	7206	※		
		Metabolomics	7207	※		
		Endocrinology	7208			
		Hematology	7209	※		
		Collagenous pathology/ Allergology	7210	※		
		Infectious disease medicine	7211			
		Pediatrics	7212	※		
		Embryonic/Neonatal medicine	7213			
		Dermatology	7214	※		
		Psychiatric science	7215	※		
		Radiation science	7216	※		
		Clinical surgery	General surgery	7301	※	
			Digestive surgery	7302	※	
			Thoracic surgery	7303	※	
			Cerebral neurosurgery	7304	※	
			Orthopaedic surgery	7305	※	
Anesthesiology/Resuscitation studies			7306	※		
Urology			7307	※		
Obstetrics and gynecology			7308	※		
Otorhinolaryngology			7309	※		
Ophthalmology			7310	※		
Pediatric surgery	7311					
Plastic surgery	7312					
Emergency medicine	7313					
Dentistry	Morphological basic dentistry	7401				
	Functional basic dentistry	7402				
	Pathobiological dentistry/ Dental radiology	7403				
	Conservative dentistry	7404				
	Prosthetic dentistry	7405				
	Dental engineering/ Regenerative dentistry	7406				
	Surgical dentistry	7407	※			
Nursing	Orthodontic/Pediatric dentistry	7408				
	Periodontal dentistry	7409				
	Social dentistry	7410				
	Fundamental nursing	7501				
	Clinical nursing	7502				
	Lifelong developmental nursing	7503				
	Community health/ Gerontological nursing	7504	※			

(2) Table separate from the "List of Categories, Areas, Disciplines and Research Fields for FY2011 Grants-in-Aid for Scientific Research"

○ List of Disciplines and Research Fields with a Time Limit

Area	Detail	Item Number	Set Period
Quantum beam science	<p>Quantum beams are beams that show both wave-like and particle-like properties. They come in wide range of energies, wavelengths, and types, such as electromagnetic beams (laser beams, X-rays, gamma-rays), lepton beams (electrons, positrons, muons, neutrinos etc.), and hadron beams (protons, neutrons, mesons, ions). Recently the usage of these many different types of quantum beams is advancing rapidly, not just in basic science, but also in medical and industrial fields. The R&amp;D of quantum beam sources and the application of these beams is important for the advancement of accelerator physics and surrounding fields. Such efforts will also lead to the realization of the technological foundation required in fields ranging from fundamental science to its applications. This grant aims to support research projects that will lead to developing the technological foundation, such as new technology to generate beams, new accelerating mechanisms for making accelerators smaller, and new analysis methods to diagnose the structure and properties of materials, which will be necessary to a wide range of fields.</p>	9034	FY2008 – FY2011
Children studies (Studies of environment on children)	<p>The quality of the physical, human, and socio-cultural environment surrounding children (from infancy through youth) has deteriorated as a result of urbanization, the impact of information technology, the declining birthrate, and changes in the local community, and it has various influences on the body and the psychology of children. The conservation and restoration of a good environment for young people from the viewpoint of nurturing them should be a socially, as well as academically, important task.</p> <p>The environment surrounding children has been studied in wide-ranging research fields such as pedagogies, childcare studies, psychology, pediatrics, public health, child psychiatry, neurosciences, physical education, architecture, urban engineering, environmental science, robotics, and cognitive science. However, now the need for a fusion-type research incorporating divergent disciplines is apparent. This program promotes research on the environmental problems surround children which would, from an interdisciplinary perspective, study the influence of environment on young peoples bodies and psychology, by organizing various studies such as those of architecture and engineering on the physical environment (so-called“hardware”), and those on education and human, and socio-cultural environments (“software”).</p>	9036	
Medical Physics/ Radiological Technology	<p>“Medical Physics / Radiological Technology” is a research area in which physical and technological issues within radiology are explored. In recent years, various medical technologies based on radiation physics including radiation therapies using particle beams and a number of diagnostic technologies such as molecular imaging, are developed and have become widely used in a short period of time. Together with the rapidly growing needs for radiation therapies and diagnostic imaging, basic research which supports these fundamental technologies are very important in the expanding field of radiology. At the same time, such basic research supports development of technologies and human resources which will be necessary in a wide range of fields from basic to clinical application, including medical imaging engineering, radiation therapy, heavy particle therapy, nuclear medicine, and radiation protection. Although this field primarily aims clinical application toward radiology, the academic foundation and techniques are positioned to be in the fields of science and engineering. Therefore, researches where fundamental technologies which will cover a wide range of fields from science and engineering to medicine, and researches where new research area will be established will be expected.</p>	9037	FY2009 – FY2011
Biomass energy	<p>Due to environmental issues and a sudden rise in fossil fuels, research on biomass energy is now expected worldwide to be developed as one of the alternative energies. The major research in such fields involves biomass conversion to biofuels, technologies for thermal recycling, development of sustainable biomass production technologies, and establishment of cycling system of regional agriculture and biomass energy. In addition, fundamental research relevant to synthesis/structure/function of biomass resources is included. Furthermore, also included is research on life cycle impact assessment by increasing biomass energy production and socio-scientific research such as effects on dietary and poverty issues. Projects by young researchers on free and bottom-up thinking basis are also very much welcomed.</p>	9038	

Area	Detail	Item Number	Set Period
Social symbiosis and exclusion	<p>Since the 1980s, the spread of social exclusion, social inequality, etc. and social justice as a socio-political response to these problems have become a major challenge in developed countries. In Japan, since the mid-1990s, problems of income disparity and social inequality, and then in the 2000s, the poverty issue became major public concerns. Not only fatherless families, disabled persons and the aged, who have been the object of attention since long before, but also the spread of poverty and social exclusion across a broader spectrum of the population such as, for example, younger people and children, and, in addition to general socio-economic inequality, even the disparity in medical treatment and health have been increasingly highlighted. This area includes theoretical research on the social accumulation and spread of poverty and social exclusion, inequality and other matters, the grasping of the actual circumstances, and the measurement and the estimate of their influences. Moreover, concerning the question how society tackles these issues, this area also includes research on policies responding to actual social exclusions and to the mechanisms that generate social exclusion, and analysis of legal systems in relation to these issues. In addition, any synchronic and diachronic comparative research projects, such as empirical researches on the actual circumstances of social disparity, inquiries on the policy trends and on the revision of legal systems in developed countries, studies on the poverty issues in developing countries, and various historical studies are all important. JSPS is expecting researches that will contribute significantly to the development of this field.</p>	9040	
Design science	<p>For the sake of the welfare of humanity and the enrichment of human life, the science of design opens an appropriate pathway for exciting and potentially transformational technology. The science of design has as its research object machines and tools, furniture, space, construction, cities, regions, culture, welfare and care, media, information-processing equipment, information content, drama, etc., in short, all the phenomena that support and enrich human living space. For the science of design, a fusion of knowledge that transcends a wide range of disciplines, starting from design research, which concerns design as such, to design engineering, modeling engineering, architecture, landscape engineering, sciences of living, anthropology, cognitive science and psychology, ergonomics, medical science and hygienics, sensory science, sensory engineering, information science, acoustics, computer science, social science, art science, etc., is necessary. Consequently, the science of design requires a broad based inter-disciplinary approach encompassing disciplines ranging from arts and social sciences to science and technology, as well as aesthetics and ethics. This area has as its object the individual elements of the phenomena that make up our living spaces, the collectivity and organization of these elements, and the combination of these elements and societies that consist of various cultures. For this area, JSPS is expecting ambitious and creative research originating from an alliance of disciplines that transcends traditional disciplines, and consists of a merger of humanities-fields, science-fields and arts-fields. The aim of this research is the creation of a bright future for mankind.</p>	9041	FY2010 — FY2012
Mechanobiology	<p>The cells that make up a living body are being exposed to a variety of mechanical stimuli that are caused not only by gravitation, but also by the movement of skeletal muscles and smooth muscles of internal organs in the body. At the same time the cells sense these stimuli and respond to them. That this mechanism is essential for the functional maintenance of the living body is, of course, clear from auditory sense and the sense of touch, and also when one considers amyotrophy of astronauts and osteoporosis. Moreover, excessive mechanical stimuli (elevated blood pressure) cause severe diseases, such as arterial sclerosis, cardiac failure, etc. On the other hand, with the growth, division, alteration of shape and movement of the cell, the occurring forces are fed back, and the functions of the cells regulate themselves. It is considered that insufficiencies of cells lead to developmental anomalies and cancer. In this way, the cell's capacity of reception of and response to mechanical stimuli is a core function that supports life, and is a fundamental and highly important subject of research not only for the development of basic biology, but also for the development of astromedicine, regenerative medicine, medical engineering, dentistry and engineering, and agriculture. JSPS is expecting research that aims at the creation of new academic fields, by integrating related research, and by making the mechanism of sensing of, and responding to mechanical stimuli that living bodies and cells possess, the pivotal axis of the research.</p>	9042	

Area	Detail	Item Number	Set Period
Bioethics	<p>“Bioethics” is the field which mainly treats ethical aspects of life. However, it is an interdisciplinary field which not only treats various humanity fields, such as philosophy, ethics, sociology, law, economics, politics, cultural anthropology and history of technology but also overcrossing with a number of scientific fields such as biology, bio-science, anthropology, genetics, public health, pharmacology, basic medicine, clinical medicine , forensic medicine and nursing.</p> <p>Bioethics was founded in the USA in the 1970s, and its importance has been acknowledged widely throughout the world, especially in an era where genetic engineering, biotechnology and state-of-the-art medical technology are rapidly developing.</p> <p>In this field, many problems such as informed consent, medical decision making, abortion, genetic diagnosis, surrogate birth, brain death and transplantation, euthanasia and death with dignity, terminal care, ethics in nursing, human clone research, animal experimentation, genetic modification and so on are left unsolved. We sincerely hope that many ambitious researchers will endeavor in these areas of study.</p>	9043	
Tourism Studies	<p>The academic development of tourism studies complements the policy of promoting Japan as a tourism-oriented country from a scientific viewpoint. Until now, interdisciplinary scientific research on tourism has been carried out from diverse perspectives, such as, for example, “ecotourism”, “green” tourism, health tourism, “new” tourism (such as, for example, industrial and cultural tourism), the economic effects of tourism, the influence of tourism on regional communities and culture, town development and regional promotion through tourism, international tourism policy, the behavior and psychology of tourists, etc. These research topics have been extensively studied, in an interdisciplinary way, in every area of science, such as business administration, commercial science, economics, geography, sociology, psychology, civil engineering, urban engineering, architecture, environmental studies, etc. In each area, research activities on tourism have intensified.</p> <p>Nevertheless, in order to further the development of tourism studies academically, it is necessary to harmonize these dispersed research areas through interdisciplinary study.</p> <p>In this area, JSPS expects to promote the research activities ranging from basic theory concerning the original development of tourism studies to various kinds of applied research, in addition to the promotion of expansive research that entails a practical and academic approach, and that contributes to the development of those economic and social sectors engaged in tourism.</p>	9044	FY2011 — FY2013
Reliable environmental measurement methods	<p>In order to understand totally the relation between life and earth environment and to continue the reliable environment of the earth, it is required to develop a new measurement methods based on a new metrology. In this field, new measurement methods are developed to understand a safe life, a food safety, a medical safety, and a reliable environment. Especially, a super selective and wide dynamic range analytic method, a mobile and energy-saving measurement instrument, an imaging technique, super-selective analytical reagents, a new detection method of bio-related micro particle such as virus and pollen are highly required.</p> <p>In order to achieve the reliable environmental measurement methods, a wide approach is expected from medical, agricultural, pharmaceutical, environmental fields, in addition to scientific and engineering fields.</p>	9045	
epigenetics	<p>The regulation of gene expression is not achieved exclusively by the nucleotide sequence. The expression of genetic information is regulated by stable and yet plastic control mechanisms collectively referred to as epigenetics, that is, chemical and structural modifications of chromatin composed of genomic DNA and interacting proteins such as histones. Currently, epigenetics is a major research focus in the life sciences because of its demonstrated involvement in a wide variety of biological phenomena including embryogenesis, tissue-specific gene expression, genome imprinting, aging, tumorigenesis, neurodegenerative diseases and somatic cell cloning.</p> <p>JSPS is expecting ambitious research projects along these lines, which go beyond the frameworks of biological science disciplines such as genomics, molecular biology, cell biology, biochemistry, developmental biology, genetics and neuroscience, with the goal of elucidating the basic principles of epigenetics (operating principles, regulatory mechanisms and breakdown) commonly observed in the above-mentioned biological phenomena.</p>	9046	



Area	Detail	Item Number	Set Period
Integrated Nutrition Science	<p>Nutrition science has contributed greatly to health promotion and improvement of physical strength/shape through the understandings of physiology, nutrients, and metabolism necessary for growth and maintenance of life. However, new issues such as overeating, food satiation, lifestyle-related diseases, stress, and aging, have been emerged. Recent advances in life science and analytical informatics technology enabled new approaches in this field: molecules, cells, laboratory animals to human population can now be included for research design. In order for such expansion in nutrition science to accelerate, establishment of a cross-sectoral research community beyond the existing frame, including eating habits studies, applied health science, food science, and clinical medicine is required.</p> <p>The goal of this new research field is to contribute toward maintaining/promoting health, preventing diseases, and potentiating therapeutic effects in the complex and diverse modern society. A broad range of studies with aim to build the platform of nutritional science and put the accomplishment into practice is encouraged.</p> <p>Nutrition science has contributed greatly to health promotion and improvement of physical strength/shape through the understandings of physiology, nutrients, and metabolism necessary for growth and maintenance of life. However, new issues such as overeating, food satiation, lifestyle-related diseases, stress, and aging, have been emerged. Recent advances in life science and analytical informatics technology enabled new approaches in this field: molecules, cells, laboratory animals to human population can now be included for research design. In order for such expansion in nutrition science to accelerate, establishment of a cross-sectoral research community beyond the existing frame, including eating habits studies, applied health science, food science, and clinical medicine is required.</p> <p>The goal of this new research field is to contribute toward maintaining/promoting health, preventing diseases, and potentiating therapeutic effects in the complex and diverse modern society. A broad range of studies with aim to build the platform of nutritional science and put the accomplishment into practice is encouraged.</p>	9047	FY2011 — FY2013
Regenerative medicine	<p>Human beings are composed of many organs and various types of cells within. These cells must self-renew themselves even after birth as well as during development, to maintain the homeostasis of the organ and to maintain their life against various environmental stresses. Regenerative medicine intends to repair and regenerate the damaged tissue/organ by manually controlling the self-renewing system, which resides endogenously in the organisms. Three-step approach, which includes in vitro, in vivo, and translational researches, is required for clinical application of the regenerative medicine. Identification of the cell-type specific differentiation factor and the establishment of the cell-type specific protocol for effective differentiation and purification system using somatic stem cells, embryonic stem (ES) cells, and induced pluripotent stem (iPS) cells are the important goals of in vitro researches. Thereafter, in vivo approaches using laboratory animals is important to establish the method to deliver the cells and to keep them alive and functional at the damaged lesion, in order to re-organize the damaged organ within the living organisms. To reach the final goal toward the clinical application, in vitro and in vivo findings should be gathered and translated into clinical medicine. Immunologic problem, such as rejection, or the differences in the organ size between experimental animals and humans are the challenges that should be solved in translational researches.</p> <p>Development of tissue engineering technology is one of the helpful candidates for solving those problems. Regenerative medicine is expected to become a new hope for the patients of refractory disorders such as heart diseases and neurodegenerative diseases. Moreover, regenerative medicine could reduce the inflated healthcare cost, which is becoming a big economic issue in the advanced country, by improving the quality of life of the elderly in the graying society. We are eager for the challenging proposals that would greatly advance this field.</p>	9048	

(Note 1)

This table, in combination with the main table, applies only to “Scientific Research (C)”, screening division “General”.

(Note 2)

The set period is the fiscal year when the call for proposals is organized. Notwithstanding the set period, research projects of 3 to 5 years are being sought.

# Attached Table 3 Appendix Table of Keywords

1) The first stage of the screening of the research fields followed by A or B in the section “Integrated Science and Innovative Science” is carried out in two separate groups. The basis for this division in two groups is the keywords shown in all the research categories (except for “Overseas Academic Research”). Make sure to select A or B based on the keyword, when applying for the research fields in the list.

2) The first stage of the screening of the research fields followed by the numbers 1 to 5 in each category of the division column is carried out in separate groups. The basis for this division in separate groups is the keywords shown in “Scientific Research (C)”. Make sure to select a number from 1 to 5 based on the keyword, when applying for the research fields in the list for “Scientific Research (C)”.

## Category: Integrated Science and Innovative Science

(Discipline: Informatics)

### Area: Comprehensive fields

### Discipline: Informatics

Item Number	Research Field	Screening Sub-panel Number / Keyword
1001	Fundamental theory of informatics	A Computational theory
		B Automata theory/Formal language theory
		C Theory of programs
		D Computational complexity theory
		E Algorithm theory
		F Cryptosystem
		G Information mathematics
		H Mathematical logic
		J Discrete structure
		K Computational learning theory
		L Quantum computation theory
		M Combinatorial optimization
		1002
B Parallel processing/Distributed processing		
C Programming paradigm/Programming language theory		
D Implementation of programming systems		
E Operating system		
F Software engineering		
G Software agent		
H Specification/Verification of specification		
J Development environment		
K Development management		
L Embedded software		
1003	Computer system/ Network	A Computer system
		A Computer architecture
		B Circuit and system
		C VLSI design technology
		D High performance computing
		E Reconfigurable system
		F Dependable computing
		G Embedded system
		B Information network
		H Network architecture
		J Network protocol
		K Network security technology
		L Mobile network technology
		M Transport technology
		N Overlay network
		P Traffic engineering
		Q Network management technology
		R Measurement of networks
		S Ubiquitous computing
		T Large scale network simulation
		U Interoperability
V Network node operating system		
W Network information representation		
X Basic technology of providing services		

Item Number	Research Field	Screening Sub-panel Number / Keyword
1004	Media informatics/ Database	A Database, media, and information system
		A Database (DataBase Management System, DBMS)
		B Digital content
		C Multimedia
		D Information systems
		E Web services
		F Mobile systems
		G Information retrieval
		H Graphics
		J Visualization
		K Corpus
		L Structured document
		B User interface
		M Human interface
		N User model
		P Groupware
		Q Virtual reality
		R Wearable appliance
		S Universal design
T Accessibility		
U Usability		
1005	Intelligent informatics	A Search, logic, and inference algorithms
		B Learning and knowledge acquisition
		C Knowledge bases and knowledge systems
		D Intelligent system architecture
		E Intelligent information processing
		F Natural language processing
		G Knowledge discovery and data mining
		H Intelligent agent
		J Ontology
		K Web intelligence
		1006
A Pattern recognition		
B Image processing		
C Speech processing		
D Computer vision		
E Information sensing		
F Sensor fusion		
G Sensing devices systems		
B Intelligent robotics		
H Intelligent robot		
J Behavior and environment recognition		
K Motion planning		
L Sensory behavior system		
M Autonomous system		
N Digital human model		
P Animation		
Q Real world information processing		
R Physical agents		
S Intelligent room		

## (Discipline: Informatics)

Item Number	Research Field	Screening Sub-panel Number / Keyword		
1007	Sensitivity informatics/ Soft computing	A Sensitivity informatics		
		A Sensitivity design		
		B Sensitivity expression		
		C Sensitivity recognition		
		D Sensitivity cognition		
		E Sensitivity robotics		
		F Sensitivity measurement evaluation		
		G Ambiguity and sensitivity		
		H Sensitivity information processing		
		J Sensitivity database		
		K Sensitivity interface		
		L Sensitivity physiology		
		M Sensitivity material products		
		N Sensitivity industry		
		P Sensitivity environmental science		
		Q Sensitivity sociology		
		R Sensitivity philosophy		
		S Sensitivity pedagogy		
		T Sensitivity brain science		
		U Sensitivity management		
			B Soft computing	
			V Neural network	
			W Genetic algorithm	
			X Fuzzy theory	
			Y Chaos	
			Z Fractal	
	a Complex systems			
	b Probabilistic information processing			
1008	Library and information science/ Humanistic social informatics	A Library and information science		
		A Library science		
		B Information services		
		C Library information systems		
		D Digital archives		
		E Information organization		
		F Information retrieval		
		G Information media		
		H Bibliometrics and scientometrics		
		J Construction and management of information resources		
			B Humanistic social informatics	
			K Literature information	
			L History information	
			M Information sociology	
			N Law information	
			P Information economics	
			Q Management information	
			R Educational information	
			S Art information	
			T Medical information	
			U Science and technology information	
			V Intellectual property information	
			W Geographic information	
		1009	Cognitive science	A Cognitive psychology
				B Evolution/Development
				C Learning/Thinking/Memorization
D Reasoning/Problem solving				
E Sensation/Perception/Attention				
F Emotion/Feeling/Behavior				
G Comparative cognitive psychology				
H Cognitive philosophy				
J Brain cognitive science				
K Cognitive linguistics				
L Comparative decision making theory				
M Cognitive engineering				
N Cognitive archaeology				
P Cognitive model				
Q Sociability				

## (Discipline: Informatics)

Item Number	Research Field	Screening Sub-panel Number / Keyword
1010	Statistical science	A Research survey and experimental design
		B Multivariate analysis
		C Time series analysis
		D Classification and pattern recognition
		E Statistical inference
		F Computational statistics and computer aided statistics
		G Statistical prediction and statistical control
		H Model selection
		J Optimization theory
		K Pharmaceutical statistical analysis genome
		L Behaviormetrics
		M Mathematical finance
		N Data mining
		P Spatial statistics and environmental statistics
		Q Statistics education
		R Statistical quality control
		S Statistical learning theory
		T Social research and analysis plan
		U Data science
		1011
A Bioinformatics		
B Genome information processing		
C Proteome information processing		
D Computer simulation		
E Biosystem information sciences		
B Vitae system informatics		
F Biological information		
G Neuroinformatics		
H Neural information processing		
J Artificial life system		
K Molecular computing		
L DNA computing		

## Discipline: Cerebral Neuroscience

Item Number	Research Field	Screening Sub-panel Number / Keyword		
1101	Neuroscience in general	A Molecular and cellular neuroscience		
		B Developmental and regenerative neuroscience		
		C Neuroendocrinology		
		D Clinical neuroscience		
		E Neuroinformatics		
		F Cognitive neuroscience		
		G Behavioral neuroscience		
		H Noninvasive neuroimaging		
		J Computational neuroscience		
		K Neuropsychology		
		L Neuroscience of language		
		M Brain Pathology		
		1102	Nerve anatomy/ Neuropathology	A Neuroanatomy
				A Anatomy of neural tracts
B Neural network				
C Neurohistology				
D Molecular neurobiology				
E Neural fine structure				
F Neurohistochemistry and neurocytochemistry				
G Neural development and its abnormality				
H Neural regeneration, remodeling and plasticity				
J Experimental morphology of the nervous system				
K Anatomical study of neuroimaging				
L Neurocytology				
B Neuropathology				
M Cellular neuropathology				
N Molecular neuropathology				
P Neurodegenerative diseases				
Q Developmental disorders				
R Senile dementia				
S Cerebrovascular disorders				
T Metabolic diseases				
U Toxic diseases				
V Brain tumors				
W Diseases of the spinal cord				
X Diseases of the muscle and peripheral nerve				

(Discipline: Cerebral Neuroscience)

Item Number	Research Field	Screening Sub-panel Number / Keyword		
1103	Neurochemistry/ Neuropharmacology	A Molecular and cellular neurobiology		
		B Development, differentiation, and aging		
		C Neurotransmitters and receptors		
		D Intracellular signal transduction		
		E Glial cells		
		F Pathophysiology and therapy of neuropsychiatric diseases		
		G Stem cell biology, regeneration, and repair		
		H Neural plasticity		
		J Neuropharmacology		
		K Drug development		
		L Genomic neuroscience		
		1104	Neurophysiology and muscle physiology	A Neurophysiology
A Neuron, synapse, and neural circuit				
B Glia				
C Vision, audition, equilibrium, gustation, and olfaction				
D Somatic and visceral sensation, and pain				
E Posture and motor control				
F Autonomic nervous regulation				
G System neuroscience and neuroinformatics				
H Cognition, language, memory, and emotion				
J Functional neuroimaging				
K Neurogenesis, development, regeneration, and repair				
L Neurological pathophysiology				
B Muscle physiology				
M Muscle contraction mechanism and energetics				
N Excitation-contraction coupling				
P Molecular neurophysiology and molecular motor				
Q Receptors and intracellular signal transduction				
R Neural control of muscle and skeletal, cardiac, and smooth muscles				
S Cardiac excitation and conduction abnormalities				
T Myocardial dysfunction and regeneration				
U Cardiac and smooth muscle remodeling				
V Smooth muscle physiology				
W Skeletal muscle physiology and pathophysiology				
1105	Fusional basic brain science			A Genome brain science
				B Epigenetics
				C Brain molecule profiling
				D Nano brain science
				E Chemical biology
		F Medicinal brain science		
		G Brain function probe		
		H Brain imaging		
		J Luminary brain science		
		K Neuron glial cross-interaction		
		L Brain function model animals		
		M Brain function behavioral analysis		
		N Brain and rhythm		
		P Sleep		
		1106	Fusional brain recording science	A Brain morphology measurement
				B Brain function measurement
C Real time brain blood flow measurement				
D Brain activity recording (Recording)				
E Brain information reading (Decoding)				
F Sensory information				
G Kinetic (motor) information				
H Cognitive information				
J Higher brain function measurement				
K Brain information processing				
L Brain function operation				
M Brain machine interface				
1107	Fusional social brain science			A Communication
				B Human interaction
		C Social behavior		
		D Development and education		
		E Sensibility, affectivity and emotion		
		F Values, reward and punishment		
		G Motivation		
		H Neuroeconomics and neuromarketing		
		J Political brain science		

Discipline: Laboratory animal science

Item Number	Research Field	Keyword
1201	Laboratory animal science	A Environmental facilities
		B Infectious diseases
		C Cryopreservation
		D Biosafety
		E Disease models
		F Breeding genetics
		G Developmental engineering
		H Laboratory animal welfare
		J Animal experiment technology
		K Bioresource research

