

(参考) Hosts should follow the accompanying Japanese Application Guidelines when making applications.

March 2009  
JSPS

## JSPS Invitation Fellowship Program for Research in Japan (Short Term) FY2010 (2010-2011)

### 1. OUTLINE OF THE FELLOWSHIP

The JSPS Invitation Fellowship for Research in Japan (Short Term) is offered to promote international scientific cooperation and exchange. It allows researchers employed at designated Japanese research institutions and laboratories to invite fellow researchers from other countries to Japan for short periods of time to participate in discussions, attend seminars, give lectures, or perform similar duties at their institutions.

Applications for this program must be submitted to JSPS by a host researcher in Japan through the head of his/her university or institution.

### 2. FIELDS OF RESEARCH

All fields of the humanities, social sciences and natural sciences are included under this program.

### 3. HOST RESEARCH INSTITUTIONS

The applicant (host researcher) must be a researcher who is employed full-time or classified as being employed full-time at a university or research institution of the types listed in Table I. Fellows must conduct their research at the host's affiliated institution.

\* Full-time employment classification is determined by the regulations of the host institution.

### 4. ELIGIBILITY

The candidate must be a researcher with an excellent record of research achievements who, in principle, is employed full-time at an overseas research institution, and meets the following requirements:

- 1) Senior scientists, university professors, and other persons with substantial professional experience
- 2) Citizen of a country that has diplomatic relations with Japan (JSPS treats Taiwanese and Palestinian researchers in this manner.)
- 3) To be eligible, researchers of Japanese nationality must have lived abroad and been actively engaged in research for over 10 years.

### 5. NUMBER OF FELLOWSHIPS AWARDED

**For the 1<sup>st</sup> Recruitment:** Approximately 120 Fellowships will be granted.

**For the 2<sup>nd</sup> Recruitment:** Approximately 100 Fellowships will be granted.

### 6. DURATION AND COMMENCEMENT OF FELLOWSHIPS

- 1) Fellowships are awarded for a period of 14 to 60 days.
- 2) Successful candidates must start the Fellowship in Japan during the following periods:

**For the 1<sup>st</sup> Recruitment: April 1, 2010 – March 31, 2011**

**For the 2<sup>nd</sup> Recruitment: October 1, 2010 – March 31, 2011**

### 7. TERMS OF AWARD

The terms of the Awards indicated below are subject to change.

•For fellows:

- 1) A round-trip air ticket
- 2) A daily maintenance allowance of ¥18,000
- 3) Domestic research travel allowance of ¥150,000
- 4) Overseas travel accident and sickness insurance coverage

•For hosts:

Host's cooperation allowance of ¥50,000

## 8. APPLICATION PROCEDURE FOR THE PROGRAM

Applicants (host researchers) are required to use JSPS's electronic application system to apply for fellowships.

Applications for this program must be submitted to JSPS by a host researcher in Japan through the head of his/her university or institution. Foreign researchers wishing to participate in this program are advised to establish contact with a Japanese or foreign-resident researcher in their field and to ask him/her to submit an application. They should make this contact well in advance of the host institution's application deadline indicated below.

Application process is as follows:

- 1) Contact made between candidate and applicant (host researcher)
  - 2) Applicant (host researcher) submits application to institution's head office
  - 3) Office submits application to JSPS
- \* JSPS does not find or introduce host researchers.  
\* JSPS does not accept applications submitted directly by foreign researchers or through diplomatic channels.  
\* There is another application channel: JSPS also receives applications for the program through its overseas nominating authorities (Table II). Regarding this procedure, please contact the nominating authority in your country.

1) Materials to be submitted

- ① Materials to be prepared by a host researcher  
Application form to be filled in by the host researcher
- ② Materials to be prepared by the administrative office of the host institution
  - i) Form A (indicating the number of applications)
  - ii) Form B (list of applications)

2) Application deadline (for host institution):

- ① **1<sup>st</sup> Recruitment: September 4, 2009**
- ② **2<sup>nd</sup> Recruitment: May 12, 2010**

Note (1): Application guidelines will be distributed only once this fiscal year.

Note (2): These deadlines are for the head of the host institution to submit the application to JSPS; the time frames for applicants (host researchers) to submit their applications are normally earlier.

