

# Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI-

### FY2019

Specially Promoted Research,
Scientific Research (S/A/B/C),
Challenging Research (Pioneering/Exploratory),
and
Early-Career Scientists

This English version is provided for convenience of prospective KAKENHI applicants who experience difficulty in reading the Japanese original, which should be referred to, in case of dispute.

September 1, 2018

Japan Society for the Promotion of Science (https://www.jsps.go.jp/)

### Introduction

This document describes the procedures and other matters relevant to the "Call for Proposals for the Grants-in-Aid for Scientific Research-KAKENHI- for FY2019" including the "Specially Promoted Research", the "Scientific Research (S/A/B/C)", the "Challenging Research (Pioneering/Exploratory)", and the "Early-Career Scientists".

### The contents are:

- I Outline of the Grants-in-Aid for Scientific Research-KAKENHI-
- **II** Call for Proposals
- **III** Instructions for Prospective Applicants
- **IV** Instructions for Grant Recipients
- V Instructions for Administrative Staff of Research Institution
- VI Other Relevant Issues

"II Call for Proposals" provides for each of the Research Categories, such basic issues as the subjects in the research categories to be called, the range of envisaged total budget, a project period, etc. The schedule from the call for proposals, through the proposal submission and the review, to the grant delivery is also described.

The subsequent sections, "III Instructions for Prospective Applicants", "IV Instructions for Grant Recipients" and "V Instructions for Administrative Staff of Research Institution" describe conditions for application, required procedures, and other matters, to be followed by the respective actors.

This Call for Proposals is announced prior to the finalization of the national budget for FY2019, so as to let prospective applicants proceed with an early preparation for the review and enable to commence their research activities as soon as possible. It is, therefore, to be reminded that, depending on the situation of the national budget enactment, details on the grant allocation and other matters may be subject to change at a later stage.

The major changes including the points to be noted by the research institutions in the call for proposals for FY2019 are listed on the following pages.

- Grants-in-Aid for Scientific Research is a competitive funding intended to provide financial support for creative and pioneering research conducted by individual researchers. Therefore, the contents of the Research Proposal Document must be original planned by the applicant.
   In preparing Research Proposal Document, plagiarism and/or misappropriation of the research contents of others are strictly impormissible. Applicants must comply
  - In preparing Research Proposal Document, plagiarism and/or misappropriation of the research contents of others are strictly impermissible. Applicants must comply with research ethics.
- The research using the KAKENHI fund should be carried out by the researcher(s)' own initiative and responsibility. Therefore, the implementation of a KAKENHI research project and publication of the research results are solely attributed to the researcher(s)' responsibility and view, and do not reflect that of the funding sector nor of the government.
- To ensure the quality of scientific knowledge and to gain trust of society on scientists and scientific communities, it is essential to exercise fair and conscientious research activities with the adherence to the code of conduct for scientists. Applicants must understand and practice the contents of both the statement "Code of Conduct for Scientists" (section I. "Responsibilities of Scientists") by the Science Council of Japan and the booklet "For the Sound Development of Science The Attitude of a Conscientious Scientist -" (especially section I "What Is a Responsible Research Activity?") issued by the Japan Society for the Promotion of Science (JSPS).

### < Major Changes for Call for Proposals in Fiscal Year 2019 >

- (1) Some revisions have been made on the Research Proposal Document forms of the KAKENHI. For instance, the column formerly entitled "Research Achievements of the Principal Investigator (PI) and Co-Investigator(s) (Co-I(s))" has been changed to "Applicant's Ability to Conduct the Research and the Research Environment". (see page 45)

  In preparing the research proposal document, read carefully the Application
  - In preparing the research proposal document, read carefully the Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI- (Supplement) "Forms/Procedures for Preparing and Entering a Research Proposal Document".
- (2) The project members as defined in the KAKENHI system formerly consisted of the "Principal Investigator", "Co-Investigator(s)", "Collaborating Researcher(s)", and "Research Collaborator(s)". Based on the deliberation in the Council for Science and Technology, "Collaborating Researcher(s)" have been merged to "Research Collaborator(s)" from the call for proposals for FY2018 on. Accordingly, the applicant should plan the project members to consist of the "Principal Investigator" (the applicant), "Co-Investigator(s)", and "Research Collaborator(s)". (see page 49) As for the details of the revision of the definition of project members, refer to the following documents.
  - "On the Revision of Project Members and Research Proposal Document" (October 20, 2017, Operation Subcommittee on the KAKENHI Reform) (Excerpt)
  - "On the Revision of the Definition of Project Members"

URL: http://www.mext.go.jp/b menu/shingi/gijyutu/gijyutu4/041/shiryo/1400822.htm

- (3) The process of a PI obtaining a consent to become a Co-I from the Co-I-to-be (if the PI organizes the project members with Co-I(s)) has been changed from a written document as formerly practiced, to a procedure via the KAKENHI electronic application system. (see page 52)
- (4) In the review process, the reviewers can utilize, as necessary, the "Researchmap" and the database of Grants-in-Aid for Scientific Research (KAKEN). (see page 122)
- (5) It is stipulated that publication of research achievements is the act of the researcher(s) themselves, as the research using the KAKENHI fund is conducted on the basis of the researcher(s)' own initiative and responsibility. The output of KAKENHI research solely represents the researcher(s)' view, and does not reflect that of the funding sector nor of the government. (see page 12)

- (6) It is stipulated that the PI and Co-I(s) must understand and exercise the proper research practices in conducting their research, as well as to adhere to the "Code of Conduct for Scientists". (see page 13, 121, 125, and 134)
- (7) From the FY2019 KAKENHI on and for the Scientific Research (A), the opinions expressed in the review results from the reviewers, which were formerly notified only to the failed applicants, will be also notified to the successful applicants. The summary of the comments on the adopted proposal will be made public on the database of Grants-in-Aid for Scientific Research (KAKEN). (see page 150)
- (8) To cope with the increase in the application number for the KAKENHI in recent years, it is stipulated that each research institution should share within itself the purpose and aim of the KAKENHI funding system afresh. (see page 126)

The KAKENHI provides a financial support for the creative and pioneering researches based on the original ideas of researchers.

Review of the submitted research proposals is conducted by the peer review process, in which researchers selected from their own community engage themselves in the assessment and reviewing of each research proposals on the basis of its scientific merit. The KAKENHI review process is based on the cooperation of more than 7,000 reviewers every year.

While the KAKENHI review process has been continually improved by, for instance, the introduction of new review methods from the FY2018 grant, the growing needs of KAKENHI have resulted in the number of new applications exceeding one hundred thousand in recent years. The workload on the researchers who are cooperating as reviewers is getting heavier along with the increase in the applicant number. Pressing concern is that if the burden on the reviewers keeps increasing to be excessive, it may seriously affect the reviewers' own research and educational activities, and may also result in deterioration of the quality of the review process. One of the possible factors for the recent increase in the application number may be attributed to the fact that some of research institutions seem to set the KAKENHI application as one of their organizational activity indicators. Application for the KAKENHI grant per se should be made on the basis of the initiative of the researchers. Therefore, such action on the part of research institutions as to set quota to the constituent researchers is undesirable.

All research institutions are requested to share and disseminate within themselves the primary purpose and aim of the KAKENHI system afresh.

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### (Reference 4)

Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research (KAKENHI (Multi-year Fund)) (Omitted)

### [References]

The application forms (Research Proposal Document) and other application materials are contained in separate files. Please refer to "Supplementary edition to the Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI- for FY2019; Specially Promoted Research, Scientific Research (S/A/B/C), Challenging Research (Pioneering/Exploratory), and Early-Career Scientists (Forms/Procedures for Preparing and Entering a Research Proposal Document)".

\* The application forms (Research Proposal Document) and other application materials can be downloaded from the JSPS website (cf. URL below).

URL: https://www.jsps.go.jp/j-grantsinaid/index.html

### I. Outline of the Grants-in-Aid for Scientific Research-KAKENHI-

### 1. Purpose and Character of Grants-in-Aid for Scientific Research-KAKENHI-

Grants-in-Aid for Scientific Research are competitive funds that are intended to promote development of scientific research (based on original ideas of researchers), encompassing basic to applied researches in all fields ranging from humanities and social sciences to natural sciences. The grants provide financial support for creative and pioneering research projects that will become the foundation of social development. The research projects are selected by peer-review process.

### The placement of "KAKENHI" in the policy on the promotion of science, technology and scientific research in Japan

Research type
Funding type

Scientific research based on researcher's creative ideas [curiosity-driven research]

R&D on policy imperatives [mission-oriented research]

Competitive research funding (Selected through open calls and review)

Research supported by Grants-in-Aid for Scientific Research Research funded by open call and selection in line with the missions set by individual Ministries

Government subsidies for independent administrative institutions

Research conducted at Universities and Inter-University Research Institutes National projects led by the initiative of Government Strategically promoted R&D project conducted by National Research and Development Agencies

### 2. Research Categories

Different research categories of KAKENHI listed below are provided so as to meet the variety of the research content and budget scale.

❖ As of September 2018

Research categories Purposes and description of each research category	
Grants-in-Aid for Scientific Research	
Grant-in-Aid for Specially Promoted Research	Outstanding and distinctive research conducted by one or a relatively small number of researchers expected to achieve remarkably excellent research results that open up a new scientific field. (The research period is 3 to 5 years (in a truly necessary case, period up to 7 years is acceptable.). The budget ranges from 200 million to 500 million yen per project (only in a truly necessary case, budget exceeding 500 million yen.is asked for.).
Grant-in-Aid for Scientific Research on Innovative Areas	(Research in a proposed research area) This category is intended to foster novel research areas proposed by diverse groups of researchers that are expected to lead to development and heightening of Japan's research level in the respective fields, to be conducted by collective research efforts through collaboration, scholarly training, shared use of equipment, etc. (The period is 5 years. The budget range is generally set between 10 million to 300 million yen per fiscal year per proposed area.)

Grant-in-Aid for Scientific Research	(S): Creative/pioneering research conducted by one or a relatively small number of researchers. (The period is 5 years. The budget ranges from 50 to 200 million yen per project.)  (A), (B), (C): Creative/pioneering research conducted by one researcher or jointly by multiple researchers. (The period is 3 to 5 years.)  (A) 3 to 5 years 20 million to 50 million yen  (B) 3 to 5 years 5 million to 20 million yen  (C) 3 to 5 years 5 million yen or less  *Classification of (A)/(B)/(C) is according to the budget range.
Grant-in-Aid for Challenging Exploratory Research	[No new proposals have been called since FY2016.] Early-stage research conducted by one or multiple researchers which, based on a unique idea, sets a high and challenging goal. (The period is 1 to 3 years. The budget is up to 5 million yen per project.)
Grant-in-Aid for Challenging Research (Pioneering/Exploratory)	(Pioneering) (Exploratory) Research conducted by a single or multiple researchers that aims at radically transforming the existing research framework and/or changing the research direction and has a potential of rapid development. The scope of the (Exploratory) category encompasses research proposals that are highly exploratory and/or are in their budding stages.  The research period and total budget range are as follows; (Pioneering) 3 to 6 years 5 million to 20 million yen (Exploratory) 2 to 3 years 5 million yen or less
Grant-in-Aid for Young Scientists	[No new proposals have been called since FY2017.]  (A), (B): Research conducted individually by a researcher of age 39 or younger.  The research period and total budget range are as follows;  (A) 2 to 4 years 5 million to 30 million yen  (B) 2 to 4 years 5 million yen or less  *Classification of (A)/(B) is according to the budget range.
Grant-in-Aid for Early-Career Scientists	Research conducted by an individual researcher (*) who is less than 8 years after Ph.D. acquisition. As an interim measures, a non-Ph.D. researcher who is 39 years old or younger can also apply. (*) Individuals who are in the prospect of acquiring Ph.D. are also eligible. When counting the years after Ph.D. acquisition, the period of maternity leave and childcare leave can be excluded. (The period is 2 to 4 years. The budget is up to 5 million yen per project.)
Grant-in-Aid for Research Activity Start-up	Research conducted by a single researcher who has been freshly appointed to a research position, or who has returned from his/her maternity, childcare or other kinds of leave.  (The period is up to 2 years. The budget is up to 1.5 million per fiscal year.)
Grant-in-Aid for Encouragement of Scientists	Research conducted by an individual who is ineligible for application for other KAKENHI categories (e.g. Individuals who belong to educational or research institutions, private companies, etc. and engage in the researches to contribute to the promotion of the science).  (The period is 1 year. The budget range is between 100 thousand and 1 million yen per project.)
Grant-in-Aid for Special Purposes	Funding of research projects of pressing urgency and importance. (e.g. investigation of natural disaster)
Grant-in-Aid for Publication of Scientific	
Research Results Publication of Research	Subsidy for publication and/or international dissemination of research achievements of high academic
Results	values executed by academic associations and other organizations.
Enhancement of International Dissemination of Information	Subsidy for efforts by academic societies and other scholarly organizations to strengthen international dissemination of academic information for the purpose of international academic exchange.
Scientific Literature	Subsidy for academic publication of research results (books) authored by an individual or a group of researchers.
Databases	Subsidy for creation and operation of a database open to public use, by an individual or a group of researchers.
Grant-in-Aid for JSPS Fellows	Funding for research conducted by JSPS Fellows (including Foreign JSPS Fellows). (The period is up to 3 years.)
Fund for the Promotion of Joint International Research	(The period is up to 5 years.)
Fostering Joint International Research	(A) Support of joint international research project conducted by a KAKENHI grantee in collaboration with researcher(s) at foreign university or research institution. Over a period of 6 to 12 months. The grant seeks to markedly advance research plans for the root research project and to foster independent researchers who can be internationally competitive. (The budget is up to 12 million yen.) (*) The name is changed from FY2018 call for proposals.  (B) Support of joint international research project conducted by multiple domestic researchers and researcher(s) who belongs to overseas research institution. In addition to the development of scientific research, the grant seeks to build out infrastructure of joint international research or further strengthen joint international research and to foster researchers who can be internationally competitive. (The period is 3 to 6 years. The budget is up to 20 million yen.)
International Activities Supporting Group	Support of international activities within Scientific Research on Innovative Areas.  (Set period of the Area, up to 15 million yen per year)  * After FY2018 call for proposal, "International Activities Supporting Group" will be incorporated into "Grant-in-Aid for Scientific Research on Innovative Areas "Administrative Group".

Home-Returning Researcher Development Research	Support of research to be conducted by a Japanese researcher with current affiliation abroad who is to be newly appointed at university or research institution in Japan. (The period is up to 3 years. The budget is to 50 million yen.)
Generative Research Field for Scientific Research (B/C)	This category set for "Scientific Research (B/C)" is open to research proposals for which screening within the conventional framework of research fields may be difficult and/or to applicants who prefer their proposals to be screened from a broader perspective relevant to the Generative Research Field. (The research period that can be applied for differs depending on the year of application.)  * After the call for proposals in FY2018, setting of a new field is suspended. (FY2019 call for proposal is only for the 3 fields established in FY2017.)

### 3. Role sharing between MEXT and JSPS

Up to FY 1998, all aspects of KAKENHI funding were handled by the Ministry of Education (the predecessor of MEXT). From FY1999 on, these tasks have been gradually transferred to JSPS. The current role-sharing between MEXT and JSPS is as shown below.

❖ As of September 2018

		Grant delivery
Research category	Preparation of the document(s) for procedures, Reception of proposal submission	Notifications of unofficial decision Reception of the application form (after unofficial decision) and other documents for the relevant procedures. Notification of grant decision
Scientific Research on Innovative Areas, Grant-in-Aid for Special Purposes Fund for the Promotion of Joint International Research (International Group)	MEXT	JSPS
Specially Promoted Research, Scientific Research, Challenging Exploratory Research, Challenging Research, Young Scientists, Early-Career Scientists, Research Activity Start-up, Encouragement of Scientists, Publication of Scientific Research Results, JSPS Research Fellow, Fund for the Promotion of Joint International Research (Fostering Joint International Research, Home-Returning Researcher Development Research), Generative Research Fields	JSPS	JSPS

### 4. Rules pertaining to KAKENHI

<u>KAKENHI</u> (Series of Single-year Grants) are governed by the "Law on Optimizing Implementation of Budgets Relating to Subsidies" (Law No. 179, 1955), the "Procedures on the Handling of Grants-in-Aid for Scientific Research" (Announcement of MEXT), the "Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research" (KAKENHI (Series of Single-year Grants)) (Regulations No. 17, 2003), and other rules.

<u>KAKENHI (Multi-year Fund)</u> are governed by the application with modifications of the "Law on Optimizing Implementation of Budgets Relating to Subsidies" (Law No. 179, 1955) and the application of the "Basic Policy on the Management of the KAKENHI (Multi-year Fund) (Decision by the Minister of Education, Culture, Sports, Science and Technology)", the

"Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research (KAKENHI (Multi-year Fund))" (Rule No. 19, 2011) and other rules.

### (1) Three types of rules pertaining to KAKENHI

The following three sets of rules pertain to various aspects of KAKENHI.

- 1) Application Rules: rules concerning the submission of research proposals
- 2) Assessment Rules: rules concerning the pre-assessment (review) of applications, and rules concerning the interim, and other progress assessment of granted projects.
- 3) Utilization Rules: rules concerning the use of KAKENHI

These three sets of rules apply as follows.

### [Grants-in-Aid for Scientific Research]

❖ As of September 2018 **Application Rules** Assessment Rules Spending Rules **MEXT** MEXT **JSPS Application Procedures** Rules concerning the assessment for For researchers: Supplementary Grants-in-Aid for Scientific Research conditions Review Outline for Grants-in-Aid for For research institutions: Scientific Research, category Administrative work and other tasks KAKENHI "Scientific Research on Innovative concerning the use of Grants-in-Aid (Series of Areas" for Scientific Research (KAKENHI Single-year (Series of Single-year Grants)), to be Assessment Outline for Grants) performed by each research Grants-in-Aid for Scientific institution Research, category "Scientific Research on Innovative Areas" **JSPS JSPS Application Procedures** Rules concerning the review and assessment for Grants-in-Aid for **JSPS** Scientific Research For researchers: \*The review and assessment rules Funding conditions for FY2019 are scheduled to be KAKENHI For research institutions: made public in middle October. (Multi-year Administrative work and other tasks Fund) concerning the use of Grants-in-Aid for Scientific Research (KAKENHI (Multi-year Fund)), to be performed by each research institution

### (2) Appropriate use of KAKENHI

KAKENHI are funded by the tax of citizens and other sources, so please ensure that the KAKENHI is used efficiently and effectively, for example through planning for the communal use of purchased items.

Researchers receiving the KAKENHI have a duty to comply with the related laws, regulations and utilization rules by researchers (supplementary conditions or funding conditions), and also to use such grants appropriately. To facilitate the appropriate use of KAKENHI, research

institutions to which the researchers belong are responsible for the management of KAKENHI. The Administrative work that each research institution is required to carry out (rules for use for institutions) is determined. The research institutions are responsible for the appropriate accounting of KAKENHI. It is desirable, for example, to set up an accounting system for proper management of KAKENHI budget and expenditure, purchase order and delivery inspection, and internal auditing. To prevent improper business transactions, it is important, in addition to appropriate delivery inspections, to make all traders thoroughly informed of the KAKENHI rules and thus obtain cooperation of traders in the prevention of this kind of fraudulent accounting. Research institutions should take rigorous measures so as to eliminate business malpractice.

KAKENHI applicants and their institutions must have full understanding of the KAKENHI rules prior to the submission of their research proposals.

# (3) The distinction between KAKENHI (Series of Single-year Grants) and KAKENHI (Multi-year Fund)

A research project submitted to the categories of KAKENHI (Series of Single-year Grants), if adopted, is granted as a package plan for the multi-year research period. The actual funding, however, is made on the single-year basis for each fiscal year of the research period. Therefore, this type of KAKENHI cannot be used to cover the expenditures in fiscal years other than the respective grant year.

When it is anticipated that spending of the grant money cannot be completed within the fiscal year, owing to reason(s) unforeseeable at the time of grant delivery, the grant money can be carried over to the next fiscal year by going through the due procedures.

On the other hand, the KAKENHI (Multi-year Fund) is handled as single funding for the whole research period. Therefore, it is possible to use the grant to cover the expenditures extending over fiscal year boundaries.

Moreover, if an amount of grant money remains unused by the end of a fiscal year, it can be carried over to the successive fiscal year(s) as long as they are within the overall research period, without going through prior authorization procedures. In case such a grant carry-over becomes necessary in the final year of the research period, the grantee may choose to request an official approval of one-year extension of the research period.

### (4) Penalty for non-submission of "Report on the Research Achievements"

1) The "Report on the Research Achievements" plays the important role in making the achievements of the research funded by the KAKENHI widely known to the public, and thereby returning the outcome of KAKENHI supported by citizens' tax, to the society.