Discipline: Biomedical engineering

Item Number	Research Field	Screening Sub-panel Number / Keyword		
1301	Biomedical engineering/ Biological material science	A Biomedical engineering		
		A Biomedical image		
		B Physiome and biosystem		
		C Bioinformation and instrumentation		
		D Biomechanics		
		E Artificial organs, regenerative medicine		
		F Biological properties		
		G Biomedical control and therapy		
		H Biomedical optical engineering, thermal engineering		
		J Medical micromachines, nanomachines		
		K Nanobiology, nanomedicine		
		L Bioimaging		
		B Biomaterial science		
		M Biomaterials		
		N Biofunctional materials		
		P Cell/Tissue engineering		
		Q Biocompatible materials/Biosuitable materials		
		R Intelligent materials		
		S Bioconjugate materials		
		T Materials for regenerative medicine and engineering		
		U Drug delivery system		
		V Nano-biomaterials		
		1302	Medical systems	A Medical ultrasonics
				B Medical imaging system
				C Laboratory examination system
				D Minimally invasive treatment system
				E Remote diagnosis and treatment system
				F Organ preservation and treatment system
G Medical information system				
H Computational surgery				
J Medical robotics				
1303	Rehabilitation science/ Welfare engineering			A Rehabilitation science
		A Rehabilitation medicine		
		B Disability science		
		C Physical therapy		
		D Occupational therapy science		
		E Speech language and hearing therapy		
		F Social welfare and health science		
		G Artificial sensory organs		
		H Gerontology		
		J Clinical psychotherapy		
		B Welfare engineering		
		K Engineering for health and welfare		
		L Technology for activities of daily living		
		M Preventive care/Assistive technology		
		N Normalization		
		P Barrier-free system		
		Q Universal design		
		R Robotics for welfare and nursing care		
		S Technology for substituting biological function		
		T Technical aid		
		U Human interface		

**Discipline: Health/Sports science**

Item Number	Research Field	Screening Sub-panel Number / Keyword		
1401	Physical education	A Developmental mechanisms and the body work:		
		A Educational physiology		
		B Physical systems science		
		C Biological information analysis		
		D Higher brain function science		
		E Physical growth developmental science		
		F Sensory and motor development studies		
		B Mental and physical education and culture		
		G Aesthetic education		
		H Physical environment theory		
		J Kinetic theory of leadership		
		K Pedagogy of physical education		
		L Fitness		
		M Cultural theories of physical movement		
		N Philosophy of the body		
		P Life and death education		
		Q Psychology of physical education		
		R Affective science		
		S Outdoor education		
		T Dance education		
		U Girls gymnastics		
		V Adult life stage elderly gymnastics		
		W Martial arts theory		
		X Motion adaptation life science		
		1402	Sports science	A Sports science
				A Sports philosophy
				B Sports history
				C Sports psychology
				D Sports science management
				E Sports pedagogy
				F Training science
				G Sports biomechanics
				H Coaching
J Sports talent				
K Sports for the disabled				
L Sports sociology				
M Sports environment				
N Cultural anthropology of sport				
B Medical and sport sciences				
P Sports physiology				
Q Sports biochemistry				
R Sports nutrition				
S Energy metabolism				
T Exercise and training				
U Sports disorders				
V Doping				
1403	Applied health science	A Health education/Health promotion activities		
		A Health education		
		B Health promotion		
		C Safety propulsion/Safety education		
		D Pedagogy of health education		
		E Stress management		
		F Smoking/Drug abuse prevention education		
		G School health		
		H AIDS and sex education		
		J Health management		
		K Health information		
		L Nutritional guidance		
		M Physical and mental health		
		N Leisure/Recreation		
		B Applied medical health		
		P Lifestyle diseases		
		Q Exercise prescription and exercise therapy		
		R Aging		
		S Sports medicine		
		T Sports immunology		

**Discipline: Human life science**

Item Number	Research Field	Screening Sub-panel Number / Keyword		
1501	General human life sciences	A Home economy		
		A Family finance and home management		
		B Family relations		
		C Lifestyle		
		D Consumer purchasing activities/Life information		
		E Human life and culture		
		F Life of the aged persons		
		G Care for aged and disabled persons		
		H Livelihood culture		
		J Home economics education		
		B Clothing and dwelling life		
		K Clothing life		
		L Clothing environment		
		M Living and lifestyle		
		N Living environment		
		P Life material		
		Q Living design/Living goods		
		1502	Eating habits, studies on eating habits	A Food and cooking
				A Cooking and processing
				B Food storage
				C Sensory evaluation
				D Food materials
				E Cooking and functional constituent
				F Food service
				G Food culture
				H Texture
				J Food item and mastication
B Diet and health				
K Health and dietary life				
L Diet and nutrition				
M Dietary education				
N Dietary habits				
P Dietary behavior				
Q Dietary information				
R Special nutritious food				
S Food and environment				
T Diet plan				
U Family and dietary life				
V Diet evaluation				
W Food management				

**Discipline: Science education/Educational technology**

Item Number	Research Field	Screening Sub-panel Number / Keyword
1601	Science education	1 A Natural science education (mathematics, science, earth science physical chemical biological information)
		B Engineering education
		C Understanding nature
		D Social awareness of science
		E Science literacy
		F Experiment/Observation
		G Science education curriculum
		2 H Environmental education
		J Industrial technology education
		K Science higher education
		L History of science and technology education
		M Science and sociocultural
		N Science and technology policy
P Teacher education/Science communication		
1602	Educational technology	1 A Curriculum/Pedagogy development
		B Teaching-learning support systems
		C Distributed collaborative learning system
		D Human interface
		E Instructional materials information system
		F Utilization of media
		G Distance education
		H E-learning
		2 J Computer literacy
		K Media education
L Learning environment		
M Teacher's education		
N Classroom instruction		

**Discipline: Sociology/History of science and technology**

Item Number	Research Field	Keyword
1701	Sociology/History of science and technology	A Sociology of science
		B Bioethics
		C History of science
		D History of technology
		E Medical history
		F Industrial archaeology
		G Philosophy of science/Theory of science
		H Science, technology and society

**Discipline: Cultural property science**

Item Number	Research Field	Keyword
1801	Cultural property science	A Dating methods
		B Material analysis
		C Production technique
		D Conservation science
		E Archaeological prospection
		F Plants and animal bodies/Human remains
		G Cultural property/Cultural heritage
		H Cultural resources
		J Cultural property policy

**Discipline: Museology**

Item Number	Research Field	Keyword
1851	Museology	A Museum Informatics
		B Museum Education, Museum Pedagogy
		C Museum Information Systems, Museum Informatics
		D Museum Business Management
		E Public Financial and Administration of Museums
		F Museum Material Resources
		G History of Museology

**Discipline: Geography**

Item Number	Research Field	Keyword
1901	Geography	A Geography in general
		B Land use/Landscape
		C Environmental system
		D Regional planning
		E Geography education
		F Regional geography
		G Geomorphology
		H Climatology
		J Hydrology
		K Cartography
		L Geographic information system
		M Remote sensing

**Discipline: Oncology**

Item Number	Research Field	Keyword
1951	Carcinogenesis	A Genome instability
		B Epigenetics
		C Cancer genome analysis
		D Chemical carcinogenesis
		E Radiation carcinogenesis
		F Viral carcinogenesis
		G Bacterial infection and carcinogenesis
		H Inflammation and cancer
		J Laboratory animal models
		K Genetically-modified animals

(Discipline: Oncology)

Item Number	Research Field	Keyword
1952	Tumor biology	A Oncogene
		B Tumor suppressor gene
		C Signalling and gene expression
		D DNA replication
		E Cell cycle
		F Cancer and heredity
		G Apoptosis
		H Cell polarity
		J Cell adhesion and movement
		K Invasion
		L Metastasis
		M Characteristics of cancer cells
		N Cancer microenvironment
		P Angiogenesis
Q Lymphangiogenesis		
R Stem cells		
S Cellular senescence		
T Cellular immortalization		
1953	Tumor immunology	A Humoral immunity
		B Cell immunity
		C Antibody therapy
		D Immunotherapy
		E Vaccine therapy
		F Cell therapy
		G Cytokine
H Immunosuppression		
J Immune activation		
1954	Tumor diagnosis	A Genome analysis
		B Proteomics analysis
		C Expression analysis
		D Individuality diagnosis of cancer
		E Order-made medical treatment
		F Drug efficacy and calculation
		G Biomarkers
		H Tumor markers
		J Molecule imaging
		K Epigenome
L miRNA		
M Functional RNA		
1955	Clinical oncology	A Antitumor substance research and chemical biology
		B Chemotherapy
		C Molecular target therapy
		D Endocrine therapy
		E Drug delivery
		F Physical therapy
		G Gene therapy
		H Nucleid acid therapy
		J Cell therapy
		1956
B Ethnoepidemiology		
C Cohort study		
D Gene-environment interaction		
E Preventive intervention study		
F Chemoprevention		
G Interface between cancer study and society		

**Area: New multidisciplinary fields**

**Discipline: Environmental science**

Item Number	Research Field	Screening Sub-panel Number / Keyword		
2001	Environmental dynamic analysis	A Environmental change		
		B Biogeochemical cycle		
		C Environmental measurements		
		D Environmental model		
		E Environmental information		
		F Global warming		
		G Global change of water cycle		
		H Environmental monitoring of the polar regions		
		J Chemical oceanography		
		K Biological oceanography		
		2002	Environmental impact assessment/ Environmental policy	A Environmental impact assessment
A Terrestrial, aquatic, and atmospheric impact assessment				
B Impact assessment on ecosystem				
C Impact assessment methods				
D Impact assessment on human health				
E Environmental impact assessment for the future generation				
F Human activities in polar regions				
B Environmental policy				
G Environmental philosophy				
H Environmental economics				
J Environmental management				
K Environmental activities				
L Environment and society				
M Consensus forming				
N Environmental safety and security				
2003	Risk sciences of radiation/ Chemicals			A Risk science of radiation
				A Environmental radiation
		B Protection		
		C Basic process		
		D Dosimetry assessment		
		E Damage		
		F Response		
		G Repair		
		H Sensitivity		
		J Impact on life		
		K Risk assessment		
		B Risk science of chemicals		
		L Toxicology		
		M Toxic substance to human		
N Estimation of trace chemicals pollution				
P Endocrine disrupting substances				
2004	Environmental technology/ Environmental materials	A Environmental technology		
		A Environmental conservation technology		
		B Environmental restoration technology		
		C Resource conservation technology		
		D Energy conservation technology		
		E Recycling technology		
		F Reduction technology of environmental impact		
		B Environmental materials		
		G Circular material design		
		H Circulation and processing		
		J Production system of circular materials		
		K Human living environment		
		L Green chemistry		
		M Ecology and environment		

**Discipline: Nano/Micro science**

Item Number	Research Field	Screening Sub-panel Number / Keyword
2101	Nanostructural science	A Chemical system
		A Nanostructural chemistry
		B Cluster/Fine particle
		C Nano/Microreaction field
		D Single molecule manipulation
		E Hierarchical structure/Superstructure
		F Surface/Interface nanostructure
		G Self-assembly
		B Physical system
		H Nanostructure properties
		J Mesoscopic physics
		K Nanoprobes
		L Quantum information
		M Nanotribology
2102	Nanomaterials/ Nanobioscience	A Nanomaterials
		A Creation of nanomaterials
		B Analysis and characterization of nanomaterials
		C Nanosurface/Nanointerface
		D Functional nanomaterials
		E Nanometrology
		F Formation/Control of nanostructures
		G Molecular devices
		H Nanoparticle/Nanotubes
		J Single-molecule science
		B Nanobioscience
		K DNA devices
		L Nano synthesis
		M Molecular manipulation
		N Biochip
		P Single-molecule biochemistry and physiology
		Q Single-molecule bioinformation science
R Single-molecule science		
S Single-molecule imaging/Nanometrology		
T Genomic engineering		
2103	Microdevices/ Nanodevices	A Microdevices/Micromachines
		A Microelectromechanical systems/ Nanoelectromechanical systems (MEMS/NEMS)
		B Microfabrication
		C Micro-optical devices
		D Microchemical systems
		E Micro biosystems
		F Micromechanics
		G Microsensors
		B Nanodevices
		H Nanostructure fabrication
		J Self-assembly
		K Nanoparticle
		L Quantum dot
		M Carbon nanotube
		N Control of nano-properties
		P Quantum effect
		Q Nanoelectronic devices
R Nano-optical devices		
S Spin devices		
T Molecular devices		
U Single-quantum devices		
V Nanomachines		

**Discipline: Social/Safety system science**

Item Number	Research Field	Screening Sub-panel Number / Keyword
2201	Social systems engineering/ Safety system	A Social systems engineering
		A Social engineering
		B Social system
		C Policy science
		D Development planning
		E Management engineering
		F Management system
		G Operations research
		H Quality control
		J Industrial engineering
		K Modeling
		L Logistics
		M Marketing
		N Finance
		P Project management
		Q Environmental management
		R Safety system
		R Safety system
		S Safety engineering
		T Crisis management
		U Urban and social disaster prevention
		V Fire/Accident
		W Safety information/Environmental preparation
		X Community resistance to disaster (evacuation, panic, communication, hazard map)
		Y Reliability engineering
		2202
A Seismic motion		
B Liquefaction		
C Active fault		
D Tsunami		
E Volcanic eruption		
F Volcanic ejecta/Debris flow		
G Seismic hazard		
H Volcanic hazard		
J Damage prediction/Analysis/Mitigation measures		
K Disaster mitigation and buildings		
B Natural disasters		
L Meteorological disasters		
M Hydrological disasters		
N Geo-hazard		
P Landslide		
Q Drought		
R Snow and ice disasters		
S Natural disaster prediction/Analysis/Measures		
T Lifeline disaster prevention		
U Local disaster preparedness plan and policy		
V Rehabilitation and reconstruction engineering		
W Disaster risk assessment		

**Discipline: Genome science**

Item Number	Research Field	Keyword
2301	Genome biology	A Genome structural diversity
		B Animal genome
		C Plant genome
		D Microbial genome
		E Bacterial flora genome
		F Organelle genome
		G Genome evolution
		H Genome architecture
		J Genome maintenance and restoration
		K Genome function expression
		L Gene expression regulation
		M Transcriptome
		N Proteome
		P Metabolome
Q Epigenome		
R Genome database		
S Comparative genome		

(Discipline: Genome science)

Item Number	Research Field	Keyword		
2302	Medical genome science	A Disease-associated gene		
		B Personalized medicine		
		C Gene diagnosis		
		D Human genome diversity		
		E Genome medicine		
		F Regenerative medicine		
		G Genome-wide association study		
		H Human genome resquencing		
		J Genome of model animals		
		K Disease epigenomics		
		L Human population genetics		
		M Statistical genetics		
		N Medical informatics		
		2303	System genome science	A Gene networks
B Protein networks				
C Metabolic networks				
D Development and differentiation				
E Synthetic biology				
F Database biology				
G Modeling and simulation				
H Bioinformatics				
J Database integration				
K Genome analysis technology				
L Functional RNA				
M Epigenome control				
2304	Applied genomics			A Industrial genome sciences
				A Industrial animal genome
		B Industrial plant genome		
		C Bacterial flora in humans and animals		
		D Industrial microorganism genome		
		E Marker breeding		
		F Genome bioengineering		
		B Environmental genome sciences		
		G Environmental genome		
		H Metagenome		
		J Genome and symbiosis		
		K Biodiversity		
		L Conservation of species		
		M Genetic resource		
N Biological database				

**Discipline: Living organism molecular science**

Item Number	Research Field	Keyword		
2401	Living organism molecular science	A Natural product organic chemistry		
		B Secondary metabolite		
		C Searching bioactive molecules		
		D Chemical modification of biomolecules		
		E Biological function related substance		
		F Molecular mechanism of activity expression		
		G Biosynthesis		
		H Design and synthesis of bioactive molecule		
		J Combinatorial chemistry		
		K Chemical ecology		
		L Proteomics		
		2402	Chemical biology	A in vivo functional expression
				B searching medicines
				C searching diagnosis chemicals
D searching agricultural chemicals				
E chemical library				
F structure-activity relationship				
G diversity-oriented organic synthesis				
H bioprobe				
J molecular imaging				
K biomolecule measurements				
L intracellular chemical reactions				



**Discipline: Resource conservation science**

Item Number	Research Field	Keyword
2501	Resource conservation science	A Conservation biology
		B Biodiversity conservation
		C Conservation of biological strains
		D Conservation of genetic resources
		E Ecosystem conservation
		F Native species conservation
		G Seed conservation
		H Cell/Tissue preservation
		J Microbial culture collections

**Discipline: Area studies**

Item Number	Research Field	Keyword
2601	Area studies	A Europe
		B Russia/Slavic area
		C North America
		D Central and South America
		E East Asia
		F Southeast Asia
		G South Asia
		H West Asia/Central Asia
		J Africa/African history
		K Oceania/Oceanian history
		L Global studies
		M Cross-regional comparative studies
		N Aid/Regional cooperation

**Discipline: Gender**

Item Number	Research Field	Keyword
2701	Gender	A Gender differences/Gender roles
		B Sexuality
		C Social thought/Social movements/History
		D Law/Politics
		E Economy/Work
		F Social policy/Social welfare
		G Body/Expression/Media
		H Science and technology/Medicine/Life
		J Education/Human development
		K Development
		L Violence/Sex workers
		M Cross-cultural comparison
		N Women's studies/Men's studies/Queer studies

**Category: Humanities and Social Sciences**

(Discipline: Literature)

**Area: Humanities**

**Discipline: Philosophy**

Item Number	Research Field	Keyword
2801	Philosophy/ Ethics	A Principles of philosophy/Specific theories of philosophy
		B Principles of ethics/Specific theories of ethics
		C Western philosophy
		D Western ethics
		E Japanese philosophy
		F Japanese ethics
		G Comparative philosophy
		H Philosophy of religion
2802	Chinese philosophy	A Chinese philosophy/Thought
		B Chinese Buddhism
		C Taoism
		D Confucianism
2803	Indian philosophy/ Buddhist studies	A Indian philosophy/Thought
		B Buddhist studies/History of Buddhism
2804	Religious studies	A Religious studies in general
		B History of religions
		C Sociology of religion
		D Philosophy of religion
		E Comparative study of religion
2805	History of thought	A History of Western thought
		B History of Eastern and Japanese thought
		C Comparative history of thought
		D History of religious thought
		E History of social thought
		F History of political thought
		G History of scientific thought
		H History of art theory
2806	Aesthetics/ Art history	A Aesthetics
		B Art history

**Discipline: The arts**

Item Number	Research Field	Keyword
2851	Study of the arts/History of the arts/Arts in general	A Musicology
		B Theory of arts
		C Various studies on arts
		D Culture and representation
		E Popular arts
		F Arts and cultural policy

**Discipline: Literature**

Item Number	Research Field	Keyword
2901	Japanese literature	A Japanese literature in general
		B Ancient literature (Nara and Heian periods)
		C Medieval literature (Kamakura and Muromachi periods)
		D Premodern literature (Edo period)
		E Modern and contemporary literature (after Meiji Restoration)
		F Kanbungaku (Chinese literature in Japan)
		G Bibliography/Philology
		H Literary criticism/Literary theory
2902	Literature in English	A English literature
		B American literature
		C Other literatures in English
		D Bibliography/Philology
		E Literary criticism/Literary theory
		F Comparative literature

Item Number	Research Field	Keyword
2903	European literature (English literature excluded)	A French literature
		B German literature
		C Russian and East European literature
		D Other European literatures
		E Western classics
		F Bibliography/Philology
		G Literary criticism/Literary theory
		H Comparative literature
2904	Literatures/ Literary theories in other countries and areas	A Chinese literature
		B African literature
		C Southeast Asian literature
		D Other literatures
		E Bibliography/Philology
		F Literary criticism/Literary theory
		G Comparative literature

**Discipline: Linguistics**

Item Number	Research Field	Screening Sub-panel Number / Keyword
3001	Linguistics	A Phonetics
		B Phonology
		C Morphology
		D Syntax
		1 E Semantics
		F Pragmatics
		G Discourse analysis
		H Scripts and orthography
		J Lexicography
		K Sociolinguistics
		L Psycholinguistics
		M Biolinguistics
		N Historical linguistics
		2 P French linguistics
Q German linguistics		
R Chinese linguistics		
S Other languages		
T Endangered and minority languages		
3002	Japanese linguistics	A Phonetics/Phonology
		B Grammar
		C Morphology, Semantics
		D Writing systems
		E Stylistics
		F Dialect
		G Language in daily life
		H History of the Japanese language
J History of Japanese linguistics		
3003	English linguistics	A Phonetics/Phonology
		B Grammar
		C Morphology, Semantics
		D Stylistics
		E History of the English language
		F History of English linguistics
		G Diversity of the English language
3004	Japanese language education	A Systems of Japanese language education/ Language policy
		B Theories on qualified teachers/ Classroom research
		C Teaching methods/Curriculum planning
		D Theory of second language acquisition
		E Educational technology/Teaching materials/Educational media in general
		F Mother tongue retention/Bilingual education
		G Cross-cultural understanding and communication
		H Japanese affairs
		J History of Japanese language education
		K Educational testing and evaluation

**(Discipline: Linguistics)**

Item Number	Research Field	Screening Sub-panel Number / Keyword
3005	Foreign language education	A Systems of foreign language education
		B Theory of foreign language education/History of foreign language education
		C Teaching methods/Curriculum planning
		D Theory of second language acquisition
		1 E Educational technology/Teaching materials/Educational media in general
		F e-Learning/Computer-assisted language learning
		G Cross-cultural communication
		H Educational testing and evaluation
		J Training of foreign language teachers
		2 K English language education in general
		L Early English education

**Discipline: Human geography**

Item Number	Research Field	Keyword
3201	Human geography	A History of geography/Methodology
		B Economic geography/Transportation geography
		C Political geography/Social geography
		D Cultural geography
		E Urban geography
		F Rural geography
		G Historical geography
		H Regional environment/Natural hazards
		J Geography education
		K Regional planning/Regional policy
		L Regional geography
		M Geographic information system
		N History of cartography

**Discipline: History**

Item Number	Research Field	Keyword
3101	Historical studies in general	A World history
		B History of cultural exchange
		C Comparative history
		D Comparative study of civilizations
		E Study of historical materials
		F Globalization
3102	Japanese history	A Ancient history (Nara and Heian periods)
		B Medieval history (Kamakura and Muromachi periods)
		C Early modern history (Edo period)
		D Modern and contemporary history (after Meiji Restoration)
		E Local history
		F Cultural history
		G History of cultural and diplomatic exchange
		H Japanese history in general
		J Research in historical materials
		3103
B Modern and contemporary Chinese history		
C East Asian history		
D Southeast Asian history		
E South Asian history		
F West Asian/Islamic history		
G Central Eurasian history		
H Comparative history/History of cultural and diplomatic exchange		
3104	History of Europe and America	A Ancient European history
		B Medieval European history
		C Modern and contemporary West European history
		D Modern and contemporary East European history
		E Modern and contemporary South European history
		F Modern and contemporary North European history
		G North and South American history
		H Research in historical materials
J Comparative history/History of cultural and diplomatic exchange		
3105	Archaeology	A Archaeology in general
		B Prehistoric studies
		C Historical archaeology
		D Japanese archaeology
		E Asian archaeology
		F Study of ancient civilizations
		G Study of material culture
		H Experimental archaeology
		J Research in buried cultural assets
		K Archaeological informatics

**Discipline: Cultural anthropology**

Item Number	Research Field	Keyword
3301	Cultural anthropology/ Folklore	A Cultural anthropology
		B Folklore
		C Ethnography
		D Social anthropology
		E Comparative folklore
		F Material culture
		G Prehistoric period/Historic period
		H Arts/Performing arts
		J Religion/Rituals
		K Development/Aid
		L Gender
		M Health care
		N Population/Emigration
		P Minority
		Q Ecology/Natural environment
		R Media

**Area: Social sciences**

**Discipline: Law**

Item Number	Research Field	Keyword
3401	Fundamental law	A Legal philosophy/Legal theory
		B Roman law
		C Legal history
		D Sociology of law
		E Comparative law
		F Foreign law
		G Law and policy
		H Law and economics
3402	Public law	A Constitutional law
		B Administrative law
		C Tax law
		D Constitutional theory
		E Legislative studies
		F Constitutional litigation
		G Comparative constitutional law
		H Constitutional history
		J Administrative organization law
		K Administrative procedure
		L Administrative remedies
		M International tax law
		N Judicial law
		3403
B Private international law		
C International human rights law		
D Law of international organizations		
E International economic law		
F Nationality law		
G International civil procedure		
H International trade law		
3404	Social law	A Labor law
		B Economic law
		C Social security law
		D Education law
3405	Criminal law	A Criminal law
		B Criminal procedure
		C Criminology
		D Criminal justice policy
		E Juvenile law
3406	Civil law	A Civil law
		B Commercial law
		C Civil procedure
		D Legal person
		E Business corporate law
		F Financial law
		G Securities law
		H Insurance law
		J International trade law
		K Insolvency law
		L Alternative dispute resolution
		M Civil execution law
		3407
B Medical law		
C Information law		
D Intellectual property law		
E EU law		
F Law and gender		
G Legal education/Legal theory		

**Discipline: Politics**

Item Number	Research Field	Keyword
3501	Politics	A Political theory
		B History of political thought
		C Political history
		D Japanese politics
		E Political process
		F Electoral studies
		G Public administration
		H Comparative politics
		J Public policy
		3502
B Diplomatic history/International history		
C Foreign policy		
D International security		
E International political economy		
F International cooperation (including theories of international regime and international integration)		
G Transnational issues		
H Global issues		

**Discipline: Economics**

Item Number	Research Field	Keyword
3601	Economic theory	A Microeconomics
		B Game theory
		C Macroeconomics
		D Economic theory
		E Political economy
3602	Economic doctrine/ Economic thought	A Economic doctrine
		B History of economics
		C Economic thought
		D History of economic thought
		E Social thought
		F History of social thought
3603	Economic statistics	A Statistical system
		B Statistical research
		C History of statistics
		D History of statistical theory
		E Population statistics
		F Income/Wealth distribution
		G National accounts
		H Econometrics
3604	Applied economics	A International economics
		B Labor economics
		C Theory of industry
		D Industrial organization
		E Urban economics
		F Environmental economics
		G Health economics
		H Regional economics
3605	Economic policy	A Economic policy
		B Economic affairs
		C Japanese economy
		D Social security
		E Economic system
		F Economic development
		G Policy simulation
3606	Public finance/ Monetary economics	A Public finance
		B Public economics
		C Monetary economics
		D Finance
		E International monetary theory
3607	Economic history	A Economic history
		B Business history
		C Industrial history