## 9. SELECTION PROCESS AND NOTIFICATION

1) Selection process

- ① Screening is conducted by JSPS's selection committee based on the five criteria listed below.
  - i) That the collaboration will contribute to advancing science in Japan and counterpart country.
  - ii) That the collaboration can be expected to advance research in the subject field.
  - iii) That the applicant (host researcher) and candidate have established contact in advance and created a concrete activity plan.
  - iv) That the plan has as its objective lectures, discussions or other exchange activities with not only the host institution but also through visits to other institutions as well. That the plan also provides for fostering young researchers through exchanges and other activities.
  - v) That, as much as possible, preference is not given to the candidate's nationality, host institution, or field of specialization.

2) Notification of selection results

- ① Notification of the selection results will be made in writing through the head of the applying institution in the following periods:

First recruitment: end of December 2009

Second recruitment: middle of August 2010

- ② Award letter and accompanying documents will be sent to the successful candidates.
- ③ Individual requests for selection results are not accepted.

## **10. OBLIGATIONS**

- 1) The host researcher will, in cooperation with the administration of the host institution, prepare a space and arrange facilities needed for the fellow to conduct research activities during his/her tenure. When needed, the host will also assist with immigration processing, securing domicile and other matters related to the fellow's stay in Japan.
- 2) The fellow and his/her host researcher must submit a research report (using the prescribed forms) within one month of the completion of the fellowship tenure.
- 3) When presenting or publishing the content or results of research conducted under the fellowship, the JSPS fellowship should be acknowledged.
- 4) Fellows are expected to devote themselves full time to their research during the term of the fellowship, and may not accept employment in Japan with or without remuneration.

## **11. HANDLING OF PERSONAL INFORMATION**

With regard to personal information contained in application materials, it shall be strictly controlled in accordance with the Law to Protect Personal Information Held by Independent Administration Institutions. When using personal information in implementing the JSPS Invitation Fellowship for Research in Japan, including the provision of such information to private companies commissioned to conduct data processing and management, JSPS shall observe these laws and regulations.

If selected for a fellowship, fellows should note that their name, research theme, host institution, name and title of their host researcher, and their research reports will be given public access. Fellows will also be asked to participate in surveys aimed at improving the fellowship program.

## **12. ABOUT THE PROPER USE OF COMPETITIVE AND OTHER FUNDS**

Appropriate action will be taken in the case of improper conduct, including the misuse of competitive and other research funds, misconduct in education or research activities (including fabrication, falsification or plagiarization of papers), using one's position as a leader to commit sexual harassment or other improper actions, violating laws or regulations. In such cases, the fellowship may be terminated and the fellow made to reimburse JSPS for all or part his/her already-paid research funds and allowances. For instructions on the proper use of competitive funds, see the separate document "About the Proper Use of Competitive and Other Funds."

## **13. NOTES**

- 1) Application for this program is limited to one for each recruitment.
- 2) As only one application is allowed per candidate, applicants (host researchers) should verify in advance that no other applications have been submitted for the candidate.
- 3) The same person may not simultaneously be a candidate for both this invitational fellowship and a JSPS Postdoctoral Fellowship Program for Foreign Researchers.
- 4) Incomplete or otherwise flawed applications will be rejected.
- 5) JSPS does not support any research project related to military affairs.
- 6) For more application-related details, please see "Points to Observe in Preparing the Application Form." (Japanese only)

## **14. CONTACT**

ADDRESS: Overseas Fellowship Division,  
Japan Society for the Promotion of Science  
6, Ichiban-cho, Chiyoda-ku, Tokyo 102-8471  
TEL: 03-3263-2480/3443

## About the Proper Use of Competitive and Other Funds

June, 2008

International Program Department  
Japan Society for the Promotion of Science

Based on the Guidelines for Managing and Auditing Public Research funds at Research Institutions (Implementation Criteria) established by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) on 15 February 2007, the following notice is issued with regard to all publicly solicited funding programs under the jurisdiction of JSPS's International Program Department.