The contents of the "Report on the Research Achievements" submitted by KAKENHI grantees are compiled and made available to the public on the "Database (KAKEN)" of the National Institute of Informatics and other platforms. "Report on the Research Achievements" should be submitted via the research institution to which the KAKENHI grantees belong.

2) No KAKENHI grant will be awarded to a researcher who failed to submit the "Report on the Research Achievements" at the end of his/her research period without any justifiable reason. If such a non-compliance case is uncovered, the decision of grant award to the researcher in question may be cancelled, the on-going grant may be suspended, and return of the delivered grant may be ordered. In addition, relevant information, such as the name of the research institution to which the researcher in question belongs, may be made public.

Furthermore, if researchers have failed to submit the scheduled report on the research achievements without justified reason, then execution of other KAKENHI implemented in the same fiscal year will be suspended. Therefore, it is the responsibility of the representative of the research institution to ensure that the report on the research achievements is submitted without fail.

### (5) Penalty for the case of infringement of related laws and regulations

If there have been serious falsehoods in the application documents, or violation of relevant laws, regulations and guidelines, the delivery of KAKENHI may be suspended or cancelled.

### 5. "Guidelines on the Proper Implementation of Competitive Funding"

The "Guidelines on the Proper Implementation of Competitive Funding" (agreement of the liaison meeting of related offices and ministries on competitive funding, dated September 9, 2005; amended June 22, 2017) states common understandings among the research-related ministries and offices in regard to allocation of competitive research funds, in terms of elimination of such inappropriate practices as unreasonable duplication and/or excessive overconcentration in the grant allocation, fraudulent acquisition and/or unlawful use of grants, and misconducts in research activities. The implementation of the KAKENHI system as well as other competitive funding scheme follows the above-mentioned "Guidelines" and other related rules. Applicants are urged to take special notice of the following points.

# (1) Elimination of Unreasonable Duplication and/or Excessive Overconcentration in the Grant Allocation

1) Towards elimination of "Unreasonable Duplication and/or Excessive Overconcentration" (\*) of competitive funds, relevant information on funding applications are shared among the pertinent ministries and funding agencies, making use of the Cross-ministerial Research and Development management system (e-Rad).

Therefore, applicants, when submitting more than one KAKENHI applications and/or other competitive grants, are urged to prepare their application documents with due care to clearly state the differences between the project to be submitted and his/her other projects so as to make it clear that they do not constitute unreasonable duplication.

In case a particular KAKENHI application is recognized as constituting a case of unreasonable duplication and/or excessive overconcentration, that application may not be granted.

2) Untruthful statement or misrepresentation of the status of applications and acquisitions of other KAKENHI grants and other competitive funds in the application form, may result in cancellation of grant or reduction of the research budget.

### (\*) Elimination of Unreasonable Duplication and Excessive Overconcentration in Grant Allocation

"Guidelines on the Proper Implementation of Competitive Funding" -Extract-

(Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Funding, Dated September 9, 2005 (Revision: June 22, 2017))

- 2. Elimination of Unreasonable Duplication and/or Excessive Overconcentration in the Grant Allocation
- (1) Basic Policy of the Unreasonable Reduplication and Excessive Overconcentration
  - ① In the "Guidelines", "Unreasonable Duplication" refers to a situation in which more than one competitive funds are unnecessarily and duplicative allotted to one and the same research project by one and the same researcher. Either of the following cases falls under "Unreasonable Duplication".
    - OCases where simultaneous applications have been made to more than one competitive funds for substantially the same research project, and where these research projects are redundantly adopted.
    - OCases where an application has been made again for substantively the same research project as another project that has already been adopted, and for which the allotment of competitive funding has already been completed.
    - OCases where there is duplication in the use of research funds among more than one research projects.
    - OOther cases corresponding to those above.
  - ② In these guidelines, "Excessive Concentration" is a situation in which the entire research funds that are allotted to one and the same researcher or research group (hereinafter called "researcher, etc.") in the fiscal year in question exceeds the limit within which they can be used effectively and efficiently, and in which the research funds cannot be used within the research period. Either of the following cases falls under "Excessive Concentration".
    - OCases where, in the light of the abilities of the researcher, etc. and the research methods, etc., excessive research funds are allotted.
    - OCases where, in comparison with the effort (the time allocation rate (%) of time necessary for the implementation of the research activities with the entire working time of researcher) that is being allotted to the research project in question, excessive research funds are allotted.
    - OCases where the purchase of unnecessarily expensive equipment is carried out.
    - Other cases corresponding to the cases mentioned above.

# (2) Dealing with "Improper Grant Spending", "Fraudulent Grant Acquisition" or "Research Misconduct"

- "Improper Grant Spending", "Fraudulent Grant Acquisition" and "Research Misconduct" refer to the following type of acts respectively.
  - "Improper Grant Spending":
    - Use of funds for other purposes, intentionally or by gross negligence, for example, by conducting fictitious business transactions ("azukekin") with a trader through fictitious order placements, or by charging costs higher than actually needed for personnel, travel expenses, etc., or use of funds in violation of the content of the funding decision or the conditions it implies
- "Fraudulent Grant Acquisition":

Receiving funds by deception or other fraudulent means, for example, by applying under the name of another researcher, or by making false entries in application documents · "Research Misconduct":

Fabrication, Falsification, or Plagiarism of data, information, or findings published research achievements based on the intent of the researcher, or the failing of the researcher to fulfill the basic duty of care that he/she has.

1) No KAKENHI will be offered, for a fixed period of time, when a researcher or related party has committed a improper grant spending of KAKENHI, has committed a fraudulent grant acquisition of KAKENHI, or has committed a research misconduct. Moreover, for research projects for which it is established that an improper grant spending of grants, a fraudulent grant acquisition of grants or research misconduct has been committed, he/she may be required to return the given KAKENHI completely or partially.

Moreover, an outline of the improper grant spending of KAKENHI, the fraudulent grant acquisition of KAKENHI, and/or the research misconduct in question of the researcher who falls in those categories (containing an outline of the research achievements in the research institution, the names of the people involved, the name of the system, the institution they belong to, the research project, the budget, the fiscal year of the research, the fraudulent content, details of the measures taken, etc.) will be made public.

Also researchers who have committed a fraud, waste, abuse, or fraudulent grant acquisition of competitive funding other than the KAKENHI (including funds under the control of other ministries) etc., and/or has committed research misconduct by means of these competitive funds, and therefore are excluded from receiving these funds in question, for a fixed period of time, will not receive the KAKENHI for the fixed period of time.

Note: This applies to those schemes newly starting a call for proposals in FY2019 (and onward) for "competitive funding other than KAKENHI" as well. It also applies to those schemes that ended before FY2018. Refer to the website below for the schemes to which this specifically applies at present.

Cf. URL: http://www8.cao.go.jp/cstp/compefund/kyoukin30\_seido\_ichiran.pdf

### OPeriod of KAKENHI suspension

### [Improper Grant Spending and Fraudulent Grant Acquisition of KAKENHI]

Researcher categories	Extent of the improper grant spending		Period of KAKENHI suspension
I. Researchers who committed improper grant spending of KAKENHI and researchers who conspired in such acts	1. Misappropriation of KAKENHI for personal gain		10 years
II. Researchers who committed		(1) Cases of major seriousness and maliciousness	5 years
improper grant spending of KAKENHI and researchers	2. Other than 1.	(2) Cases other than (1) and (3)	2 to 4 years
who conspired in such acts		(3) Cases of minor seriousness and maliciousness	1 year
III. Researchers who acquired KAKENHI by deception or other fraudulent means and researchers who conspired in such acts		_	5 years
IV. Researchers who were not directly involved in the improper grant spending of KAKENHI, but failed to exercise due care.	-		The upper limit is 2 years and the lower limit is 1 year depending on the degree of the breach of duty by the researchers who have the duty of care as a good manager.

For cases judged as subcritical to the punitive suspension measures, sharp reprimand is administered to the individual(s) concerned.

The following cases are pertinent to the "sharp reprimand" penalty.

- 1. Among the case II above, the researchers in case that the influence on society and the maliciousness of their conducts are judged to be insignificant and the amount of money involved is small.
- 2. Among the case IV above, the researchers in case that the influence on society and the maliciousness of their conducts are judged to be insignificant.

### [Research Misconduct]

	Individual Invo	lvement in the Misconducts	Negative Impacts on Science and on Public at Large Degree of Maliciousness	Period of KAKENHI Suspension
(a) Particularly malicious individual(s) who, for example very beginning of the research			cample, had intention of research misconduct from the	10 years
etc. related to the research in which research misconduct (s) have been identified (other than	of paper(s), etc. related	Responsible author(s) of the paper(s) in question	Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are major, or the level of maliciousness involved in the acts is high	5 to 7 years
	which research misconduct	which esearch nisconduct author or other authors bearing equivalent responsibilities)	Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are minor, or the level of maliciousness involved in the acts is low	3 to 5 years
	been identified	Author(s) of the paper(s) in question other than the responsible author(s) described above		2 to 3 years
(c) Individual(s) involved who are not the authors of the research paper(s) for which research misconduct(s) are identified.		e research paper(s) for which		2 to 3 years
Responsible author(s) of paper(s), (corresponding author, lead author or other authors bearing equivalent responsibilities) for which research misconduct(s) are identified, but not involved in the alleged research misconduct		other authors bearing equivalent	Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are major, or the level of maliciousness involved in the acts is high	2 to 3 years
			Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are low, or the degree of severity of the acts is low	1 to 2 years

<sup>\*</sup> In cases where specific issues for extenuation such as voluntary withdrawal of the paper in question may be taken into account, the suspension period can be shortened as judged fit.

2) The relevant information of each research misconduct case may be provided to the relevant offices and the office of research funding under the jurisdiction of Ministry of Education, Culture, Sports, Science and Technology (including independent administrative legal entities and other grant-allocating institutions) in charge of funding within such Offices and Ministries. Thereby the penalized researcher may be also subject to restriction in application of and/or participation to research projects in other competitive funds than the KAKENHI.

Note: "Applying and participating" means proposing new projects, applying, responding to call for proposals, newly participating to research as a person involved in collective research, etc. and participating as a Principal Investigator or a person involved in collective research, etc. in research projects in progress (continued projects).

3) If it is established that research misconduct has taken place in a research paper, report, or other research output funded by the KAKENHI, the researcher will be treated in the same way as stated in the above-mentioned 1) and 2). The severity of the research misconduct and other matters will be taken into consideration.

Moreover, a person who is determined to have a certain responsibility, because, for example, he or she neglected his/her duty of care as a person in charge of the paper, report, etc. in question, will be treated in the same way, even if it has not been established that he or she was directly involved in the research misconduct.

- 4) Research institutions are required to comply with the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards) (revised in February 2014), Ordered by the Minister of Education, Culture, Sports, Science and Technology" and "Guidelines for Responding to Research Misconduct (adopted August 26, 2014 by MEXT) ". Therefore, research institutions should pay adequate attention to these two sets of Guidelines when researchers implement their research activities.
  - Guidelines on the Management and Audit of Public Research Funds at Research Institutions
    Cf. URL http://www.mext.go.jp/a menu/kansa/houkoku/1343904.htm
  - "Guidelines for Responding to Research Misconduct"
     Cf. URL http://www.mext.go.jp/a menu/jinzai/fusei/index.htm

Note: Recent case examples of improper grant spending, fraudulent grant acquisition and research misconduct of KAKENHI.

#### O Improper grant spending

- Someone instructed a trader to forge fictitious transaction pretending to have purchased expendables, made the university pay a KAKENHI for them, and then instructed the trader to keep the money as deposit for future use.
- Someone instructed a trader to forge a fictitious transaction, obtaining a false invoice which carries item names different from those actually ordered and delivered, and then made the university pay a KAKENHI for them.
- Someone instructed his/her students to submit false work attendance sheets, made the university pay a KAKENHI for them, and then kept the money as a pooled fund of his/her lab.
- Someone visited destination not listed on the oversea travel itinerary, in order to have a meeting on cooperative research unrelated to the purpose of the KAKENHI research project.
- (Note) The expenditure of the KAKENHI for fictitious and other transactions, like the ones mentioned in the case examples above, are all considered "misappropriation or misuse", even if the expenditure was intended for the purpose of conducting the KAKENHI research project.

### O Fraudulent grant acquisition

• A researcher ineligible for the KAKENHI funding made application and acquired a KAKENHI grant.

### O Research misconduct

- Someone manipulated or forged experimental data or figures in a research paper published as research achievement supported by the KAKENHI.
- Someone published in his/her KAKENHI achievement report an article which was a translation of an original research paper written in English with no prior consent from the author(s) nor proper quotation statement.

### 6. Dissemination of Research Achievements supported by KAKENHI

KAKENHI research achievements are made available to other researchers and to the general public, through posting of the "Research Outline" and the "Report on the Research Achievements" on the Grants-in-Aid for Scientific Research (KAKEN) database operated by the National Institute of Informatics.

To promote dissemination of research achievements, the KAKENHI can be used to cover such outreach-related expenses as preparation of website or printing of pamphlets. The KAKENHI grantees are urged to actively pursue public promotion of their research achievements through the

aid of KAKENHI so as to make them widely known to the public at large.

In this connection, the KAKENHI grantees are encouraged to participate in the "HIRAMEKI  $\stackrel{\checkmark}{\approx}$  TOKIMEKI SCIENCE" program run by JSPS, in which the latest science developments are presented to elementary, junior high and high school students in an easy-to-understand style. In addition, please take note of the following issues as well.

### (1) The acknowledgement for KAKENHI grant in research publications

When publishing research achievements of a KAKENHI project, researchers should be sure to express that the project has been supported by a KAKENHI grant, by stating in the "Acknowledgment" section of the paper the "JSPS KAKENHI Grant Number JP8 digits" in the case of English publication or "JSPS 科研費 JP8 桁の課題番号" in the case of Japanese publication.

(Example)

[English] This work was supported by JSPS KAKENHI Grant Number JP18K45678.

【Japan】本研究は JSPS 科研費 JP18K45678 の助成を受けたものです。

### (2) The implementation of the fair and conscientious research activities

The research using the KAKENHI should be carried out based on researcher's own self-awareness and responsibility. Therefore the publication on the implementation of the research or research achievements, etc. should not come from the government request and the views and responsibilities on the research achievements should be attributed to the researchers themselves.

On the occasion such as researchers release the research achievements using the KAKENHI broadly to the public, the examples of the indication noting that the research achievements are based on the personal views are given below.

(Example)

[English] Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the author(s)' organization, JSPS or MEXT.

【Japan】本研究の成果は著者自らの見解等に基づくものであり、所属研究機関、資金配 分機関及び国の見解等を反映するものではありません。

### (3) Promotion of "Open Access" to the research papers supported by KAKENHI grants

The Japan Society for the Promotion of Science (JSPS) endorses general policy of promotion of open access of publications of research results funded by public grants including KAKENHI. Note that open access is not mandatory if there are justifiable reasons for deferral such as copyright-related issues, or insufficient repository infrastructure at the research institution.

The open access implementation policy of JSPS is given on the following webpage:

URL: <a href="https://www.jsps.go.jp/data/Open\_access.pdf">https://www.jsps.go.jp/data/Open\_access.pdf</a>

[Reference 1: What is "Open Access"]

Open access refers to the basic idea that research papers published in peer-reviewed journals, etc. should be made freely accessible by anyone.

### [Reference 2: Different Routes to Open Access]

There are 3 main ways of open access implementation ((1) to (3) below)

- (1) A way to make open the access to the article which is published in the conventional subscription fee type academic journal after a certain period (Embargo) (\* 1) (for example 6 months later) by opening the final manuscript to an Institutional Repository (\* 2) established by the research institution to which the author belongs, or by opening the final manuscript to the website etc. established by the researchers (self-archiving) (\* 3).
- (2) A way to make the article open access by posting the article on the Web established by the research community or public institution
- (3) A way to make the article open access immediately by paying the publication fee (APC: Article Processing Charge) by the author of the article

### \*1: "Embargo"

The predetermined period from the time of publication of an article in an academic journal to the time of release so that it can be posted on an online open access archiving system (repository).

#### \*2: Institutional Repository

An online archiving system created by university or research institution for storage and dissemination of the intellectual products. Institutional repositories play important roles in the reform of academic information distribution by enabling the researchers register their own articles, such as the transmission of research and education achievements of the research institution, PR for both the research institution and the researcher, guaranteeing the accountability of research and education activities towards society, and the long-term conservation of intellectual products.

### \*3: Self-archiving

"Self-archiving" refers to online posting of articles published in academic journals, dissertations, or data by those other than the publisher, (the researcher or research institution) generally on their institutional repositories.

### 7. Code of Conduct for Scientists to Adhere

To ensure the quality of scientific knowledge and to gain trust of society on scientists and scientific communities, it is essential to exercise fair and conscientious research activities with the adherence to the code of conduct for scientists. Applicants must understand and practice the contents of both the statement "Code of Conduct for Scientists" (section I. "Responsibilities of Scientists") by the Science Council of Japan and the booklet "For the Sound Development of Science - The Attitude of a Conscientious Scientist -" (especially section I "What Is a Responsible Research Activity?") issued by the Japan Society for the Promotion of Science (JSPS).

And also take note that upon the formal application for grant delivery, it shall be confirmed through the electronic application system whether the Principal Investigator and Co-investigator(s) will have taken the research ethics education coursework, etc. (see page 121)

# [Extraction from "Code of Conduct for Scientists – Revised Version –" by the Science Council of Japan dated on 25 January 2013]

I Responsibilities of Scientists

(Basic Responsibilities of Scientists)

1 Scientists shall recognize that they are responsible for assuring the quality of the specialized knowledge and skills that they themselves create, and for using their expert knowledge, skills and experience to contribute to the health and welfare of humankind, the safety and security of society and the sustainability of the global environment.

(Attitude of Scientists)

2 Scientists shall always make judgments and act with honesty and integrity, endeavoring to maintain and improve their own expertise, abilities and skills, and shall make the utmost effort to scientifically and objectively demonstrate the accuracy and validity of the knowledge they create through scientific research.

(Scientists in Society)

3 Scientists shall recognize that scientific autonomy is upheld by public trust and the mandate of the people, understand the relationships between science, technology, society, and the natural environment from a wide-ranging perspective, and act in an appropriate manner.

(Research that Answers to Social Wishes)

4 Scientists shall recognize that they are responsible for answering to the wishes of society to investigate into truths and to achieve various issues. When using research funds that are to be provided for establishing the research environment and for conducting research scientists shall always recognize that such broad social expectations exist.

(Accountability and Disclosure)

5 Scientists shall strive to disclose and actively explain the roles and significance of their own research, evaluate the possible effects of their research on people, society and the environment as well as the changes that their research might engender, neutrally and objectively disclose the results of this evaluation, and build a constructive dialogue with society.

(Dual Use of Scientific Research Outcomes)

6 Scientists shall recognize that there exist possibilities that their research results, contrary to their own intentions, may be used for destructive actions, and shall select appropriate means and methods as allowed by society in conducting research and publicizing the results.