**Discipline: Business administration**

Item Number	Research Field	Screening Sub-panel Number / Keyword
3701	Business administration	1 A Corporate management
		B Administrative organization
		C Managerial finance
		D Management information
		E Business administration
		F Corporate strategy
		G International management
		2 H Human resource management
		J Management of technology
		K Corporate social responsibility
		L Business ventures
		3702
B Consumer behavior		
C Distribution		
D Commerce		
E Insurance		
3703	Accounting	A Financial accounting
		B Managerial accounting
		C Auditing
		D Bookkeeping
		E International accounting
		F Tax accounting
		G Governmental accounting
		H Environmental accounting

**Discipline: Psychology**

Item Number	Research Field	Keyword		
3901	Social psychology	A Self-process		
		B Social cognition/Emotion		
		C Attitude/Belief		
		D Social interaction/Interpersonal relations		
		E Interpersonal communication		
		F Group/Leadership		
		G Collective phenomena		
		H Industry/Organization		
		J Culture		
		K Social issues		
		L Environmental issues		
		M Media/Electronic network		
		N Personnel		
		P Work		
		Q Consumer affairs		
		3902	Educational psychology	A Lifelong development
				B Parent-child relationship
				C Developmental disabilities
				D Personality
				E Learning process
F Teaching method				
G Classroom group/Management				
H Educational evaluation				
J Educational counseling				
K Counseling				
L Student counseling				
3903	Clinical psychology			A Psychological disorder
		B Crime/Delinquency		
		C Psychological assessment		
		D Psychotherapy		
		E Psychological intervention		
		F Psychological tests		
		G Self-control		
		H Psychological interviewing process		
		J Case study		
		K Self-help group		
		L Therapist's theory		
		M Community support		
3904	Experimental psychology	N Health development		
		P Rehabilitation psychology		
		Q Health psychology		
		A Physiology		
		B Sensation/Perception		
		C Attention		
		D Learning/Behavior analysis		
		E Memory		
		F Thinking		
		G Language		
		H Motivation		
		J Emotion		
K Behavior				
L Data analysis method				
M Consciousness				
N Principle/History				

**Discipline: Sociology**

Item Number	Research Field	Screening Sub-panel Number / Keyword		
3801	Sociology	1 A Social philosophy/Social thought		
		B History of sociology		
		C General theory		
		D Sociological methodology		
		E Social research		
		F Mathematical sociology		
		G Social interaction/Social relations		
		H Social group/Social organization		
		J Institutions/Structure/Social change		
		K Knowledge/Science/Technology		
		L Politics/Power/State		
		M Body/Ego/Identity		
		N Family/Kinship/Population		
		P Community/Village/City		
		Q Industry/Labor/Leisure		
		R Class/Stratification/Social mobility		
		S Culture/Religion/Social consciousness		
		T Communication/Information/Media		
		2 U Gender/Generation		
		V Education/School		
		W Medical care/Welfare		
		X Social problems/Social movements		
		Y Discrimination/Social exclusion		
		Z Environment/Pollution		
		a International community/Ethnicity		
		3802	Social welfare and social work studies	A Principles of social welfare/Social welfare theory
				B Social welfare ideology/Social welfare history
				C Social security/Social welfare policy
				D Social work
				E Poverty/Social exclusion/Discrimination
F Child welfare/Family welfare/Women's welfare				
G Social welfare for disabled persons				
H Social welfare for aged persons				
J Community welfare/Community social work				
K Social work in health care/Care work				
L School social work/Forensic social work				
M Welfare management/Advocacy/Evaluation				
N International welfare/Welfare NGOs				
P Volunteer/Nonprofit social welfare agencies				
Q Social welfare education/Field instruction				

**Discipline: Educaion**

Item Number	Research Field	Screening Sub-panel Number / Keyword
4001	Educaion	A Philosophy of education
		B Educational thought
		C History of education
		D Curriculum theory
		1 E Instructional theory
		F Academic achievement theory
		G Educational methods
		H Educational evaluation
		J Administration and finance of education
		K School management
		L School education
		2 M Early childhood education/Child-care
		N Lifelong learning
		P Adult and community education
		Q Education at home
		R Education policy
4002	Sociology of education	A Sociology of education
		B Economics of education
		C Anthropology of education
		D Education policy
		E Comparative education
		F Human resource development/Development education
		G School system/School culture
		H Teacher/Student culture
		J Youth problems
		K Academic achievement problem
		L Multicultural education
		M Gender and education
		N Education survey method
		P Educational information system
4003	Education on school subjects and activities	1 A Education of individual subjects (Japanese, mathematics, science, social studies, geography/History, civics, life environmental studies, music, art, home economics, technology, English, information)
		B Education of vocational/Professional subject (industry, bussiness, agriculture, fishery, nursing, welfare)
		C Curriculum composition/development
		D Materials development
		2 E Education excluding subject (global learning, moral, special activities)
		F Guidance
		G Career education
4004	Special needs education	A Education for children with disabilities
		B Special needs education
		C Nursing for infants with disabilities
		D Special needs nursing
		E Inclusion
		F Schools for special needs education
		G Classes for special needs education
		H Resource room education
		J Special educational needs
		K Learning difficulty
		L Intellectual disabilities
		M Developmental disabilities
		N Physical disorders
		P Mental disorder
		Q Disease/Illness
		R Behavioral disabilities
		S Severe multiple disabilities
		T Parenting difficulties/Abuse
U School maladjustment		
V Educational counseling		

**Category: Science and Engineering**

**Area: Mathematical and physical sciences**

**Discipline: Mathematics**

Item Number	Research Field	Screening Sub-panel Number / Keyword
4101	Algebra	A Number theory
		B Group theory
		C Arithmetic geometry
		1 D Representation theory of groups
		E Lie algebra theory
		F Algebraic combinatorics
		G Algebraic analysis
		H Algebraic geometry
		2 J Ring theory
		K General algebra
		4102
B Complex manifold		
C Topology		
D Complex analytic geometry		
E Differential topology		
4103	General mathematics (including Probability theory/ Statistical mathematics)	A Foundation of mathematics
		B Probability theory
		C Mathematical statistics
		D Applied mathematics
		E Combinatorics
		F Mathematics in information science
		G Discrete mathematics
		H Computational mathematics
		J Mathematical model
		K Self-assembly
		4104
B Real analysis		
C Functional equation		
D Functional analysis		
E Stochastic analysis		
4105	Global analysis	A Global theory of functional equation
		B Calculus of variations
		C Nonlinear phenomena
		D Analysis on manifold
		E Dynamical system
		F Operator algebra
		G Integrable system

**Discipline: Astronomy**

Item Number	Research Field	Keyword
4201	Astronomy	A Optical/Infrared astronomy
		B Radio astronomy
		C Solar physics
		D Astrometry
		E Theoretical astronomy
		F X-ray/ $\gamma$ -ray astronomy

**Discipline: Physics**

Item Number	Research Field	Screening Sub-panel Number / Keyword
4301	Particle/ Nuclear/ Cosmic ray/ Astro physics	A Particle physics (theory)
		B Nuclear physics (theory)
		1 C Cosmic ray (theory)
		D Astrophysics (theory)
		E Relativity/Gravitation (theory)
		F Particle physics (experiment)
		G Nuclear physics (experiment)
		H Cosmic ray (experiment)
		2 J Astrophysics (experiment)
		K Relativity/Gravitation (experiment)
		L Accelerator technology
		M Particle detectors
		4302
B Mesoscopic system/Localization		
C Optical properties		
D Surface/Interface		
E Crystal growth		
F Dielectrics		
G Lattice defects		
H X-ray/Particle beam		
J Phonon properties		

(Discipline: Physics)

Item Number	Research Field	Screening Sub-panel Number / Keyword
4303	Condensed matter physics II	1 A Magnetism
		B Magnetic resonance
		C Strongly-correlated system
		D High temperature superconductivity
		E Metal
		2 F Ultralow temperature/Condensed quantum system
		G Superconductivity/Density wave system
		H Molecular solid/Organic conductor
4304	Mathematical physics/ Fundamental condensed matter physics	A Statistical physics
		B Fundamental condensed matter theory
		C Mathematical physics
		D Integrable system
		E Non-equilibrium/Nonlinear physics
		F Applied mathematics
		G Dynamics
		H Fluid physics
		J Disordered system
		K Computational physics
		4305
B Quantum electronics		
C Quantum information		
D Radiation		
E Beam physics		
4306	Biophysics/ Chemical physics	A Polymer/Liquid crystal
		B Chemical physics
		C Biophysics
		D Soft matter physics

**Discipline: Earth and planetary science**

Item Number	Research Field	Keyword		
4401	Solid earth and planetary physics	A Earthquake phenomena		
		B Volcanic phenomena		
		C Crustal movement/Sea floor crustal movement		
		D Geomagnetism		
		E Gravity		
		F Observation methods		
		G Tectonics		
		H Internal structure		
		J Internal variability/physical properties		
		K Solid planets/Satellite/Asteroid		
		L Planet formation and evolution		
		M Exploration of solid planets		
		N Earthquake disasters and prediction		
		4402	Meteorology/ Physical oceanography/ Hydrology	A Meteorology
B Physical oceanography				
C Land-area water cycle/Material circulation				
D Water balance				
E Global environmental system				
F Geophysical fluid dynamics				
G Climatology				
H Planetary atmospheres				
J Air-sea interaction				
4403	Space and upper atmospheric physics			A Solar-terrestrial system/Space weather
		B Solar wind/Interplanetary space		
		C Terrestrial and planetary magnetospheres		
		D Terrestrial and planetary ionospheres		
		E Terrestrial and planetary upper atmospheres		
		F Space plasma		
		G Geomagnetic variation		
		H Plasma waves		
		4404	Geology	A Stratum
				B The earth's crust
				C Environmental geology
D Tectonics				
E Geologic era				
F Earth history				
G Applied geology				
H Planetary geology				
J Quaternary research				
K Geologic hazard				

(Discipline: Earth and planetary science)

Item Number	Research Field	Keyword
4405	Stratigraphy/ Paleontology	A Stratigraphic succession
		B Paleoenvironment
		C Fossil
		D Phylogeny/Evolution/Diversity
		E Paleocology
		F Paleobiogeography
		G Function/Morphology
		H Paleo-ocean
4406	Petrology/ Mineralogy/ Science of ore deposit	A Terrestrial and planetary material
		B Terrestrial and planetary evolution
		C Crust/Mantle/Core
		D Magma/Igneous rock
		E Metamorphic rock
		F Natural and artificial crystals
		G Element fractionation
		H Mineral resources
		J Ore deposit formation
		K Mineral physics
		L Biologic and environmental minerals
		4407
B Isotope/Radiometric age		
C Material recycling		
D Chemistry of the crust and mantle		
E Chemistry of the extraterrestrial material		
F Atmospheric and hydrospheric chemistry		
G Biosphere geochemistry		

**Discipline: Plasma science**

Item Number	Research Field	Keyword
4501	Plasma science	A Basic studies of plasma
		B Plasma applications
		C Plasma diagnostic techniques and instrumentation
		D Plasma physics
		E Electric discharges
		F Reactive plasmas
		G Space and astrophysical plasmas
		H Burning plasma
		J Plasma chemistry
		K Plasma control/Laser

**Area: Chemistry**

**Discipline: Basic chemistry**

Item Number	Research Field	Keyword
4601	Physical chemistry	A Molecular structure
		B Crystal structure
		C Electronic state
		D Molecular dynamics
		E Chemical reaction
		F Reaction dynamics
		G Cluster
		H Solution/Colloid
		J Molecular spectroscopy
		K Molecular excitation process elementary
		L Quantum beam
		M Electron/Energy transfer
		N Surface/Interface
		P Theoretical chemistry
		Q Electrochemistry
R Spin chemistry		
S Biophysical chemistry		
4602	Organic chemistry	A Structural organic chemistry
		B Organic reaction chemistry
		C Synthetic organic chemistry
		D Organoelement chemistry
		E Organic photochemistry
		F Physical organic chemistry
		G Theoretical organic chemistry
4603	Inorganic chemistry	A Metal complex chemistry
		B Organometallic chemistry
		C Inorganic solid-state chemistry
		D Solution chemistry
		E Bioinorganic chemistry
		F Nuclear/Radiochemistry
		G Cluster
		H Supramolecular complex
		J Polynuclear complex
		K Coordination polymer

**Discipline: Applied Chemistry**

Item Number	Research Field	Keyword
4701	Analytical chemistry	A Sample preparation
		B Chemical analysis
		C Biological analysis
		D Chemical analysis by nuclear methods
		E Separation analysis
		F Chemical sensors
		G Chip analysis
		H Chromatography
		J Instrumental analysis
		K Surface and interface analysis
		L Chemical analysis
		M Environmental analysis
		N Bio-material analysis
P Biosensors		
4702	Synthetic chemistry	A Selective synthesis/reaction
		B Complex/Organometallic catalysis
		C Fine chemicals
		D Asymmetric synthesis/reaction
		E Catalyst design/reaction
		F Environmentally friendly reaction
		G Reaction field
		H Automatic synthesis
		J Biotic synthesis technique
		K Combinatorial method



## (Discipline: Applied Chemistry)

Item Number	Research Field	Keyword		
4703	Polymer chemistry	A Polymer synthesis		
		B Polymer reaction/degradation		
		C Asymmetric polymerization		
		D Polymerization catalyst		
		E Non-covalent polymer		
		F Self-assembled polymer		
		G Polymer structure		
		H Polymer properties		
		J Functional polymer		
		K Bio-related polymer		
		L Polymer thin film/surface		
		M Polymer complex		
		N Environment-related polymer		
		4704	Functional materials chemistry	A Optical properties
B Electric/Magnetic function				
C Molecular devices				
D Sensors				
E Molecular recognition				
F Supramolecule				
G Liquid crystal/Crystal				
H Film/Assembly				
J Surface/Interface				
K Colloid/Ultrafine particle				
L Electrochemistry				
M Functional catalysts				
4705	Environmental chemistry			A Green chemistry
				B Recycle chemistry
		C Low environmental load substances		
		D Biodegradable substances		
		E High-functional catalysts		
		F Trace environmental substance evaluation		
		G Reaction media		
		H Safety chemistry		
		J Micro-chemical methods		
		K Highly efficient reaction design		
		4706	Bio-related Chemistry	A Biofunctional chemistry
B Biomacromolecule chemistry				
C Bioinorganic chemistry				
D Natural products chemistry				
E Bioorganic chemistry				
F Biotechnology				
G Nucleic acid/Protein/Sugar chemistry				
H Enzyme chemistry				
J Biological recognition/Biofunctional chemistry				
K Post-genomic drug discovery				
L Biofunctional materials				

## (Discipline: Materials chemistry)

Item Number	Research Field	Keyword
4803	Inorganic industrial materials	A Crystalline/Polycrystalline materials
		B Glass
		C Ceramics
		D Fine particles/Powder
		E Layered/Intercalation compound
		F Ion exchanger/conductor
		G Inorganic synthesis
		H Photocatalyst
		J Electrochemistry
		K Nanoparticle
		L Porous materials
		M Hybrid materials
		4804
B Polymeric material synthesis		
C Textile materials		
D Rubber materials		
E Gel		
F Polymeric functional materials		
G Natural/Biopolymeric materials		
H Polymer alloy		
J Polymer composites		
K Polymer/Textile processing		
L Computational polymer science		

## Discipline: Materials chemistry

Item Number	Research Field	Keyword
4801	Functional materials/ Devices	A Liquid crystal materials/devices
		B Organic EL devices
		C Organic semiconductor devices
		D Optical materials/devices
		E Organic electronic materials/devices
		F Devices for electric conduction
		G Molecular devices
		H Electric/Magnetic devices
		J Battery
		K Condenser (Capacitor)
		L Biofunctional applied devices
		4802
B Hybrid materials		
C Surfactant		
D Dye/Pigment		
E Dye/Color materials		
F Printing/Ink		
G Resist		
H Glue		
J Selective reaction		
K New functional group		

**Area: Engineering**

**Discipline: Applied physics**

Item Number	Research Field	Keyword
4901	Applied materials science/ Crystal engineering	A Metal
		B Semiconductor
		C Magnetic material
		D Superconductor
		E Amorphous
		F Dielectric
		G Ceramics
		H Crystal growth
		J Epitaxial growth
		K Crystal characterization
		L Heterostructure
		M Optical properties
		N Particulate
		P Organic molecule
		Q Liquid crystal
		R New functional materials
		S Spintronics
T Organic/Molecular electronics		
U Bioelectronics		
4902	Thin film/ Surface and interfacial physical properties	A Thin film
		B Surface
		C Interface
		D Plasma process
		E Vacuum
		F Beam application
		G Scanning probe microscopy
		H Electron microscopy
4903	Applied optics/ Quantum optical engineering	A Optics
		B Optical elements/Instrumentation/Materials
		C Imaging/Optical information processing
		D Vision
		E Quantum electronics
		F Laser
		G Nonlinear optics
		H Quantum optics
		J Photonic crystals
		K Opt-electronics
		L Micro-and nano-optics
		M Optical sensing
		N Optical recording
		P Light control
Q Photo-processing		
4904	Applied physics, general	A Force
		B Heats
		C Sounds
		D Waves
		E Electromagnetism
		F Physical measurements and control
		G Standards
		H Sensors
		J Micromachines
		K Energy conversion
		L Plasma physics
		M Radiation
		N Accelerators
4905	Engineering fundamentals	A Mathematical engineering (mathematical analysis/plan/design/optimization)
		B Physical mathematics
		C Computational mechanics
		D Simulation engineering

**Discipline: Mechanical engineering**

Item Number	Research Field	Keyword
5001	Materials/ Mechanics of materials	A Material design/Process/Mechanical properties/Evaluation
		B Continuum mechanics
		C Structural mechanics
		D Damage mechanics
		E Fracture
		F Fatigue
		G Environments
		H Reliability
		J Biomechanics
		K Micromechanics of materials

(Discipline: Mechanical engineering)

Item Number	Research Field	Keyword
5002	Production engineering/ Processing studies	A Modeling for production
		B Production Systems
		C Production management
		D Process design
		E Machine tools
		F Forming process
		G Cutting/Grinding process
		H Special processing
		J Ultraprecision machining
		K Nano/Micro machining
		L Precise positioning/Measurements
		5003
B Shape modeling		
C Computer aided design (CAD)/Computer aided engineering (CAE)		
D Synectics		
E Dynamics of mechanisms		
F Machine elements		
G Functional components		
H Failure diagnostics		
J Safety design		
K Life cycle analysis and design		
L Tribology		
5004	Fluid engineering	
		B Flow measurements
		C Compressible/Incompressible flow
		D Turbulent flow
		E Multi-phase flow
		F Reacting flow
		G Non-Newtonian flow
		H Micro flow
		J Molecular fluid dynamics
		K Bio-fluid mechanics
		L Environmental fluid mechanics
		M Acoustics
		N Fluid machinery
		P Fluid power systems
5005	Thermal engineering	A Thermophysical property
		B Convection
		C Heat conduction
		D Thermal radiation
		E Mass transfer
		F Combustion
		G Micro/Nanoscale heat transfer
		H Thermal engine
		J Refrigeration/Air conditioning
		K Heat transfer equipment
		L Energy use
M Bio-thermal engineering		
5006	Dynamics/ Control	A Dynamics
		B Dynamic design
		C Vibration mechanics
		D Vibration analysis/tests
		E Control instrument
		F Motion control
		G Vibration control
		H Mechanical measurements
		J Aseismic/Seismic isolation design
		K Vehicle and transport system control
		L Acoustic information/Acoustical control
		M Acoustic energy
		5007
B Mechatronics		
C Micro/Nano mechatronics		
D Biomechanics		
E Softmechanics		
F Information equipment/Intelligent (smart) machine systems		
G Precision mechanics and systems		
H Human-machine systems		
J Information systems		

**Discipline: Electrical and electronic engineering**

Item Number	Research Field	Keyword
5101	Power engineering/ Power conversion/ Electric machinery	A Electrical energy engineering (generation/conversion/storage, and energy conservation)
		B Power system engineering
		C Electric machinery
		D Power electronics
		E Effective utilization of electric energy
		F Electric/Electromagnetic compatibility
		G Illumination/Lighting
5102	Electronic materials/ Electric materials	A Electrical and electronic materials (semiconductor, dielectric, magnetic, ferro-dielectric, organic, insulator, superconductor, etc.)
		B Thin film/Quantum structure
		C Thick film
		D Fabrication/Characterization method
5103	Electron device/ Electronic equipment	A Electron device/Integrated circuits
		B Circuit design/Computer aided circuit design (CAD)
		C Optical devices and circuits
		D Quantum devices/Spintronic devices
		E Microwave/Millimeter wave
		F Wave technology and applications
		G Bio devices
		H Information storage/record
		J Display
		K Sensing
		L Micro fabrication process technology
		M Interconnect, packaging and system integration
		5104
B Nonlinear theory/circuits		
C Information theory		
D Signal processing		
E Communication systems (wireless, wired, satellite, optical and mobile)		
F Modulation/Demodulation		
G Coding/Decoding		
H Protocol		
J Antennas		
K Routing/Switching		
L Networks/Local area networks (LAN)		
M Multimedia		
N Cryptography/Security		
5105	System engineering	
		B Social engineering
		C Industrial engineering and management
		D Environmental engineering
		E Production system engineering
		F Biological engineering
		5106
B Sensing devices		
C Measuring/Analyzing instruments		
D Measurement systems		
E Signal processing		
F Sensing information processing		
5107	Control engineering	A Control theory
		B System theory
		C Knowledge-based control
		D Control technology
		E Control systems
		F Complex systems

**Discipline: Civil engineering**

Item Number	Research Field	Keyword
5201	Civil engineering materials/ Construction/ Construction management	A Concrete
		B Steel
		C Bituminous material
		D Composite material/New materials
		E Timber
		F Construction
		G Maintenance/Management
		H Construction business plan/Construction design
		J Construction management

**(Discipline: Civil engineering)**

Item Number	Research Field	Keyword
5202	Structural engineering/ Earthquake engineering/ Maintenance management engineering	A Applied mechanics
		B Structural engineering
		C Steel structure
		D Concrete structure
		E Hybrid structure
		F Wind engineering
		G Earthquake engineering
		H Earthquake resistant structure
		J Earthquake disaster prevention
		K Maintenance engineering
		5203
B Foundation engineering		
C Rock engineering		
D Engineering geology		
E Ground behavior		
F Ground and structure		
G Geotechnical disaster prevention		
H Geo-environmental engineering		
5204	Hydraulic engineering	A Hydraulics
		B Environmental hydraulics
		C Hydrology
		D River engineering
		E Water resources engineering
		F Coastal engineering
		G Port engineering
		H Ocean engineering
5205	Civil engineering project/ Traffic engineering	A Infrastructure planning
		B Regional/Urban planning
		C Nationwide spatial planning
		D Disaster prevention planning/Environmental planning
		E Transportation planning
		F Traffic engineering
		G Railway engineering
		H Surveying/Remote sensing
		J Landscape architecture/Design
		K Infrastructure history
		5206
B Environmental systems		
C Environmental conservation		
D Water and wastewater systems		
E Domestic and industrial wastes		
F Soil and water environments		
G Atmospheric circulation/Noise and vibration		
H Ecological engineering		

**Discipline: Architecture and building engineering**

Item Number	Research Field	Keyword		
5301	Building structures/ materials	A Load theory		
		B Structural analysis		
		C Structural design		
		D Concrete structure		
		E Steel structure		
		F Foundation		
		G Structural material		
		H Building construction method		
		J Maintenance technology		
		K Earthquake disaster prevention		
		L Structure control		
		M Earthquake resistant design		
		N Wind resistant design		
		5302	Architectural environment/ equipment	A Sound/Vibration environment
				B Light environment
				C Heat environment
				D Air environment
E Environmental equipment planning				
F Environmental psychology/physiology				
G Building equipment				
H Fire engineering				
J Global/Urban environment				
K Environment designing				

## (Discipline: Architecture and building engineering)

Item Number	Research Field	Keyword
5303	Town planning/ Architectural planning	A Planning theory
		B Design theory
		C Housing theory
		D Building types/District facilities
		E Urban/Regional planning
		F Administration/System
		G Building/Urban economy
		H Production management
		J Disaster prevention planning
		K Landscape/Environmental planning
		5304
B Urban history		
C Architectural theory		
D Design		
E Style		
F Landscape/Environment		
G Preservation/Renovation		

## (Discipline: Material engineering )

Item Number	Research Field	Keyword
5404	Structural/ Functional materials	A Strength/Toughness/Fracture/Fatigue/ Creep/Stress corrosion cracking/ Superplasticity/Wear
		B Nanostructure
		C Magnetic materials
		D Electronic/Information materials
		E Hydrogen storage materials
		F Fuel cell materials
		G Materials for heat and energy
		H Sensor materials/Optical functional materials
		J Cryogenic material
		K Earthquake resistant/ Environmental resistant materials
		L Biomaterials
		M High-temperature materials
		N Amorphous materials
5405	Material processing/ treatments	P Intelligent/Safety/Relieved material
		Q New functional materials
		R Environment-conscious materials
		S Functional polymeric material
		A Surface/Interface control
		B Corrosion anticorrosion
		C Plastic forming
		D Powder metallurgy
		E Heat treatment
		F Joining/Welding
		G Crystal/Microstructure control
		H Nano process
		J Microfabrication
K Plasma treatment/Laser processing		
L Thermal spraying/Coating/Particle deposition process		
M Plating process		
N Non destructive inspection		
P Thin film process		
Q Nonequilibrium process		
R Mechanical alloying		
S Precision molding process		
T Electrocatalysis		
U Repair/Life-prolonging treatment		
V Electrical connection/Wiring		
5406	Metal making engineering	A Reaction/Separation
		B Materials refining
		C Melting/Solidification
		D Foundry
		E Crystal growth
		F Microstructure control
		G Purification
		H Various manufacturing process
		J Energy saving process
		K Extreme condition/Environmental conscious process
		L Ecological materials
		M Resource separation/Resource conservation
		N Waste management
P Material recycling process		
Q Recycling		
R Materials engineering for safety		