### 1. Policy Based on the Guidelines for Managing and Auditing Public Research funds at Research Institutions (Implementation Criteria)

Regarding the funded programs listed in the table of the appendix these Guidelines, each research organization shall, based on its own guidelines, establish a system for managing and auditing the use of public research funds and report the implementation status of the funded research to MEXT. (If this report is not submitted, use of the public funds in implementing the subject research will be deauthorized.)

For details on this policy and its reporting requirement, please see related notices issued by MEXT and other agencies.

### 2. Eliminating Duplication and Over-Concentration of Funding

#### (1) Measures against irrational duplication of funding

If researchers receive or are scheduled to receive competitive or other funding for essentially the same research content from government agencies or independent administrative institutions, their application for JSPS funding may be rejected, or their funding authorization cancelled, or the amount of their funding reduced.

This measure is not meant to limit researchers' options to respond to calls for grant applications or to apply for funding under other competitive funding systems when applying for a JSPS grant. In such cases, however, researchers whose applications have been accepted for other funding are to report it right away to the competent department of JSPS. (If they should fail to do so, the JSPS funding authorization may be cancelled.)

## (2) Measures against over-concentration of funding

Even when the research content covered under a JSPS-issued grant and that covered under a grant from another competitive funding system differ, if it is judged that the funding allocated to the researcher(s) is not being used efficiently and/or effectively, the JSPS funding may be terminated or reduced.

If a researcher(s) applies and is accepted for other competitive research funding after having submitted an application for a JSPS grant, and if such funding was not noted in the JSPS grant application, s/he is to report it right away to the competent department of JSPS. (If the researcher(s) should fail to do so, the JSPS funding authorization may be cancelled.)

## 3. Measures Against Misuse of Competitive and Other Public Research Funds

Based on JSPS's "FY2008 Regulations for handling Misuse of Competitive and Other Funds," to properly administer and manage the use of competitive and other public research funds and to prevent their misuse, JSPS's International Program Department is mandated to take the following punitive measures against researchers who are found to have misused<sup>1</sup> funding provided under JSPS's publicly solicited programs.

Note 1: Misuse of funds is defined as using competitive or other public funds in a way that does not conform to the purpose of their disbursement or that is in violation of the grant agreement, or to have obtained such funds through false statements or other improper means.

- (1) The grant may be terminated and/or part or all of the already-disbursed funds made to be refunded.
- (2) The researcher(s) in violation may not be allowed to apply for grants as the representative of a research project, or the application for such a project may not be accepted unless the subject researcher(s) name(s) are removed from the roster of project participants.
- (3) The researcher(s) in violation may be excluded from applying for competitive and other public funding under any JSPS-administered program for a set period of time.

When any of the above punitive measures is taken, related information shall as a rule immediately be posted for public access. Such information is to include the names and affiliations of the persons found guilty of the violation, the content of the measures taken against them, the name of the subject funding systems, the total amount of funding disbursed, the nature of the violation, and the results of the investigative report issued by the competent research organization.

#### 4. Measures Against Misconduct in Research Activities

Based on JSPS's "FY2008 Regulations for handling Misuse of Competitive and Other Funds," to strictly enforce the proper conduct of research activities, JSPS's International Program Department is mandated to take the following punitive measures against researchers whose conduct is found to be improper<sup>2</sup> in conducting research under JSPS's publicly solicited programs.

Note 2: Improper conduct is defined as including data in the research results that were obtained by such means as falsification, fabrication or plagiarism.

- (1) The grant may be terminated and/or part or all of the already-disbursed funds made to be refunded.
- (2) The researcher(s) in violation may not be allowed to apply for grants as the representative of a research project, or the application for such a project may not be accepted unless the subject researcher(s) name(s) are removed from the roster of project participants.

(3) The researcher(s) in violation may be excluded from applying for competitive and other public funding

When any of the above punitive measures is taken, related information shall as a rule immediately be posted for public access. Such information is to include the names and affiliations of the persons found guilty of the violation, the content of the measures taken against them, the name of the subject funding systems, the total amount of funding disbursed, the nature of the violation, and the results of the investigative report issued by the competent research organization.

#### 5. Treatment of Violations of Related Laws and Regulations

If the context of grant application documents is found to be falsified or the implementation of the research itself is found to be in violation of pertinent laws, regulations and/or guidelines, JSPS will not provide funding for the subject research activity and/or will cancel its funding authorization.