\* URL: <a href="http://www.scj.go.jp/ja/scj/kihan/">http://www.scj.go.jp/ja/scj/kihan/</a>

# ["For the Sound Development of Science – The Attitude of a Conscientious Scientist –" by the Japan Society for the Promotion of Science (JSPS)]

(Japanese version (text version)) ("For the Sound Development of Science" Editorial Committee on JSPS)

\* URL: <a href="https://www.jsps.go.jp/j-kousei/data/rinri.pdf">https://www.jsps.go.jp/j-kousei/data/rinri.pdf</a>

### **II. Call for Proposals**

### 1. Research Categories for which a Call for Proposals is Organized

The following shows the research categories for which the Japan Society for the Promotion of Science is organizing a call for proposals:

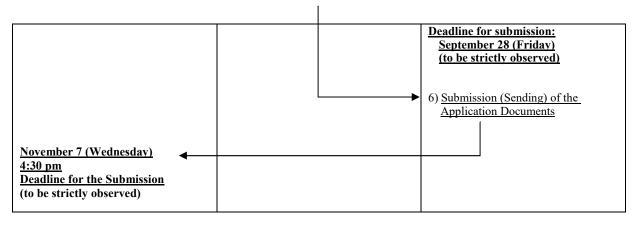
Specially Promoted Research, Scientific Research (S/A/B/C), Challenging Research (Pioneering/Exploratory), and Early-Career Scientists

### 2. Schedule from Application to Grant Delivery

# (1) Procedures that need to be completed prior to the deadline for the submission of the application documents

Principal Investigator should sufficiently cooperate with the research institution, and should adequately respond to its requests.

aucquatery respond to its requests.					
The Date and Time	Procedures to be Performed by the Principal Investigator (See "III. Instructions for Prospective Applicants" and "IV. Instructions for Grant Recipients")	Procedures to be Performed by the Research Institution (See "V. Instructions for Administrative Staff of Research Institution")			
From September 1 (Saturday), 2018 Start of the Call for Proposals	1)Preparing the Application Investigators should access the Electronic Application System using the ID and the e-Rad Password which has been provided by the research institution and preparing the application.  [Procedures to be completed, if the need arises] 2) Participation process of the Co-Investigator-to-be joining as a project member  3)Submission (Sending) of the Application Documents The Principal Investigator should submit (send) the application documents to the research institution he/she belongs to, by the deadline decided the research institution.	[Procedures to be completed, if the need arises] 1) The Research Institution obtains an ID and Password for e-Rad from the person in charge of the operation of e-Rad (This does not apply if the research institution already obtained them.) *The issue of the ID and the Password takes about 2 weeks. 2) Registration of the Researcher Information in e-Rad and other matters. 3) Research institutions issue an ID and password to the Principal Investigators. (This does not apply if the researcher already obtained an ID and a password.)  [Procedures to be completed, if the need arises] 4) The researchers who belong to the Institutions give a consent to become the Co-Investigator.  5) · Submission of the "Self-assessment Checklist on the Improvement of the System" based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions"  • Submission of the "Checklist Pertaining to the Current Status" based on the "Guidelines for Responding to Misconduct in Research"			



### Notes:

- 1. After the Principal Investigator submit (Sending) to the application to the research institution (mentioned in "Procedures to be Performed by the Principal Investigator" 3)), the research institution should submit (Sending) to the JSPS the application the application by the deadline for the submission (mentioned in "Procedures to be Performed by the Research Institution" 6)).
  - Next, he or she should verify the section "Preparing the Application and Submitting the Application" (pages 45-55), etc. as well as verify the procedures designated by the research institution, etc. (deadline for the submission of the application, etc., in the research institution) with the office worker in charge in the research institution.
- 2. When the researcher is applying for KAKENHI, he or she should register the researcher information beforehand in e-Rad. The research institution should perform the registration in e-Rad. Therefore, the researcher who is planning to apply should verify the state of the registration with the office worker in charge in the research institution.
- 3. The research institution should submit a "Self-assessment Checklist on the Improvement of the System" based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)" and a "Checklist Pertaining to the Current Status" based on "Guidelines for Responding to Misconduct in Research" (mentioned in "Procedures to be Performed by the Research Institution" 5)). If it has not been submitted, the applications of researchers belonging to the research institution in question will not be accepted in the Electronic Application System.
- 4. If the project members are organized with some Co-Investigators, the Principal Investigator should conduct the consent process to register the Co-Investigators through the electronic application system (mentioned in "Procedures to be Performed by the Principal Investigator" 2)). And the Co-Investigators-to-be need to obtain a necessary consent to become a Co-Investigator from their research institutions, and so on (mentioned in "Procedures to be Performed by the Research Institution" 4)).

The Principal Investigator cannot submit (send) the Research Proposal Document to his/her research institutions until the research institutions to which the Co-Investigators-to-be belong give the consent to become a Co-Investigator in the research project, and so on. For this purpose, the Principal Investigator is asked to organize the project members immediately (see page 52).

### (2) Schedule after the Submission of the Application Documents (plan)

Specially Promoted Research	Scientific Research (S)	Scientific Research (A/B/C), *2 Early-Career Scientists
December 2018 to April 2019:	December 2018 to May 2019:	December 2018 to March 2019:
Review	Review	Review
Late April 2019:	Late June 2019:	Early April 2019:
Provisional grant decision	Provisional grant decision	Provisional grant decision
Middle of May:	Middle of July:	Late April:
Formal application for grant delivery	Formal application for grant delivery	Formal application for grant delivery
Late June:	Late July:	Around April:
Official grant decision	Official grant decision	Disclosure of review results
Around July:	Middle of August:	Late June:
Disclosure of review results	Grant delivery	Official grant decision
Middle of July:	(part of the first term) *1	Middle of July:
Grant delivery	Around August:	Grant delivery
(part of the first term) *1	Disclosure of review results	(part of the first term) *1
Around October:	Around October:	Around October:
Grant delivery	Grant delivery	Grant delivery
(part of the second term) *1	(part of the second term) *1	(part of the second term) *1

Scientific Research (B/C)	Challenging Research	
(Generative Research Fields) *3	(Pioneering/Exploratory)	
December 2018 to June 2019:	December 2018 to June 2019:	
Review	Review	
Middle of July 2019:	Early of July 2019:	
Provisional grant decision	Provisional grant decision	
Late July:	Late July:	
Formal application for grant delivery	Formal application for grant delivery	
Middle of August:	Middle of August:	
Official grant decision	Official grant decision	
Around August:	Around August:	
Disclosure of review results	Disclosure of review results	

### Notes:

- \*1: The amount requested for funding or the amount requested for payment (direct costs) will be remitted separately in two installments, i.e. one during the first term (from April until September) and the other during the second term (from October until March), if this amount for the fiscal year in question is 3 million yen or more, and it will be remitted in a lump sum during the first term, if it is less than 3 million yen.
- \*2: This does not apply to Scientific Research (B/C) (application section "Generative Research Fields").
- \*3: Setup of new areas is suspended on "Scientific Research (B/C) (application section "Generative Research Fields)" (FY2019 call for proposal is only for the 3 fields established in FY2017.). (see page 21)

### 3. Details of Each Research Category

### (1) Specially Promoted Research: KAKENHI (Series of Single-year Grants)

### A) Funding target:

Outstanding and distinctive research plan, conducted by a single or a relatively small number of researchers, is expected to yield excellent research results and to open up a new scientific field

# B) Range of total budget (total budget throughout the research period the same applies below): 200 million to 500 million yen

The upper limit of the total budget per research project is set at 500 million yen. If truly needed, however, application exceeding this upper limit is not excluded.

\* Handling of research projects with a total budget exceeding 500 million yen

The reason why such a budget is needed should be stated in detail in the appropriate column of the research proposal document. The necessity of the budget will be scrutinized.

### C) Research period: 3 to 5 years

\* If it is truly needed, application with a longer research period (up to 7 years) is possible.

### D) Number of research projects to be adopted: Around 10 in total

### E) Review Section and Review Method:

Review Section: Either of "Humanities and Social Sciences", "Science and Engineering" and "Biological Sciences"

Review Method: Comprehensive Review (Document Review and Panel Review)

\* Review comments written by a few nominated researchers (domestic and overseas) in the field of specialization are utilized in document review and panel review. Interview of the applicant will be conducted at the final review stage.

(See page 54 for Review Section and page 148 for Review Method)

### F) Objectives of the research category:

Starting from the FY2018 call for proposals, the positioning of Grant-in-Aid for Specially Promoted Research has been redefined as "an outstanding and distinctive research plan that opens up new scientific fields". Emphasis is placed on supporting a "challenge" towards the development of new academic research aiming at breakthrough beyond conventional research activities, rather than merely supporting continuation and development of "current world leading research". The objective and basic idea of the reframing of this research category is described in "On the Strengthening of Support for Challenging Research

through KAKENHI" (December 20, 2016, Subdivision on Grants-in-Aid for Research in the Subdivision on Science, Council for Science and Technology). Applicants are advised to read this report carefully before preparing the research proposal.

URL: http://www.mext.go.jp/a menu/shinkou/hojyo/1284543.htm

### G) Important points:

- From the FY2018 call for proposals on, restriction on repetitive receipt of the grant in this category is enacted, so as to give many researchers the opportunity of challenge. Acquisition of the grant in this category as PI is limited to once in his/her lifetime. However, if the research theme is totally different, exceptional receipt is not excluded (\*1).
- Allocation of the grants to the adopted proposals will be made with utmost consideration of the requested budget.
- For each adopted research project, an interim assessment will be conducted around the middle of the research period (\*2). An ex-post assessment will be conducted in the fiscal year following the end of the research period. On the basis of the interim assessment, adjustment of grant allocation for the subsequent years, cancellation of the project or other measures may be taken as needed.

#### Notes:

- \*1: Acquisition of a Specially Promoted Research Grant prior to FY2018 will not be counted for this restriction.
  - From FY2018 on, if an adopted Specially Promoted Research project is withdrawn from the formal grant delivery application or is abolished in the middle of the research period it will be counted for the restriction.
- \*2: An interim assessment will be conducted in the 2nd year for research projects with 3-year research period, in the 3rd year for research projects with 4- or 5-year research period, and in the 4th year for research projects with 6- or 7-year research period.

### (2) Scientific Research (S): KAKENHI (Series of Single-year Grants)

### A) Funding target:

Research plan conducted by a single or a relatively small number of researchers that aims at achieving a major development in creative and pioneering research

### B) Range of total budget: 50 million to 200 million yen

- C) Research period: 5 years as a general rule
  - \* As an exception, the research period may be set at three or four years, in case any of the researchers are expected to leave the research institution, due to reaching retirement age, or for any other reason.

### D) Review section and Review method:

Review Section: Broad Section

Review Method: Comprehensive Review (Document Review and Panel Review)

\* Review comments written by a few domestic researchers in the field of specialization are utilized in document review and panel review. Interview of the applicant will be conducted at the final review stage.

(See page 56 for Review Section and page 148 for Review Method)

### **E)** Important points:

An interim assessment will be conducted at the mid-term of the research period. Based on the results of interim assessment, an increase or a reduction of the research budget, cancellation of the research, or other measures may subsequently be implemented, if the need arises. An ex-post assessment will be conducted in the fiscal year following the end of the research.

### (3) Scientific Research (A/B/C)

Scientific Research (A): KAKENHI (Series of Single-year Grants) (Application section "General")

Scientific Research (B): KAKENHI (Series of Single-year Grants) (Application section "General") and KAKENHI (Multi-year Fund)
(Application section "Generative Research Fields")

Scientific Research (C): KAKENHI (Multi-year Fund)

### A) Funding target:

**Research plan conducted by a single or multiple researchers** that aims at achieving a major development in creative and pioneering research

### B) Range of total budget:

Applications are to be made to one of the following three divisions, according to the range of total budget.

Research category	Range of total budget	Application section	
Scientific Research (A)	20 million to 50 million yen	General	
Scientific Research (B)	5 million to 20 million yen	General/Generative Research Fields	
Scientific Research (C)	5 million yen or less	General/Generative Research Fields	

### C) Research period:

Scientific Research (A/B/C) Application section "General": 3 to 5 years Scientific Research (B/C) Application section "Generative Research Fields": 3 years

### D) Application section: Select one of the following application sections.

In the FY2019 call for proposals, the former "Review Section" has been renamed

"Application Section". While the "General" section is unchanged, "Overseas Scientific Investigation" section suspends the call for new proposals while an overall reform of "Overseas"-related KAKENHI grants is under way. "Application Section" in "Scientific Research A/B/C)" will be eventually abolished in FY2020 as a result of this reform and the transfer of "Generative Research Fields" to the "Challenging Research" category.

### Application section: "General"

Applications in the category "<u>Scientific Research (A/B/C)</u>" should be made to the application section "General" except those appropriate for "Overseas Scientific Investigation" or "Generative Research Fields".

### Application section: "Generative Research Fields"

[Setting of new fields has been terminated. The FY2019 call for proposals is conducted with the 3 fields set up in FY2017.]

["Generative Research Fields Review Division" has been set up in the framework of "Challenging Research (Pioneering/Exploratory)" category.]

The FY2019 call for proposals is only in the 3 fields -- "Orality and Society", "Agricultural Resources for the Next Generation" and "The Information Society and Trust" (established in FY 2017). Proposal submission to this application section is limited to **the Scientific Research (B/C) categories**.

"Generative Research Fields" are open to such research proposals that are considered difficult to be reviewed in any of the Basic Review Sections and to those applicants who prefer their proposals to be reviewed from a broader perspective of a "Generative Research Field". Therefore, even in a case where simultaneous submission of research proposals to this and other categories is permitted, the research proposal for a "Generative Research Field", has to be such that there is no overlap of research plan with any other research projects (either on-going or under submission) by the same PI.

Notes: • A research field within the "Generative Research Field" is set up for five years. New research proposals are called for the first three years, starting from the FY of the establishment or the field. Therefore, the selectable research period is 3 to 5 years for the first FY call for proposals, 3 to 4 years for the second FY call, and 3 years for the third FY call.

- Proposals submitted to Scientific Research (B) and Scientific Research (C) will be reviewed by the same Review Committee.
- In case the number of applications to a field exceeds a certain threshold, a pre-screening review based on the "Research Proposal Document (Outline)" shall be conducted.
- Number of research projects scheduled to be adopted: no more than 30 for each field.
- JSPS will host a discussion meeting for each field in which the PIs of the adopted research projects get together and exchange ideas.

### E) Review section and Review method:

Review of Scientific Research will be conducted under following review section and method. (See page 56 for Review Section and page 148 for Review Method)

Research Category	Application Section	Review Section	Review Method
Scientific Research (A)	General	Medium-sized Section	Comprehensive Review (Document Review & Panel Review)
Scientific Research (B)	General	Basic Section	Two-Stage Document Review
	Generative Research Fields		Comprehensive Review (Document Review & Panel Review)
Scientific Research (C)	General	Basic Section	Two-Stage Document Review
	Generative Research Fields		Comprehensive Review (Document Review & Panel Review)

### (4) Challenging Research (Pioneering/Exploratory)

Challenging Research (Pioneering): KAKENHI (Series of Single-year Grants)
Challenging Research (Exploratory): KAKENHI (Multi-year Fund)

### A) Funding target:

Research plan conducted by a single or multiple researchers that aims at radically transforming the existing research framework and/or changing the research direction and has a potential of rapid development.

The "Exploratory" category encompasses research plans that are of exploratory nature, or are in the budding stage.

\* While there are cases in which simultaneous submission of research proposals to this and other categories is permitted, the research proposal to be submitted to this category must be clearly distinct from those for the other categories. Note in particular that this research category, being targeted to the truly challenging research projects as described above, carries different review criteria from those for other categories such as "Scientific Research".

### B) Range of total budget:

Challenging Research (Pioneering) 5 million to 20 million yen
Challenging Research (Exploratory) 5 million yen or less

### C) Research period:

Challenging Research (Pioneering) 3 to 6 years Challenging Research (Exploratory) 2 to 3 years

### D) Review section and Review method:

Review Section: Medium-sized Section and Generative Research Fields Review Division

Review Method: Comprehensive Review (Document Reviews and Panel Reviews)

(See page 56, 120 for Review Section and page 148 for Review Method)

### E) Objectives of the research category:

The objectives of the reform and basic ideas of this research category are detailed in "On the Strengthening of Support for Challenging Research through KAKENHI" (December 20, 2016, Subdivision on Grants-in-Aid for Research in the Subdivision on Science, Council for Science and Technology). Applicant is encouraged to read this report carefully before drafting his/her research proposal document.

URL: http://www.mext.go.jp/a menu/shinkou/hojyo/1284543.htm

Call for proposals and reviews are conducted in the Medium-sized Sections.

"Generative Research Fields Review Divisions" has been set up within the framework of "Challenging Research (Pioneering/Exploratory)", which is supplementing the review sections of "Grants-in-Aid for Scientific Research-KAKENHI-, Review Section Table".

### F) Important points:

• The areas in "Generative Research Fields Review Divisions" are proposed by the Research Center for Science Systems of the JSPS on the basis of analyses of latest academic trend, and established through deliberation in the Council for Science and Technology, Subdivision on Science, MEXT. The areas are set up within the framework of "Challenging Research (Pioneering/Exploratory)" for specified duration as deemed necessary so as to supplement the review sections of "Grants-in-Aid for Scientific Research-KAKENHI-, Review Section Table".

In the new Review Section Table put into operation from the FY2018 call for proposals, individual review sections are defined as "oo-related" so as to secure sufficient flexibility in the scope, and to cope with new research trends. At the same time, seeds of new science are constantly budding in all fields, and among them are expected to grow new fields and trends of science.

In order to meet such expectation, "Generative Research Fields Review Divisions" are set up for timely promotion of research in emerging areas that are deemed highly needed. For this purpose, JSPS shall provide opportunities for the PIs of adopted projects to get together know and stimulate each other. Such opportunities shall promote budding of new science and creation of new research trends. For the FY2019 call for proposals, the following two Generative Research Fields Review Divisions have been set up.

- o A New Phase of Our Advanced Science and Technology Society
- o Studies on the Super-Aging Society
- The grant adoption shall be limited to a certain number (\*) so as to support only selected research projects in line with the objectives of this research category. In order to ensure the best implementation of the challenging research plan, grant allocation shall be made with the utmost respect for the budget plan in the application document.

### (\*) Status on FY2019 application/adoption

Research category	Number of application	Number of adoption
Challenging Research (Pioneering)	894	88
Challenging Research (Exploratory)	12,141	1,466

• In a review section for which the number of applications exceeds a certain threshold, a pre-screening review based on the "Research Proposal Document (Outline)" shall be conducted.

### (5) Early-Career Scientists: KAKENHI (Multi-year Fund)

### A) Funding target:

Research plan conducted by <u>an individual researcher (\*) who is less than 8 years after</u> <u>his/her acquisition of Ph.D. (as of April 1st, 2019)</u>, that contains ideas of prospective future development.

As a transitional measure for approximately 3 years on and after FY2018 call for proposals, non-Ph.D. researchers of age 39 or under (as of April 1st, 2019) are eligible.

(\*) Researchers in prospect of acquiring Ph.D. by April 1st, 2019 are eligible.

Periods of maternity leave and childcare leave are exempt from the clock count with respect to the "less than 8 years after Ph.D." limit.

B) Range of total budget: 5 million yen or less

C) Research period: 2 to 4 years

### D) Review Section and Review Method:

Review Section: Basic Section

Review Method: Two-Stage Document Review

(See page 56 for Review Section and page 148 for Review Method)

### E) Objectives of the research category:

- The objective and significance of "Early-Career Scientists" are, "To provide researchers in their early research career with opportunities to obtain research grants and to assist them for their good start as researcher" and "to support them in their developing stage to establish their own firm foothold of growth through various trials that leads to cutting-edge research in the future." This category is design to offer special grants to those who have started their career as researcher with excellent ideas expected to lead to future development for a certain period of time.
- From the FY2018 call for proposals on, the eligibility for application to "Early-Career Scientists" (former "Young Scientists") has been changed from the age limitation ("individual of age 39 or under"), to a criterion based on the number of years after Ph.D. ("individual who

is less than 8 years after his/her Ph.D. acquisition".

The former "Young Scientists (A)" has been integrated into "Scientific Research" so that new proposals are not called in FY2018. The former "Young Scientists (B)" is renamed as "Early-Career Scientists". As a transitional measure associated with the termination of "Young Research (A)", a certain scheme of precedential adoption of research proposals by young researchers in the review of "Scientific Research (B)" has been set up.

The details on the objective, basic ideas and related measures of the reform of "Grant-in-Aid for Young Scientists" are published in "On the Strengthening of Support for Challenging Research through KAKENHI" (December 20, 2016, Subdivision on Grants-in-Aid for Research in the Subdivision on Science, Council for Science and Technology).

URL: http://www.mext.go.jp/a menu/shinkou/hojyo/1284543.htm

• Prior to submission of a research proposal to the category "Early-Career Scientists", the applicant has to register the date of Ph.D. acquisition on the e-Rad system. The application eligibility for "Early-Career Scientists" is made in the electronic application system. (Refer to "Regarding the registration work to the Cross-ministerial Research and Development Management System (e-Rad) in connection with the change of the application requirements of Grants-in-Aid for Scientific Research (Early-Career Scientists)" on July 6, 2017.)

URL: http://www.mext.go.jp/a menu/shinkou/hojyo/1362786.htm

### F) Important points:

- Restriction on Repeated Grant Acquisition (\*)
- Restriction on repeated acquisition of grants in the categories "Young Scientists (S/A/B)" has been enforced for some years. The number of grant acquisition in "Early-Career Scientists" and "Young Scientists (S/A/B)" is limited to 2, for the FY2018 call for proposals and after. Therefore, an individual who has received grants in any of the categories "Early-Career Scientists" and "Young Scientists (S/A/B)" twice in the past cannot apply for the "Early-Career Scientists". An applicant who has received a grant (once) in any of the categories "Young Scientists (S/A/B)" is eligible to acquire a grant in the "Early-Career Scientists" only once.
- (\*) "Receiving a grant" here means, his/her research proposal being adopted and the official decision of grant delivery being issued.
  - For a multiple-year research project the official decision of grant delivery is issued for each year. For such cases, the "number of times of grant acquisition" (under the same project number) is counted as one. Accordingly, for example, in the case that a researcher A conducted his/her research under the "Young Scientists (B): the project number 20\*\*\*\*\*" from FY2008 to FY2009 and also did under the "Young Scientists (A): the project number 23\*\*\*\*\*" from FY2011 to FY2014, it is counted as the "number of times of grant acquisition is twice". Each of the following cases is counted as one grant reception.
  - A case in which, the PI of an adopted project to decline grant delivery or to abolish the project amid the research period, after he/she received the official decision of grant delivery.
  - A case in which the applicant received a grant in FY2006 for a "Grant-in-Aid for Special Purposes (Trial of Multiple Applications per Year)" that was equivalent to "Young Scientists".