**Discipline: Material engineering**

Item Number	Research Field	Keyword
5401	Physical properties of metals	A Electronic/Magnetic properties
		B Properties of semiconductors
		C Thermal properties
		D Optical properties
		E Mechanical properties
		F Superconductor
		G Properties of thin films
		H Properties of nano materials
		J Computational material properties
		K Surface/Interface/Grain boundary properties
		L Fine particulate/Cluster
		M Quasicrystals
		N Radiation damage
P Atomic/Electronic structure		
Q Lattice defects		
R Diffusion/Phase transformation/Phase diagram		
5402	Inorganic materials/ Physical properties	A Crystal structure/Microstructure control
		B Mechanical/Electronic/Electromagnetic/ Optical/Thermal properties
		C Surface/Interface properties
		D High-temperature properties
		E Grain boundary characteristics
		F Functional ceramics
		G Functional glass
		H Structural ceramics
		J Carbon material
		K Dielectric materials
		L Inorganic polymer
5403	Composite materials/ Physical properties	A Organic/Inorganic fibers
		B Matrix materials
		C Composite effect
		D Dispersion strengthening
		E Continuous fiber reinforcement
		F Fiber reinforced metals (FRM)
		G Fiber reinforced plastics (FRP)
		H Fiber reinforced celamics (FRC)
		J Functionally gradient
		K Composite particle
		L Composite fracture
		M Composite deformation stress
		N Interface failure
P Reaction sintering		
Q Complex polymer		

**Discipline: Process engineering**

Item Number	Research Field	Keyword
5501	Properties in chemical engineering process/ Transfer operation/ Unit operation	A Equilibrium/Transport properties
		B Fluid/Heat transfer/Mass transfer operation
		C Distillation
		D Extraction
		E Absorption
		F Adsorption
		G Ion exchange
		H Membrane separation
		J Hetero-phase separation
		K Ultra high separation
		L Stirring/Blending operation
M Granular and powdered materials operation		
N Crystallization procedure		
P Thin film/Microparticle forming operation		
Q Polymer processing		
5502	Reaction engineering/ Process system	A Gas/Liquid/Solid/Supercritical fluid operation
		B Novel reaction field
		C Reaction rate
		D Reaction mechanism
		E Reaction apparatus
		F Materials synthesis process
		G Polymerization process
		H Measurement
		J Sensors
		K Process control
		L Processing system design
M Process information processing		
N Process operation/Facilities management		
5503	Catalyst/ Resource chemical process	A Catalysis reaction
		B Catalyst preparation chemistry
		C Catalyst performance analysis
		D Energy conversion process
		E Fossil fuel effective utilization technology
		F Resources/Energy effective utilization technology
		G Resources/Energy saving technology
		H Combustion technology
5504	Biofunction/ Bioprocess	A Biocatalyst engineering
		B Biofunction engineering
		C Food engineering
		D Medicochemical engineering
		E Applied bioelectrochemistry
		F Bioproduction process
		G Bioreactor
		H Biosensor
		J Bioseparation
		K Bioinformatics
		L Genomic engineering

**Discipline: Integrated engineering**

Item Number	Research Field	Keyword
5601	Aerospace engineering	A Aerodynamics
		B Structure/Material
		C Vibration/Strength
		D Guidance/Navigation/Control
		E Propulsion/Engine
		F Flight dynamics
		G Aerospace system
		H Design/Instrumentation
		J Special aircraft
		K Space utilization/Exploration
		L Aerospace environment
5602	Naval and maritime engineering	A Propulsion/Vessel dynamics
		B Material/Structural mechanics
		C Marine hydrodynamics
		D Planning/Design/Production system
		E Shipbuilding/Equipment
		F Maritime transportation system
		G Marine engine/Fuel
		H Marine environment
		J Marine resources/Energy
		K Ocean exploration/Equipment
		L Undersea and subsea engineering
M Polar engineering		

**(Discipline: Integrated engineering)**

Item Number	Research Field	Keyword
5603	Earth system and resources engineering	A Applied geology
		B Geo-engineering
		C Remote sensing
		D Monitoring in Geo-engineering
		E Earth systems
		F Resource exploration
		G Natural resource development
		H Resource evaluation
		J Mineral processing
		K Underground disposal and storage
		L Contaminated soil remediation
M Development and utilization of deep underground		
N Material resources		
P Renewable source/Energy		
Q Economic resources		
5604	Recycling engineering	A Waste reduction
		B Reuse
		C Cascade recycling/Utilization
		D Recycling
		E Waste valuable recovery
		F Solid-solid separation
		G Purification of materials
		H Proper treatment and disposal of waste
		J Recycling and LCA
		K Environmentally conscious design
		L Green productions
M Zero emission		
5605	Nuclear fusion studies	A Core plasma
		B Peripheral plasma
		C Plasma measurement
		D Plasma-wall interaction
		E Theoretical simulation
		F Low activation material
		G Fuel/Blanket
		H Electromagnet
		J Inertial confinement fusion
		K Fusion systems engineering
		L Safety/Biological influence
5606	Nuclear engineering	A Radiation engineering/Beam science
		B Reactor physics/Nuclear data
		C Nuclear measurements/Radiation physics
		D Thermo-hydrodynamics/Structure
		E System design/Safety engineering
		F Nuclear material/Nuclear fuel
		G Isotope/Radiation chemistry
		H Fuel cycle
		J Backend
		K Advanced reactors
		L Health physics/Environmental safety
M Social environment of nuclear energy		
5607	Energy engineering	A Energy generation/conversion
		B Energy transport/storage
		C Energy saving/Efficient use of energy
		D Energy system
		E Environmental harmony
		F Natural energy use

**Category: Biological Sciences**

**Area: Biology**

Discipline: Basic biology

Item Number	Research Field	Keyword
5701	Genetics/ Genome dynamics	A Molecular genetics
		B Cytogenetics
		C Population genetics
		D Evolutionary genetics
		E Human genetics
		F Genetic diversity
		G Genome architecture, reorganization, and maintenance
		H Genomic function and expression
		J Developmental genetics
		K Behavioral genetics
		L Mutagen
		M Chromosome
		N Model organism
		5702
B Society		
C Species interaction		
D Assemblage		
E Ecosystem		
F Evolutionary ecology		
G Behavioral ecology		
H Natural environment		
J Physiological ecology		
K Molecular ecology		
L Conservation ecology		
5703	Plant molecular biology/ Plant physiology	
		B Phytohormones/Growth and development/Totipotency
		C Organelles/Cell wall
		D Response to environmental factors
		E Plant-microbe interaction/Symbiosis
		F Metabolism
		G Plant molecular function
5704	Morphology/ Structure	A Animal morphology
		B Plant morphology
		C Microbial morphology
		D Comparative endocrinology
		E Molecular morphology
		F Morphogenesis
		G Tissue construction
		H Microstructure
		J Microscopical technique
		5705
B Neurobiology		
C Neuroethology		
D Behavioral physiology		
E Animal physiology and biochemistry		
5706	Biodiversity/ Systematics	A Metabolism physiology
		B Classification system
		C Evolution
		D Genetic diversity
		E Population/Species diversity
		F Community/Ecosystem diversity
		G Taxonomic character
		H Phylogenetics
		J Speciation
		K Natural history
		L Museum

**Discipline: Biological science**

Item Number	Research Field	Keyword		
5801	Structural biochemistry	A Carbohydrate		
		B Lipid		
		C Nucleic acid		
		D Protein		
		E Enzyme		
		F Gene and chromosome		
		G Biological membrane and receptor		
		H Intercellular matrix		
		J Organelles		
		K Posttranslational modification		
		L Molecular recognition and interaction		
		M Denaturation and folding		
		N Structural analysis and prediction		
		P NMR		
		Q Mass spectrometry		
		R X-ray crystallography		
		S High resolution electron microscopy		
		5802	Functional biochemistry	A Catalytic mechanism of enzyme
				B Regulation of enzyme
C Allosteric effect				
D Enzyme abnormality				
E Gene expression and replication				
F Biological energy transduction				
G Metalloprotein				
H Biological trace element				
J Hormone and bioactive substances				
K Cell signal transduction				
L Membrane transport and transporters				
M Proteolysis				
N Cytoskeleton				
P Immunobiochemistry				
Q Glycobiology				
R Bioelectrochemistry				
5803	Biophysics	A Structure, dynamics and functions of proteins and nucleic acids		
		B Motility/Transport		
		C Biomembranes/Receptors/Channels		
		D Photobiology		
		E Cellular signaling and dynamics		
		F Neural information processing		
		G Theoretical biology/Bioinformatics		
		H Structural biology		
		J Folding		
		K Prediction of structure and function		
		L Single-molecule measurements and manipulation		
		M Bioimaging		
		N Non-equilibrium/Complex systems		
5804	Molecular biology	A DNA replication		
		B DNA damage and repair		
		C Recombination		
		D Transcription		
		E RNA		
		F Translation		
		G Protein modification		
		H Intermolecular interaction		
		J Chromosomal organization, function and segregation		
		5805	Cell biology	A Cell structure and function
B Biomembrane				
C Cytoskeleton/Cell motility				
D Intracellular signaling				
E Intercellular communication				
F Cell cycle				
G Cytokinesis				
H Nuclear structure				
J Cell-cell interaction/Extracellular matrix				
K Protein degradation				
L Chromatin				

## (Discipline: Biological science)

Item Number	Research Field	Keyword
5806	Developmental biology	A Cell differentiation
		B Stem cells
		C Germ layer formation/Gastrulation/Somitogenesis
		D Organogenesis
		E Fertilization
		F Reproduction/Germ cells
		G Regulation of gene expression
		H Developmental genetics
		J Evolution and development
		5807
B Origin of eukaryotic organisms		
C Origin of organelles		
D Origin of multicellularity		
E Molecular evolution		
F Morphological evolution		
G Evolution of function		
H Evolution of genes		
J Evolutionary biology in general		
K Comparative genomics		
L Experimental evolutionary biology		

**Discipline: Anthropology**

Item Number	Research Field	Keyword
5901	Physical anthropology	A Morphology
		B Prehistory/Chronology
		C Biomechanism
		D Molecular anthropology/Genetics
		E Ecology
		F Primates
		G Evolution
		H Growth/Aging
		J Society
		K Behavior/Cognition
		L Reproduction/Development
		M Bone archaeology
		N Geographic diversity
5902	Applied anthropology	A Physiological anthropology
		B Ergonomics
		C Physiological polymorphism
		D Environmental adaptive capacity
		E Systemic relationship
		F Functional potential
		G Techno-adaptability
		H Somatometry
		J Clothing
		K Somatology/Adaptation
		L Constitution/Health
		M Forensic anthropology
		N Medical anthropology

**Area: Agricultural sciences****Discipline: Agriculture**

Item Number	Research Field	Keyword		
6001	Breeding science	A Plant breeding/Plant genetics		
		B Breeding theory		
		C Genetic resources/Phylogeny		
		D Plant molecular breeding		
		E Resistance/Tolerance		
		F Generation of genetic diversity/Analysis of genetic diversity		
		G Gene/Protein		
		H Chromosome engineering		
		J Plant genome information		
		K Quality/Composition		
		L Developmental physiology/Developmental genetics		
		6002	Crop science/Weed science	A Food crop
				B Industrial crop
C Forage crop				
D Cultivation system				
E Crop quality/Crop processing				
F Weed science				
G Weed control				
H Wild plant resources				
6003	Horticulture/Landscape architecture	A Fruit tree		
		B Vegetable		
		C Flower		
		D Use of horticultural plants		
		E Storage of horticultural plants/Processing of horticultural plants		
		F Protected horticulture		
		G Landscaping		
		H Landscape formation/Landscape conservation		
		J Open space planning		
		6004	Plant pathology	A Pathologic
B Pathological physiology				
C Plant-pathogen interactions				
D Pathogenicity factor/Virulence factor				
E Disease control				
F Disease resistance				
G Phylogenetic systematics				
H Infection/Proliferation				
6005	Applied entomology	A Animal pest		
		B Animal pest management		
		C Insect properties development and utilization		
		D Insect pathology		
		E Sericulture/Silk		
		F Insect ecology		
		G Insect physiology		
		H Insect classification		
		J Insect pest management/Biological control		
		K Insect molecular biology		
		L Insect behavior		

**Discipline: Agricultural chemistry**

Item Number	Research Field	Keyword
6101	Plant nutrition/ Soil science	A Plant physiology, growth and development
		B Plant nutrition and metabolism
		C Plant metabolic regulation
		D Fertilizer
		E Soil classification
		F Soil physics
		G Soil chemistry
		H Soil organisms
		J Soil environment
		6102
B Fermentative production		
C Microbial classification		
D Microbial genetics/breeding		
E Microbial enzyme		
F Microbial metabolism		
G Microbial function		
H Microbial application		
J Environmental microorganism		
K Antibiotic production		
L Microbial ecology		
M Control of microbe		
N Genetic resources		
P Gene expression		
6103	Applied biochemistry	A Animal biochemistry
		B Plant biochemistry
		C Enzyme application
		D Genetic engineering
		E Protein engineering
		F Bioengineering
		G Metabolic engineering
		H Cell/Tissue culture
		J Enzyme chemistry
		K Metabolism and physiology
		L Gene expression
		M Production of useful material
		N Cellular response
		P Signal transduction
Q Trace element		
6104	Bioproduction chemistry/ Bioorganic chemistry	A Bioactive substance
		B Regulator of cell function
		C Pesticide science
		D Plant growth substance
		E Signal molecule
		F Biosynthesis
		G Natural products chemistry
		H Bioinorganic chemistry
		J Physical chemistry
		K Analytical chemistry
		L Organic chemistry
		M Bioregulatory chemistry
		N Molecular recognition
		6105
B Provisions chemistry		
C Food biochemistry		
D Food physics		
E Food engineering		
F Food function		
G Food preservation		
H Food manufacturing/processing		
J Nutritional chemistry		
K Nutritional biochemistry		
L Food safety		
M Food analysis		

**Discipline: Forestry**

Item Number	Research Field	Keyword		
6201	Forest science	A Forest productivity/Tree breeding		
		B Forest ecology/Forest protection/Forest conservation		
		C Forest biology		
		D Forest management/Forest policy		
		E Forest landscape		
		F Forest utilization		
		G Revegetation/Environmental conservation forest		
		H Erosion control/Erosion and torrent improvement		
		J Landcollapse/Landslide/Mudflow		
		K Water conservation/Water quality		
		6202	Wood science	A Wood anatomy/Wood formation
				B Materials/Physical properties
				C Cellulose
D Lignin				
E Extractives/Minor extractives				
F Chemical processing				
G Preservation/Wood culture				
H Drying/Machining				
J Adhesion/Wood based material				
K Strength/Wooden construction				
L Habitability/Sensibility				
M Woody biomass				
N Pulp/Paper				

**Discipline: Fisheries science**

Item Number	Research Field	Keyword
6301	General fisheries	A Taxonomy
		B Development
		C Morphology
		D Physiology
		E Ecology/Behavior
		F Fishery
		G Resources/Resource management
		H Aquaculture
		J Genetics/Hereditiy/Breeding
		K Fish disease
		L Aquatic environment/Conservation
		M Algae/Seaweeds
		N Plankton
		P Microorganisms
Q Harmful algae		
6302	Fisheries chemistry	A Biochemistry
		B Metabolism/Enzyme
		C Fish nutrition
		D Molecular biology
		E Bioengineering
		F Biopolymer
		G Natural products chemistry
		H Analytical chemistry
		J Food chemistry
		K Food processing/Preservation
L Hygiene/Food sanitation		
M Food microorganism		



**Discipline: Agro-economics**

Item Number	Research Field	Keyword
6401	Agronomy	A Farm management
		B Agricultural policy
		C Agricultural economy
		D Agricultural finance
		E Agricultural history
		F International agriculture
		G Regional planning
		H Rural society
		J Agriculture and environment
		K Food system
		L Marketing
		M Food safety
		N Agricultural ethics

**Discipline: Agro-engineering**

Item Number	Research Field	Keyword
6501	Irrigation, drainage and rural engineering/ Rural planning	A Hydraulics
		B Hydrology
		C Soil physics
		D Soil mechanics/Applied mechanics
		E Land improvement facilities
		F Material/Construction
		G Irrigation and drainage
		H Land improvement/Agricultural land use planning
		J Regional planning/Community development
		K Regional environment/Countryside landscape
		L Rural ecosystem
		M Water pollution/Water environment
		N Material circulation
		P Soil conservation/Disaster prevention
6502	Agricultural environmental engineering	A Agricultural production environment
		B Bioproduction machinery
		C Postharvest engineering
		D Bioproduction system
		E Farming technology management
		F Agricultural labour science
		G Supply chain management
		H Environment control in biology
		J Greenhouse horticulture/Plant factory
		K Bioprocessing
		L Natural energy use
		M Agricultural meteorology/Micrometeorology
		N Meteorological disasters
		P Global warming impacts
Q Greening environment		
6503	Agricultural information engineering	A Image processing/Image recognition
		B Nondestructive measurement
		C Bioinstrumentation
		D Biosensing
		E Bioinformatics
		F Remote sensing
		G Geographic information system
		H Modeling/Simulation
		J Computer network
		K ICT/Knowledge processing
		L Agricultural robotics
		M Precision agriculture
		N Bioenvironmental information
		P Agricultural information
Q Farming information		

**Discipline: Zootechnical science/Veterinary medical science**

Item Number	Research Field	Keyword		
6601	Zootechnical science/ Grassland science	A Grassland ecology		
		B Grassland utilization		
		C Grassland management/Conservation		
		D Feed/Feedstuffs		
		E Nutrition/Feeding		
		F Livestock production system		
		G Livestock management/Welfare		
		H Wild animal management/utilization		
		J Animal product utilization		
		K Livestock biomass		
		6602	Applied animal science	A Breeding
				B Reproduction
				C Metabolism/Endocrine control
				D Functional substance
E Developmental biotechnology				
F Cloned livestock				
G Livestock genome				
H Wildlife protection/Proliferation				
6603	Basic veterinary science/ Basic zootechnical science			A Hereditary/Genetics
				B Embryology/Fetal development
				C Physiology
				D Morphology
				E Pharmacology
				F Pathology
		G Pathological condition		
		H Pathogenic microorganism		
		J Parasitology		
		K Immunology		
		L Biological information		
		M Behavior		
		6604	Applied veterinary science	A Animal hygiene
				B Veterinary public health
C Toxicology				
D Disease prevention and control				
E Wildlife				
F Animal welfare				
G Zoonoses				
H Epidemiology				
6605	Clinical veterinary science			A Internal medicine
				B Surgery
				C Clinical breeding/Obstetrics
				D Diagnostics
				E Laboratory examination
				F Therapy
		G Prognosis		
		H Clinical pathology/Pathological condition		
		J Regenerative medicine		
		K Anesthesia/Analgetics		
		L Radiology		
		M Animal nursing		

**Discipline: Boundary agriculture**

Item Number	Research Field	Keyword		
6701	Boundary agriculture	A Environmental analysis		
		B Environmental pollution		
		C Environmental reclamation		
		D Environmental purification		
		E Aquatic pollution		
		F Resource recycling systems		
		G Biomass		
		H Genetic resources		
		J Biological environment		
		K Resource environment balance		
		L Regional agriculture		
		6702	Applied molecular and cellular biology	A Gene/Chromosome engineering
				B Protein/Glycosylation engineering
				C Metabolic engineering
D Organelle engineering				
E Cellular engineering				
F Gene expression				
G Development/Differentiation control				
H Cell-cell interaction				
J Intermolecular interaction				
K Biosensor				
L Cellular function				
M Molecular information				
N Functional-molecule design				

**Area: Medicine, dentistry, and pharmacy**

(Discipline: Basic medicine)

**Discipline: Pharmacy**

Item Number	Research Field	Screening Sub-panel Number / Keyword
6801	Chemical pharmacy	A Organic chemistry
		B Synthetic organic chemistry
		C Biomolecules
		D Herbal medicine/Natural products chemistry
		E Mechanistic organic chemistry
		F Heterocyclic chemistry
		G Asymmetric synthesis
6802	Physical pharmacy	A Physical chemistry
		B Analytical chemistry
		C Galenical pharmacy
		D Biophysical chemistry
		E Isotope pharmaceutical chemistry
		F Biocomplex chemistry
		G Molecular structure science
		H Structural biology
		J Imaging
		K Drug delivery
		L Information science
6803	Biological pharmacy	A Biochemistry
		B Molecular biology
		1 C Immunology
		D Cell biology
		E Developmental biology
		F Pharmacology
		2 G Analytical pharmacology
H Neurobiology		
6804	Drug development chemistry	A Medicinal chemistry
		B Medicinal molecular design
		C Bioactive substance
		D Functional science of medicinal molecules
		E Genomic drug development
		F Regulatory science
6805	Environmental pharmacy	A Environmental hygiene
		B Environmental chemistry
		C Environmental dynamics
		D Food hygienics
		E Chemical nutrition
		F Microbiology and infectious diseases
		G Medicinal resources
		H Toxicology
6806	Medical pharmacy	A Clinical pharmaceutical sciences
		B Pharmacokinetics and drug metabolism
		C Medical pharmaceuticals
		D Drug information and clinical toxicology
		E Clinical chemistry
		F Drug economics
		G Personalized medicine
		H Social pharmacy
		J Pharmacy management insurance

**Discipline: Basic medicine**

Item Number	Research Field	Screening Sub-panel Number / Keyword
6901	General anatomy (including histology/embryology)	A Gross anatomy
		B Functional anatomy
		C Clinical anatomy
		D Comparative anatomy
		1 E Radiological anatomy
		F Physical anthropology
		G Morphogenesis and embryogenesis
		H Teratology
		J Experimental morphology
		K Anatomical education
		L Cytology
		M Histology
		N Cell differentiation and tissue formation
		2 P Cell function and morphology
		Q Ultrastructural morphology
		R Molecular morphology
		S Histochemistry
		T Microscopic technology

Item Number	Research Field	Screening Sub-panel Number / Keyword
6902	General physiology	A Molecular and cellular physiology
		B Biological membrane, channel, transporter and active transport
		C Receptor and intracellular signal transduction
		D Stimulation-secretion coupling
		E Epithelial function
		F Heredity, fertilization, development and differentiation
		G Cellular proliferation and cell death
		H Cellular motility, morphogenesis and intercellular interaction
		J Microcirculation, peripheral circulation, circulation dynamics and regulation
		K Ventilation mechanics, blood gas function and respiratory control
		L Gastrointestinal motility, absorption and digestion
		M Renal function, body fluids, and acid-base balance
		N Blood coagulation and rheology
		P Pathophysiology
		Q System physiology and physiome
R Comparative, developmental and genome physiology		
6903	Environmental physiology (including physical medicine and nutritional physiology)	A Environmental physiology
		B Physical medicine
		C Nutritional physiology
		D Adaptive and associative physiology
		E Biorhythm
		F Growth, development, and aging
		G Stress
		H Space medicine
		J Behavioral physiology
		K Biological clock
6904	General pharmacology	L Hyperthermia physiology
		M Feeding regulation
		N Social environment
		P Sleep and arousal
		Q Reproductive physiology
		A Kidney
		B Smooth muscle and skeletal muscle
		C Gastrointestinal
		D Inflammation and immunity
		E Bioactive substance
F Central nervous system and peripheral nerve		
G Spinal cord and pain		
H Receptor, channel, transport system, and signal transduction system		
J Cardiovascular system and hematology		
K Drug discovery and pharmacogenomics		
L Drug therapy and toxicology		
M Herbal medicine and pharmacology of natural products		
6905	General medical chemistry	A Biomolecular medicine
		B Cellular biochemistry (cellular medical chemistry)
		C Genomic biochemistry (genomic medical chemistry)
		D Developmental medicine
		E Regenerative medicine
		F Aging medicine
		G Higher order life sciences
		H Intracellular signaling

## (Discipline: Basic medicine)

Item Number	Research Field	Screening Sub-panel Number / Keyword		
6906	Pathological medical chemistry	A Abnormal metabolism		
		B Molecular pathogenesis		
		C Molecular and gene diagnosis		
		D Molecular oncology		
		E Molecular pathogenesis of nutrition		
6907	Human genetics	A Medical genome science		
		B Molecular genetics		
		C Cytogenetics		
		D Pharmacogenetics		
		E Genetic biochemistry		
		F Genetic epidemiology		
		G Genetic diagnostics		
		H Gene therapy		
		J Genetic counseling		
		K Bioethics		
		L Epigenetics		
		6908	Human pathology	A Brain and nervous system
				B Digestive system and salivary gland
C Respiratory and mediastinal organs				
1 D Cardiovascular system				
E Urogenital and endocrine organs				
F Bone, joint, muscle, skin and sense organs				
G Blood				
H Molecular pathology				
J Geographic pathology				
2 K Diagnostic pathology				
L Telepathology				
M Environmental pathology				
N Transplantation pathology				
6909	Experimental pathology			A Animal
				1 B Cells
				C Molecules
				D Ultrastructure
		E Tumors		
		F Inflammation		
		G Toxicological pathology		
		2 H Developmental pathology		
		J Animal models		
		K Regenerative medicine		
6910	Parasitology (including sanitary zoology)	A Helminth		
		B Protozoa		
		C Arthropod vector		
		D Pathogenic animals		
		E Molecule		
		F Epidemiology		
		G Incidence		
		H Genetics		
		J Immunity		
		K Tropical diseases and international health		
		6911	Bacteriology (including mycology)	A Pathogenicity
B Infection immunity				
C Epidemiology				
D Genetics				
E Classification				
F Diagnosis				
G Structure and physiology				
6912	Virology	A Molecules		
		B Cells		
		C Whole body		
		D Epidemiology		
		E Pathogenicity		
		F Diagnosis and treatment		
		G Protection/Vaccine		
		H Prions		
6913	Immunology	A Cytokines		
		B Antibodies		
		C Antigen recognition		
		D Lymphocytes		
		E Innate immunity		
		F Acquired immunity		
		G Mucosal immunity		
		H Immunological memory		
		J Immune tolerance/Autoimmunity		
		K Immune surveillance/Tumor immunology		
		L Immunodeficiency		
		M Allergy/Immune-related disorder		
		N Immunoregulation/Transplantation immunology		

## Discipline: Boundary medicine

Item Number	Research Field	Keyword		
7001	Medical sociology	A Hospital administration		
		B Medical administration		
		C Medical informatics		
		D Bioethics		
		E Medical history		
		F Medical and pharmaceutical education		
		G Health economics		
		H Risk management		
		J Quality of medical care		
		K Community medicine		
		L Health policy science		
		M Social security science		
		N Care and welfare		
		P Health policy evaluation		
		Q Infection control science		
7002	Applied pharmacology	A Clinical pharmacology		
		B Clinical trials and ethics		
		C Pharmaceutical therapeutics		
		D Adverse drug reaction and drug interaction		
		E Drug transport mechanism		
		F Pharmacogenomics		
		G Clinical isotope pharmacy		
		H Medical devices and pharmacy		
		J Drug metabolic enzyme and transporter		
		K Imaging		
		L Research using human tissue		
		M Drug dependence and drug sensitivity		
		N Genetic diagnosis and gene therapy		
		P Drug delivery		
		Q Pharmacoepidemiology		
7003	Laboratory medicine	A Clinical laboratory medicine		
		B Clinical pathology		
		C Clinical chemistry		
		D Immunology and serology		
		E Clinical laboratory system		
		F Genetic testing		
		G Clinical microbiology		
		H Laboratory oncology		
		J Clinical hematology		
		K Physiological laboratory testing		
		7004	Pain science	A evaluation methods of pain
				B epidemiology of pain
C analgesic				
D non-drug therapy				
E pain producing substance (PPS), algescic substance				
F generating or exacerbating mechanism of pain				
G neural mechanism of pain				
H hyperalgesia				
J genetic factors of pain				
K development or aging factors of pain				
L Gender difference in pain				
M Pain withdrawal reflex				
N numbness, hypesthesia				
P nociceptor				
Q histopathic pain, histotoxic pain				
R neuropathic pain, neuralgia				
S psychological pain				
T itching, pruritus				
U epidemiology of itching, or pruritus				
V antipruritics				
W itch-producing substances				
X generating or exacerbating mechanism of pruritus				
Y neural mechanism of pruritus				
Z curettage behavior				
a hyperknesis				
b psychological itching				
c development or aging factors of itching				

**Discipline: Society medicine**

Item Number	Research Field	Keyword		
7101	Hygiene	A Environmental health		
		B Preventive medicine		
		C Industrial health		
		D Environmental epidemiology		
		E Molecular epidemiology		
		F Medical statistics		
		G Bioethics		
		H Environmental toxicology		
		J Industrial toxicology		
		K Environmental physiology		
		L Global environment		
M Disaster accident				
N Ergonomics				
P Traffic medicine				
Q Food sanitation				
7102	Public health/ Health science	A Community health nursing		
		B Maternal and child health		
		C School health		
		D Adult health issues		
		E Health/Nutrition		
		F Health management		
		G Health education		
		H Behavioral healthcare		
		J Population problem		
		K International health		
		L Health administration		
		M Hospital management		
		N Medical informatics		
		P Care insurance		
		Q Epidemiology		
		R Medical examination		
		S Mass-screening		
		7103	Legal medicine	A Forensics
				B Medical ethics
C Criminal psychiatry				
D Correctional medicine				
E Compensation science				
F Medical record management				
G Forensic examination				
H Alcohol research				
J Forensic odontology				
K DNA polymorphism				
L Forensic pathology				

**Discipline: Clinical internal medicine**

Item Number	Research Field	Screening Sub-panel Number / Keyword
7201	General internal medicine (including psychosomatic medicine)	A Psychosomatic internal medicine
		B Stress science
		C Oriental medicine
		D Alternative medicine
		E Palliative medicine
		F General medicine
		G Primary care
		H Geriatrics
7202	Gastroenterology	1 A Upper gastroenterology (esophagus, stomach, duodenum)
		2 B Lower gastroenterology (small intestine, colon)
		3 C Hepatology
		4 D Biliary-Pancreatology
		5 E Digestive endoscopy
7203	Circulatory organs internal medicine	1 A Clinical cardiology
		2 B Molecular cardiology
		3 C Molecular vascular biology
7204	Respiratory organ internal medicine	1 A Obstructive lung disease
		2 B Non-obstructive lung disease, pulmonary fibrosis, respiratory infection and other diseases
7205	Kidney internal medicine	1 A Nephrology
		B Hypertension
		2 C Water and electrolyte metabolism
		D Hemodialysis

**(Discipline: Clinical internal medicine)**

Item Number	Research Field	Screening Sub-panel Number / Keyword
7206	Neurology	A Molecular pathophysiology
		1 B Neuroimmunology
		C Clinical molecular neurogenetics
		D Clinical neurophysiology
		2 E Clinical neuromorphology
		F Clinical neuropsychology
		G Functional neuroimaging
7207	Metabolomics	A Disturbances of energy and carbohydrate metabolism
		1 B Metabolic syndrome
		C Abnormal lipid metabolism
		D Disorder of purine metabolism
		2 E Abnormal bone and calcium metabolism
		F Metabolic electrolyte abnormality
7208	Endocrinology	A Endocrinology
		B Reproductive endocrinology
7209	Hematology	A Hematology
		1 B Hematology/Oncology
		C Thrombosis/Hemostasis
		D Transfusion medicine
		2 E Hematopoietic stem cell transplantation
		F Hematology/Immunology
		G Immune regulation
7210	Collagenous pathology/ Allergology	1 A Connective tissue diseases
		B Rheumatology
		C Allergology
		2 D Clinical immunology
		E Inflammation
7211	Infectious disease medicine	A Infection diagnosis
		B Infection therapy
		C Infection prevention
		D International infection science
		E Infection epidemiology
		F Opportunistic infection
7212	Pediatrics	A Developmental pediatrics
		B Growth and developmental medicine
		C Pediatric neurology
		1 D Pediatric endocrinology
		E Pediatric metabolism/Nutrition
		F Hereditary/Teratology
		G Pediatric health
		H Pediatric social medicine
		J Pediatric hematology
		K Pediatric oncology
		2 L Pediatric immunology/Allergy/Connective tissue diseases
		M Pediatric cardiology
		N Pediatric respiratory
		3 P Pediatric infectious disease
Q Pediatric nephrology/Urology		
R Pediatric gastroenterology		
7213	Embryonic/ Neonatal medicine	A Prenatal diagnosis
		B Fetal medicine
		C Teratology
		D Neonatal medicine
		E Premature baby medicine
7214	Dermatology	A Skin diagnostics
		1 B Dermatopathology
		C Dermatologic oncology
		D Laser therapeutics
		E Skin physiology
		2 F Pigment cell biology
		G Sexually transmitted diseases
		H Infectious diseases
J Inflammation and regeneration		
7215	Psychiatric science	A Psychopharmacology
		1 B Clinical molecular genetics
		C Psychophysiology
		D Psychopathology
		E Social psychiatry
		F Child and adolescence psychiatry
		2 G Geriatric psychiatry
		H Forensic psychiatry
		J Neuropsychology
		K Liaison psychiatry
		L Psychiatric rehabilitation

## (Discipline: Clinical internal medicine)

Item Number	Research Field	Screening Sub-panel Number / Keyword
7216	Radiation science	1 A Medical imaging (including diagnostic)
		B X-Ray/CT
		C Magnetic resonance imaging
		D Nuclear medicine (including PET)
		E Radiopharmaceuticals/Contrast medium
		F Radiation safety management
		G Medical imaging technology
		2 H Interventional radiology
		J Angioplasty/Osteoplasty/Vascular embolization
		K Radiofrequency ablation (RFA)/Stent treatment/Reserver treatment
		L Therapeutic radiology
		M Radiation oncology
		3 N Radiotherapy physics
		P Radiotherapy biology
Q Particle beam therapy		

## (Discipline: Clinical surgery)

Item Number	Research Field	Screening Sub-panel Number / Keyword		
7308	Obstetrics and gynecology	1 A Obstetrics		
		B Reproductive medicine		
		C Gynecology		
		2 D Gynecologic oncology		
		E Menopause medicine		
7309	Otorhinolaryngology	1 A Otolaryngology		
		2 B Rhinology		
		C Head and neck surgery		
		D Tracheal esophageal study		
		3 E Laryngology		
		F Pharyngology		
7310	Ophthalmology	A Clinical research		
		B Epidemiology study		
		C Social medicine		
		D Ocular biochemistry and molecular biology		
		1 E Ocular cell biology		
		F Ophthalmic genetics		
		G Ocular histology		
		H Ocular pathology		
		J Ocular pharmacology		
		K Ocular physiology		
		L Ocular developmental and regenerative biology		
		2 M Ocular immunology		
		N Ocular microbiology/Infectious diseases		
		P Orthoptic science		
		Q Ophthalmological optics		
		R Ophthalmic medical engineering		
		7311	Pediatric surgery	A Gastroenterology of congenital diseases
				B Surgery of congenital cardiovascular diseases
C Fetal surgery				
D Pediatric urology				
E Pediatric chest surgery				
F Pediatric oncology				
7312	Plastic surgery	A Reconstructive surgery		
		B Wound healing science		
		C Microsurgery		
		D Tissue culture/Transplantation		
		E Regenerative medicine		
7313	Emergency medicine	A Intensive care medicine		
		B Trauma surgery		
		C Emergency resuscitation science		
		D Acute toxicology		
		E Disaster medicine		

## Discipline: Clinical surgery

Item Number	Research Field	Screening Sub-panel Number / Keyword
7301	General surgery	1 A General surgery
		B Transplant surgery
		C Artificial organs science
		D Vascular surgery
		2 E Experimental surgery
		F Endocrine surgery
		G Breast surgery
		H Surgical metabolism and nutrition
7302	Digestive surgery	1 A Esophageal surgery
		B Gastrointestinal surgery
		2 C Colorectal surgery
		3 D Hepatic surgery
		E Surgery for spleen and portal vein
		4 F Biliary surgery
		G Pancreatic surgery
7303	Thoracic surgery	1 A Cardiovascular surgery
		B Chest surgery
		2 C Mediastinal surgery
		D Pleural surgery
7304	Cerebral neurosurgery	A Head injury
		B Cerebrovascular disorder
		1 C Cerebral blood vessel surgery
		D Experimental brain surgery
		E Diagnostic neuroimaging
		F Brain tumor
		G Functional cranial nerve surgery
		2 H Pediatric neurological surgery
		J Spinal cord/Spine disease
		K Brain surgical instruments
		L Radiation neurological surgery
		7305
B Muscle/Nerve disorders		
1 C Physical therapy		
D Musculoskeletal rehabilitation		
E Bone and soft tissue tumors		
F Limb reconstruction surgery		
2 G Pediatric orthopaedics		
H Musculoskeletal traumatology		
J Joint disorders		
3 K Rheumatic diseases		
L Bone cartilage metabolism		
M Sports medicine		
7306	Anesthesiology/Resuscitation studies	1 A Anesthesiology
		B Resuscitation studies
		C Perioperative management
		2 D Pain management
7307	Urology	1 A Oncology
		B Voiding function and dysfunction
		C Urolithiasis studies
		2 D Infectious diseases
		E Regenerative medicine
		F Teratology
		G Adrenal surgery
		3 H Kidney transplantation
		J Andrology

## Discipline: Dentistry

Item Number	Research Field	Keyword
7401	Morphological basic dentistry	A Oral anatomy (including histology/embryology)
		B Oral pathology
		C Oral bacteriology
7402	Functional basic dentistry	A Oral physiology
		B Oral biochemistry
		C Dental pharmacology
7403	Pathobiological dentistry/Dental radiology	A Experimental oncology
		B Immunity/Infection/Inflammation
		C General dental radiology
		D Oral and maxillofacial radiology
7404	Conservative dentistry	A Operative dentistry
		B Endodontist
7405	Prosthetic dentistry	A General prosthodontics
		B Removable denture prosthodontics
		C Fixed partial denture prosthodontics
		D Oral and maxillofacial prosthetics
		E Stomatognathic function
7406	Dental engineering/Regenerative dentistry	A Dental science and engineering
		B Dental materials science
		C Biomaterials science
		D Adhesion dentistry
		E Regenerative dentistry
		F Oral implantology
7407	Surgical dentistry	1 A Oral and maxillofacial surgery
		2 B Clinical oncology
		C Dental anesthesiology
		3 D Pathobiological examination
		E Oral maxillofacial reconstructive surgery

## (Discipline: Dentistry)

Item Number	Research Field	Screening Sub-panel Number / Keyword
7408	Orthodontic/ Pediatric dentistry	A Orthodontics
		B Pediatric dentistry
		C Pediatric oral health science
		D Stomatognathic function and mechanics
7409	Periodontal dentistry	A Periodontal immunology
		B Surgical periodontology
		C Preventive periodontology
7410	Social dentistry	A Dental hygiene (including public hygiene/nutrition)
		B Preventive dentistry
		C Oral health administration and management
		D Forensic odontology
		E Gerodontics
		F Psychosomatic medicine dentistry

## Discipline: Nursing

Item Number	Research Field	Screening Sub-panel Number / Keyword
7501	Fundamental nursing	A Nursing philosophy
		B Nursing ethics
		C Nursing art
		D Nursing education
		E Nursing management
		F Nursing policy/Administration
		G Disaster nursing
		H History of nursing
7502	Clinical nursing	A Critical care/Emergency nursing
		B Perioperative nursing
		C Adult nursing (chronic)
		D Rehabilitation nursing
		E Terminal care
		F Oncology nursing
7503	Lifelong developmental nursing	A Family health nursing
		B Maternal/Women's nursing
		C Midwifery
		D Child health nursing
7504	Community health/ Gerontological nursing	A Community health nursing
		B Public health nursing
		C School nursing
		D Occupational and environmental health nursing
		E Gerontological nursing
		F Psychiatric/Mental health nursing
		G Home nursing
		H Visiting nursing
		J Family health nursing
		K Rehabilitation nursing

## **IV. Instructions & Procedures for those Who Have Already Been Accepted**

**1. On the handling of research projects that are scheduled to be continued in FY2011 (hereinafter called “continued research projects”).**

### **(1) Specially Promoted Research**

- 1) It is not necessary to submit application forms for research projects the continuation of which has been informally agreed in FY2010 (continued research projects). (However, in order to receive the grant-in-aid, it is necessary to prepare and to submit the necessary documents like the grant application form, after receiving a notification of the informal decision to offer the grant-in-aid)
  
- 2) However, **if the applicant would like to make significant changes in the research project, he/she needs to submit the application forms.**

Because the application procedure is the same as for “Preparing the Application (Proposal for Grant-in-Aid) and Submitting the Application (Proposal for Grant-in-Aid)” (see page 38), the applicant should verify it. In this case, when preparing the Proposal for Grant-in-Aid, he or she should select the same area as when he or she was accepted for the Desired Area for Screening.

Moreover, since, in this case, the application needs to be screened again, it may happen that the change will not be recognized and that the amount of the budget to be granted will not be granted from FY2011 on.

Moreover, a significant change to the research project can be, concretely speaking, (1) a change to the purpose of the research or a change to the title of the proposed project, (2) a change to the annual plan of the budget that is scheduled to be funded from FY2011, (3) an increase or a reduction of the budget, and a shortening of the research period, etc. Please consult in advance with the Scientific Research Aid Division No. 2 of the Department of Research Projects of the Japan Society for the Promotion of Science (JSPS), in order to know whether the change the applicant wants to make falls under these categories (see “Inquiries” on page 126).

### **(2) Research categories except Specially Promoted Research**

- 1) It is not necessary to submit application forms for research projects the continuation of which has been informally agreed in FY2008 (continued research projects). (However, in order to receive the grant-in-aid, it is necessary to prepare and to submit the necessary documents like the grant application form, after receiving a notification of the informal decision to offer the grant-in-aid)

- 2) However, **if the applicant would like to make significant changes in the research project, he/she needs to submit the application forms.** Because the application procedure is the same as for “Preparing the Application (Proposal for Grant-in-Aid) and Submitting the Application (Proposal for Grant-in-Aid)” (see page 38), the applicant should verify it.

Moreover, since, in this case, the application needs to be screened again, it may happen that the change will not be recognized and that the amount of the budget to be granted will not be granted from FY2011 on. Therefore, the applicant should consult in advance with the Scientific Research Aid Division No. 1 of the Department of Research Projects of the Japan Society for the Promotion of Science (JSPS), in order to know whether the change the applicant wants to make falls under these categories (see “Inquiries” on page 126).

As a general rule, **applications for an increase of the budget of the grants-in-aid for continued projects are not accepted.**

- 3) **As a general rule, withdrawing from a continued research project and applying for a new research project will not be accepted.**

**However, in case the applicant changes the research category and aims for a new research development (※), because the research proceeded beyond expectation, and because the original attainment targets of the continued research project have already been reached, he or she can apply for a new research project, after submitting a Notice of Completion of Research Project and a Statement of Reason by October 27 (Wednesday), 2010. (Documents that arrive later will not be accepted.)**

Moreover, please note that, if the content of the Statement of Reason is deemed inappropriate by the screening panel for applications for new research projects, the research project for which a new application is made becomes ineligible for screening, and that, in this case, no funding of a grant-in-aid from FY2011 on can be requested for the continued research project that has already been completed.

※ “Cases where the applicant changes the research category and aims for a new research development” are cases where the applicant makes a change such as, for example, from “Scientific Research (C) (General)” to “Scientific Research (B) (General)”. However, it also includes cases where the applicant only makes a change to the screening division, such as, for example, a change from “Scientific Research (A) (General)” to “Scientific Research (A) (Overseas Academic Research)”.



## **2. On the Handling of Continued Research Projects in Which Students Have Joined as Project Members**

Students, such as, for example, graduate students, cannot apply for Grants-in-Aid for Scientific Research. Therefore, from the call for proposals of FY2011 on, students cannot apply, even if they hold a position in which they conduct research activities in the research institution to which they belong or in another research institution.

However, persons who have a position consisting of conducting research activities in the research institution to which they belong, as their main work (e.g., university teaching staff, researchers from companies, etc.), and who also have a student status are not included in the term “student” for the purposes of this process.

Moreover, they can implement research projects also from FY2011 on, but only if they are already implementing the research in question as Principal Investigator. Furthermore, if they are already participating as Co-Investigator (*kenkyū-buntansha*) or Co-Investigator (*renkei-kenkyūsha*), they need to withdraw as project members when they apply for receipt of funding for the research project in question.

## **3. On the Handling of Continued Research Projects in Which Research Grant Employees Have Joined as Project Members**

Research grant employees, as a rule, need to concentrate on their employment related work according to their employment contracts. Therefore, in the same way as for new research projects, considering the working hours they need to allot to the employment related work, they cannot implement research funded with Grant-in-Aid for Scientific Research themselves.

However, if they provide a clear explanation on the time they can spend besides their employment related work, and if during this time they themselves attempt to conduct research using a Grant-in-Aid for Scientific Research, on their own initiative, they can implement research using a Grant-in-Aid for Scientific Research, on condition that the following points have been verified in the research institution. In this case, they can implement it as Principal Investigator, and they can also become Co-Investigator (*kenkyū-buntansha*), Co-Investigator (*renkei-kenkyūsha*), or other project members.

- It has been determined in the employment contract that research grant employees themselves can conduct research on their own initiative, besides the employment related work.
- The employment related work and the work devoted to research that they conduct themselves on their own initiative has clearly been divided in the working hours and the

effort.

- Time that can be allotted to research which they attempt to conduct themselves on their own initiative has sufficiently been secured, besides the time spent for employment related work.

(Note) As a rule, research grant employees are in a position where they receive instructions from the Principal Investigator or other researchers, and where they are engaged solely in work funded with a Grant-in-Aid for Scientific Research at their place of employment. Therefore, from FY2010 on, it is clearly written in the subsidiary conditions that “When employing a Research Collaborator, it is not the Principal Investigator but the research institution who, as a party, has to conclude an employment contract in which the work content, the working hours and other matters are clearly mentioned.”

#### **4. On the Handling of Continued Research Projects in Which the Principal Investigator Has Failed to Submit the Report on the Research Achievements**

In the same way as for new research projects, no Grants-in-Aid for Scientific Research will be funded to researchers who do not submit the report on the research achievements at the end of the research, without any reason. Moreover, it may happen that the decision to grant the funding to the researcher in question is cancelled, or that an order to return the grant is issued.

Furthermore, if researchers have failed, without good reason, to submit the scheduled report on the research achievements, then implementation of other Grants-in-Aid for Scientific Research due to be implemented in the same fiscal year will be suspended.

#### **5. On the Replacement of Principal Investigators in Continued Research Projects**

The Principal Investigator is the researcher who assumes full responsibility for the implementation of the research plan, and thus plays a central role. Persons who, at the time they apply, are expected to lose their eligibility to apply during the research period, due to retirement or other reasons, and thus become unable to carry out their responsibility, are requested to avoid becoming a Principal Investigator.

For this reason, from FY2011 on, also replacements of Principal Investigators of research projects that already have been adopted will no longer be accepted.

However, for the following research projects, it may happen that, after completion of the necessary procedures, replacements of Principal Investigators are accepted.

- “Summarizing Group Research Project”, “Support Group Research Project” and “Adjustment Group Research Project” of Scientific Research on Priority Areas  
(For Scientific Research on Priority Areas, it may happen that replacements of Principal Investigators (or Principal Investigators of Innovative Areas) of “Summarizing Group Research Project” and also replacements of Principal Investigators of “Support Group

Research Project” and “Adjustment Group Research Project” are accepted. However, Principal Investigators of “Other Planned Research” and Principal Investigators of “Publicly Invited Research” cannot be replaced.)

- “Summarizing Group Research Project” and “Support Activity in 3 Areas of Bioscience” of Scientific Research on Innovative Areas (Research in a proposed research area)

For Scientific Research on Innovative Areas (Research in a proposed research area), it may happen that replacements of Principal Investigators (or Principal Investigators of Innovative Areas) of “Summarizing Group Research Project” and Principal Investigators of “Support Activity in 3 Areas of Bioscience” are accepted. However, Principal Investigators of “Other Planned Research” cannot be replaced.

## V. Instructions & Procedures for Staff of the Research Institution

### 1. Issues to Be Completed Beforehand by the “Research Institution”

#### (1) Requirements as a “Research Institution” and Procedures for Designation and Change

**In order to apply for Grants-in-Aid for Scientific Research, a researcher needs to belong to a “Research Institution”.**

Concerning the “Research Institution” cited here, the following four types of “Research Institution” have been designated as eligible in Article 2 of the Rules for the Handling of Grants-in-Aid for Scientific Research (announced by the Ministry of Education, Culture, Sports, Science and Technology).

- 1) Universities and inter-university research institutions
- 2) MEXT facilities and other institutions engaged in scientific research
- 3) Technical colleges
- 4) Institutions designated by the Minister of MEXT (See note.)

(Note) In order to become research institution, institutions not falling under 1) to 3) first need to receive the designation by the Minister of Education, Culture, Sports, Science and Technology (MEXT). Therefore, applicants should consult with the Scientific Research Aid Division of the Research Promotion Bureau of the Ministry of Education, Culture, Sports, Science and Technology (MEXT).

Moreover, if changes in one of the following items have been scheduled, institutions that have received the designation by the Minister of Education, Culture, Sports, Science and Technology (MEXT) and already have been recognized as research institution should promptly report the content of these changes to the Scientific Research Aid Division of the Research Promotion Bureau of the Ministry of Education, Culture, Sports, Science and Technology (MEXT).

- ① abolition or dissolution of the research institution,
- ② name and address of the research institution, and name of the representative,
- ③ matters concerning laws, regulations, endowment acts and other rules that prescribe the purpose of establishment, the business content, and the internal organization of the research institution.

Moreover, **researchers should consider that**, in order to conduct research activities using Grants-in-Aid for Scientific Research, **the research institution should meet the requirements mentioned below.**

#### (Requirements)

- ① **if a grant-in-aid is given, the research activity should be conducted as an activity of the research institution in question,**
- ② **if a grant-in-aid is given, the research institution should carry out the management of the grant-in-aid.**

## **(2) Verification of the Eligibility to Apply of the Affiliated Researcher**

Researchers who try to apply for Grants-in-Aid for Scientific Research, should meet the requirements 1) and 2) below. Therefore, they should sufficiently verify these requirements with the research institution.

Moreover, graduate students or other students cannot apply, even if they hold a position in which they conduct research activities in the research institution to which they belong or in another research institution.

**Researchers who try to apply for Grants-in-Aid for Scientific Research, should meet the Eligibility to Apply. (see page 20)**

- 1) At the time of the application, a person needs to be recognized by the research institution to which he or she belongs to be a researcher who meets the requirements A), B) and C) below, and needs to be a researcher whose Researcher Information has been registered in e-Rad as “Eligible to Apply for Grants-in-Aids for Research”.

### **(Requirements)**

- A) The researcher should belong to the research institution as a person who has *inter alia* the duty to perform research activities within the research institution in question (irrespective of whether the work is paid or unpaid, full-time or part-time. Moreover, it is not necessary for the researcher to perform these research activities as his or her main duty.)
  - B) The researcher should actually be engaged in research activities at the research institution in question (this does not apply to cases where he or she is only engaged as a research assistant.)
  - C) The researcher is not a graduate student or any other category of student. (However, this does not apply to persons who hold a position consisting of conducting research activities in the research institution to which they belong, as their main work (e.g. university teaching staff, researchers from companies, etc.), and those who also have a student status.)
- 2) A person should not fall under “Not eligible for receipt of funding” in FY2011, because he or she committed fraudulent use, fraudulent receiving of grants or fraudulent acts of/with Grants-in-Aid for Scientific Research or other competitive funding.

Research grant employees, as a rule, need to concentrate on their employment related work according to their employment contract. Therefore, considering the working hours they need to allot to their employment related work, they cannot apply for Grants-in-Aid for Scientific Research themselves.

However, if they provide a clear explanation on the time they can spend besides their

employment related work, and if during this time they themselves attempt to conduct research using a Grant-in-Aid for Scientific Research on their own initiative, it is possible for them to apply for Grants-in-Aid for Scientific Research, on condition that the following points have been verified in the research institution. In this case, they can apply as a Principal Investigator, and they can also become Co-Investigators (*kenkyū-buntansha*), Co-Investigators (*renkei-kenkyūsha*), or other project members.