(Reference) Note that the following cases are *not* counted as acquisition of grant.

- A case in which the PI of a provisionally adopted research project opted not to submit an application for grant delivery and hence did not actually receive the grant. (The same applies for a case in which the PI opted not to apply for the official decision of grant delivery, after withholding submission of the formal application.)
- For a research project which granted in FY2001 in the category "Encouragement of Scientists (A)" with project number "13\*\*\*\*\* which was subsequently transferred to the category "Grant-in-Aid for Young Scientists (B)" in FY2002, there is no "repeated grant acquisition", even if the researcher would have received the official grant decision.

### **III. Instructions for Prospective Applicants**

#### 1. Procedures to be Completed Prior to Application

The following three items must be completed prior to the submission of the research proposal:

- (1) Ascertainment of the Eligibility for KAKENHI Application,
- (2) Confirmation of the Researcher Information Registered in the e-Rad System,
- (3) Obtainment of an ID and a Password for the Electronic Application System.

#### (1) Ascertainment of the Eligibility for KAKENHI Application

An applicant submitting a research proposal to Grant-in-Aid for Scientific Research (KAKINHI) as Principal Investigator (PI) must meet the requirements ① and ② stated below.

A researcher carrying KAKENHI eligibility through more than one research institution can submit application(s) through either of the research institutions. However, in the event of parallel submissions, they have to comply with the rules on restrictions on the parallel grant application/receipt (see page 32).

JSPS Research Fellows (DC) and Foreign JSPS Fellows are not eligible for KAKENHI application. In general, graduate students are not eligible either. (See the notes below for exceptions.) Therefore, individuals with the status of student in a research institution are not eligible even if they also hold a position to conduct research in that or other research institution.

- (Note1) The term "student" as defined here does *not* include such an individual who has a position to conduct research in his/her research institution, as the main job (e.g., university teaching staff, researcher belonging to company etc.), and holds a student status at the same time.
- (Note2) If JSPS Research Fellows (SPD, PD, or RPD) meet the following application requirements at their research institutions which they register as their host research institutions, they can also apply only from the host research institutions for below research categories other than the "Grant-in-Aid for JSPS Fellows (JSPS Research Fellow)".
  - 1) Publicly Offered Research within Grant-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area)
  - 2) Grant-in-Aid for Scientific Research (B/C)
  - 3) Grant-in-Aid for Challenging Research (Exploratory)
  - 4) Grant-in-Aid for Early-Career Scientists
  - 5) Fund for the Promotion of Joint International Research (Fostering Joint International Research (A)) (\*)
  - (\*) The researchers who meet the application requirements for the Fund for the Promotion of Joint International Research (Fostering Joint International Research (A)) are eligible for application. The details are to be confirmed separately through the booklet of the Application Procedures for this research category.

At the time of the proposal submission, a researcher needs to have been approved by his/her research institution (\*) as an eligible researcher who meets the Requirements 1),
 and 3) stated below, and have his/her Researcher Information properly registered in the e-Rad system as eligible for KAKENHI application.

#### < Requirements >

- 1) The applicant must be an individual belonging to a research institution with a job assignment including a research activity within the said institution. (Whether the job is paid/unpaid, or full-time/part-time is irrelevant. It is not a prerequisite of eligibility that the research activity constitutes the main part of his/her job.)
- 2) The applicant must be actually engaged in a research activity in his/her research institution. (Those who are only engaged in research assisting jobs are ineligible.)
- 3) The applicant must not be a graduate student or any other categories of student. (An individual who has a position in a research institution with a research activity as his/her main job (e.g., a university teaching staff, a researcher belonging to a company, etc.), and holds a student status at the same time is ineligible.)
- (\*): Here, the research institution must be such that designated according to the Article 2 of the "Rules for the Handling of Grants-in-Aid for Scientific Research" (issued by the MEXT)

(Reference) Requirements that the research institution must meet (see page 126):

#### < Requirements >

- The research institution must authorize the research project for which KAKENHI is granted, as its proper activity.
- The research institution must take responsibility for management and accounting of the KAKENHI delivered to its researcher staffs.
- 2 The individual must not be categorized as ineligible for grant acquisition in FY2019, as a penalty for his/her improper grant spending, fraudulent grant acquisition, or research misconduct.

A researcher who is employed by a KAKENHI grant (hereafter called "KAKENHI employee"), is generally bound by their employment contract to concentrate on the research work relevant to the KAKENHI project for which he/she is employed (hereafter called "employment-related work") specified in his/her employment contracts. Therefore, such a KAKENHI employee cannot apply for his/her own KAKENHI project which is to be conducted within the working hours of his/her employment.

However, provided that he/she can clearly demarcate his/her own research hours from the working hours of employment and intends to conduct his/her own research project during the working hours on his/her own initiative, the KAKENHI employee can submit his/her own KAKENHI proposal, on the condition that the following points are verified by his/her research institution.

- The KAKENHI employee is granted on his/her employment contract, to conduct research on his/her own initiative, besides the employment-related work.
- The employment-related work and the work devoted to the research on his/her own initiative are clearly demarcated in regard to the working hours and the effort.
- The KAKENHI employee is able to secure enough research hours (besides the working hours for his/her employment-related work) to be allotted to his/her own KAKENHI project.

The PIs and the Co-Is constitute the "members of funded projects", as stipulated in the Law on the Improvement of the Administration of the Budget for Grants-in-Aid (1955, Law no. 179). In an event that they have committed inappropriate use of grant money, the eligibility for KAKENHI application will be suspended for a period of time specified by the rule.

In the following cases, an individual registered in the e-Rad system as "eligible for KAKENHI application" may be subject to different treatment.

- In case the research institution to which the individual belongs has made a judgement that it is not appropriate to let the individual conduct the said research activity as a part of his/her work within the institution, the institution may withhold the submission of his/her KAKENHI proposal, or may withhold the formal application for grant delivery of a provisionally adopted KAKENHI grant resulting in declination of the grant in question.
- In case a KAKENHI recipient has failed to submit the "Report on the Research Achievements" that is due after the completion of the research period of his/her KAKENHI without any good reason, no new KAKENHI grant(s) will be delivered to him/her, even if the grant(s) have been provisionally adopted. Moreover, if a KAKENHI recipient has failed to submit the "Report on the Research Achievements" by the due date, then the delivery of KAKENHI grant(s) for that FY will be suspended.

#### (2) Confirmation of the Researcher Information Registered in the e-Rad System

A researcher who intends to submit a research document proposal as the PI to any of the KAKENHI categories for which "Call for Proposals" is announced, must carry the eligibility for KAKENHI application at the time of submission of the "Research Proposal Document" from his/her research institution to JSPS, and must be registered in the e-Rad system as such.

Therefore, it is important for the researcher to ascertain proper registration of his/her Researcher Information in the e-Rad system.

The registration in the e-Rad system is handled by the research institution to which the researcher belongs. The researcher should check with the administrative section of his/her institution about the registration procedures including the registration deadline within the institution, the method of confirmation of the current contents of registration, etc. If any of the entry items (such as "affiliation", "position etc.) of the researcher who has been already registered in the e-Rad system need updating, they should be duly completed.

# \* On the entry of "Date of Ph.D. Acquisition" in the e-Rad system for those applying for the "Early-Career Scientists" category

From the FY2018 Call for Proposals, the eligibility for application to the "Early-Career Scientists" category, the application requirements is based on "the number of years after acquiring Ph.D." (see page 24). The verification of the eligibility of an applicant will be made by the registered information of the "Date of Ph.D. Acquisition" in the e-Rad system.

For the verification of eligibility for the Early-Carrier Scientist" category, the applicant should select one of the four classifications for application eligibility given below, when he/she prepares a research proposal document on the KAKENHI Electronic Application System.

- (1) An applicant who is less than 8 years after the acquisition of his/her Ph.D. as of April 1, 2019. (A researcher who acquired Ph.D. between April 2, 2011 and the time of proposal submission)
- (2) An applicant who does not carry a degree at the time of proposal submission, but is in prospect of acquire Ph.D. by April 1, 2019, *and* is over 40 years of age as of April 1, 2019.
- (3) An applicant who is deemed less than 8 years after acquisition of his/her Ph.D. as of April 1, 2019 by exempting (\*) the period(s) of childcare leave etc. (prenatal/postpartum break, childcare leave).
  - (\*) Calculate the sum total of the leave periods, round up the total period to the fiscal year unit and then subtract it from the number of years after Ph.D. acquisition (Example: If the applicant has taken 6-month childcare leave three times, the fiscal years to be subtracted will be 2 (1 year and 6 months → 2 fiscal years))
- (4) < A transitional measure: approximately for 3 years on and after the call for FY2018> An applicant who does not carry a degree, and is 39 years of age or under, as of April 1, 2019.

An applicant with the eligibility in the classification (1) or (3) must register the "Date of Ph.D. Acquisition" in the e-Rad system at the time of proposal submission. Since the registration to the e-Rad system cannot be made by the applicant him/herself, the applicant should contact the administrative section of his/her institution and secure the registration of the Date of Ph.D. Acquisition in the e-Rad system in time for the proposal submission.

For details on registration to the e-Rad system and the eligibility for the "Early Career Scientists" category, refer to "Regarding the registration work to the Cross-ministerial Research and Development Management System (e-Rad) in connection with the change of the application requirements of Grants-in-Aid for Scientific Research (Early-Career Scientists)" on July 6, 2017.

URL: http://www.mext.go.jp/a menu/shinkou/hojyo/1362786.htm

#### (3) Obtainment of an ID and a Password for the Electronic Application System

When the research institution completes the e-Rad registration of a researcher, an ID and a password will be issued for the researcher. The researcher can access the KAKENHI Electronic Application System using the ID and password and prepare the Research Proposal Document.

The ID and the password issued to a researcher remain valid after he/she moves to another research institution. Every researcher should exercise due care in handling his/her ID and password so as to prevent their leakage and abuse.

#### (Reference) On "Grant-in-Aid for Research Activity Start-up"

The "Grant-in-Aid for Research Activity Start-up" is aimed at supporting researchers who are not able to apply for this round of call for proposals, such as those who are newly obtaining research position, and those who are returning from their leave of absence for childcare etc. after the regular submission deadline. The FY2019 call for Proposals in this category is scheduled for March 2019, and the provisional conditions of the eligibility for application is as follows:

① An individual who could not submit a KAKENHI proposal, because he/she obtained the eligibility for KAKENHI application only after the application deadline (November 7, 2018) to the research categories (\*) of which the Call for Proposals is announced in September 2018 by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and by the Japan Society for the Promotion of Science (JSPS).

- ② An individual who could not submit a KAKENHI proposal to the research categories (\*) for which the Call for Proposals is announced in September 2018 by MEXT and JSPS., because he/she was on a leave of absence for childcare etc. in FY2018.
  - (For the details, the Application Procedures for the "Grant-in-Aid for Research Activity Start-up" to be announced in March 2019 should be referred to.)
  - Since the registration to the e-Rad system is handled by the research institution, researchers who may come to fall under the category ① above, should act accordingly by contacting the administrative section of his/her prospective research institution.
- (\*) Here, the relevant research categories are "Scientific Research on Innovative Areas", "Specially Promoted Research", "Scientific Research", "Challenging Research" and "Early-Career Scientists" among the Grants-in-Aid for Scientific Research for FY2019.
- (Note) JSPS Research Fellows (SPD, PD, or RPD) are not eligible for application to the "Grant-in-Aid for Research Activity Start-up", even if they satisfy the above application conditions.

#### 2. Restrictions on Parallel Grant Application/Receipt

A researcher who intends to submit research proposal(s) to KAKENHI should be well acquainted with the "Restrictions on Parallel Grants Application/Receipt" before starting preparation of research proposal document(s) to check if applications to the intended categories are permitted.

#### (1) The Basic Policy for Restriction on Parallel Grant Application/Receipt

KAKENHI consists of different "Research Categories" and "Application Sections" set on the basis of budget scale, content, and other factors of the intended research, so as to meet various needs and research styles of the applicants.

On the other hand, in consideration of the necessity to support many excellent researchers with limited funding resources, and of the possible detrimental influence of overcrowding applications on the proper management of the review process, the "Rules for Restrictions on Parallel Submission of Research Proposals" have been set up, according to the following basic principles. Restrictions on parallel grant application/receipt do apply to the current round of call for proposals.

- O Give considerations so as to ensure that as many excellent researchers as possible can be supported with limited funding resources.
- O Give considerations so as to ensure that the number of applications does not become excessive in comparison with the review scheme of each research category.
- The restrictions to be enforced are primarily directed to the applicant as Principal Investigator (PI) who bears all responsibility for the implementation of the research project(s). In some cases such as the research categories with large budget scale, however, the restrictions may be also extended to individuals as the Co-Investigator (Co-I).
- The restriction on parallel submission of research proposals and the restriction on simultaneous receipt of grants are separately set on each of the KAKENHI categories, in accordance of the basic concepts outlined above and by taking into consideration the purpose, characteristics and other factors of each KAKENHI category

Accordingly, the applicant should be well acquainted with the description the rules given below, and the "Table of Restrictions on Parallel Grants Application/Receipt" (see page 39 - 44).

In case a particular research project falls under the concept of "unreasonable duplication" as put forward in the "Guidelines on the Proper Implementation of Competitive Funding" (see page 6), it may be judged as such in the review process. Therefore, the applicant should take due precautions in preparing his/her research proposal document.

#### (2) Restrictions on Parallel Grant Application/Receipt

① Cases in which the applicant intends to submit two research proposals as the "Principal Investigator" for both. .

["PI  $\rightarrow$  PI" type] (see page 39)

Every researcher can make only one application as PI in one and the same research category at the same time. Therefore, if a researcher holds an on-going KAKENHI research

project in a particular category, he/she cannot submit a new KAKENHI research proposal in the same research category.

(cases marked with "-" in the Table)

In case an applicant intends to submit two research proposals (to different research categories) as PI for both, the following rules (cases A to C) of restrictions on parallel grant application /receipt apply.

Cases in which a researcher extended the research period for a KAKENHI grant (Multi-year Fund) or a KAKENHI grant (Partial Multi-year Fund) in the final fiscal year (excepting the cases in which the PI has taken a maternity or childcare leave), and cases of "Research proposal submission in the fiscal year previous to the final fiscal year of the research period of an on-going research project" (See "Special Provisions for the Restriction on Parallel Grant Application/Receipt", page 36) constitute exception to the rules given below.

A Cases where a researcher can submit only one research proposal as PI.

(cases marked with "x" in the Table)

B Cases where a researcher cannot submit a new research proposal, as he/she holds an on-going research project.

(cases marked with "▲" in the Table)

C Cases where a researcher can make parallel submission of research proposals to a research category in the column A and to another category in the column B. If both proposals are adopted, only one of them is granted, as indicated by the symbols in the Table.

For cases marked with "■", the research category in the column A is given priority. For cases marked with "□", the research category in the column B is given priority.

② Cases in which an applicant submitting a research proposal as PI to a category in column A participates as Co-I in another research proposal submitted to a category in column B ["PI → Co-I" type] (see page 41)

For cases in which a researcher submitting a certain research proposal as a PI intends to participate in another research project as a Co-I, or a researcher who is a PI of the prospected on-going project in FY2019 intends to participate in another research project as a Co-I, there are no restrictions in general so that the researcher can participate in both projects.

However, for some research categories, chiefly "Specially Promoted Research", the following rules (cases A to C) of restrictions on parallel grant application/receipt as stated below do apply.

A Cases in which the researcher cannot be a CI of the other project

(cases marked with "x" in the Table)

B Cases where the researcher cannot be a Co-I of the other project, because of his/her on-going project.

(cases marked with "▲" in the Table)

C Cases where a researcher can participate in the other proposal as Co-I, but, if both are adopted, he/she has to carry out the project in the column A.

#### [For cases marked with "\|", the research category in the column A is given priority.]

③ Cases where a researcher who participates as Co-I in a newly-submitted research proposal or a researcher who is a Co-I of an on-going project in FY2018 intends to submit a new research proposal as the PI of another research project.

"Co-I  $\rightarrow$  PI" type (see page 43)

For cases in which a researcher participating in a certain research project (on-going or newly submitted) as a Co-I intends to submit another research proposal as a PI, or a researcher who is a Co-I of the prospected on-going project in FY2019 intends to submit another research proposal as a PI, there are no restrictions in general, so that the researcher can participate in both projects. However, for some research categories, chiefly "Specially Promoted Research", the following rule of restrictions on parallel grant application/receipt as stated below do apply.

#### For cases marked with "□", the research category in the column B is given priority.

④ Cases in which a researcher who participates as Co-I in more than one research projects (on-going or newly submitted) also intends to participate as Co-I in another research proposal. ["Co-I → Co-I" type]

For cases in which a researcher participating in a certain research project (on-going or newly submitted) as a Co-I intends to participate in another research project as a Co-I, or a researcher who is a Co-I of the prospected on-going project in FY2019 intends to participate in another research project as a Co-I, there are no restrictions in general, so that the researcher can participate in both projects.

However, for Specially Promoted Research, a researcher cannot participate in more than one research projects as Co-I. If a researcher is already a Co-I of an on-going Specially Promoted Research project, he/she cannot commit him/herself as Co-I to a new project in the Specially Promoted Research category.

#### (3) Restrictions on Simultaneous Receipt of Grants

According to the "Restriction on Parallel Grant Application/Receipt", cases in which parallel submission of research projects is permitted, but only one of them can be granted even if both are adopted, are handled as follows.

Handling of the cases marked with "■" or "□", when both projects are adopted

- A For the "PI → PI" type (such as the case of PI of a Specially Promoted Research project and PI of another project in other research categories), the researcher must decline the grant delivery of the project in the lower priority category, or abolish the on-going project in the lower priority. The relative priority of the research categories is indicated by the marks "■" and "□" in the Table.
- B If the PI of a newly adopted Specially Promoted Research project has been acting as Co-I of on-going project(s) in other research categories, he/she must withdraw the Co-I status of the latter project(s).

In an event that the withdrawal of the Co-I status makes the implementation of the latter

project(s) unsustainable, the said project(s) have to be discontinued.

#### (4) Important Notes

- 1) Even for the cases in which parallel grant application/receipt is not prohibited by the rules, the applicant should give a careful consideration so as not to fall in such situation that he/she cannot carry his/her responsibility as PI or Co-I, by committing him/herself to too many research projects. The applicant should be well acquainted with the content of "Elimination of Unreasonable Duplication and/or Excessive Concentration in the Grant Allocation" mentioned on page 6.
- 2) In some cases, even after a research proposal has been duly submitted via the Electronic Application System, it may be eliminated from the subsequent review process on the basis of the rules of restrictions on parallel grant application/receipt. This may happen, for example, in a case where the said proposal becomes in conflict with the "Restrictions on Parallel Submission of Research Proposals" by a change in the project members of an on-going research project. The applicant should check against such possibility before submitting the research proposal document.
- 3) The rules of restrictions on parallel submission of research proposals do apply to a case in which a researcher carrying eligibility for applications in more than one research institutions intends to submit different proposals from each of those institutions.
- 4) In regard to the "Table of Restrictions on Parallel Grant Application/Receipt", the participation to the "Summarizing Group" in the "Scientific Research on Innovative Areas (Research in a Proposed Research Area)" is deemed exceptional (see "Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI- FY2019 (MEXT)"). The following points should be noted.
  - A The PI of the "Administrative Group" and the PI of "Supporting Group for International Activities" of a project in the "Scientific Research on Innovative Areas (Research in a Proposed Research Area)" category should check the restriction on parallel submission of proposal as PI or Co-I of other research proposals he/she intends to submit in parallel by referring to the relevant entries of the "Table of Restrictions on Parallel Grant Application/Receipt.
  - B The Co-I of "Administrative Group" of a project in the "Scientific Research on Innovative Areas (Research in a Proposed Research Area)" should check the <u>relation with participation</u>
    <u>as PI or Co-I to the "Planned Research (Planned research other than the</u>
    <u>"Administrative Group" and the "Supporting Group for International Activities") of the project, in addition to the restrictions stated in the item A above."</u>
- 5) In regard to the Restrictions on Parallel Grant Application/Receipt relevant to "the researcher submitting a research proposal as a PI/Co-I" or "the PI/Co-I of the prospected on-going project in FY2019" for the research categories for which the call for proposals is announced by the MEXT, applicants should refer to the Attached Table 1.