- It has been determined in the employment contract that research grant employees themselves can conduct research on their own initiative, besides their employment related work.
- The employment related work and the work devoted to research that they conduct themselves on their own initiative has clearly been divided in the working hours and the effort.
- Time that can be allotted to research which they attempt to conduct themselves on their own initiative has been secured, besides the time spent for employment related work.

(Note) As a rule, research grant employees are in a position where they receive instructions from the Principal Investigator or other researchers and where they are engaged solely in work funded with a Grant-in-Aid for Scientific Research at their place of employment. Therefore, from FY2010 on, it is clearly written in the spending rules for research institutions that “When employing a Research Collaborator, the research institution has to be a party, and has to conclude the employment contract after making clear the work content, the working hours and other matters in the employment contract. In addition, the research institution has to manage appropriately the work content, the working hours and other matters of the Research Collaborator and provide a salary, etc.”

### **(3) Registration of the Researcher Information in e-Rad**

Individuals other than the Principal Investigator who try to apply, being the Co-Investigator(s) (*kenkyū-buntansha*) and the Co-Investigator(s) (*renkei-kenkyūsha*) who make up the Project Members should be individuals of whom the researcher information has been registered in e-Rad as “Eligible to Apply for Grants-in-Aids for Research”.

Regarding the registration (renewal) of the researcher information necessary when applying, the person in charge in the research institution to which the researcher belongs should perform the procedures using e-Rad. (if there is any item, such as the institution, the position, or others, that needs to be corrected, even though he or she has already been included in the researcher list of the research institution, the applicant needs to register the correct information on the researcher list.)

For specifics on the method of registration, the research institution should verify the “Manual for Research Institutions to which the Researchers Belong (Grants-in-Aid for Scientific Research for Research Institutions)”.

Moreover, concerning the registration of the researcher information in e-Rad, there is no registration period (deadline). Therefore, registration is possible at any time.

Moreover, Since Proposals for Grant-in-Aid will not be accepted after the deadline for submission of application documents, applicants should complete the registration (the renewal) of the researcher information early, in order to have sufficient time to submit (send) them.

In order not to negatively affect the compilation of the applications within the research institution, when completing the applications, the research institution should perform the various procedures (including the procedures within the research institution), positioning this specific procedure as one of the important procedures to be performed by the research institution.

(Reference) On “Grant-in-Aid for Research Activity Start-up”

The “Grant-in-Aid for Research Activity Start-up” is aimed at supporting persons who cannot apply for the call for proposals this time, such as researchers who have just been employed by their research institutions, researchers who return from childcare leave or other kinds of leave, or other researchers.

The FY2011 call for proposals for this research category is scheduled for March 2011, and the eligibility to apply is scheduled as follows.

(Applicants should verify the details in the Application Procedures of March 2011.)

The research institution is responsible for conducting the registration of the researcher information and other matters in e-Rad. Therefore, applicants should bear this in mind when registering researcher information that may come to fall under the above-mentioned point ① or when carrying out other procedures.

(\*1) Among the Grants-in-Aid for Scientific Research for FY2011 there are “Scientific Research on Innovative Areas”, “Scientific Research on Priority Areas”, “Specially Promoted Research”, “Scientific Research”, “Challenging Exploratory Research” and “Grant-in-Aid for Young Scientists”.

#### **(4) Verification of the ID and the Password of the Researcher Belonging to the Research Institution**

In order to apply for Grants-in-Aid for Scientific Research, researchers should perform the procedures, by logging in into e-Rad, and by accessing the “Electronic Application System”), he or she should retain the ID and the Password for e-Rad. For this reason, the research institution should verify whether researchers who are scheduling to apply have an ID and a Password, or not. Especially in the case a researcher who applied has subsequently transferred to another research institution, he or she cannot longer use the ID and the Password that has been provided by the research institution he or she belonged to before the transfer. Therefore, the new research institution the researcher belongs to needs to provide a new ID and Password.

In case there is a researcher who has scheduled to apply and who has no ID or Password, the research institution should deal with this matter as follows.

- ① In order to provide the researcher with an ID and a Password, the research institution needs to have an Electronic Certificate for Research Institutions, an ID and a Password. If the research institution has not yet obtained them, it should first of all download a registration form from the e-Rad Portal Site, conduct a registration application in writing.

It takes approximately two weeks for the “ID and password for use of the research institution” to arrive after registration application the “Application for Use of the

Electronic Application System”.

**Note 1** Please refer to “Advance Preparation when Using the System” (<http://www.e-rad.go.jp/shozoku/system/index.html>) on the e-Rad website for information on downloading the e-Rad electronic certificate, ID and password.

**Note 2** Research institutions that already obtained an electronic certificate issued, an ID and a password issued do not need to obtain it again.

**Note 3** It is not necessary to obtain an electronic certificate, an ID and a password for each research category of the grants-in-aid for scientific research.

- ② After obtaining an ID and a password for use in the research institution, the people in the research institution should provide this ID and password to the researcher who is planning to apply as a Principal Investigator. Please refer to the “Manual for Research Institutions to which the Researchers Belong (Grants-in-Aid for Scientific Research for Research Institutions)” for information on the concrete way how to provide them.

**Note 1** Once the ID and the password have been provided they can be used, unless the research institution changes.

**Note 2** In case the ID and the Password for e-Rad have already been provided, it is not necessary to provide them a second time.

**Note 3** Please be sure to obtain and use the latest version of the Operation Manual.

**(5) A Report on the Status of the Implementation of the System, Based on the “Guidelines on the Management and Audit of Public Research Funds at Research Institutions” (Implementation Standards).**

The Research Institution that is applying for Grants-in-Aid for Scientific Research should set up a system for the management and audit of public research funds, based on the “Guidelines on the Management and Audit of Public Research Funds at Research Institutions”, and should report on its state of implementation.

Therefore, The Research Institution (including research institutions which are already engaged in a continued research project funded with a grant-in-aid for scientific research) that is applying for Grants-in-Aid for Scientific Research should submit a “Report on the Status of the Implementation of the System, Based on the Guidelines on the Management and Audit of Public Research Funds at Research Institutions” to the Office of Research Funding Administration, Research and Coordination Division, Science and Technology Policy Bureau of the Ministry of Education, Culture, Sports, Science and Technology (MEXT) by October 8 (Friday), 2010, using e-Rad. Please be advised that, in case the report is not submitted, applications of researchers who belong to the research institution in question in the electronic system will not be considered.



Moreover, if the report has already been submitted in April 2010 or later through e-Rad when applying for competitive funding or other kinds of funding that is allotted by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) or by independent administrative legal entities under the control of the Ministry of Education, Culture, Sports, Science and Technology (MEXT). It is not necessary to submit it again.

When using e-Rad, one needs an Electronic Certificate for Research Institutions, an ID and a Password.

A notification on how to submit reports, forms, and other matters when using e-Rad will be sent later to each research institution from the Office of Research Funding Administration, Research and Coordination Division, Science and Technology Policy Bureau of the Ministry of Education, Culture, Sports, Science and Technology (MEXT) (This notification will also be put on the web page for inquiries as mentioned on page 96.)

**Please direct inquiries to:**

**(for inquiries concerning forms of the guidelines and submission)**

Office of Research Funding Administration,

Research and Coordination Division

Science and Technology Policy Bureau

Ministry of Education, Culture, Sports, Science and Technology (MEXT)

e-mail: [kenkyuhi@mext.go.jp](mailto:kenkyuhi@mext.go.jp)

URL: [http://www.mext.go.jp/a\\_menu/kansa/08122501.html](http://www.mext.go.jp/a_menu/kansa/08122501.html)

**(for inquiries concerning the registration of the research institution in e-Rad)**

Helpdesk of the Cross-ministerial Research and Development management system of the Ministry of Education, Culture, Sports, Science and Technology (MEXT)

Tel. 0120-066-877

(office hours: 9:30-17:30, except on Saturdays, Sundays, National Holidays and the New Year Holidays (from December 29 until January 3))

URL: <http://www.e-rad.go.jp/shozoku/system/index.html>

**(6) On the Submission of the Report on the Research Achievements**

The research institution to which researchers belong has to collect and submit the reports on the research achievements. If the research institution has failed, without good reason, to submit the reports on the research achievements at the end of the research, it may happen that it is treated as indicated below. Therefore, it is the responsibility of the representative of the research institution to ensure that the report on the research achievements is submitted without fail.

- No Grants-in-Aid for Scientific Research will be funded to researchers who do not submit the report on the research achievements at the end of the research, without good reason.

Moreover, it may happen that the decision to grant the funding to the researcher in question is cancelled, or that an order to return the grant is issued. It may also happen that information, such as the name of the research institution to which the researcher in question belongs and other data, is made public.

Furthermore, if researchers have failed, without good reason, to submit the scheduled report on the research achievements, then implementation of other Grants-in-Aid for Scientific Research due to be implemented in the same fiscal year will be suspended.

#### **(7) Obtaining Sufficient Knowledge about the Contents of the Application Procedures**

The research institution should beforehand disseminate the contents of the Application Procedures to all the researchers on the campus. JSPS would especially like to request the dispersion of information on the items listed in the Application Procedures and the submission deadlines of application documents, in order to avoid potential misunderstandings.

Moreover, the Application Procedures are available on the section Grants-in-Aid for Scientific Research of the JSPS website (<http://www.jsp.go.jp/j-grantsinaid/index.html>). The website should be used as a reference.

## **2. Issues that Need to Be Verified When Compiling the Application Forms (Preparing the Proposal for Grant-in-Aid)**

The contents of the Proposals for Grant-in-Aid should be verified in each research institution, and all the Proposals for Grant-in-Aid should be submitted to JSPS together. When doing so, special attention should be paid to the following points.

### **(1) Verification of the Eligibility to Apply**

It should be verified whether the Principal Investigator, the Co-Investigator(s) (*kenkyū-buntansha*) and the Co-Investigator(s) (*renkei-kenkyūsha*) listed in the Proposal for Grant-in-Aid are persons who meet the requirements that are stipulated in the Application Procedures (see page 20), and also whether the researcher information is registered in e-Rad as “Eligible to Apply for Grants-in-Aids for Research”.

Moreover, on this occasion, it should certainly be verified whether the researchers who apply are not persons who have been excluded from receiving grants-in-aid, due to an inappropriate use of grants-in-aid.

### **(2) Verification of the Registration of the Researcher Information in e-Rad**

Individuals other than the Principal Investigator who try to apply, being the Co-Investigator(s) (*kenkyū-buntansha*) and the Co-Investigator(s) (*renkei-kenkyūsha*) who make up the Project Members should be individuals of whom the researcher information has been registered in e-Rad

as “Eligible to Apply for Grants-in-Aids for Research”.

Regarding the registration (renewal) of the researcher information necessary when applying, the person in charge in the research institution to which the researcher belongs should perform the procedures using e-Rad.

Moreover, if there is any item, such as the institution, the position, or others, that needs to be corrected, even though he or she has already been included in the researcher list of the research institution, the applicant needs to register the correct information on the researcher list. Therefore, this should be verified.

**(3) Verification of the Principal Investigator**

The research institution should verify whether the Principal Investigator, the Co-Investigator(s) (*kenkyū-buntansha*), the Co-Investigator(s) (*renkei-kenkyūsha*) who have been listed in the Preparing the proposal for grant-in-aid prepared the Preparing the proposal for grant-in-aid after verifying the section “II. Details of the Call for Proposals”, which are laid down in the Application Procedures.

**(4) Verification of the Written Consent of the Co-Investigator (*kenkyū-buntansha*)**

For each Co-Investigator (*kenkyū-buntansha*) who has been listed on the proposal for grant-in-aid, that the Principal Investigator prepared, the research institution should check the Written Consent of the Co-Investigator (*kenkyū-buntansha*) that the Principal Investigator collected.

**(5) Verification of the Application Forms**

Applicants should verify whether the application forms for grants-in-aid are in conformity with the prescribed format.

Moreover, the format and other matters of the application forms for each research category are as follows.

Research category	Proposal for grant-in-aid	
	First part	Second part
	Application information (to be entered in the website)	Project description file
Specially Promoted Research (New) (English Version)	To be entered in the electronic application system	S-1-1 (1)
Specially Promoted Research (New) (Japanese Version)		S-1-1 (2)
Specially Promoted Research (Continued)		S-1-2
Scientific Research (S)		S-1-6
Scientific Research (A)		S-1-7
Research related to the screening panel for Overseas Academic Research		S-1-9
Scientific Research (B)		S-1-7
Research related to the screening panel for Overseas Academic Research		S-1-9
Scientific Research (C)		S-1-8
Challenging Exploratory Research		S-1-10
Grant-in-Aid for Young Scientists (A)		S-1-12
Grant-in-Aid for Young Scientists (B)		S-1-12
Continued Research Project (in the case of a major change in the research project)		S-1-13

### 3. Submission and other matters of the Application Forms (Preparing the Proposal for Grant-in-Aid) Outline of the Electronic Application Procedures

- (1) The research institution should login in e-Rad, using the ID and the password for e-Rad, access the “Electronic Application System”, obtain the information of the Proposals for Grant-in-Aid (PDF files) that the Principal Investigators prepared, and verify their contents and other matters.
- (2) The research institution should perform the “approval” process on all the proposals for grant-in-aid (PDF files) that have no mistakes in their contents. (It should submit (send) the proposals for grant-in-aid (PDF files) to JSPS.)

The deadline for the submission (sending) of the proposals for grant-in-aid is:

**November 10 (Wednesday), 2010, 4:30 pm** (This deadline should be observed strictly.)

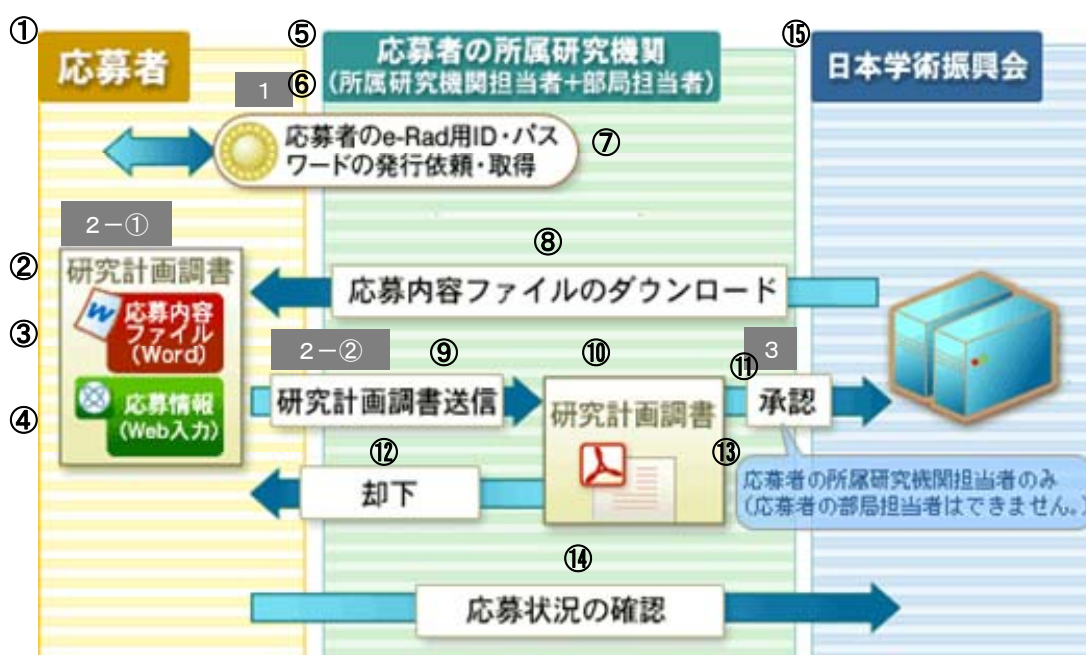
**Note 1** Application documents that are submitted (sent) after this deadline will not be accepted. Therefore, the documents should be submitted (sent) well in advance.

**Note 2** After the submission (sending) of the application documents, it is not possible to make corrections or to re-submit them.

- (3) The electronic certificate, the ID and the password which are used in the e-Rad are designed to verify the research institution and the individual. Therefore, the handling and administration of them should be done carefully when carrying out the application procedures.

Moreover, an outline of the procedures for electronic application can be found below. However, for details on the “Electronic Application System”, please refer to the “Operation Manual”.

#### Outline of the Electronic Application Procedures



- ① applicant
- ② proposal for grant-in-aid
- ③ project description file (Word)
- ④ application information (to be entered in the website)
- ⑤ the research institution to which the applicant belongs
- ⑥ person in charge in the research institution + person in charge in the department
- ⑦ request for issue and acquisition of the applicant's ID and password for e-Rad
- ⑧ downloading of the project description file
- ⑨ sending the proposal for grant-in-aid
- ⑩ proposal for grant-in-aid
- ⑪ approval
- ⑫ rejection
- ⑬ only the person in charge of the research institution to which the applicant belongs (The person in charge of the department of the applicant cannot make an approval.)
- ⑭ confirmation of the state of the application
- ⑮ the Japan Society for the Promotion of Science (JSPS)

**The person in charge of the research institution to which the applicant (Principal Investigator) belongs**

- 1 The person in charge of the research institution to which the applicant belongs issues the ID and the password to the applicant.

**The applicant (Principal Investigator)**

- 2-(1) The applicant logs into e-Rad using the ID and the password he or she received, and then accesses the “electronic application system” and prepares the proposal for grant-in-aid (PDF file), by entering the application information (to be entered in the website) and by attaching the project description file (items in the attached file).
- 2-(2) If there are no mistakes in the proposal for grant-in-aid (PDF file) the applicant prepared, he or she should submit the proposal for grant-in-aid (PDF file) to the person in charge of the research institution to which he or she belongs, by performing the “completed and submission” .

**The person in charge of the research institution to which the applicant (Principal Investigator) belongs**

- 3 By approving the proposal for grant-in-aid (PDF file) the person in charge of the research institution to which the applicant belongs submits (sends) it to JSPS.

Moreover, if the proposal for grant-in-aid (PDF file) that the applicant submitted is not approved due to mistakes or other reasons, it will be rejected and the applicant will be requested to make corrections.

## **(Reference 1) Screening Panels and Other Matters**

### **1. Screening Panels**

The screening for a Grant-in-Aid for Scientific Research is carried out by the Scientific Research Grant Committee of the Japan Society for the Promotion of Science (JSPS), and it is based on the application documents (Proposal for grant-in-aid).

For “Specially Promoted Research”, the judges (i.e. screening committee) are organized separately for each of the three areas (1) humanities/social sciences, (2) science/engineering, and (3) biological sciences. They will make a selection of research projects for which an interview will be organized and conduct the interviews. This selection will be based on the proposals for grants-in-aid and the opinions in writing of the screening panel. (These opinions will be prepared by a panel comprising three persons in charge of writing the opinions, either domestic (based in Japan) or overseas.)

The screening is scheduled to be carried out in two stages. In the first stage of the screening (document-based screening), the committee consists of six judges in the case of “Scientific Research (S)”, “Scientific Research (A/B)” (“General”), and four judges in the case of “Scientific Research (C)”, “Challenging Exploratory Research”, and “Grant-in-Aid for Young Scientists (A/B)”. The judges carry out the screening individually. Subsequently, the second stage of the screening, which takes the form of a conference of judges conducting a screening (collegial screening), is scheduled to be carried out. Furthermore, in the case of “Scientific Research (S)”, screening through an interview is scheduled.

For “Scientific Research (A/B)” (screening division “Overseas Academic Research”) the examination of the applications will be conducted by a collegial meeting which will be organized separately for each the following areas: humanities, social sciences, science/engineering, and biological sciences.

The screening takes place behind closed doors. The submitted application documents are not returned to the applicants.

### **2. Screening Methods, Key Points, and Other Matters**

The “evaluation rules” (rules concerning the screening and evaluation for Grants-in-Aid for Scientific Research, called “screening and evaluation rules” below) are available on the section Grants-in-Aid for Scientific Research of the JSPS website (<http://www.jsps.go.jp/j-grantsinaid/index.html>).

(The “screening and evaluation rules” for FY2011 will be posted on the JSPS website around early October.)

### **3. Notification of the Screening Results**

#### **(1) Specially Promoted Research**

- 1) JSPS will issue a notification in writing on the results of the selection of the research projects for which an interview will be organized. (This is scheduled for March)
- 2) The Ministry of Education, Culture, Sports, Science and Technology (MEXT) will issue a notification in writing to the research institution on whether the research project has been selected or not, based on the results of the screening. (This is scheduled for early April.)
- 3) JSPS will issue a notification containing the opinions expressed in the screening results and a summary of the state of the screening to the Principal Investigator of the research project that has been selected. JSPS is also planning to make an outline of the opinions expressed in the screening results available to the general public. Moreover, to Principal Investigators who have not been selected a notification containing the approximate ranking among the research projects that have been screened, in addition to the opinions expressed in the screening results and a summary of the state of the screening, is planned to be issued.

#### **(2) Research Categories Other than Specially Promoted Research**

- 1) The results of the selection based on interviews on the proposed project for “Scientific Research (S)” will be notified to the research institution in writing (planned for March).
- 2) The results of the examination performed by the screening panels will be notified to the research institution in writing (planned for early April. for “Scientific Research (A/B/C)”, “Challenging Exploratory Research”, “Grant-in-Aid for Young Scientists (A/B)”, and for late May for “Scientific Research (S)” and “Grant-in-Aid for Young Scientists (S)”).
- 3) If researchers who applied for “Scientific Research”, “Challenging Exploratory Research” or “Grant-in-Aid for Young Scientists (A/B)”, and whose applications have not been accepted, wish to have the results of the first stage of the screening disclosed (document-based screening), the approximate ranking per research field (area) and the score (average score) and the “standard-format opinion” given by the judges of the screening committee for each element which is taken into account when rating will be disclosed through the electronic application system.



## **(Reference 2) Procedures on the Handling of Grants-in-Aid for Scientific Research**

( March 30, 1965  
Announcement of the MEXT No. 110 )

Revision: Bunkoku No. 309 of 1968, Bunkoku No. 159 of 1981, Bunkoku No. 127 of 1985, Bunkoku No. 156 of 1986, Bunkoku No. 35 of 1998, Bunkoku No. 114 of 1999, Bunkoku No. 181 of 2000, Bunkoku No. 72 of 2001, Bunkoku No. 133 of 2001, Bunkoku No. 123 of 2002, Bunkoku No. 149 of 2003, Bunkoku No. 68 of 2004, Bunkoku No. 134 of 2004, Bunkoku No. 1 of 2005, Bunkoku No. 37 of 2006, Bunkoku No. 45 of 2007, and Bunkoku No. 64 of 2008.

Procedures on the Handling of Grants-in-Aid for Scientific Research are stipulated as follows.

Procedures on the Handling of Grants-in-Aid for Scientific Research

(Purpose)

Article 1 The handling of Grants-in-Aid for Scientific Research should comply with the Law Concerning the Optimization of Budgets for Subsidiaries (No. 179, 1955, hereinafter “the Law”) and the ordinance for the enactment of the Law Concerning the Optimization of Budgets for Subsidiaries (No. 255, 1955) and with the elements stipulated in these rules.

**(Definitions)**

Article 2 In these rules, a “Research Institution” is an institution in which academic research is conducted. The items listed below fall under the definition of “Research Institution”.

- (1) Universities or inter-university research institutes (including corporations that run such organizations and are designated by the Minister of Education, Culture, Sports, Science and Technology, as required by elements stipulated separately)
- (2) MEXT’s facilities and other organizations engaged in scientific research
- (3) Technical colleges
- (4) Laboratories and other institutions run by the national or local government, corporations based on a special law, laboratories run by such corporations or corporations based on Article 34 of the Civil Law (No. 89, 1996), that the Minister of Education, Culture, Sports, Science and Technology designates for scientific research, as required by elements stipulated separately.

2. In these rules, the “Principal Investigator” is the researcher who bears the responsibility for the implementation of the project in question as a member of that project that is the object of funding of a grant-in-aid for scientific research, as stipulated in article 2 clause 3 of the Law.
3. In these rules, the “Co-Investigator” (*kenkyū-buntansha*) is a researcher who conducts the project in question in cooperation with the Principal Investigator as a member of that project that is the object of funding of a grant-in-aid for scientific research and in which two or more researchers jointly conduct one research project.
4. In these rules, the “Co-Investigator” (*renkei-kenkyūsha*) is a researcher who participates to research that is a project that is the object of funding of a grant-in-aid for scientific research, in cooperation with the Principal Investigator or the Co-Investigator(s) (*kenkyū-buntansha*), and under the supervision of the Principal Investigator or the Co-Investigator(s) (*kenkyū-buntansha*).
5. In these rules, a “Research Collaborator” is a person, other than the Principal Investigator, the Co-Investigator(s) (*kenkyū-buntansha*) or the Co-Investigator(s) (*renkei-kenkyūsha*), who collaborates in research that is a project that is the object of funding of a grant-in-aid for scientific research.
6. In these rules, “illicit use” is use of the grant-in-aid for scientific research for other purposes, intentionally or by serious negligence, or use that violates the content of the decision to fund the grant-in-aid for scientific research, or the conditions it implies.
7. In these rules, “illicit activities” are forgery, manipulation or plagiarism of data, information or survey results that are appearing in published research results within a project that is the object of funding of a grant-in-aid for scientific research.
8. Among the institutions to which belong people who engage in research and who contribute to the promotion of science, the research laboratories and other institutions or corporations mainly engaging in research (that are established by a corporation or another legal person that is set up according to the laws and ordinances of Japan) are considered as “research institutions”, as mentioned in this clause, if they are designated by the Minister of Education, Culture, Sports, Science and Technology, as required by elements stipulated separately.

**(The objects of Grants-in-Aid for Scientific Research)**

Article 3 Grants-in-Aid for Scientific Research shall mean funding for projects listed under each of the following points.

- (1) Basic research activities that are scientifically important and are conducted by a researcher either individually or in as a team of two or more researchers on the same project. This research may also include practical research that is in an elementary stage.
- (2) Results of scientific research made public by an individual or a scientific organization

(hereinafter “publication of research results”)

- (3) Other projects concerning academic research, as stipulated separately by the Minister of Education, Culture, Sports, Science and Technology.
2. Based on the rules in Article 15, Number 1 of the Law on the Japan Society for the Promotion of Science (Law No. 159 of 2002), the Minister of Education, Culture, Sports, Science and Technology provides Grants-in-Aid for Scientific Research to projects conducted by the Japan Society for the Promotion of Science (hereinafter called “JSPS”), as required by elements stipulated separately.