6) When an individual who is a JSPS Research Fellow (SPD, PD, or RPD) has obtained the eligibility for KAKENHI application at the research institution which he/she has registered as his/her host institution, he/she can submit a research proposal in the following research categories; the "Publicly Offered Research within Scientific Research on Innovative Areas (Research in a Proposed Research Area)", "Scientific Research (B/C)", "Challenging Research (Exploratory)" and "Early-Career Scientists".

As for the restrictions on parallel grant application/receipt for JSPS Fellows (SPD, PD, or RPD), the applicant should read the description in the section "Grant-in-Aid for JSPS Fellows (JSPS Research Fellow)" of the "Table of Restrictions on Parallel Grant Application/Receipt", even if he/she does not receive the "Grant-in-Aid for JSPS Fellows".

7) If an individual is granted his/her application in those research categories for which the rule of restrictions on parallel grant application/receipt applies ("Specially Promoted Research", "Planned Research in Scientific Research on Innovative Areas (Research in a Proposed Research Area) (including Administrative Group), "Scientific Research (S/A)", "Challenging Research (Pioneering)" and "Grant-in-Aid for Research Activity Start-up"), and if subsequently he/she is adopted as JSPS Fellow, he/she has to choose either the JSPS fellowship or the KAKENHI project.

During the period of his/her term, a JSPS Research Fellow (SPD, PD, or RPD) cannot submit any research proposals to those research categories for which the rule of restrictions on parallel grant application/receipt applies. Therefore, even after a submitted proposal has been duly filed in the Electronic Application System, it may be eliminated from the subsequent review process by the rule of restriction on parallel grant application/receipt. The applicant should check against such possibility before submitting the research proposal document.

- 8) There are no restrictions on parallel grant application/receipt between KAKENHI and other competitive funding schemes. Still, applicants should read the description in the column "Eliminate Unreasonable Duplication and/or Excessive Concentration in the Grant Allocation" on page 6. Particularly in the review process of "Specially Promoted Research", such research projects that are deemed as more suitable for funding schemes aiming at promoting strategic and creative research (such as JST Strategic Basic Research Programs) will, in principle, not be adopted. Consequently, the applicant should give a careful consideration on this point.
- (5) Special Provisions for the Restriction on Parallel Grant Application/Receipt (Research proposal submission in the fiscal year previous to the final fiscal year of the research period of an on-going research project)
- 1) A PI currently conducting a research project with research period of 4 years or more and in either of the categories of "Specially Promoted Research" or "Scientific Research"

(excluding "Scientific Research (B/C)" application section "Generative Research Fields"), or a PI currently conducting a research project with research period of 3 years or more and in either of the categories of "Young Scientists" or "Early-Career Scientists" may choose to restructure the on-going project with consideration of the development of the project and submit a new research proposal, if the FY2019 is final FY of the said on-going project. When the applicant choose to use this special provision and submit a new research proposal, he/she should follow the rule on the "Research proposal submission in the fiscal year previous to the final fiscal year of the research period of an on-going research project", and only a single new research proposal can be submitted on the basis of the restructuring of the on-going research project.

2) The research categories for which new applications can be made, as "Research proposal submission in the fiscal year previous to the final fiscal year of the research period of an on-going research project", are "Specially Promoted Research", "Scientific Research (S)", and "Scientific Research (A/B/C)" (application section "General").

For the case of on-going research projects in the category "Early-Career Scientists and Young Scientists (A/B)", it is possible to submit a new proposal to the categories "Scientific Research (S)" and "Scientific Research (A/B/C)" (application section "General") in the FY previous to the final FY year if the research period of the on-going project is 4 years. If the research period is 3 years the categories to which a new proposal can be submitted are "Scientific Research (S)"

and "Scientific Research (A/B)" (application section "General").

Research category of the on-going research project	Research categories to which submission of a
which is to be restructured for submission of a new	new proposal can be submitted in the FY
proposal in the FY previous to the final FY	previous to the final FY of the on-going project
"Specially Promoted Research", "Scientific Research	"Specially Promoted Research",
(S/A/B/C)" whose research period is 4 years or more	"Scientific Research (S)",
(except application section "Generative Research	"Scientific Research (A/B/C) (application section
Fields")	"General")"
"Early-Career Scientists", "Young Scientists (A/B)"	"Scientific Research (S)",
whose research period is 4 years	"Scientific Research (A/B/C) (application section
	"General")"
"Early-Career Scientists", "Young Scientists (A/B)"	"Scientific Research (S)",
whose research period is 3 years	"Scientific Research (A/B) (application section
	"General")"

- 3) The special provision for the "research proposal submission in the fiscal year previous to the final fiscal year of the research period of an on-going research project" is not applied to "Scientific Research (B/C) (application section "Generative Research Fields")". It is not possible to submit a new research proposal to this category. It is neither possible to submit a new proposal by restructuring an on-going project in this category.
- 4) <u>The restriction on parallel grant application/receipt does not apply</u> between a new research proposal submitted by use of the "Research proposal submission in the fiscal year previous to the

final fiscal year of the research period of an on-going research project" and the on-going research project on which the new application is based. (Still, the restriction on simultaneous grant receipt does apply, if the new proposal is granted, as detailed in the next item.) On the other hand, the restriction on parallel grant application/receipt does apply between these and other research proposal(s) by the same PI.

5) When the new research proposal is adopted, the grant money in FY2019 for the on-going research project on which the new proposal is based will not be delivered, or must be returned in full if it has been already delivered. (For cases in which the new research proposal is submitted to "Specially Promoted Research" or "Scientific Research (S)", the grant decision will be typically in late April, so that the grant for the on-going project is already delivered.) Therefore, the research proposal document to be newly submitted should include the necessary expenditures for the implementation of the on-going research project in FY2019.

The expenditure for the preparation of a report on the research achievements for the on-going project, which the PI has to submit by June 30, 2020 should be also appropriated.

# (Handling of the Restrictions on Parallel Grant Application/Receipt in relation to Extension of the Research Period)

- 1) When a PI of an on-going project of KAKENHI (Multi-year Fund) or KAKENHI (Partial Multi-year Fund) opts to use the extension of the research period in the final FY (except in the case of maternity or childcare leave), the restriction on parallel grant application/receipt does not apply between the on-going project and a new research proposal he/she intends to submit.
- 2) On the other hand, the restriction on parallel grant application/receipt does apply between the new research proposal and other new research proposal(s) or other on-going project(s) by the same PI.

## Attached Table 1 Table of Restriction on Parallel Grant Application/Receipt

1−1) Type "Principal Investigator (New Proposal/Continued) (Column A) → Principal Investigator (Column B)"

	Co	olumn	В	Specially Promoted Research	Scientific Research (S)	Scientific Research (A)	Scientific	Research (B)	Scientific	Research (C)	Early-Career Scientists		esearch on Proposed re		Challenging	Research	Fostering Joint International Research (B)
				Speciall	Scientific	General	General	Generative Research Fields	General	Generative Research Fields	Early-Can	Summon ric ing group XE	Planned research	Publicly invited research	Pioneering	Exploratory	Fostering Joi Rese
				New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal
Column A		Ì		PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI
Specially Pron	noted	New Proposal	PI	_	-	•	•	-	-	-	•	×	-	-	•	-	•
Research		Continued	PI	_	•	•	•	<b>A</b>	<b>A</b>	•	•	•	•	•	•	•	•
Scientific Resear	rah (S)	New Proposal	PI		_		×		×		×						
Scientific Resear	ren (s)	Continued	PI		_	•	•	•	•	•	•	•					•
	General	New Proposal	PI			_	×		×		×						
Scientific Research (A)	General	Continued	PI		•	_	•		•		•						
	Overseas Scientific Investigation	Continued	PI		•	*	*		*		•						•
	General	New Proposal	PI		×	×	_		×		×				×		
	General	Continued	PI		•	•	_		<b>A</b>		<b>A</b>				•		
Scientific Research (B)	Overseas Scientific Investigation	Continued	PI		•	*	*		*		•				•		•
	Generative	New Proposal	PI					_		_					×	×	
	Research Fields	Continued	PI					_		_					•	•	
	General	New Proposal	PI		×	×	×		_		×				×	×	
Scientific	General	Continued	PI		•	•	•		_		•				•	•	
Research (C)	Generative	New Proposal	PI					_		_					×	×	
	Research Fields	Continued	PI					_		_					•	•	
Young Scientis	sts(A)	Continued	PI		•	•	•		•		•				•		•
Young Scientis	sts(B)	Continued	PI		•	•	•		•		_				•	•	•
Early-Career Sc	iontists	New Proposal	PI		×	×	×		×		_				×	×	
Early-Career Sc	icitists	Continued	PI		•	•	<b>A</b>		<b>A</b>		_				•	•	•
	Pioneering	New Proposal	PI				×	×	×	×	×	×	×	×	_	×	
Challenging	- roncering	Continued	PI				<b>A</b>	<b>A</b>	<b>A</b>	•	•	<b>A</b>	<b>A</b>	•	_	•	
Research	Exploratory	New Proposal	PI					×	×	×	×				×	_	
		Continued	PI					<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>				<b>A</b>	-	
Challengin Exploratory Re	search	Continued	PI						<b>A</b>		•				<b>A</b>	•	
Research Act		Continued	PI														
JSPS Fellow (JSPS Research F	ellow)	Continued	PI	<b>A</b>	<b>A</b>	<b>A</b>						<b>A</b>	<b>A</b>		<b>A</b>		<b>A</b>
Fostering Jo International Rese	earch (B)	Continued	PI								•						_
Fostering Jo International Re	esearch	Continued	PI														×
Home-Return Researcher Devel	ning	Continued	PI														

- ■: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in A.
- □: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.
- ★: As a rule parallel grant application are not accepted. (This does not apply to cases where it is necessary to conduct two clearly different research projects within the same fiscal year.)

<sup>-:</sup> A researcher can only apply for one research project in one and the same research category (application section) (In case he or she has a continued research project mentioned in column A, he or she cannot apply for a research project mentioned in column B)

<sup>×:</sup> The researcher can only apply for one research project (in case he or she applied for a research project mentioned in column A, he or she cannot apply for a research project mentioned in column B).

<sup>▲:</sup> The researcher cannot apply for a research project mentioned in column B (He or she only implements the research of a continued research project mentioned in column A).

<sup>\*1</sup> As for the Fostering Joint International Research (B), a call for proposals is scheduled in April 2019.

<sup>\*2</sup> As for the Fostering Joint International Research (A) of which the provisional grant decision is scheduled in late January 2019, there will be no restrictions on the parallel grant application/receipt except for the research category of the Fostering Joint International Research (B).

#### 1−2) Type "Principal Investigator (New Proposal/Continued) (Column A) → Principal Investigator (Column B)"

This table shows the restriction on parallel grant application/receipt in case of "a person who tries to apply as Principal Investigator for a research project mentioned in column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2019 (continued research project) mentioned in column A" applies as Principal Investigator for mentioned in column B.

		Co	lumn B	Specially Promoted Research	Scientific Research (S)	Scientific Research (A)	Scientific	Research (B)	Scientific	(C)	Early-Career Scientists	Challenging	Research
				Specially Res	Scientific 1	General	General	Generative Research Fields	General	Generative Research Fields	Early-Care	Pioneering	Exploratory
				New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal
Colu	mn A			PI	PI	PI	PI	PI	PI	PI	PI	PI	PI
ea)	Administrative group (*)	New Proposal	PI	×								×	
Scientific Research on Innovative Areas (Research in a proposedresearch area)	Admini	Continued	PI	•	<b>A</b>			<b>A</b>		<b>A</b>		<b>A</b>	
on Innov posedres	Planned research	New Proposal	PI									×	
esearch in a proj	Plaı	Continued	PI					<b>A</b>		<b>A</b>		<b>A</b>	
entific R esearch	Publicly offered research	New Proposal	PI									×	
Sci (R	Pub offe rese	Continued	PI									<b>A</b>	

(\*) The "International Activities Supporting Group" has the same restrictions on duplications as the "Administrative Group".

- ×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in column A, he or she cannot apply for a research project mentioned in column B).
- ▲: The researcher cannot apply for a research project mentioned in column B (He or she only implements the research of a continued research project
- ■: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in A.
- : The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

#### 2−1) Type "Principal Investigator (New Proposal/Continued) (Column A) → Co-Investigator (Column B)"

This table shows the restriction on parallel grant application/receipt in case of "a person who tries to apply as Principal Investigator for a research project mentioned in column A (research categories for which JSPS organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2019 (continued research project) mentioned in column A" participates in a research project mentioned in column B as Co-Investigator

mentioned in colum	nn B as	Co-Inve	estigator 										-1.00
	Co	lumı	n B	Specially Promoted Research	Scientific Research (S)	Scientific Research (A)	Scientific	Research (B)	Scientific	Research (C)	Challenging	Research	Scientific Research on Innovative Areas  Research in a proposed research area
				Special Re	Scientific	General	General	Generative Research Fields	General	Generative Research Fields	Pioneering	Exploratory	Planned research
				New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal
Column A				Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I
Specially Promo Research	oted	New Proposal	PI	×									
		Continued	PI	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	_
Scientific Researc	ch (S)	New Proposal	PI PI		 								
		New											
Scientific Research	General	Proposal  Continued	PI PI										
(A)	Overseas Scientific Investigation	Continued	PI										
		New Proposal	PI										
	General	Continued	PI										
Scientific Research (B)	Overseas Scientific Investigation	Continued	PI										
	Generative Research	New Proposal	PI										
	Fields	Continued	PI										
	General	New Proposal	PI										
Scientific Research		Continued	PI										
(C)	Generative Research	New Proposal	PI										
	Fields	Continued	PI										
Young Scientists		Continued	PI										
Young Scientists	s(B)	Continued	PI										
Early-Career Scie	entists	New Proposal	PI										
		Continued	PI										
	Pioneering	New Proposal	PI		·								
Challenging Research		Continued	PI		 								
	Exploratory		PI										
Challenging Explo	ratory	Continued	PI										
Research		Continued	PI										
Research Activity S  JSPS Fellows			PI										
(JSPS Research Fellostering Join	llow) nt	Continued	PI										
International Resea	arch(B)	Continued	PI PI										
International Res Home-Returning Res	search searcher		PI PI										
Development Rese		Continued	PI										

- ×: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in column A, he or she cannot apply for a research project mentioned in column B).
- ▲: The researcher cannot apply for a research project mentioned in column B (He or she only implements the research of a continued research project mentioned in column A).
- ■: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in A.

<sup>\*</sup> As for the Fostering Joint International Research (A) of which the provisional grant decision is scheduled in late January 2019, there will be no restrictions on the parallel grant application/receipt except for the research category of the Fostering Joint International Research (B).

#### 2−2) Type "Principal Investigator (New Proposal/Continued) (Column A) → Co-Investigator (Column B)"

This table shows the restriction on parallel grant application/receipt in case of "a person who tries to apply as Principal Investigator for a research project mentioned in column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2019 (continued research project) mentioned in column A" participates in a research project mentioned in column B as Co-Investigator.

	Column B			Specially Promoted Research	Scientific Research (S)	Scientific Research (A)	Scientific Research	(B)	Scientific Research	(C)	Challandia Daggart	Challed Broscared
				$_{ m S}$	Scien	General	General	Generative Research Fields	General	Generative Research Fields	Pioneering	Explorator y
				New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal
Colu	mn A			Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I
reas ea)	Administrative group (*)	New Proposa l	PI	×								
ative An	Admini grou	Continued	PI	<b>A</b>								
on Innov	Planned research	New Proposa I	PI									
esearch n a prop	Plar	Continued	PI									
Scientific Research on Innovative Areas (Research in a proposedresearch area)	Publicly offered research	New Proposa l	PI									
Scie (Re	Pub offe resea	Continued	PI									

<sup>(\*)</sup> The "International Activities Supporting Group" has the same restrictions on duplications as the "Administrative Group".

x: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in column A, he or she cannot apply for a research project mentioned in column B).

<sup>▲:</sup> The researcher cannot apply for a research project mentioned in column B (He or she only implements the research of a continued research project mentioned in column A).

#### 3−1) Type "Co-Investigator (New Proposal/Continued) (Column A) → Principal Investigator (Column B)"

This table shows the restriction on parallel grant application/receipt in case of "a person who tries to participate as Co-Investigator in a research project mentioned in column A (research categories for which JSPS organizes a call for proposals), or a person who has already become Co-Investigator of a research project that is scheduled to be continued in FY2019 (continued research project) mentioned in column A" applies as Principal Investigator for mentioned in column B.

		umn	В	Specially Promoted Research	Scientific Research (S)	Scientific Research (A)	Scientific	Research (B)	Scientific	Research (C)	Early-Career Scientists	Challenging	Research	JSPS Fellows (JSPS Research Fellow)		Areas	on Priority
				Specially Res	ientific I	General R	General	Generative Research Fields	General	Generative Research Fields	arly-Care	Pioneering	Exploratory	JSPS I	ministrative Group	Planned	Publicly offered research
				New	New	New	New	New	New	New	New	New	New	New	New	New	New
				Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal	Proposal
Column A	<b>L</b>			PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI
Specially Prom	oted	New Proposal	Co-I	×											×		
Research		Continued	Co-I	•											•		
G · · ································	1 (6)	New Proposal	Co-I														
Scientific Resear	ch (S)	Continued	Co-I														
		New Proposal	Co-I														
Scientific Research (A)	General	Continued	Co-I														
()	Overseas Scientific Investigation	Continued	Co-I														
		New Proposal	Co-I														
	General	Continued	Co-I														
Scientific Research (B)	Overseas Scientific Investigation	Continued	Co-I														
(=)	Generative	New Proposal	Co-I														
	Research Fields	Continued	Co-I														
		New Proposal	Co-I														
Scientific Research	General	Continued	Co-I														
(C)	Generative	New Proposal	Co-I														
	Research Fields	Continued	Co-I														
		New Proposal	Co-I														
Challenging	Pioneering	Continued	Co-I														
Research		New Proposal	Co-I														
	Exploratory	Continued	Co-I														
Challenging Exploratory Res		Continued	Co-I														
Fostering Joi International Rese	int	Continued	Co-I														

X: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in column A, he or she cannot apply for a research project mentioned in column B).

<sup>▲ :</sup> The researcher cannot apply for a research project mentioned in column B (He or she only implements the research of a continued research project mentioned in column A).

<sup>🗆 :</sup> The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

#### 3−2) Type "Co-Investigator (New Proposal/Continued) (Column A) → Principal Investigator (Column B)"

This table shows the restriction on parallel grant application/receipt in case of "a person who tries to participate as Co-Investigator in a research project mentioned in column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Co-Investigator of a research project that is scheduled to be continued in FY2019 (continued research project) mentioned in column A" applies as Principal Investigator for mentioned in column B.

		C	Column B		Scientific Research (S)	Scientific Research (A)	Scientific Research	(B)	Scientific Research	(C)	Early-Career Scientists		Research	JSPS Fellows (JSPS Research Fellow)
				Specially Promoted Research	Scient	General	General	Generative Research Fields	General	Generative Research Fields	Early-	Pioneering	Exploratory	J( SASE)
				New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal
Colur	mn A			PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI
Scientific Research on Innovative Areas (Research in a proposed research area)	Planned research	New Proposa I	Co-I											
Scientific Research (Research in a prop	Plan	Continued	Co-I											

 $<sup>\</sup>square$ : The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in B.

#### 3. Preparation of the KAKENHI Application Form (Research Proposal Document)

Grants-in-Aid for Scientific Research is a competitive funding intended to provide financial support for creative and pioneering research conducted by individual researchers. Therefore, the contents of the Research Proposal Document must be original planned by the applicant.

In preparing Research Proposal Document, plagiarism and/or misappropriation of the research contents of others are strictly impermissible. Applicants must comply with research ethics.

For submission of a research proposal, the applicant (PI) has to complete the relevant Research Proposal Document. The Research Proposal Document consists of two parts: "Items to be entered in the Website" and "Forms to be uploaded as an attached file".

The PI (applicant) should complete the Research Proposal Document (PDF file) by entering the "Items to be entered in the Website" and by uploading the "Forms to be uploaded as an attached file" to the Electronic Application System. Then he/she should submit the Research Proposal Document to the administrative section of his/her research institution, by the deadline set by the institution.

Preparation and submission of the KAKENHI Research Proposal Document should follow the procedures detailed below.