**(Projects for which no Grants-in-Aid for Scientific Research will be provided)**

Article 4 Notwithstanding of the previous article, no Grants-in-Aid for Scientific Research will be funded for a period stipulated in each of the following numbered points for projects that are conducted by persons (including academic societies, and this also applies for the articles mentioned below) who are mentioned in the following numbered points. However, this does not apply to projects other than projects of which the decision to provide the funding of grants-in-aid for scientific research has been cancelled (hereinafter “project subject to grant cancellation”), according to Clause 1, Article 17 of the Law, for which persons mentioned in number 4 receive funding, and to projects that are conducted based on a plan identical to the proposal for grant-in-aid mentioned in Clause 1 and Clause 3, Article 6.

- (1) A person who made fraudulent use of a grant-in-aid for scientific research in a project subject to grant cancellation: from 2 to 5 years starting from the next fiscal year following the fiscal year in which that person has been ordered to refund the grant-in-aid for scientific research related to a project subject to grant cancellation, in accordance with Clause 1, Article 18 of the Law. The exact length of the period deemed appropriate (between 2 and 5 years) will be decided, taking into consideration the content of the fraudulent use in question and other factors.
- (2) A person who conspired with a person as mentioned in the previous point in fraudulent use of a grant-in-aid for scientific research: the same period as the period during which no grant will be funded for the project conducted by the person mentioned in the previous point, in accordance with the rule in the previous point.
- (3) A member of a project subject to grant cancellation who used a grant-in-aid for scientific research in violation of Clause 1, Article 11 of the Law: 2 years starting from the next fiscal year following the fiscal year in which that member has been ordered to refund the grant-in-aid for scientific research related to a project subject to grant cancellation. (This does not apply to persons mentioned in the previous point 2.)
- (4) A Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) who conducted a project

subject to grant cancellation in cooperation with a Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) who falls under point 1. or 3. (except persons mentioned under the previous point; the same applies to the points below), or a Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) of a project subject to grant cancellation in which a Co-Investigator (*renkei-kenkyūsha*) who falls under point 1. participated, or a Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) of a project subject to grant cancellation in which a Research Collaborator who falls under the same point 1. cooperated: 1 year following the fiscal year in which he/she has been ordered to refund the grant-in-aid for scientific research related to a project subject to grant cancellation, in accordance with Clause 1, Article 18 of the Law.

- (5) A person who obtained funding by a grant-in-aid for scientific research by deceit or other fraudulent means, or a person who conspired in this deceit or other fraudulent means: 5 years starting from the next fiscal year following the fiscal year in which that person has been ordered to refund the grant-in-aid for scientific research.
  - (6) A person of whom it has been established that he/she committed fraudulent acts (including cases where it has been established that the person bears responsibility for the content of a research paper that is connected with to research results of which it has been established that fraudulent acts have been committed): from 1 to 10 years starting from the next fiscal year following the fiscal year in which is has been established that the fraudulent acts in question have been committed. The exact length of the period deemed appropriate (between 1 and 10 years) will be decided in the Academic Deliberation Council for Science and Technology, taking into consideration the content of the fraudulent acts in question and other elements.
2. Notwithstanding the previous article, no Grants-in-Aid for Scientific Research will be provided during a period stipulated separately by the Minister of Education, Culture, Sports, Science and Technology for projects conducted by persons who are listed under each of the following points, and of whom it has been decided that no benefit that is provided by the state or by independent administrative legal entities, as stipulated separately by the Minister of Education, Culture, Sports, Science and Technology (hereinafter called “particular benefit”), will be provided for a certain period.
- (1) a person who used a particular benefit for other purposes than the one is intended for, or a person who conspired in use for other purposes in question.
  - (2) for a project that is the object of funding of a particular benefit, a person who violated the content of the decision to fund him/her a particular benefit, the conditions connected to that funding and other laws and ordinances, or the punishment based on these laws and ordinances by the head of an independent administrative legal entity or a national institution.
  - (3) a person who obtained the funding a particular benefit by deceit or other fraudulent means,

or a person conspired in its use by deceit or other fraudulent means.

- (4) a person of whom it has been established that he/she committed fraudulent acts in a project funded with a particular benefit.

**(Applicants for a Grant)**

Article 5 The following persons can apply for Grants-in-Aid for Scientific Research mentioned in Numbers 1 and 2, Clause 1, Article 3 (excluding grants mentioned in Clause 2 of the same article; hereinafter called “grant”).

- (1) The representative of the researchers who conduct scientific research funded with grants for scientific research.
- (2) An individual who publishes research results or the representative of an academic society that publishes such results funded with grants for the publication of research results.

**(Proposal for grant-in-aid)**

Article 6 Persons who attempt to apply for grants (excluding persons who conduct screening and evaluation in JSPS) shall mean persons who beforehand submit a Proposal for Grant-in-Aid on the scientific research or the publication of research results, in a form that is stipulated separately, to the Minister of Education, Culture, Sports, Science and Technology.

- 2 The submission deadline for the Proposal for Grant-in-Aid mentioned in the previous section is announced every year by the Minister of Education, Culture, Sports, Science and Technology.
- 3 Persons who attempt to apply for grants, although they conduct screening and evaluation in JSPS, shall mean persons who submit Proposals for Grant-in-Aid concerning their scientific research and other matters to JSPS, as required by elements stipulated separately.
- 4 The deadline for the abovementioned submission of a proposal for grant-in-aid is announced by JSPS every year.

**(Decisions concerning the grants)**

Article 7 The Minister of Education, Culture, Sports, Science and Technology decides on the persons who attempt to obtain grants and on the planned amount that they attempt to obtain (hereinafter called the “amount planned to be provided”), based on the Proposal for Grant-in-Aid mentioned in Clause 1 and 3 of the previous article, and beforehand notifies the amount planned to be provided to this person.

- 2 When deciding on the persons who attempt to obtain grants and the amount planned to be provided, the Minister of Education, Culture, Sports, Science and Technology hears the opinion of the Academic Deliberation Council for Science and Technology concerning the Proposals for Grant-in-Aid that have been submitted to the Minister of Education, Culture, Sports, Science

and Technology. However, in accordance with the provisions of Clause 3 of the previous article, concerning Proposals for Grant-in-Aid that have been submitted to JSPS, receiving a report from JSPS is sufficient, and it is not necessary to hear the opinion of the Academic Deliberation Council for Science and Technology.

Article 8 When persons who received the notification mentioned in Clause 1 of the previous article attempt to apply for grants, they have to submit a grant application form of which the form has been stipulated separately to the Minister of Education, Culture, Sports, Science and Technology, by the time to be prescribed by the Minister of Education, Culture, Sports, Science and Technology.

2 Based on the grant application form mentioned in the previous clause, the Minister of Education, Culture, Sports, Science and Technology decides on the provision of the grant, and notifies the contents of this decision and, in case conditions have been attached to it, these conditions to the person who applied for a grant.

**(Changes in the scientific research and other matters)**

Article 9 When recipients of a grant attempt to change the contents of the scientific research and other matters or the allocation of the budget (excluding minor changes stipulated separately by the Minister of Education, Culture, Sports, Science and Technology), they should beforehand obtain the approval of the Minister of Education, Culture, Sports, Science and Technology.

**(Limitation on the use of the grant)**

Article 10 The recipients of a grant should restrict the use of the grant to the costs necessary for the scientific research etc.

**(Report on results)**

Article 11 Upon completing scientific research etc., the recipients of the grant should promptly fill in and submit the form for reporting the results to the Minister of Education, Culture, Sports, Science and Technology. This also applies where the fiscal year concerning the decision concerning the relevant grant has terminated. The form for the report is available elsewhere.

2 In case there is equipment, furnishings or books (hereinafter called “equipment”) that has been purchased using the grant, a detailed statement on the purchase of equipment and other matters should be attached to the report on results mentioned in the previous clause, using a form stipulated separately.

3 A report on results mentioned in the latter part of the clause 1 should be attached with a document specifying a plan on the scientific research etc. scheduled for the fiscal year that follows.

**(Final decision concerning the amount of the grant)**

Article 12 After receiving the report mentioned in the early part of Clause 1 in the previous article, the Minister of Education, Culture, Sports, Science and Technology checks the report and conducts an investigation, as necessary. If JSPS concludes that the result of the scientific research etc. agrees with the decision concerning the grant and conditions included in it, JSPS may decide the amount of the grant and report it to the relevant recipient.

**(Arrangement and storage of accounts and other matters)**

Article 13 Recipients of a grant should retain the accounts on the balance of the grant, retain the receipts and other related documents, and store these accounts and documents for five years after the end of the fiscal year in which the grant has been provided.

**(Investigation on accounting)**

Article 14 When deemed necessary, the Minister of Education, Culture, Sports, Science and Technology may investigate or issue directives concerning the grant recipient's accounting or demand that a recipient reports on its accounting.

**(Investigation on the state of the research and other matters)**

Article 15 When deemed necessary, the Minister of Education, Culture, Sports, Science and Technology may request that a grant recipient files a report on the status of his/her scientific research and other matters, or may investigate the status of his/her scientific research and other matters.

**(Publication of progress of research)**

Article 16 In printing or publication by other means, the Minister of Education, Culture, Sports, Science and Technology may publish all or part of descriptions in the report of results of scientific research and the report mentioned in the previous article that concern the progress of research.

**(Donation of equipment and suchlike)**

Article 17 If the recipient of a grant mentioned in (1) of Article 5 partly appropriated the grant to the purchase of equipment etc. the recipient should promptly donate the equipment etc. to one or more of the research institutions that the recipient belongs to.

2 In the event that promptly donating the equipment and other things causes inconvenience to the research, recipients of grants mentioned in (1) of Article 5 are allowed not to donate the equipment in question, until the inconvenience to the research in question is resolved, provided that they obtained the approval of the Minister of Education, Culture, Sports, Science and Technology. This applies notwithstanding the provisions in the previous clause.

Article 18 The Minister of Education, Culture, Sports, Science and Technology decides separately on necessary issues concerning Grants-in-Aid for Scientific Research mentioned in Article 3, Clause 1, Number 3.

**(Other)**

Article 19 The Minister of Education, Culture, Sports, Science and Technology decides on necessary issues concerning the handling of grants other than the issues that have been stipulated in these rules, as they arise.

Additional Rules

These rules take effect from April 1, 1965.

Additional Rule (Bunkoku 309 of November 30, 1968)

These rules take effect from November 30, 1968).

Additional Rule (Bunkoku 159 of October 15, 1981)

This Announcement will be enforced from the day of its promulgation.

Additional Rule (Bunkoku 127 of November 2, 1985)

This Announcement will be enforced from November 2, 1985, and will take effect for grants after FY1985.

Additional Rule (Bunkoku 156 of December 25, 1986)

This Announcement will be enforced from December 25, 1986, and will take effect for grants after FY1986.

Additional Rule (Bunkoku 35 of March 19, 1998)

This Announcement will be enforced from March 19, 1998, and will take effect for grants after FY1998.

Additional Rule (Bunkoku 114 of May 17, 1999)

This Announcement will be enforced from the day of its promulgation and will take effect from April 11, 1999.

Additional Rule (Bunkoku 181 of December 11, 2000)

This Announcement will be enforced from the day (January 6, 2001) of the enforcement of the Law Revising a Part of the Cabinet Act (Law No. 88 of 1999).

Additional Rule (Bunkoku 72 of April 19, 2001)

This Announcement will be enforced from the day of its promulgation and will take effect from April 19, 2001.

Additional Rule (Bunkoku 133 of August 2, 2001)

1 This Announcement will be enforced from the day of its promulgation.



- 2 Legal entities that, at the time of the enforcement of this announcement, are actually research institutions according to the rules in Article 2, Number 3 of the Rules for the Handling of Grants-in-Aid for Scientific Research before the revision, and institutions that, at the time of the enforcement of this announcement, actually received the designation according to the rules in Number 4 of the same article, will be considered as research institutions that received the designation according to the rules in Article 2, Number 4 of the revised Rules for the Handling of Grants-in-Aid for Scientific Research.

Additional Rule (Bunkoku 123 of June 28, 2002)

This Announcement will be enforced from the day of its promulgation and will take effect for grants after FY2002.

Additional Rule (Bunkoku 149 of September 12, 2003)

- 1 However, the revised rules in Article 3, Clause 2, the revised rules in Article 5, Clause 1, Clause 3 and Clause 4, and the revised rules in Article 6, Clause 2 will be enforced from October 1, 2003.
- 2 The rules in Article 3, Clause 3 of the revised Rules for the Handling of Grants-in-Aid for Scientific Research, that are stipulated in this Announcement, will not apply for projects conducted by researchers who in the past conducted a project subject to grant cancellation of which the day when the refunding of the Grant-in-Aid for Scientific Research is ordered falls before the day of the enforcement of this Announcement.

Additional Rule (Bunkoku 68 of April 1, 2004)

- 1 This Announcement will be enforced from April 1, 2004.
- 2 The rules in Article 3, Clause 3, Number 3 of the revised Rules for the Handling of Grants-in-Aid for Scientific Research, that are stipulated in this Announcement, will not apply to researchers who conducted a project subject to grant cancellation, using a Grant-in-Aid for Scientific Research of which the decision to fund was made before the enforcement of this Announcement.

Additional Rule (Bunkoku 1 of January 24, 2005)

- 1 This Announcement will be enforced from the day of its promulgation.
- 2 The rules in Article 3, Clause 4 and Clause 5 of the revised Rules for the Handling of Grants-in-Aid for Scientific Research, that are stipulated in this Announcement, will not apply to projects conducted by researchers who conducted a project of which the day when the refunding of the Grant-in-Aid for Scientific Research is ordered falls before the day of the enforcement of this Announcement, or researchers who conspired with these researchers in question.

Additional Rule (Bunkoku 37 of March 27, 2006)

This Announcement will be enforced from April 1, 2006.

Additional Rule (Bunkoku 45 of March 30, 2007)

This Announcement will be enforced from April 1, 2007.

Additional Rule (Bunkoku 64 of May 19, 2008)

- 1 This Announcement will take effect from May 19, 2008, and will take effect for grants after FY2008. However, the revised rules in Article 2, Clause 1, Number 4 take effect from the day of the enforcement of the Law on the Adjustment of Related Laws Upon the Enforcement of the Law on General Corporate Juridical Persons and General Foundational Juridical Persons, and the Law on the Authorization of Public Interest Incorporated Associations and Public Interest Incorporated Foundations (Law No. 50 of 2006).
- 2 The rules in Article 4, Clause 1, Number 1 and Number 3 of the revised Rules for the Handling of Grants-in-Aid for Scientific Research (hereinafter called “New Rules”), stipulated in this Announcement, do not apply to persons who committed illicit use of grants in projects of which the decision to fund the Grant-in-Aid for Scientific Research has been cancelled, in accordance with the rules in Article 17, Clause 1 of the Law Concerning the Optimization of the Enforcement of Budgets for Grants (Law No. 179 of 1955; hereinafter called “the Law”), and of which the day when the refunding of the Grant-in-Aid for Scientific Research is ordered falls before September 12, 2003, in accordance with the rules in Article 18, Clause 1 of the Law. The rules in Article 4, Clause 1, Number 1 and Number 3 of the New Rules do not apply either to recipients of funded projects who conducted use of Grants-in-Aid for Scientific Research in violation of the rules in Article 11, Clause 1 of the Law (excluding persons who are defined as recipients of funded projects according to the Article 2, Clause 3 of the Law and who fall under Article 4, Clause 1, Number 1 or Number 2 of the New Rules).
- 3 The rules in Article 4, Clause 1, Number 4 of the New Rules do not apply to Principal Investigators or Co-Investigators (*kenkyū-buntansha*) of projects of which the decision to fund has been taken before April 1, 2004.
- 4 The rules in Article 4, Clause 1, Number 2 and Number 5 of the New Rules do not apply to persons who conspired in the fraudulent use of Grants-in-Aid for Scientific Research, or persons who received the funding of Grants-in-Aid for Scientific Research by deceit or other fraudulent means, or persons who conspired in the use of deceit or other fraudulent means in question, in projects of which the day when the refunding of the Grant-in-Aid for Scientific Research is ordered falls before January 24, 2005.

## **(Reference 3) Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research (Scientific Research, etc.)**

(Rule No. 17, October 7, 2003)

Revision: Rule No. 9, April 14, 2004

Revision: Rule No. 14, September 10, 2004

Revision: Rule No. 1, February 2, 2005

Revision: Rule No. 7, April 7, 2005

Revision: Rule No. 9, April 14, 2006

Revision: Rule No. 12, April 2, 2007

Revision: Rule No. 9, June 10, 2008

Revision: Rule No. 6, April 19, 2010

### **(General rules)**

Article 1 The handling of Grants-in-Aid for Scientific Research (Scientific Research etc.), hereinafter “grants”) provided by the Japan Society for the Promotion of Science (hereinafter “JSPS”) should comply with the Law Concerning the Optimization of Budgets for Subsidiaries (No. 179, 1955, hereinafter “the Law”), the ordinance for the enactment of the Law Concerning the Optimization of Budgets for Subsidiaries (No. 255, 1955), Japan Society of the Promotion of Science Act (No. 159, 2002) and the handling rules for the Grants-in-Aid for Scientific Research (notification by Ministry of Education, No. 110, 1965, hereinafter “Handling Rules”) and the Management Procedures.

### **(Objectives)**

Article 2 The aim of the Management Procedures is to specify items for handling the object, application, granting and suchlike concerning a grant provided by JSPS to researchers so that the grant can be appropriately and efficiently used in compliance with Clause 1, Article 16 of the Requirements for Grants-in-Aid for Scientific Research (scientific research etc.) (decision by the Minister of Education, April 12, 1999, hereinafter “Grant Requirements”) and Article 14 of Japan Society for the Promotion of Science Work Procedures (Rule No. 1, 2003).

### **(Definitions)**

Article 3 In the Management Procedures, Grants-in-Aid for Scientific Research (Scientific Research etc.) refers to the following items as specified in Article 3 of the Grant Requirements.

- (1) The cost of scientific research that concerns:
  - a) Scientific Research;

- b) Challenging Exploratory Research;
  - c) Young Scientists (S);
  - d) Research Activity Start-up; or
  - e) Encouragement of Scientists
- (2) Grant-in-Aid for JSPS Fellows
  - (3) Grant-in-Aid for Creative Scientific Research
  - (4) Grant-in-Aid for Publication of Scientific Research Results (except those concerning the publication of research results)
2. In the Management Procedures, a research institution refers to an institution that engages in academic research and falls under any of the following definitions provided in Article 2, Clause 1 of Handling Rules.
    - (1) Universities or inter-university research institutes (including corporations that run such organizations and are designated by the Minister of Education, Culture, Sports, Science and Technology)
    - (2) MEXT's facilities and other organizations engaged in scientific research
    - (3) Technical colleges
    - (4) Laboratories and other institutions run by the national or local government, corporations based on a special law, laboratories run by such corporations or corporations based on Article 34 of the Civil Law (No. 89, 1996), that the Minister of Education, Culture, Sports, Science and Technology designates for scientific research
  3. In these Management Procedures the "Principal Investigator" is the researcher who bears the responsibility for the implementation of the project in question as a member of that project that is the object of funding of a grant-in-aid for scientific research, as stipulated in article 2 clause 3 of the Law.
  4. In these Management Procedures the "Co-Investigator" (*kenkyū-buntansha*) is a researcher who conducts the project in question in cooperation with the Principal Investigator as a member of that project that is the object of funding of a grant-in-aid for scientific research and in which two or more researchers jointly conduct one research project.
  5. In these Management Procedures the "Co-Investigator" (*renkei-kenkyūsha*) is a researcher who participates to research that is a project that is the object of funding of a grant-in-aid for scientific research, in cooperation with the Principal Investigator or the Co-Investigator(s) (*kenkyū-buntansha*), and under the supervision of the Principal Investigator or the Co-Investigator(s) (*kenkyū-buntansha*).
  6. In these Management Procedures a "Research Collaborator" is a person, other than the Principal Investigator, the Co-Investigator(s) (*kenkyū-buntansha*) or the Co-Investigator(s) (*renkei-kenkyūsha*), who collaborates in research that is a project that is the object of funding of

a grant-in-aid for scientific research.

7. In these Management Procedures “illicit use” is use of the grant-in-aid for scientific research for other purposes, intentionally or by serious negligence, or use that violates the content of the decision to fund the grant-in-aid for scientific research, or the conditions it implies.
8. In these Management Procedures “illicit activities” are forgery, manipulation or plagiarism of data, information or survey results that are appearing in published research results within a project that is the object of funding of a grant-in-aid for scientific research.
9. Among the institutions to which belong people who engage in research and who contribute to the promotion of science, the research laboratories and other institutions or corporations mainly engaging in research (that are established by a corporation or another legal person that is set up according to the laws and ordinances of Japan) are considered as “research institutions”, as mentioned in this clause, if they are designated by the Minister of Education, Culture, Sports, Science and Technology.

#### **(The objects of grants)**

Article 4 Projects that are object of funding (hereinafter “funded project(s)”) with grants should meet the following conditions.

- (1) Basic research activities that are scientifically important and are conducted by a researcher either individually or in as a team of two or more researchers on the same project. This research may also include practical research that is in an elementary stage.
  - (2) Results of scientific research made public by an individual or a scientific organization (hereinafter “publication of research results”)
2. The funded costs should be those necessary for a funded project and deemed by JSPS as deserving of a grant.

#### **(Projects for which no grants will be provided)**

Article 5 Notwithstanding Clause 1 of the previous article, no grant will be funded for a period stipulated in each of the following numbered points for projects that are conducted by persons (including academic societies, and this also applies for the articles mentioned below) who are mentioned in the following numbered points. However, this does not apply to projects other than projects of which the decision to provide the funding of grants-in-aid for scientific research has been cancelled (hereinafter “project subject to grant cancellation”), according to Clause 1, Article 17 of the Law, for which persons mentioned in number 4 receive funding, and to projects that are conducted based on a plan identical to the proposal for grant-in-aid mentioned in Clause 1, Article 7.

1. A person who made fraudulent use of a grant-in-aid for scientific research in a project subject

to grant cancellation:

from 2 to 5 years starting from the next fiscal year following the fiscal year in which that person has been ordered to refund the grant-in-aid for scientific research related to a project subject to grant cancellation, in accordance with Clause 1, Article 18 of the Law. The exact length of the period deemed appropriate (between 2 and 5 years) will be decided, taking into consideration the content of the fraudulent use in question and other factors.

2. A person who conspired with a person as mentioned in the previous point in fraudulent use of a grant-in-aid for scientific research:

the same period as the period during which no grant will be funded for the project conducted by the person mentioned in the previous point, in accordance with the rule in the previous point.

3. A member of a project subject to grant cancellation who used a grant-in-aid for scientific research in violation of Clause 1, Article 11 of the Law:

2 years starting from the next fiscal year following the fiscal year in which that member has been ordered to refund the grant-in-aid for scientific research related to a project subject to grant cancellation. (This does not apply to persons mentioned in the previous point 2.)

4. A Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) who conducted a project subject to grant cancellation in cooperation with a Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) who falls under point 1. or 3. (except persons mentioned under the previous point; the same applies to the points below), or a Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) of a project subject to grant cancellation in which a Co-Investigator (*renkei-kenkyūsha*) who falls under point 1. participated, or a Principal Investigator or a Co-Investigator (*kenkyū-buntansha*) of a project subject to grant cancellation in which a Research Collaborator who falls under the same point 1. cooperated:

1 year following the fiscal year in which he/she has been ordered to refund the grant-in-aid for scientific research related to a project subject to grant cancellation, in accordance with Clause 1, Article 18 of the Law.

5. A person who obtained funding by a grant-in-aid for scientific research by deceit or other fraudulent means, or a person who conspired in this deceit or other fraudulent means:

5 years starting from the next fiscal year following the fiscal year in which that person has been ordered to refund the grant-in-aid for scientific research.

6. A person of whom it has been established that he/she committed fraudulent acts (including cases where it has been established that the person bears responsibility for the content of a research paper that is connected with to research results of which it has been established that fraudulent acts have been committed): from 1 to 10 years starting from the next fiscal year following the fiscal year in which it has been established that the fraudulent acts in question

have been committed. The exact length of the period deemed appropriate (between 1 and 10 years) will be decided, taking into consideration the content of the fraudulent acts in question and other elements.

2. Notwithstanding Clause 1 of the previous article, a grant will not be granted for a period stipulated in Article 2 of the Decision of the Minister of Education, Culture, Sports, Science and Technology of August 24, 2004 for projects conducted a person mentioned in each of the following numbered points, about whom it has been decided not to provide him/her a particular benefit for a fixed period, as stipulated in Article 1.

(1) a person who used a particular benefit for other purposes than the one is intended for, or a person who conspired in use for other purposes in question.

(2) for a project that is the object of funding of a particular benefit, a person who violated the content of the decision to fund him/her a particular benefit, the conditions connected to that funding and other laws and ordinances, or the punishment based on these laws and ordinances by the head of an independent administrative legal entity or a national institution.

(3) a person who obtained the funding a particular benefit by deceit or other fraudulent means, or a person conspired in its use by deceit or other fraudulent means.

(4) a person of whom it has been established that he/she committed fraudulent acts in a project funded with a particular benefit.

#### **(Applicants for a Grant)**

Article 6 Persons are eligible to apply for a grant mentioned in Clause 1, Article 4, should meet the following requirements.

(1) Applicants for a grant concerning scientific research should fall into the following categories:

a) If researchers who belong to a research institution conduct scientific research, the representative of the researchers who conduct the scientific research in question;

b) If one researcher (excluding JSPS Fellows) who does not belong to a research conducts scientific research alone, that researcher in question;

c) If a JSPS Fellow conducts scientific research, that JSPS Fellow in question;

d) If a Foreign JSPS Fellow and a host researcher jointly conduct scientific research, the host researcher

(2) An individual who publishes research results or the representative of an academic society that publishes such results funded with grants for the publication of research results.

#### **(Proposal for grant-in-aid)**

Article 7 An application for a grant requires that a proposal for grant-in-aid on scientific research or the publication of research results (hereinafter “scientific research etc.”) be submitted to

JSPS. The form for the proposal for grant-in-aid is available.

2. The deadline for the abovementioned submission of a proposal for grant-in-aid is announced by JSPS every year.

**(Notification of the planned amount of grant)**

Article 8 In accordance with a proposal for grant-in-aid mentioned in Clause 1 of the previous article, JSPS should decide the recipient of a grant and the planned amount of money given to the recipient (hereinafter “planned amount of grant”) and report the amount to the recipient in advance.

**(Allocation of the screening and other matters)**

Article 9 When making decisions concerning the recipient of a grant or the planned amount of a grant in accordance with the previous article, JSPS should consult the Grants-in-Aid for Scientific Research Committee to discuss issues concerning the allocation of grants and suchlike.

2. Rules on the organization and operation of the abovementioned committee are stated elsewhere.

**(Grant application form)**

Article 10 When filing an application for a grant, an applicant who received a notification mentioned in Article 8 should fill in and submit the grant application form to JSPS by the deadline specified by JSPS.

**(Decisions concerning the grants)**

Article 11 Upon receiving a request for a grant in accordance with the previous article, JSPS should check documents concerning the request and conduct field survey or suchlike necessary, to make sure that the project deserves the grant and the calculation of the amount of the grant is not erroneous.

2. If JSPS considers that a grant should be given as a result of the abovementioned survey, it should promptly decide on providing the grant.
3. JSPS stipulates the following requirements for providing a grant.
  - (1) A change in details and cost allocation of scientific research etc. conducted by a grant recipient requires that the approval of JSPS be obtained in advance.

However, this may not apply to a minor change that is decided by JSPS in consultation with the Minister of Education, Culture, Sports, Science and Technology without compromising



the objective of the funded project.

- (2) Grant recipients should obtain the approval of JSPS in stopping or discontinuing a funded project.
  - (3) If a funded project cannot be completed within the scheduled period or if the fulfillment of a funded project seems too difficult, the grant recipient should promptly report it to JSPS and follow its directions.
  - (4) To sign a contract to fulfill a funded project and make the relevant payments, the grant recipient should, in compliance with the national contract and the provisions concerning payment, endeavor to maintain the high level of efficiency in the use of costs so that minimum and equitable costs can result in maximum benefit.
4. After making a decision concerning a grant, JSPS should promptly report details of the decision and the conditions it includes to the relevant applicant.

**(Withdrawal of the application)**

Article 12 An applicant for a grant may withdraw the application by the date specified by JSPS if the applicant receives the notification mentioned in Clause 4 of the previous article and if the applicant is dissatisfied with the details of the decision on a grant concerning the notification or conditions included in the decision.

2. Withdrawal of an application in accordance with the abovementioned provisions is considered that no decision on a grant to the relevant application has been made.

**(Limitation on the use of the grant)**

Article 13 The recipients of a grant should restrict the use of the grant to the costs necessary for the scientific research etc.

**(Report on results)**

Article 14 Upon completing scientific research etc., the recipients of the grant should promptly fill in and submit the form for reporting the results to JSPS. This also applies where the fiscal year concerning the decision concerning the relevant grant has terminated. The form for the report is available elsewhere.

2. A report on results mentioned in the latter part of the previous clause should be attached with a document specifying a plan on the scientific research etc. scheduled for the fiscal year that follows.

**(Final decision concerning the amount of the grant)**

Article 15 After receiving the report mentioned in the early part of Clause 1 in the previous article,

JSPS checks the report and conducts an investigation, as necessary. If JSPS concludes that the result of the scientific research etc. agrees with the decision concerning the grant and conditions included in it, JSPS may decide the amount of the grant and report it to the relevant recipient.

**(Account books and other documents)**

Article 16 Recipients of a grant should retain the accounts on the balance of the grant and retain the receipts and other related documents for five years after the end of the fiscal year in which the grant has been provided.

**(Investigation on accounting)**

Article 17 When deemed necessary, JSPS may investigate or issue directives concerning the grant recipient's accounting or demand that a recipient reports on its accounting.

**(Investigation on the state of the research and other matters)**

Article 18 When deemed necessary, JSPS may demand that a grant recipient files a report on the status of its scientific research etc. and may also conduct an on-site investigation.

**(Publication of progress of research)**

Article 19 In printing or publication by other means, JSPS may publish all or part of descriptions in the report of results of scientific research and the report mentioned in the previous article that concern the progress of research.

**(Donation of equipment and suchlike)**

Article 20 If the recipient of a grant mentioned in (1) a) of Article 6 partly appropriated the grant to the purchase of equipment etc., the recipient should promptly donate the equipment etc. to one or more of the research institutions that the recipient belongs to.

2. If the recipient of a grant mentioned in (1) b) of Article 6 partly appropriated the grant to the purchase of equipment etc. worth 50,000 yen or more, the recipient should donate the equipment etc. to a school or other educational or research institution no later than the termination of the research period.
3. If the recipient of a grant specified in (1) c) or d) in Article 6, Clause 1 partly appropriated the grant to the purchase of equipment etc. worth 50,000 yen or more, the recipient should promptly donate the equipment etc. to the research institution where he/she engages in research or to which he/she belongs.
4. Where it is deemed inconvenient for a grant recipient to promptly donate the purchased equipment etc. to the research institute, the equipment etc. may not be donated until the time the

abovementioned donation is no longer likely to create such inconvenience, provided that JSPS's approval is obtained, notwithstanding the provisions in Clause 1.

5. Notwithstanding Clause 3, a special researcher may keep the purchased equipment etc. until when he/she is no longer qualified as a special researcher.

**(Other)**

Article 21 In addition to those specified in the Application Procedures, the rules necessary for the handling of grants should be provided elsewhere in the application guidelines and suchlike.

**Additional Rules**

The rules will be enforced on October 7, 2003 and take effect on October 1, 2003.

The provisions in Article 4-2 do not apply to a funded project that is going to be implemented by a researcher who, before September 12, 2003, was ordered to refund Grants-in-Aid for Scientific Research to his/her project subject to grant cancellation in accordance with Clause 1, Article 18 of the Law.

The JSPS's handling of Grants-in-Aid for Scientific Research before the day the Management Procedures take effect in compliance with JSPS Grants-in-Aid for Scientific Research (Scientific Research) Management Procedures (Rule No. 6, June 9, 1999) is deemed as JSPS's handling of a grant in accordance with the relevant provisions in the Management Procedures.

Additional Rule (No. 9, 2004)

1. Takes effect on April 1, 2004
2. Provisions in No. 3 of Clause 1, Article 4-2 do not apply to researchers who conducted a project subject to grant cancellation for which the grant was decided before the time the Rules take effect.

Additional Rule (No. 14, 2004)

Takes effect on August 27, 2004

Additional Rule (No. 1, 2005)

1. Takes effect on January 24, 2005
2. Clauses 2 and 3 of Article 4-2 do not apply to projects conducted by a researcher who was ordered to refund Grants-in-Aid for Scientific Research before the day the Rules take effect, or who conspired with such a researcher.

Additional Rule (No. 7, 2005)

Takes effect on April 1, 2005

Additional Rule (No. 9, 2006)

Takes effect on April 1, 2006

Additional Rule (No. 12, 2007)

Takes effect on April 1, 2007

Additional Rule (No. 9, 2008)

1. This rule was set up from June 10, 2008, and takes effect for the grants of FY2008 and later.
2. The rules No. 1 and No. 3 of clause 1, article 5 of the revised Management Procedures (hereinafter “New Procedures”) do not apply to persons who conducted illicit use in projects of which the decision to fund a grant was cancelled, or to project members who used a grant-in-aid for scientific research in a way that violates the rules under clause 1, article 11 of the Law, in projects of which the day when the return of the grant-in-aid for scientific research was ordered fell before September 12, 2003. This is in accordance with the rules of clause 1 of article 18 of the Law. (This does not apply to the persons mentioned in No. 1 or No. 2, clause 1, article 5 of the New Procedures.)
3. The rule No. 4, clause 1, article 5 of the New Procedures does not apply to the Principal Investigator or the Co-Investigator(s) (*kenkyū-buntansha*) of projects of which the decision on funding of the grant was taken before April 1, 2004.
4. The rules No. 2 and No. 5, clause 1, article 5 of the New Procedures do not apply to persons who conspired in illicit use of grants-in-aid for scientific research, to persons who obtained a grant-in-aid for scientific research by deceit or by other illicit means, or to persons who conspired in this deceit or other illicit means in question, in projects of which the day when the return of the grant-in-aid for scientific research was ordered fell before January 24, 2005.

Additional Rule (No. 6, 2010)

Takes effect on April 1, 2010.

## 1. State of Allocation of Grants-in-Aid for Scientific Research for FY2010

## (1) New Projects

As of July 2010

Research category	Number of proposed projects			Amount allocated	Amount allocated per project		
	Applications	Applications approved	Approval rate		Average	Maximum	
<b>Grants-in-aid for Scientific Research</b>	# [ 95,534 ] 89,207	# [ 21,484 ] 19,604	% [ 22.5 ] 22.0	(1,000 yen) [ 63,297,521 ] 58,823,870 【 16,633,470 】	(1,000 yen) [ 2,946 ] 3,001	(1,000 yen) [ 182,800 ] 163,000	
	Specially promoted Research	[ 83 ] 111	[ 12 ] 15	[ 14.5 ] 13.5	[ 1,389,100 ] 1,538,500 【 461,550 】	[ 115,758 ] 102,567	[ 182,800 ] 163,000
	Scientific Research on Priority Areas	[ 1,945 ] 1,063	[ 442 ] 279	[ 22.7 ] 26.2	[ 1,365,500 ] 778,600	[ 3,089 ] 2,791	[ 9,000 ] 10,000
	Scientific Research on Innovative Areas (Research in a proposed research area)	[ 3,332 ] 3,285	[ 567 ] 678	[ 17.0 ] 20.6	[ 4,919,300 ] 8,552,200 【 2,565,660 】	[ 8,676 ] 12,614	[ 157,200 ] 143,100
	Scientific Research on Innovative Areas (Research a proposed research project) *	[ 728 ] —	[ 80 ] —	[ 11.0 ] —	[ 658,200 ] — 【 — 】	[ 8,228 ] —	[ 8,700 ] —
	Scientific Research(S)	[ 489 ] 462	[ 100 ] 89	[ 20.4 ] 19.3	[ 4,120,700 ] 3,716,100 【 1,114,830 】	[ 41,207 ] 41,754	[ 107,400 ] 97,800
	Scientific Research(A)	[ 2,366 ] 2,296	[ 567 ] 536	[ 24.0 ] 23.3	[ 7,440,700 ] 7,110,100 【 2,133,030 】	[ 13,123 ] 13,265	[ 34,800 ] 33,200
	Scientific Research(B)	[ 11,019 ] 9,714	[ 2,749 ] 2,489	[ 24.9 ] 25.6	[ 15,116,200 ] 13,585,300 【 4,075,590 】	[ 5,499 ] 5,458	[ 14,400 ] 14,200
	Scientific Research(C)	[ 33,019 ] 31,443	[ 7,764 ] 7,471	[ 23.5 ] 23.8	[ 11,303,300 ] 10,361,600 【 3,108,480 】	[ 1,456 ] 1,387	[ 3,600 ] 3,500
	challenging Exploratory Research	[ 13,336 ] 12,505	[ 1,640 ] 1,412	[ 12.3 ] 11.3	[ 2,660,800 ] 2,250,900	[ 1,622 ] 1,594	[ 3,500 ] 3,300
	Young Scientists(S) *	[ 562 ] —	[ 35 ] —	[ 6.2 ] —	[ 768,700 ] — 【 — 】	[ 21,963 ] —	[ 50,400 ] —
	Young Scientists(A)	[ 1,871 ] 1,941	[ 350 ] 343	[ 18.7 ] 17.7	[ 2,936,200 ] 2,530,600 【 759,180 】	[ 8,389 ] 7,378	[ 19,900 ] 18,900
	Young Scientists(B)	[ 23,355 ] 22,817	[ 6,487 ] 5,578	[ 27.8 ] 24.4	[ 10,268,500 ] 8,050,500 【 2,415,150 】	[ 1,583 ] 1,443	[ 3,500 ] 3,600
	Encouragement of Scientists	[ 3,429 ] 3,570	[ 691 ] 714	[ 20.2 ] 20.0	[ 350,321 ] 349,470	[ 507 ] 489	[ 820 ] 800
	<b>Publication of Scientific Research Results</b>	[ 1,163 ] 1,155	[ 486 ] 515	[ 41.8 ] 44.6	[ 1,284,600 ] 1,250,300	[ 2,643 ] 2,428	[ 41,800 ] 27,100
<b>JSPS Fellows</b>	[ 2,583 ] 2,799	[ 2,583 ] 2,799	[ 100.0 ] 100.0	[ 2,102,100 ] 2,073,900	[ 814 ] 741	[ 3,000 ] 2,500	
<b>Total</b>	[ 99,280 ] 93,161	[ 24,553 ] 22,918	[ 24.7 ] 24.6	[ 66,684,221 ] 62,148,070 【 16,633,470 】	[ 2,716 ] 2,712	[ 182,800 ] 163,000	

## Notes:

1. The figures in [ ] indicate the previous fiscal year

2. The figures in 【 】 indicate indirect costs (excluded from the total)

3. For items marked with an asterisk (\*), no new call for proposals is organized in FY2010.

4. "Scientific Research on Innovative Areas (Research in a proposed research area)" "Support Activity in 3 Areas of Bioscience", "Grant-in-Aid for Research Activity Start-up" and "Special Grant-in-Aid for Encouragement of Scientists" are excluded

## (2) Newly approved and continued

As of July 2010

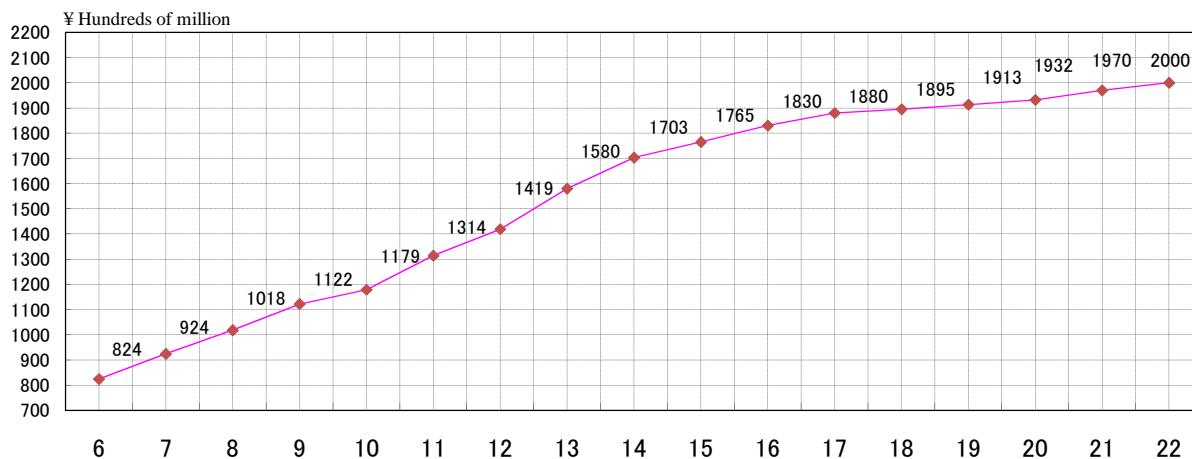
Research category	Number of proposed projects			Amount allocated (1,000 yen)	Amount allocated per project (1,000 yen)	
	Applications #	Applications #	Approval rate %		Average	Maximum
<b>Grants-in-aid for Scientific Research</b>	[ 125,433 ] 126,189	[ 51,330 ] 56,481	[ 40.9 ] 44.8	[ 147,141,177 ] 144,061,843 【 39,621,541 】	[ 2,867 ] 2,551	[ 317,500 ] 274,700
Specially promoted Research	[ 152 ] 176	[ 81 ] 80	[ 53.3 ] 45.5	[ 6,714,200 ] 6,465,200 【 1,939,560 】	[ 82,891 ] 80,815	[ 317,500 ] 274,700
Scientific Research on Priority Areas	[ 4,259 ] 1,848	[ 2,756 ] 1,064	[ 64.7 ] 57.6	[ 22,799,400 ] 7,436,800	[ 8,273 ] 6,989	[ 225,100 ] 112,100
Scientific Research on Innovative Areas (Research in a proposed research area)	[ 3,530 ] 4,045	[ 765 ] 1,438	[ 21.7 ] 35.6	[ 8,366,200 ] 16,168,900 【 4,850,670 】	[ 10,936 ] 11,244	[ 219,300 ] 209,100
Scientific Research on Innovative Areas (Research a proposed research project) *	[ 809 ] 160	[ 161 ] 160	[ 19.9 ] 100.0	[ 1,288,200 ] 1,179,000 【 353,700 】	[ 8,001 ] 7,369	[ 10,000 ] 10,000
Scientific Research (S)	[ 789 ] 794	[ 398 ] 417	[ 50.4 ] 52.5	[ 9,655,200 ] 10,913,100 【 3,273,930 】	[ 24,259 ] 26,171	[ 107,400 ] 97,800
Scientific Research (A)	[ 3,635 ] 3,655	[ 1,822 ] 1,878	[ 50.1 ] 51.4	[ 17,267,200 ] 17,582,800 【 5,274,840 】	[ 9,477 ] 9,363	[ 34,800 ] 33,200
Scientific Research (B)	[ 15,911 ] 15,492	[ 7,619 ] 8,236	[ 47.9 ] 53.2	[ 31,160,100 ] 32,402,200 【 9,720,660 】	[ 4,090 ] 3,934	[ 14,400 ] 14,200
Scientific Research (C)	[ 44,236 ] 47,141	[ 18,966 ] 23,142	[ 42.9 ] 49.1	[ 21,088,403 ] 23,686,812 【 7,106,044 】	[ 1,112 ] 1,024	[ 3,600 ] 3,500
challenging Exploratory Research	[ 14,834 ] 14,358	[ 3,138 ] 3,265	[ 21.2 ] 22.7	[ 4,210,682 ] 4,203,770	[ 1,342 ] 1,288	[ 3,500 ] 3,300
Young Scientists(S) *	[ 635 ] 108	[ 108 ] 108	[ 17.0 ] 100.0	[ 1,983,900 ] 1,527,700 【 458,310 】	[ 18,369 ] 14,145	[ 50,400 ] 27,200
Young Scientists(A)	[ 2,313 ] 2,540	[ 792 ] 938	[ 34.2 ] 36.9	[ 4,728,600 ] 5,075,900 【 1,522,770 】	[ 5,970 ] 5,411	[ 19,900 ] 18,900
Young Scientists(B)	[ 29,968 ] 31,281	[ 13,100 ] 14,020	[ 43.7 ] 44.8	[ 16,530,918 ] 16,170,953 【 4,851,286 】	[ 1,262 ] 1,153	[ 3,500 ] 3,600
Research Activity Start-up *	[ 933 ] 1,021	[ 933 ] 1,021	[ 100.0 ] 100.0	[ 997,853 ] 899,238 【 269,771 】	[ 1,070 ] 881	[ 1,500 ] 1,500
Encouragement of Scientists	[ 3,429 ] 3,570	[ 691 ] 714	[ 20.2 ] 20.0	[ 350,321 ] 349,470	[ 507 ] 489	[ 820 ] 800
<b>Publication of Scientific Research Results</b>	[ 1,177 ] 1,180	[ 500 ] 540	[ 42.5 ] 45.8	[ 1,334,900 ] 1,368,000	[ 2,670 ] 2,533	[ 41,800 ] 27,100
<b>JSPS Fellows</b>	[ 6,238 ] 6,544	[ 6,238 ] 6,544	[ 100.0 ] 100.0	[ 4,682,449 ] 4,740,682	[ 751 ] 724	[ 3,000 ] 3,000
<b>Creative Scientific Research *</b>	[ 59 ] 39	[ 59 ] 39	[ 100.0 ] 100.0	[ 4,013,600 ] 2,537,200 【 761,160 】	[ 68,027 ] 65,056	[ 102,800 ] 99,700
<b>Total</b>	[ 132,907 ] 133,952	[ 58,127 ] 63,604	[ 43.7 ] 47.5	[ 157,172,126 ] 152,707,725 【 40,382,701 】	[ 2,704 ] 2,401	[ 317,500 ] 274,700

## Notes:

- The figures in [ ] indicate the previous fiscal year
- The figures in【 】 indicate indirect costs (excluded from the total)
- In case of items marked with an asterisk (\*), only continued projects have been accounted for.
- "Scientific Research on Innovative Areas (Research in a proposed research area) 'Support Activity in 3 Areas of Bioscience'", "Research Activity Start-up Support" (new) and "Special Grant-in-Aid for Encouragement of Scientists" are excluded

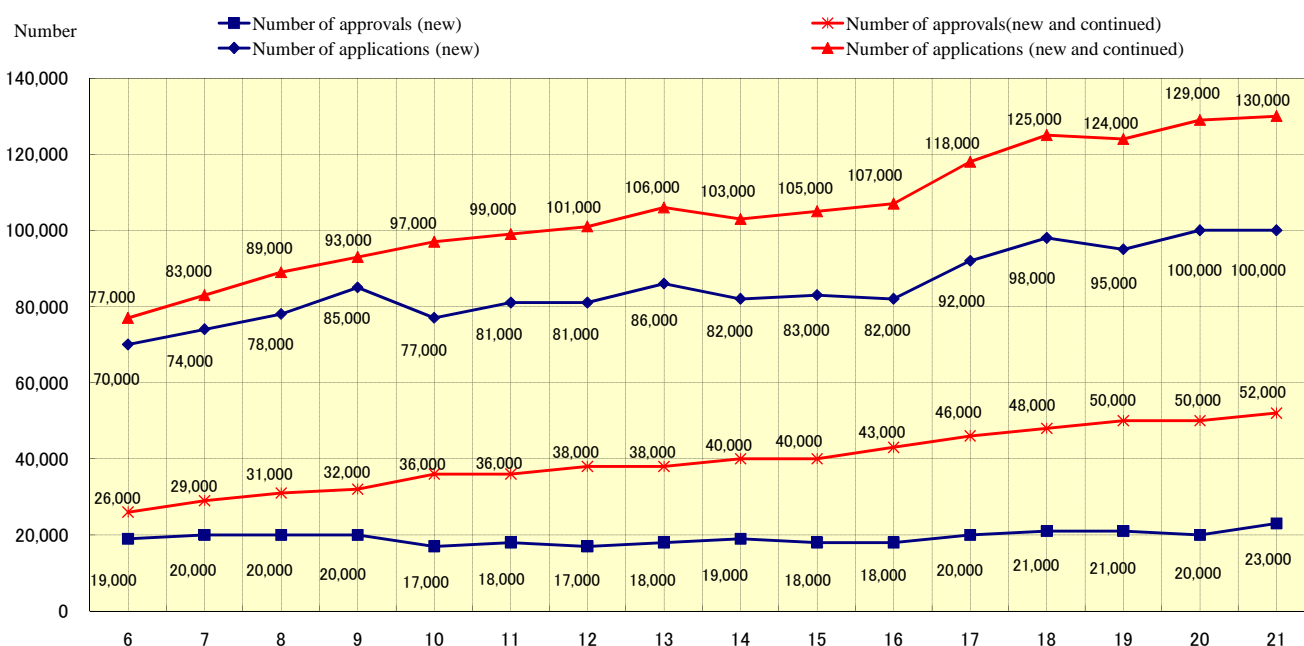
## 2. Changes in budgets and other information

### ○ Changes in budgets and other information



FY	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010
Budget (¥ hundreds of millions)	824	924	1,018	1,122	1,179	1,314	1,419	1,580	1,703	1,765	1,830	1,880	1,895	1,913	1,932	1,970	2,000
Year-on-year increase (%)	12.0	12.1	10.2	10.2	5.1	11.5	8.0	11.3	7.8	3.6	3.7	2.7	0.8	0.9	1.0	2.0	1.5

### ○ State of applications and approvals



### ○ State of applications

FY	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
Approval rate (%)	27.0	27.6	26.1	24.6	22.2	21.8	21.6	21.1	22.7	21.4	22.5	21.6	21.5	22.2	20.3	22.5
Fulfilling rate (%)	33.8	35.2	35.1	34.0	37.6	36.1	37.3	35.8	38.5	37.9	40.7	38.6	38.6	40.4	38.4	40.3

## Inquiries

### 1. Inquiries about the invitation of applications should be directed to the following divisions through the research institution.

#### (1) About the invitation of applications:

**Overall application guidelines, scientific research (A, B and C), Challenging Exploratory Research, Grant-in-Aid for Young Scientists (A and B)**

Research Aid Division I, Research Program Department, Japan Society for the Promotion of Science

Phone: 03-3263-4682,4779,4798,0980,1878,0964,4724,4764,0976,4796

**Specially Promoted Research, Scientific research(S), Grant-in-Aid for Young Scientists (S)**

Research Aid Division II, Research Program Department, Japan Society for the Promotion of Science

Phone: 03-3263-4254 (Specially Promoted Research)

03-3263-4388,4388,4632(Scientific Research (S))

03-3263-1431,4326,4617 (Grant-in-Aid for Young Scientists (S))

#### (2) For inquiries concerning the use of the JSPS electronic application system for projects funded by grants-in-aid for scientific research:

**Call center:** 0120-556739 (toll-free)

\* Available from 9:30 to 17:30 every day except Saturdays, Sundays and holidays

**The following phone numbers are also available:** 03-3263-1902 and 03-3263-1913

System Management Team, Policy Planning, Information and Systems Division, General Affairs Division, Japan Society for the Promotion of Science

#### (3) For inquiries concerning the use of the Cross-ministerial Research and Development management system (e-Rad):

**e-Rad help desk:** 0120-066-877 (toll-free)

\* Available from 9:30 to 17:30

\* The following phone numbers are also available: 03-5638-5361 (until March 31, 2011)

#### (4) About “Report on the Status of the Implementation of the System, Based on the Guidelines on the Management and Audit of Public Research Funds at Research Institutions”:

Office of Research Funding Administration, Research and Coordination Division, Science and Technology Policy Bureau, the Ministry of Education, Culture, Sports, Science and Technology

Phone: 03-6734-4014

### 2. The application guidelines can be viewed on the JSPS website.

**Application forms can be downloaded from the following website.**

JSPS’s website on Grants-in-Aid for Scientific Research

<http://www.jsps.go.jp/j-grantsinaid/index.html>