#### (1) Revision of the Research Proposal Document

As for the Research Proposal Document, in the Reform of the KAKENHI Review System, since April 2018, the definition of the "Collaborating Researcher" has been abolished as a revision of the definition of the research members in conjunction with some revisions such as the disapproval of the description on the research achievements by the "Collaborating Researcher" on and after the call for proposals for FY2018 announced in September 2017. In addition, on and after the call for proposals for FY2019 announced in September 2018, the revision including the way to describe the achievements in the column of research achievements has been made, and with the Research Proposal Document some changes such as followings have been applied. When preparing the Document, your careful confirmation is requested on the contents of the booklet, the Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI-(Supplement) "Forms/Procedures for Preparing and Entering a Research Proposal Document".

- The "Research Achievements of the Principal Investigator (PI) and Co-Investigator(s) (Co-I(s))" column in the Research Proposal Document is to be changed to the "Applicant's Ability to Conduct the Research and the Research Environment" column in accordance with the rating elements.
- In the review process, the reviewers can utilize, as necessary, the "Researchmap" and the database of Grants-in-Aid for Scientific Research (KAKEN) (see page 122)

Furthermore, the summary on the discussion related to this revision such as in the Subdivision on Research Grant Screening Section of the Academic Deliberation in the Subdivision on Science, Council for Science and Technology is as follows.

(Reference) The summary on the discussion including in the Subdivision on Research Grant Screening Section of the Academic Deliberation in the Subdivision on Science, Council for Science and Technology

#### (Problem recognition, etc.)

- Ouring the review process, there seems to be a reality which is easily enable to distort what an application and a review per se should be, including the possibility to enumerate unnecessarily the achievements irrelevant to the research project in the "Research Achievements" column.
- There seems to be a possibility that the "Research Achievements" column gives a wrong recognition that without filling in the column spaces with many of research achievements as possible, it might be disadvantage for applicants at the review.
- There is still a room for consideration on the "way to make applicants describe" their research achievements and so on although it is necessary to verify them to assess their ability to conduct the research corresponding to the shared responsibility of the Principal Investigator and the Co-Investigators.
- o If there might be a possibility to provide applicants and others with a recognition that as if a performance over-emphasis principle be prevailing at the review in the KAKENHI, a rectification of it should be attempted as far as possible and a consideration to contrive to do so is required.
- In case making continuous use of the "Research Achievements" column, a consideration
  enabling applicants to properly describe information necessary to assess their ability to
  conduct the research is required. (An impression as if the "filling in the column is just an
  important thing" should be dispelled.)
- Regarding the assessment on the ability to conduct the research by using such as the research achievements, an attempt to foster a correct recognition for both sides of applicants and reviewers is required.

#### (Basic policy, etc. for the revision of the Research Proposal Document)

- O At the review of the KAKENHI, as for research projects proposed by the Principal Investigator, in association with considering a scientific significance and creativity, a clarification of research objectives and so on, it is also intended to assess the researchers' ability to conduct the research strictly and to select appropriate research projects.
- The positioning of the research achievements in the Research Proposal Document is for judging a practical feasibility of the research described in the Research Proposal Document before rolling out the research.
- Based on the understandings above, the research achievements should be clearly defined that they are regarded as verifying the ability to conduct the research for the research plan.

#### (2) Preparation of KAKENHI Research Proposal Document

For the preparation of the KAKENHI research proposal document, <u>the applicant must first</u> access the Electronic Application System using his/her e-Rad ID and Password.

#### On the Research Proposal Document

The KAKENHI Research Proposal Document consists of the following two parts:

#### Items to be entered in the Website:

Items to be directly entered by the PI (applicant) on the website of the KAKENHI Electronic Application System

#### Forms to be uploaded:

A part containing such entries as "Research Objectives, Research Method, etc.", "Research Development Leading to Conception of the Present Research Proposal, etc." to be prepared by downloading the form from the "Grants-in-Aid for Scientific Research-KAKENHI-" page within the JSPS website (URL: <a href="https://www.jsps.go.jp/j-grantsinaid/index.html">https://www.jsps.go.jp/j-grantsinaid/index.html</a>), and by uploading the filled form to the KAKENHI Electronic Application System so as to compile a PDF file of the research proposal document. (Paper-based applications will not be accepted.)

Research Proposal Document Items to be entered in Items to be entered in Research category Forms to be uploaded the Website the Website **Application Section** (File ID) (Second part) (First part) S-1(1)S-1(2)S-1(3)Specially Promoted Items to be entered in Research (New Proposal) the Website (Second part) will be inserted between S-1 (2) and (3) Specially Promoted S-2 Research (Continued) Scientific Research (S) S-11 Scientific Research (A) **Application Section** S-12 "General" To be entered in the To be entered in the Scientific Research (B) electronic application electronic application **Application Section** S-13 "General" system system Application Section (Title of research project, (Title of research project, "Generative Research T-1-1 Fundamental data on the Fundamental data on the Fields" research project such as research project such as Scientific Research (C) total budget, Data on the total budget, Data on the S-14 **Application Section** project members, etc.) project members, etc.) "General" Application Section "Generative Research T-1-2 Fields" S-41-1 Challenging Research (Pioneering) S-41-2 S-42-1 Challenging Research (Exploratory) S-42-2 Early-Career Scientists S-21 Continued Research Project (in case of a major change S-99 in the research plan)

\* Forms can be downloaded from the "Grants-in-Aid for Scientific Research-KAKENHI-" page within the JSPS website (URL: <a href="https://www.jsps.go.jp/j-grantsinaid/index.html">https://www.jsps.go.jp/j-grantsinaid/index.html</a>) even before the obtaining of the e-Rad ID and password.

#### (3) Electronic Submission of the Research Proposal Document

- 1) An applicant to the research category "Specially Promoted Research" should prepare his/her Research Proposal Document (PDF file) by entering the "Items to be entered in the Website" and by uploading the separately prepared "forms to be uploaded as an attached file" to the Electronic Application System, following the instructions in the "FY2019 Procedures for Preparing and Entering a Research Proposal Document for Specially Promoted Research (New Proposal)".
- 2) For all other research categories, an applicant should prepare his/her Research Proposal Document (PDF file) by entering the "Items to be entered in the Website" and by uploading the separately prepared "Forms to be uploaded as an attached file" to the Electronic Application System, following the instructions in the "FY2019 Procedures for Preparing and Entering a Research Proposal Document" and "FY2019 Procedures for Preparing and Entering a Research Proposal Document (Items to be entered in the Website)".
- 3) The compiled books of the submitted KAKENHI Research Proposal Documents to be sent to the reviewers are <u>in black-and-white (gray scale) print</u>. Therefore, in preparing the Research Proposal Document, the applicant should pay attention to the clarity of the figures when printed in gray scale.
- 4) The Research Proposal Documents are collected and submitted to JSPS by the research institution to which the PIs (applicant) belong. Therefore, the applying PI should submit his/her Research Proposal Document to the administrative section of his/her research institution by the deadline set by the institution. (It is not allowed to submit the Research Proposal Document directly to JSPS.)

Before submission, the applying PI should carefully check the contents of the Research Proposal Document (PDF file) he/she prepared, and subsequently proceed to the "Check Completed and Submission" stage of the submission process. (This amounts to submitting the Research Proposal Document (PDF file) to the administrative section of his/her research institution.) After the "Approval" process by his/her institution, no further corrections or modifications to the submitted Research Proposal Document (PDF file) is possible.

5) The personal information included in the Research Proposal Document will be used for the elimination of "unreasonable duplication and/or excessive concentration in the allocation of competitive funds" and for the appropriate funding of KAKENHI grants. (This includes providing the data to external contractor(s) in charge of electronic processing and management of the KAKENHI data.) The information included in the Research Proposal Document is to be provided to the e-Rad system. (The information will be supplied to the Cabinet Office through the e-Rad system. The applicant may be requested to cooperate in verification of the information

and other related works.)

The information on the adopted KAKENHI projects (the title of research project, the name of PI, the grant money to be delivered, etc.) is categorized as "information planned to be made public", as laid down in Article 5, paragraph 1, item 1 of the "Act on Access to Information Held by Independent Administrative Agencies" (Act No. 140 of 2001). The information will be made public through press release materials, the database of Grants-in-Aid for Scientific Research (KAKEN) of the National Institute of Informatics, and other means.

Information such as professional affiliation, name, etc. of the PI of the adopted research project will be registered in the JSPS database of screening reviewer candidates, as needed. Update request for the database entry will be made annually (usually in April) through the research institution to which the PI belongs.

#### Important Checkpoints of the Research Proposal Document

In preparing a Research Proposal Document, the applicant should pay attention to the following points among others, so as to avoid "outright rejection by incompleteness of the research proposal document".

#### 1. Qualification as a KAKENHI project

The following kinds of research plans fall outside the scope of funding target:

- A) A research plan which merely aims at purchasing ready-made research equipment.
- B) A research plan whose purpose is to build a large-size research facility or equipment which is more appropriate to be funded by other resources.
- C) A research plan whose purpose lies at developing and selling goods and/or services (including market research associated with such as them).
- D) An entrusted research conducted as regular business.
- E) A research plan with a yearly budget less than 100,000 yen.

#### 2. Eligibility of the Project Members

The PI (see page 51 1) may organize a research team with appropriate combination of Co-Investigator(s) (Co-I) (see page 52 2), and Research Collaborators(s) (see page 53 3), as needed by the nature of the research project.

As is the case for PI, Co-Investigator(s) is also subject to verification of their KAKENHI eligibility by their respective research institute by the time of proposal submission (See Notes below).

On the other hand, to be a Research Collaborators, registration to the e-Rad system is not a requirement.

(Note 1) A JSPS Research Fellow (SPD, PD or RPD) who meets the following application requirements at his/her host research institution can participate in a KAKENHI research project as Co-I. There are no restrictions on the research categories in which

he/she can participate as Co-I.

(Note 2) JSPS Research Fellows (DC), Foreign JSPS Fellows and graduate students (or students of any other category) cannot be a PI or Co-I of a KAKENHI project.

#### < Requirements >

- 1) The applicant must be an individual belonging to a research institution with a job assignment including research activity within the said institution. (Whether the job is paid/unpaid, or full-time/part-time is irrelevant. It is not a prerequisite of eligibility that the research activity constitutes the main part of his/her job.)
- 2) The applicant must be actually engaged in a research activity in his/her research institution. (Those who are only engaged in research assisting jobs are ineligible.)
- 3) The applicant must not be a graduate student or any other categories of student. (An individual who has a position in a research institution with a research activity as his/her main job (e.g., a university teaching staff, a researcher belonging to a company, etc.), and holds a student status at the same time is ineligible.)
- (\*): Here, the research institution must be such that designated according to the Article 2 of the "Rules for the Handling of Grants-in-Aid for Scientific Research" (issued by the MEXT)

(Reference) Requirements that the research institution must meet (see page 126):

- < Requirements >
  - The research institution must authorize the research project for which KAKENHI is granted, as its
    proper activity.
  - The research institution must take responsibility for management and accounting of the KAKENHI delivered to its researcher staffs.

KAKENHI employee is generally bound by their employment contract to concentrate on the research work relevant to the employment-related work specified in his/her employment contracts. Therefore, such a KAKENHI employee cannot apply for his/her own KAKENHI project which is to be conducted within the working hours of his/her employment.

However, provided that he/she can clearly demarcate his/her own research hours from the working hours of employment and intends to conduct his/her own research project during the working hours on his/her own initiative, the KAKENHI employee can submit his/her own KAKENHI proposal, on the condition that the following points are verified by his/her research institution. In this case, he/she can apply as PI, or participate to other KAKENHI project(s) as Co-I.

- The KAKENHI employee is granted on his/her employment contract, to conduct research on his/her own initiative, besides the employment-related work.
- The employment-related work and the work devoted to the research on his/her own initiative are clearly demarcated in regard to the working hours and the effort.
- The KAKENHI employee is able to secure enough research hours (besides the working hours for his/her employment-related work) to be allotted to his/her own KAKENHI project.

The PIs and the Co-Is constitute the "members of funded projects", as stipulated in the Law on the Improvement of the Administration of the Budget for Grants-in-Aid (1955, Law no. 179). In an event that they have committed inappropriate use of grant money, the eligibility for KAKENHI application will be suspended for a period of time specified by the rule.

In the following cases, an individual registered in the e-Rad system as "eligible for KAKENHI application" may be subject to different treatment.

- In case the research institution to which the individual belongs has made a judgement that it is not appropriate to let the individual conduct the said research activity as a part of his/her work within the institution, the institution may withhold the submission of his/her KAKENHI proposal, or may withhold the formal application for grant delivery of a provisionally adopted KAKENHI grant resulting in declination of the grant in question.
- In case a KAKENHI recipient has failed to submit the "Report on the Research Achievements" that is due after the completion of the research period of his/her KAKENHI without any good reason, no new KAKENHI grant(s) will be delivered to him/her, even if the grant(s) have been provisionally adopted. Moreover, if a KAKENHI recipient has failed to submit the "Report on the Research Achievements" by the due date, then the delivery of KAKENHI grant(s) for that FY will be suspended.

#### 1) Principal Investigator (PI) (Applicant)

(A)Principal Investigator is the main recipient of the grant who bears full responsibility for the implementation of the research project (including summarization of the research achievements). An individual who is anticipated to become unable to carry through the PI's responsibility over the entire research period due to, for example, loss of the KAKENHI eligibility, should refrain from becoming a PI. (See Note below)

#### (Note)

The Principal Investigator is the researcher who plays the central role in the implementation of the research plan and thus bears a heavy responsibility. An individual who is anticipated to lose his/her eligibility for KAKENHI application during the research period due to retirement or other reasons so that is anticipated to be unable to carry through the responsibility, should refrain from becoming a Principal Investigator. (Note that substitutions of the PI of an on-going KAKENHI project are not permitted.)

As an exception, for the "Administrative Group" and the "International Activities Supporting Group" of "Scientific Research on Innovative Areas (Research in a Proposed Research Area)", replacements of the PI (that is, the PI of Innovative Areas) may be accepted by going through appropriate procedures.

(B) When organizing project members, the Principal Investigator must obtain a consent to become a Co-Investigator from the researcher via electronic application system in advance.

(C) The PI must be registered in the e-Rad system as "Eligible for KAKENHI Application". It is also required that he/she is *not* designated as "ineligible for grant receipt" in FY2019 (suspension of eligibility), as a penalty for such misconducts as improper grant spending, fraudulent grant acquisition or research misconduct associated with KAKENHI or any other competitive funding.

#### 2) Co-Investigator (Co-I)

- (A) The Co-Investigator is a recipient of the grant who, in cooperation with the PI, bears responsibility for the implementation of the research project in accordance with the clear share of his/her roles. The Co-I must be a member of the project who receives a share of the grant based on the contents of the share as a recipient of the grant. (This rule applies even when the Co-I belongs to the same institution as the PI.)

  An individual who is anticipated to become unable to carry through the Co-I's responsibility
  - An individual who is anticipated to become unable to carry through the Co-I's responsibility over the entire research period due to, for example, the loss of the KAKENHI eligibility, should refrain from becoming a Co-I.
- (B) The Co-I must be registered in the e-Rad system as being "Eligible for KAKENHI Application". It is also required that he/she is not designated as being "ineligible for grant receipt" in FY2019 (a suspension of eligibility), as a penalty for such misconducts as an improper grant spending, a fraudulent grant acquisition or a research misconduct associated with the KAKENHI or any other competitive funding.

#### < About the Process of Participation of Co-Investigator in Project Members >

A consent process to become a Co-Investigator is conducted via the electronic application system if the applicant adds a Co-Investigator to project members. Following processes for both Principal Investigator and Co-Investigators are necessary in the application process.

[Actions to be taken by the Principal Investigator]

• By submitting (sending) Research Proposal Document to his/her research institution, Principal Investigator must enter the information on the researcher whom Principal Investigator wants to add to the project members in the "Project Members List" column on the "Application Information Input" screen, request the researcher to become a Co-Investigator, and obtain a consent from the Co-Investigator-to-be.

[Actions to be taken by the researcher who is requested to become a Co-Investigator]

• If the researcher is requested to become a Co-Investigator by the Principal Investigator via the electronic application system, the researcher must select "Consent" or "Dissent" after confirming the contents to be consented.

Procedures to be Performed by the Principal Investigator	Procedures to be Performed by the Co-Investigator-to-be	Procedures to be Performed by the Research Institutions to which Co-Investigator-to-be belongs				
Request to become a Co-Investigator —	→ ② Give a consent to become a  Co-Investigator  —	→ ③ Give a consent to become a Co-Investigator as a standpoint of the research institutions				
The Principal Investigator requests to the researcher who is to be requested to become a Co-Investigator to participate in the project members as a Co-Investigator via the electronic application system	The Co-Investigator-to-be is requested to participate in the project members as a Co-Investigator from the Principal Investigator via the electronic application system and then the Co-Investigator-to-be selects a consent (or a dissent).	The information consented by the Co-Investigator-to-be is shown via the electronic application system and then the research institutions also conducts the process such as giving consents to him/her.				

- The organization of the project members should be completed through all necessary procedures mentioned above to be carried out with the approximate target of **two weeks prior to the deadline for the submission of the application documents**. (All application procedures are workable on the system after two weeks prior to the deadline for the submission of the application documents. To submit (send) application documents to the research institution to which the Principal Investigator belongs, it is necessary to obtain consents from all the Co-Investigators-to-be.
- \* Please refer to the Kakenhi (Grants-in-Aid for Scientific Research) Electronic Application System Operation Manual (URL: <a href="http://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html">http://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html</a>) for the detailed information such as operating environments, operating methods, and so on.
- \* After the researcher has given a consent to become a Co-Investigator, the information on the Co-Investigator-to-be will be shown to the research institution to which he/she belongs via the electronic application system, and then it will be necessary to obtain a consent, etc. from the research institution as well.

Since the Principal Investigator cannot submit (send) the Research Proposal Document to his/her research institution until the research institution to which the Co-Investigator-to-be belongs gives the consent, etc., be sure to finish the process in time for the dead line of the submission.

#### 3) Research Collaborator

- (A) Research Collaborator is an individual who cooperates in the implementation of a research project other than the PI and the Co-I(s).
- (B) Registration as "Eligible for KAKENHI application" in the e-Rad system is *not* a requirement for becoming a Research Collaborator.

  For example, following person can also participate in the research project as a Research Collaborator: a postdoctoral researcher, a graduate student, a research assistant (RA), a JSPS

Research Fellow (\*), a researcher belonging to an overseas research institution, a researcher belonging to a corporation not designated as a research institution according to Article 2 of the

Rules for the Handling of Grants-in-Aid for Scientific Research, and an individual offering research support such as technician and intellectual property specialist.

(\*) JSPS Fellows (SPD, PD or RPD) who are *not* registered as eligible for KAKENHI application in their host her research institution, and JSPS fellows (DC)

#### 3. Requirements for the Appropriation of Research Expenditure

#### 1) Expenditures that can be covered by direct expense

Expenditures necessary for the implementation of the research plan (including those necessary for summarization of the research achievements) can be covered by the direct expense.

\* If any of the expenditure categories (equipment costs, travel expenses, or personnel cost/honoraria) exceeds 90% of the total yearly expenditure in any FY of the research period, or if the expenditure in category Consumables or Miscellaneous constitutes a significant portion of the total expenditure, the necessity of that spending should be clarified in Research Proposal Document.

#### 2) Expenditures that cannot be covered by KAKENHI

#### The following kinds of spending cannot be covered by KAKENHI:

- A. Costs associated with buildings and other facilities (excluding expenditure for minor installations necessary for installation of research equipment purchased by the KAKENHI direct expense).
- B. Expenditures for measures to deal with accidents or disasters that occurred during the implementation of funded project
- C. Personnel cost/Honoraria for the PI or Co-I(s)
- D. Other expenditures that are apt to be covered by indirect expense\*
- \* Indirect expense which amounts to 30% of the direct expense, is intended for use by the research institution in covering expenditures needed by the research institution for the management and other things associated with the implementation of the research project. Indirect expense will be placed for all the research categories of this call for proposals. Applicant does not need to state the indirect expense in his/her Research Proposal Document.

#### 4. Selection by the Applicant of a Desired Review Section in the Review Process

#### 1) Application to the category "Specially Promoted Research"

The applicant should <u>select one of the three categories</u>; "Humanities and Social Sciences", "Science and Engineering" and "Biological Sciences" as a suggested category for review of his/her research proposal.

2) Application to the categories "Scientific Research" (Scientific Research (S), Scientific Research (A/B/C) application section "General"), and "Early-Career Scientists"

The applicant should <u>select one of the review sections</u> from Attached Table 2 "The Review <u>Section Table for Grants-in-Aid for Scientific Research" (see page 56)</u> as a suggested review section for his/her research proposal.

Review Sections and Review Methods are different for different research categories (and application sections) to which the research proposal in question is submitted as shown in the table below.

[Review Section and Review Method for "Scientific Research" and "Early-Career Scientists"]

Research Category	Application Section	Review Section	Review Method
Scientific Research (S)		Broad Section	Comprehensive Review (Document reviews and Panel reviews) *with the help of written comments by domestic researchers *interview of the applicant.
Scientific Research (A)	General	Medium-sized Section	Comprehensive Review (Document reviews and Panel reviews)
	General	Basic Section	Two-Stage Document Review
Scientific Research (B)	Generative Research Fields		Comprehensive Review (Document reviews and Panel reviews)
	General	Basic Section	Two-Stage Document Review
Scientific Research (C)	Generative Research Fields		Comprehensive Review (Document reviews and Panel reviews)
Early-Career Scientists		Basic Section	Two-Stage Document Review

# 3) Application to the categories "Scientific Research" (application section "Generative Research Field")

The applicant should <u>select one of the 3 fields</u> listed in Attached Table3 (see page 118), as the <u>suggested review area for his/her research proposal.</u> Call for new research proposals in these areas will be made for three years starting from the FY in which the area is established. Therefore, the applicable research period is three to five years for the first FY call, three to four years for the second FY call, and three years for the third FY call.

#### 4) Application to the category "Challenging Research"

The applicant should <u>select either one</u> of the Medium-sized Sections in Attached Table 2 "The Review Section Table for Grants-in-Aid for Scientific Research" (see page 56), or one out of the two fields listed as Generative Research Fields Review Divisions in Attached Table 4 (see page 120), as the suggested review section for his/her research proposal.

## Attached Table 2

# Grants-in-Aid for Scientific Research-KAKENHI"Review Section Table"

○About the Review Section Table · · · · · · ·					
○The Review Section Table (Overview) • • • • •	•	•	•	•	58
○The Review Section Table (Table for Basic Section)	•	•	•	•	65
○The Review Section Table  (Table for Medium-sized and Broad Sections): • • • •					90

## December 22, 2016

Subdivision on Research Grant Screening Section of the Academic Deliberation in the Subdivision on Science, Council for Science and Technology

#### About the Review Section Table

- The Review Section Table is classified by sections for the KAKENHI's review criteria. Applicants should select a review section that is most suitable for their own research proposal.
- ○There are three review sections: Basic, Medium-sized and Broad. The Review Section Table contains 1) Overview, 2) Table for Basic Section, 3) Table for Medium-sized and Broad Sections. Looking at the Overview, the applicants can understand an overall picture of sections. In addition, check the each Review Section Table for the detailed contents of each section and select a review section for their research proposal.
- The Basic Section is the fundamental unit. The Basic Section applies to "Grant-in-Aid for Scientific Research (B/C) (application section "General")" and for "Grant-in-Aid for Early-Career Scientists". Each item of Basic Section offers some examples related research contents. They help applicants understand the concrete contents. However, it does not exclude proposal of contents other than if applicants' contents are not included the examples.
- ○The Medium-sized Section applies to "Grant-in-Aid for Scientific Research (A) (application section "General")" and "Grant-in-Aid for Challenging Research (Pioneering/Exploratory)". Several Basic Sections are attached to indicate the scope of review for the Middle-sized Section. However, it does not exclude proposal of contents other than the Basic Sections included in the Middle-sized Section. In addition, some items of Basic Sections belong to multiple Middle-sized Sections, so applicants can select a Middle-sized Section that seems to be most suitable for their own research proposal.
- ○The Broad Section applies to "Grant-in-Aid for Scientific Research (S)".

  Several Medium-sized Sections are attached to indicate the scope of review of the Broad Section. However, it does not exclude proposal of contents other than the Medium-sized Sections included in the Broad Section. Some items of Medium-sized Sections belong to several Broad Sections, so applicants can select a Broad Section that seems to be most suitable for their own research proposal.
- To respond flexibly to research diversity in the review process, application in the Basic, Medium-sized and Broad Sections is made in the following formats: Basic Section: "○○ -related"; Medium-sized Section: "○○ and related fields," and Broad Section: listed alphabetically.

#### The Review Section Table (Overview)

Section		
Medium-	sized	Section 1: Philosophy, art, and related fields
		Basic Section
01	1010	Philosophy and ethics-related
01	1020	Chinese philosophy, Indian philosophy and
		Buddhist philosophy-related
01	1030	Religious studies-related
01	1040	History of thought-related
01	1050	Aesthetics and art studies-related
01	1060	History of arts-related
01	1070	Theory of art practice-related
01	1080	Sociology of science, history of science and
01	1000	technology-related
90	0010	Design-related
Medium-	sized	Section 2: Literature, linguistics, and related fields
		Basic Section
02	2010	Japanese literature-related
02	2020	Chinese literature-related
0.0	2020	English literature and literature in the English
02	2030	language-related
02	2040	European literature-related
02	2050	Literature in general-related
02	2060	Linguistics-related
02	2070	Japanese linguistics-related
02	2080	English linguistics-related
02	2090	Japanese language education-related
02	2100	Foreign language education-related
		Library and information science, humanistic
90	0020	and social informatics-related
Medium-	sized	Section 3: History, archaeology, museology,
		ed fields
		Basic Section
03	3010	Historical studies in general-related
03	3020	Japanese history-related
03	3030	History of Asia and Africa-related
	3040	History of Europe and America-related
	3050	Archaeology-related
	3060	Cultural assets study-related
	3070	Museology-related
		Section 4: Geography, cultural anthropology,
		and related fields
	-,	Basic Section
04	1010	Geography-related
	1020	Human geography-related
	1030	Cultural anthropology and folklore-related
	0010	Area studies-related
80	,,,,,,	
		Tourism studies-related
80	0020	Tourism studies-related Gender studies-related

Medium-ciz	ed Section 5 : Law and related fields
Wiedfulli-SIZ	Basic Section
0501	
0502	
	) International law-related
	) Social law-related
	Criminal law-related
	Civil law-related
0507	
	ed Section 6: Political science and related fields
Triculari 512	Basic Section
0601	) Politics-related
	) International relations-related
	) Area studies-related
8003	
	ed Section 7 : Economics, business administration,
	ated fields
	Basic Section
0701	Economic theory-related
0702	Economic doctrines and economic thought-related
0703	
0704	Economic policy-related
0705	Public economics and labor economics-related
0706	) Money and finance-related
0707	
0708	Business administration-related
0709	) Commerce-related
0710	Accounting-related
8002	
Medium-siz	ed Section 8 : Sociology and related fields
	Basic Section
0801	Sociology-related
0802	Social welfare-related
0803	Family and consumer sciences, and culture and living-related
8002	Tourism studies-related
8003	Gender studies-related

pad Section A (continued)			
Medium-size	Section 9: Education and related fields		
	Basic Section		
09010	Education-related		
09020	Sociology of education-related		
09030	Childhood and nursery/pre-school education-related		
09040	Education on school subjects and primary/		
09040	secondary education-related		
09050	Tertiary education-related		
09060	Special needs education-related		
09070	Educational technology-related		
09080	Science education-related		
02090	Japanese language education-related		
02100	Foreign language education-related		
Medium-size	Section 10 : Psychology and related fields		
	Basic Section		
10010	Social psychology-related		
10020	Educational psychology-related		
10030	Clinical psychology-related		
10040	Experimental psychology-related		
90030	Cognitive science-related		

d Section	В	
Medium-s	sized	Section 11: Algebra, geometry, and related fields
		Basic Section
110	010	Algebra-related
110	020	Geometry-related
Medium-	sized	Section 12: Analysis, applied mathematics, and related field
		Basic Section
120	010	Basic analysis-related
120	020	Mathematical analysis-related
120	030	Basic mathematics-related
120	040	Applied mathematics and statistics-related
Medium-s	sized	Section 13: Condensed matter physics and related fields
		Basic Section
12	010	Mathematical physics and fundamental theory of
130	010	condensed matter physics-related
10	020	Semiconductors, optical properties of condensed
130	020	matter and atomic physics-related
12	020	Magnetism, superconductivity and strongly
130	030	correlated systems-related
130	040	Biophysics, chemical physics and soft matter physics-relate
Medium-s	sized	Section 14: Plasma science and related fields
		Basic Section
140	010	Fundamental plasma-related
140	020	Nuclear fusion-related
140	030	Applied plasma science-related
80	040	Quantum beam science-related
Medium-s	sized	Section 15: Particle-, nuclear-, astro-physics, and related fie
		Basic Section
80	040	Quantum beam science-related
1.5	010	Theoretical studies related to particle-, nuclear-,
150	010	cosmic ray and astro-physics
1.5	020	Experimental studies related to particle-, nuclear-,
150	020	cosmic ray and astro-physics
Medium-	sized	Section 16: Astronomy and related fields
		Basic Section
16	010	Astronomy-related
Medium-	sized	Section 17: Earth and planetary science and related fields
		Basic Section
170	010	Space and planetary sciences-related
170	020	Atmospheric and hydrospheric sciences-related
17	030	Human geosciences-related
17	040	Solid earth sciences-related
170	050	Biogeosciences-related

d Section C		Broad Sec	ction D	
Medium-siz	red Section 18: Mechanics of materials,	Med	lium-sized	Section 26: Materials engineering and related fields
produ	ction engineering, design engineering, and related fields			Basic Section
	Basic Section	1	26010	Metallic material properties-related
1801	0 Mechanics of materials and materials-related	1	26020	Inorganic materials and properties-related
1802	20 Manufacturing and production engineering-related	1	26030	Composite materials and interfaces-related
1803		1	26040	Structural materials and functional materials-related
1804		1	26050	Material processing and microstructure control-related
	red Section 19: Fluid engineering,	1	26060	Metals production and resources production-related
	al engineering, and related fields	Med		Section 27: Chemical engineering and related fields
	Basic Section		Tan sized	Basic Section
1901			27010	Transport phenomena and unit operations-related
1902			27020	Chemical reaction and process system engineering-related
	ed Section 20: Mechanical dynamics, robotics, and related fields		27030	Catalyst and resource chemical process-related
viculuiii-siz	Basic Section		27040	Biofunction and bioprocess engineering-related
2001		May	1	Section 28: Nano/micro science and related fields
-		Mec	num-sized	
2002			20010	Basic Section
	ted Section 21: Electrical and electronic engineering		28010	Nanometer-scale chemistry-related
and re	Paris Grani		28020	Nanostructural physics-related
	Basic Section		28030	Nanomaterials-related
2101		!	28040	Nanobioscience-related
2102	Communication and network engineering-related		28050	Nano/micro-systems-related
2103	Measurement engineering-related	Med	lium-sized	Section 29: Applied condensed matter physics and related fi
2104	, , ,			Basic Section
2105	Electric and electronic materials-related		29010	Applied physical properties-related
2106	Electron device and electronic equipment-related		29020	Thin film/surface and interfacial physical properties-relate
Medium-siz	red Section 22: Civil engineering and related fields		29030	Applied condensed matter physics-related
	Basic Section	Med	lium-sized	Section 30: Applied physics and engineering and related fi
2201	Civil engineering material, execution and			Basic Section
2201	construction management-related		30010	Crystal engineering-related
2202	Structure engineering and earthquake engineering-related		30020	Optical engineering and photon science-related
2203	Geotechnical engineering-related	Med	lium-sized	l Section 31: Nuclear engineering, earth resources engineering
2204	Hydroengineering-related		energy e	ngineering, and related fields
	Civil engineering plan and transportation			Basic Section
2205	engineering-related		31010	Nuclear engineering-related
2206	60 Environmental systems for civil engineering-related	11 1	31020	
Medium-siz	red Section 23: Architecture, building engineering,	Med	lium-sized	Section 90: Biomedical engineering and related fields
and re	elated fields			Basic Section
	Basic Section	1	90110	Biomedical engineering-related
2301		1	90120	Biomaterials-related
2302			90130	Medical systems-related
2302			90140	Medical technology assessment-related
2303			90150	Medical assistive technology-related
9001		$\parallel$	30130	received assistive reciliology-related
	ted Section 24: Aerospace engineering,	1		
	e and maritime engineering, and related fields			
marine	Basic Section	-		
0.404		1		
2401	1 0 0			
2402	υ			
	ted Section 25: Social systems engineering,			
safety	engineering, disaster prevention engineering, and related fields			
	Basic Section			
2501	, , ,			
2502	20 Safety engineering-related			
2503	30 Disaster prevention engineering-related			

d Section E				
Medium-sized	Section 32: Physical chemistry,			
function	functional solid state chemistry, and related fields			
	Basic Section			
32010	Fundamental physical chemistry-related			
32020	Functional solid state chemistry-related			
Medium-sized	Section 33: Organic chemistry and related fields			
	Basic Section			
33010	Structural organic chemistry			
33010	and physical organic chemistry-related			
33020	Synthetic organic chemistry-related			
Medium-sized	Section 34: Inorganic/coordination chemistry,			
analytical chemistry, and related fields				
	Basic Section			
34010	Inorganic/coordination chemistry-related			
34020	Analytical chemistry-related			
24020	Green sustainable chemistry			
34030	and environmental chemistry-related			
Medium-sized	Section 35: Polymers, organic materials, and related fields			
	Basic Section			
35010	Polymer chemistry-related			
35020	Polymer materials-related			
35030	Organic functional materials-related			
Medium-sized	Section 36: Inorganic materials chemistry,			
energy-1	related chemistry, and related fields			
	Basic Section			
26010	Inorganic compounds and inorganic materials			
36010	chemistry-related			
36020	Energy-related chemistry			
Medium-sized	Section 37: Biomolecular chemistry and related fields			
Basic Section				
37010	Bio-related chemistry			
27020	Chemistry and chemical methodology of			
37020	biomolecules-related			
37030	Chemical biology-related			

ad Section F				
	Section 38 · Agricultural chemistry and related fields			
Wicdium-sized	Medium-sized Section 38 : Agricultural chemistry and related fields  Basic Section			
38010	Plant nutrition and soil science-related			
38020	Applied microbiology-related			
38030	Applied biochemistry-related			
38040	Bioorganic chemistry-related			
38050	Food sciences-related			
38060	Applied molecular and cellular biology-related			
	Section 39: Agricultural and environmental biology			
and relat				
	Basic Section			
39010	Science in plant genetics and breeding-related			
39020	Crop production science-related			
39030	Horticultural science-related			
39040	Plant protection science-related			
39050	Insect science-related			
39060	Conservation of biological resources-related			
39070	Landscape science-related			
Medium-sized	Section 40: Forestry and forest products science,			
applied a	equatic science, and related fields			
	Basic Section			
40010	Forest science-related			
40020	Wood science-related			
40030	Aquatic bioproduction science-related			
40040	Aquatic life science-related			
Medium-sized	Section 41: Agricultural economics and rural sociology,			
agricultu	ral engineering, and related fields			
	Basic Section			
41010	Agricultural and food economics-related			
41020	Rural sociology and agricultural structure-related			
41030	Rural environmental engineering and planning-related			
	Agricultural environmental engineering and			
41040	agricultural information engineering-related			
41050	Environmental agriculture-related			
	Section 42: Veterinary medical science, animal science,			
	and related fields			
and relat	Basic Section			
42010	Animal production science-related			
42010				
	Veterinary medical science-related			
42030	Animal life science-related			
42040	Laboratory animal science-related			

Section G				
Medium-sized Section 43: Biology at molecular to cellular levels,				
and related fields				
	Basic Section			
43010	Molecular biology-related			
43020	Structural biochemistry-related			
43030	Functional biochemistry-related			
43040	Biophysics-related			
43050	Genome biology-related			
43060	System genome science-related			
Medium-sized Section 44: Biology at cellular to organismal levels,				
and relate	ed fields			
	Basic Section			
44010	Cell biology-related			
44020	Developmental biology-related			
44030	Plant molecular biology and physiology-related			
44040	Morphology and anatomical structure-related			
44050	Animal physiological chemistry, physiology and			
44050	behavioral biology-related			
Medium-sized Section 45: Biology at organismal to population levels				
and anthr	ropology, and related fields			
	Basic Section			
45010	Genetics-related			
45020	Evolutionary biology-related			
45030	Biodiversity and systematics-related			
45040	Ecology and environment-related			
45050	Physical anthropology-related			
45060	Applied anthropology-related			
Medium-sized	Section 46: Neuroscience and related fields			
	Basic Section			
46010	Neuroscience-general-related			
46020	Anatomy and histopathology of nervous system-related			
46030	Function of nervous system-related			

d Sec	ction H	
Med	lium-sized	Section 47: Pharmaceutical sciences and related fields
		Basic Section
	47010	Pharmaceutical chemistry and drug development sciences-related
	47020	Pharmaceutical analytical chemistry and physicochemistry-related
	47030	Pharmaceutical hygiene and biochemistry-related
	47040	Pharmacology-related
	47050	Environmental and natural pharmaceutical resources-related
	47060	Clinical pharmacy-related
Med	ium-sized	Section 48: Biomedical structure and function and related fields
		Basic Section
	48010	Anatomy-related
	48020	Physiology-related
	48030	Pharmacology-related
	48040	Medical biochemistry-related
Med	ium-sized	Section 49: Pathology, infection/immunology, and related fields
		Basic Section
	49010	Pathological biochemistry-related
	49020	Human pathology-related
	49030	Experimental pathology-related
	49040	Parasitology-related
	49050	Bacteriology-related
	49060	Virology-related
	49070	Immunology-related

d Section I	
	Section 50: Oncology and related fields
	Basic Section
50010	Tumor biology-related
50020	Tumor diagnostics and therapeutics-related
Medium-sized	Section 51: Brain sciences and related fields
	Basic Section
51010	Basic brain sciences-related
51020	Cognitive and brain science-related
	Pathophysiologic neuroscience-related
Medium-sized	Section 52: General internal medicine and related fields
	Basic Section
52010	General internal medicine-related
52020	Neurology-related
52030	Psychiatry-related
52040	Radiological sciences-related
52050	Embryonic medicine and pediatrics-related
Medium-sized	Section 53: Organ-based internal medicine and related field
	Basic Section
53010	Gastroenterology-related
53020	Cardiology-related
53030	Respiratory medicine-related
53040	Nephrology-related
53050	Dermatology-related
integratio	on and related fields
	Basic Section
54010	Hematology and medical oncology-related
54020	Connective tissue disease and allergy-related
54030	Infectious disease medicine-related
54040 Madinus sinad	Metabolism and endocrinology-related
	Section 55: Surgery of the organs maintaining
nomeosta	sis and related fields
55010	Basic Section
55010	General surgery and pediatric surgery-related
55020 55030	Digestive surgery-related
55040	Cardiovascular surgery-related
55050	Respiratory surgery-related Anesthesiology-related
	Emergency medicine-related Section 56: Surgery related to the biological and
	unctions and related fields
5011501 y 1	Basic Section
56010	Neurosurgery-related
56020	Orthopedics-related
56030	Urology-related
56040	Obstetrics and gynecology-related
56050	Otorhinolaryngology-related
56060 56070	Ophthalmology-related Plastic and reconstructive surgery-related

mond C	stion I (co	ationed)
	tion I (co	Section 57: Oral science and related fields
Med	ium-sizea	
	55010	Basic Section
	57010	Oral biological science-related
	57020	Oral pathobiological science-related
	57030	Conservative dentistry-related
	57040	Regenerative dentistry and dental engineering-related
	57050	Prosthodontics-related
	57060	Surgical dentistry-related
	57070	Developmental dentistry-related
	57080	Social dentistry-related
Med	ium-sized	Section 58: Society medicine, nursing, and related fields
		Basic Section
	58010	Medical management and medical sociology-related
	58020	Hygiene and public health-related: including laboratory approach
	58030	Hygiene and public health-related: excluding laboratory approach
	58040	Forensics medicine-related
	58050	Fundamental of nursing-related
	58060	Clinical nursing-related
	58070	Lifelong developmental nursing-related
	58080	Gerontological nursing and community health nursing-related
Med	ium-sized	Section 59: Sports sciences, physical education,
	health sc	iences, and related fields
		Basic Section
	59010	Rehabilitation science-related
	59020	Sports sciences-related
	59030	Physical education, and physical and health education-related
	59040	Nutrition science and health science-related
Med	ium-sized	Section 90: Biomedical engineering and related fields
		Basic Section Basic Section
	90110	Biomedical engineering-related
	90120	Biomaterials-related
	90130	Medical systems-related
	90140	Medical technology assessment-related
	90150	Medical assistive technology-related
	, 5150	

d Sect	tion J	
Medi	um-sized	Section 60: Information science, computer engineering,
	and relate	ed fields
		Basic Section
	60010	Theory of informatics-related
	60020	Mathematical informatics-related
	60030	Statistical science-related
	60040	Computer system-related
	60050	Software-related
	60060	Information network-related
	60070	Information security-related
	60080	Database-related
	60090	High performance computing-related
	60100	Computational science-related
Medi	um-sized	Section 61: Human informatics and related fields
		Basic Section
	61010	Perceptual information processing-related
	61020	Human interface and interaction-related
	61030	Intelligent informatics-related
	61040	Soft computing-related
	61050	Intelligent robotics-related
	61060	Kansei informatics-related
	90010	Design-related
	90030	Cognitive science-related
Medi	um-sized	Section 62: Applied informatics and related fields
		Basic Section
	62010	Life, health and medical informatics-related
	62020	Web informatics and service informatics-related
	62030	Learning support system-related
	62040	Entertainment and game informatics-related
	00020	Library and information science,
	90020	humanistic and social informatics-related

Broad Sec	oad Section K				
Med	edium-sized Section 63: Environmental analyses and evaluation				
	and relate	ed fields			
		Basic Section			
	63010	Environmental dynamic analysis-related			
	63020	Radiation influence-related			
	63030	Chemical substance influence on environment-related			
	63040	Environmental impact assessment-related			
Med	ium-sized	Section 64: Environmental conservation measure			
	and relate	ed fields			
		Basic Section			
	64010	Environmental load and risk assessment-related			
	64020	Environmental load reduction and remediation-related			
	64030	Environmental materials and recycle technology-related			
	64040 Social-ecological systems-related				
	64050	Sound material-cycle social systems-related			
	64060	Environmental policy and social systems-related			

#### The Review Section Table (Table for Basic Section)

When selecting a review section, applicants should first acquire an overall picture of the review sections based on the Review Section Table (Overview). In addition, check the Review Section Table (Table for Basic Section) for the detailed contents of each section and select a review section for their research proposal.

Also, some items of Basic Section may be presented in plural Medium-sized and Broad Sections. The items of Basic Section presented in plural Medium-sized Section are 9 and 3 items among 9 are presented in plural Medium-sized and Broad Sections (as shown below).

In addition, five other Basic Sections (90110-90150) may be presented in only one Medium-sized Section and two Broad Sections.

When selecting a Medium-sized or Broad Section, applicants should refer to the Attachment 2 "Review Section Table (Table for Medium-sized and Broad Sections), and select the one that seems to be most suitable for their own research proposal.

# [Basic sections may be presented in plural Medium-sized and Broad Section]

Basic Section Item	Basic Section Description	Medium-sized Sections corresponding Basic Sections	Broad Sections corresponding Basic Sections
02090	Japanese language education-related	2, 9	A
02100	Foreign language education-related	2, 9	A
80010	Area studies-related	4, 6	A
80020	Tourism studies-related	4, 7, 8	A
80030	Gender studies-related	4, 6, 8	A
80040	Quantum beam science-related	14, 15	В
90010	Design-related	1, 23, 61	A, C, J
90020	Library and information science, humanistic and social informatics-related	2, 62	A, J
90030	Cognitive science-related	10,61	A, J
90110	Biomedical engineering-related	9 0	D, I
90120	Biomaterials-related	9 0	D, I
90130	Medical systems-related	9 0	D, I
90140	Medical technology assessment-related	9 0	D, I
90150	Medical assistive technology-related	9 0	D, I

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Philosophy and ethics-related		
01010	Philosophy in general, Ethics in general, Western philosophy, Western ethics, Japanese philosophy, Japanese ethics, Applied ethics, etc.	1	A
01020	Chinese philosophy, Indian philosophy and Buddhist philosophy-related Chinese philosophy/thought, Indian philosophy/thought, Buddhist philosophy, Bibliography, Philology, etc.	1	A
01030	Religious studies-related History of religions, Philosophy of religion, Theology, Sociology of religion, Psychology of religion, Anthropology of religion,Studies of religious folklore, Mythology, Bibliography, Philology, etc.	1	A
01040	History of thought-related History of thought in general, History of Western thought, History of Eastern thought, History of Japanese thought, etc.	1	A
01050	Aesthetics and art studies-related Philosophy of art, Aesthetics, Miscellaneous art studies, etc.	1	A
01060	History of arts-related  Japanese art, Eastern art, Western art, Contemporary art, Craft, Design, Architecture, Costume, Photography, etc.	1	A
01070	Theory of art practice-related Art expression, Arts management, Art policy, Art production, etc.	1	A
01080	Sociology of science, history of science and technology-related Sociology of science, History of science, History of technology, History of medicine, Industrial archeology, Philosophy of science, Foundation of science, STS (Science, technology and society), etc.	1	A
02010	Japanese literature-related  Japanese literature in general, Ancient literature, Medieval literature, Chinese classics in Japan, Bibliography, Philology, Premodern literature, Modern literature, Contemporary literature, Literary theory, etc.	2	A
02020	Chinese literature-related Chinese literature, Bibliography, Philology, Literary theory, etc.	2	A
02030	English literature and literature in the English language-related English literature, American literature, Literature in the English language, Literary theory, Bibliography, Philology, etc.	2	A
02040	European literature-related  French literature, Literature in the French language, German literature, Literature in the German language, Classics, Russian and East European literature, Literature in other European languages, Literary theory, Bibliography, Philology, etc.	2	A
02050	Literature in general-related  Literature in other languages and areas, Literary theory, Comparative literature, Bibliography, Philology, Literature education, etc.	2	A
02060	Linguistics-related  Phonetics/phonology, Semantics/pragmatics, Morphosyntax, Sociolinguistics, Contrastive linguistics, Psycholinguistics, Neurolinguistics, Historical linguistics, Corpus linguistics, Endangered and minority languages, etc.	2	A

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
02070	Japanese linguistics-related  Phonetics/phonology, Writing systems, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Language life, Dialect, History of the Japanese language, History of Japanese linguistics, etc.	2	A
02080	English linguistics-related Phonetics/phonology, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Sociolinguistics, Diversity of the English language, Corpus linguistics, History of the English language, History of English linguistics, etc.	2	A
02090	Japanese language education-related  Research on learners, Language acquisition, Teaching material, Curriculum evaluation, Japanese language education for specific purposes, Bilingual education, Research on teachers, Japanese language for Japanese language education, History of Japanese language education, Cross-cultural understanding, etc.	2,9	A
02100	Foreign language education-related  Learning method, Computer-assisted language learning (CALL), Teaching material, Language testing, Theory of second language acquisition, Early English education, History of foreign language education and language policies, Curriculum evaluation, Training foreign language teachers, Cross-cultural understanding, etc.	2,9	A
03010	Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc.	3	A
03020	Japanese history-related  Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.	3	A
03030	History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.	3	A
03040	History of Europe and America-related Ancient European history, Medieval European history, Modern and contemporary West European history, Modern and contemporary East European history, North and South American history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.	3	A
03050	Archaeology-related  Archaeology in general, Prehistoric archaeology, Historical archaeology, Japanese archaeology, Asian archaeology, Ancient civilizations, History of material culture, Experimental archaeology, Information archaeology, Study of buried cultural property, etc.	3	A
03060	Cultural assets study-related  Dating methods, Material analysis, Production techniques, Conservation science, Archaeological prospection, Plant and animal residues, Human remains, Cultural heritage, Cultural resources, Cultural property policy, etc.	3	A

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section	•	Medium-sized Section	Broad Section
	Museology-related		
03070	Exhibition studies, Museum pedagogy, Museum informatics, Museum business management, Public finance and administration of museums, Museum material resources, History of museology, etc.	3	A
	Geography-related		
04010	Geography in general, Land use, Landscape, Environmental system, Geomorphology, Climatology, Hydrology, Cartography, Geographic information system, Regional planning, etc.	4	A
	Human geography-related		
04020	Human geography in general, Economic geography, Social geography, Political geography, Cultural geography, Urban geography, Rural geography, Historical geography, Regional geography, Geography education, etc.	4	A
	Cultural anthropology and folklore-related		
04030	Cultural anthropology in general, Folklore in general, Material culture, Ecology, Social relationship, Religion, Arts, Health care, Border crossing, Minority, etc.	4	A
	Area studies-related		
80010	Area studies in general, Cross-regional comparative studies, Aid, International cooperation, Interregional exchange, Environment, Transnationalism, Globalization, Social development, etc.	4, 6	A
	Tourism studies-related		
80020	Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry, Regional development, Tourists, Pilgrimage, etc.	4, 7, 8	A
	Gender studies-related		
80030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.	4, 6, 8	A
	Legal theory and history-related		
05010	Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law, Law and policy, Law and economics, Judicial system, etc.	5	A
	Public law-related		
05020	Constitutional law, Administrative law, Tax law, etc.	5	A
	International law-related		
05030	Public international law, Private international law, International human rights law, International economic law, EU law, etc.	5	A
	Social law-related		
05040	Labor law, Economic law, Social security law, Education law, etc.	5	A
	Criminal law-related		
05050	Criminal law, Criminal procedure, Criminology, Criminal justice policy, Juvenile law, Law and psychology, etc.	5	A
	Civil law-related		
05060	Civil law, Commercial law, Civil procedure, Insolvency law, Alternative dispute resolution, etc.	5	A
	New fields of law-related		
05070	Environmental law, Medical law, Information law, Consumer law, Intellectual property law, Law and gender, Legal profession, etc.	5	A

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section	·	Medium-sized Section	Broad Section
	Politics-related		
06010	Political theory, History of political thought, Political history, Japanese political history, Japanese politics, Political process, Electoral studies, Political economy, Public administration, Local government, Comparative politics, Public policy, etc.	6	A
06020	International relations-related Theory of international relations, Modern international relations, Diplomatic history, International history, Foreign policy, International security, International political economy, Global governance, International cooperation, etc.	6	A
	Economic theory-related		
07010	Microeconomics, Macroeconomics, Game theory, Behavioral economics, Experimental economics, Economic theory, Evolutionary economics, Economic institutions, Economic systems, etc.	7	A
	Economic doctrines and economic thought-related		
07020	Economic doctrines, Economic thought, Social thought, Economic philosophy, etc.	7	A
	Economic statistics-related		
07030	Statistical system, Statistical research, Population statistics, Income/wealth distribution, National accounts, Econometrics, Financial econometrics, etc.	7	A
	Economic policy-related		
07040	International economics, Industrial organization, Economic development, Urban economics, Regional economy, Environmental and resource economics, Japanese economy, Economic policy, Transportation economics, Development economics, International development, etc.	7	A
	Public economics and labor economics-related		
07050	Public finance, Public economics, Health economics, Labor economics, Social security, Education economics, Law and economics, Political economy, etc.	7	A
	Money and finance-related		
07060	Monetary economics, Finance, International finance, Corporate finance, Financial engineering, Insurance, etc.	7	A
	Economic history-related		
07070	Economic history, Business history, Industrial history, etc.	7	A
	Business administration-related		
07080	Corporation theory, Organization theory, Organizational behavior, Corporate strategy, Business management, Human resource management, Management of technology, International business, Management information, Industrial management, Management in general, etc.	7	A
	Commerce-related		
07090	Marketing, Consumer behavior, Distributive sciences, Logistics, Commerce in general, etc.	7	A
	Accounting-related		
07100	Financial accounting, Management accounting, Auditing, Accounting in general, etc.	7	A
	Sociology-related		
08010	Sociology in general, Community, Family, Labor, Sociology of welfare, Gender, Media, Ethnicity, Social movements, Social research, Sociology of medicine, Social demography, etc.	8	A

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
08020	Social welfare-related Social work, Social policy, Social welfare history, Child welfare, Social welfare for people with disabilities, Social welfare for aging, Community welfare, Poverty, Volunteerism, Social welfare in general, etc.	8	A
08030	Family and consumer sciences, and culture and living-related  Culture and living, Home economics, Consumer affairs, Lifestyle, Culture of clothing,  Culture of food, Culture of dwelling, Dress and fashion, Diet habits, Housing,  Family and consumer sciences in general, Family and consumer education, etc.	8	A
09010	Education-related History of education, Philosophy of education, Curriculum and pedagogy, Evaluation of education, Teacher and trainer, School education, Social and community education, Vocational education and training, Lifelong learning, Institutions and administration, etc.	9	A
09020	Sociology of education-related Sociology of education, Socialization, Educational organization and system, Destination and career formation, Class disparities, Gender, Education policy, Comparative education, Globalization and development, etc.	9	A
09030	Childhood and nursery/pre-school education-related Childhood, Nursery/pre-school education, Right of child, Development, Contents and methods of child care, Childcare facilities and kindergarten, Caregiver and pre-school teacher, Child care support, Childhood culture, History and thought, etc.	9	A
09040	Education on school subjects and primary/secondary education-related  Education of individual subjects, Education excluding subjects,  Student guidance and counselling, Career education, School management, Teacher education, ESD,  Environmental education, Literacy, etc.	9	A
09050	Tertiary education-related  Policy, Admission and articulation, Curriculum, Career guidance, Teacher and staff, Scientific research, Regional link and contribution, Globalization, Management and governance, Non-university higher education, etc.	9	A
09060	Special needs education-related Philosophy and history, Inclusion and cohesive society, Instructions and supports, Developmental disabilities, Emotional disturbance, Intellectual disabilities, Language disorders, Physical disabilities, Career education, etc.	9	A
09070	Educational technology-related  Curriculum development, Teaching-learning support systems, Utilization of media, Utilization of ICT, Teacher's education, Information literacy, etc.	9	A
09080	Science education-related Science education, Science communication, Scientific literacy, Science and society, etc.	9	A
10010	Social psychology-related Social psychology in general, Self, Group, Attitude and behavior, Affection/emotion, Interpersonal relation, Social issues, Culture, etc.	10	A
10020	Educational psychology-related  Educational psychology in general, Development, Family, School, Clinical practice, Personality, Learning, Assessment and evaluation, etc.	10	A

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
10030	Clinical psychology-related Clinical psychology in general, Psychological disorder, Assessment, Psychological intervention, Training, Mental health, Crime and delinquency, Community, etc.	10	A
10040	Experimental psychology-related Experimental psychology in general, Sensation, Perception, Attention, Memory, Language, Emotion, Learning, etc.	10	A
11010	Algebra-related  Group theory, Ring theory, Representation theory, Algebraic combinatorics, Number theory, Arithmetic geometry, Algebraic geometry, Algebraic analysis, etc.	11	В
11020	Geometry-related  Differential geometry, Riemannian geometry, Symplectic geometry, Complex geometry, Topology, Differential topology, Low dimensional topology, etc.	11	В
12010	Basic analysis-related Functional analysis, Complex analysis, Probability theory, Harmonic analysis, Operator theory, Spectral analysis, Operator algebras, Algebraic analysis, Representation theory, etc.	12	В
12020	Mathematical analysis-related Functional equations, Real analysis, Dynamical system, Variational method, Nonlinear analysis, Applied analysis, etc.	12	В
12030	Basic mathematics-related  Mathematical logic and foundations, Information theory, Discrete mathematics, Computer mathematics, etc.	12	В
12040	Applied mathematics and statistics-related  Numerical analysis, Mathematical modelling, Optimal control, Game theory, Statistical mathematics, etc.	12	В
13010	Mathematical physics and fundamental theory of condensed matter physics-related Statistical physics, Fundamental theory of condensed matter physics, Mathematical physics, Nonequilibrium nonlinear physics, Fluid dynamics, Computational physics, Quantum information theory, etc.	13	В
13020	Semiconductors, optical properties of condensed matter and atomic physics-related Semiconductors, Dielectrics, Atoms and molecules, Mesoscopic systems, Crystals, Surfaces and interfaces, Optical properties of condensed matter, Quantum electronics, Quantum information, etc.	13	В
13030	Magnetism, superconductivity and strongly correlated systems-related  Magnetism, Strongly correlated electron systems, Superconductivity, Quantum fluids and solids,  Molecular solids, etc.	13	В
13040	Biophysics, chemical physics and soft matter physics-related Physics of biological phenomena, Physics of biological matters, Liquids and glasses, Soft matters, Rheology, etc.	13	В
14010	Fundamental plasma-related  Basic plasmas, Magnetized plasmas, Laser plasmas, Strongly coupled plasmas, Plasma diagnostics, Astrophysical and space plasmas, etc.	14	В
14020	Nuclear fusion-related  Plasma confinement, Plasma control, Plasma heating, Plasma diagnostics, Edge plasma,  Plasma wall interaction, Inertial fusion, Fusion material, Fusion system, etc.	14	В

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Applied plasma science-related		
14030	Plasma processing, Plasma photonics, Plasma material science, General plasma applications, etc.	14	В
	Quantum beam science-related		
80040	Accelerators, Beam physics, Radiation detectors, Beam control, Applied quantum beam science, etc.	14, 15	В
	Theoretical studies related to particle-, nuclear-, cosmic ray and astro-physics		
15010	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.	15	В
	Experimental studies related to particle-, nuclear-, cosmic ray and astro-physics		
15020	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.	15	В
1.001	Astronomy-related  Out in Market and action and Delication School being Action to The action between The act		_
16010	Optical/infrared astronomy, Radio astronomy, Solar physics, Astrometry, Theoretical astronomy, X-ray/ $\gamma$ -ray astronomy, etc.	16	В
	Space and planetary sciences-related		_
17010	Solar-terrestrial physics, Aeronomy, Planetary science, Exoplanetary science, Extraterrestrial material science, etc.	17	В
	Atmospheric and hydrospheric sciences-related		
17020	Climate system, Atmospheric science, Ocean science, Limnology, Glaciology, Paleoclimatology, etc.	17	В
	Human geosciences-related		
17030	Geoenvironmental science, Natural disaster science, Geospatial information science, Quaternary research, Earth resources science, etc.	17	В
	Solid earth sciences-related		
17040	Solid earth geophysics, Geology, Earth's interior material science, Solid earth geochemistry, etc.	17	В
.=	Biogeosciences-related	1-	_
17050	Origin and evolution of life, Extremophile biology, Biogeochemistry, Paleoenvironmental science, Paleontology, etc.	17	В
	Mechanics of materials and materials-related		
18010	Structural mechanics, Fatigue, Fracture, Biomaterials, Material design, Material characteristics, Material evaluation, etc.	18	С
	Manufacturing and production engineering-related		
18020	Machine tools, Machining, Non-traditional machining, Ultraprecision machining, Additive manufacturing, Precision metrology, Manufacturing systems, Computer-aided technology, Process planning, etc.	18	С
	Design engineering-related		
18030	Product design, Service design, Design for reliability, Maintainability design, Lifecycle engineering, Reverse engineering, Safety design, Design engineering, etc.	18	С
	Machine elements and tribology-related		
18040	Machine elements, Mechanisms, Tribology, Actuators, Micromachines, etc.	18	С
	Fluid engineering-related		1
19010	Fluid machinery, Flow measurement, Computational fluid dynamics, Turbulence, Multiphase flow, Compressible flow, Incompressible flow, etc.	19	С

Basic	Examples of related research content	Medium-sized Sections at Broad Section correspondi Basic Sections	
Section		Medium-sized Section	Broad Section
	Thermal engineering-related		
19020	Heat transfer, Convection, Combustion, Thermophysical properties, Refrigeration and air-conditioning, Heat engine, Energy conversion, etc.	19	С
20010	Mechanics and mechatronics-related  Kinematics, Kinetics, Vibration, Acoustics, Automation, Learning control, Mechatronics, Micro/nano mechatronics, Biomechanics, etc.	20	С
20020	Robotics and intelligent system-related  Robotics, Intelligent system, Human mechanical system, Human interface, Planning, Intelligent spatial system, Virtual reality, Augmented reality, etc.	20	С
	Power engineering-related	21	
21010	Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc.	21	С
	Communication and network engineering-related		
21020	Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc.	21	С
	Measurement engineering-related		
21030	Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems, Signal processing, Sensing devices, etc.	21	С
	Control and system engineering-related		
21040	Control theory, System theory, Control systems, Knowledge-based control systems, System information processing, System control applications, Biosystems engineering, etc.	21	С
	Electric and electronic materials-related		
21050	Semiconductor, Dielectric materials, Magnetic materials, Organic materials, Superconductor, Composite materials, Thin films, Quantum structures, Thick films, Fabrication/characterization methods, etc.	21	С
	Electron device and electronic equipment-related		
21060	Electron devices, Circuit design, Optical devices, Spintronic devices, Millimeter wave/terahertz wave, Applied wave devices, Storage devices, Displays, Micro fabrication process technology, Implementation technology, etc.	21	С
	Civil engineering material, execution and construction management-related		
22010	Concrete, Steel, Composite material, Wood, Pavement material, Repair and reinforce material, Execution, Maintenance, Construction management, Underground space, etc.	22	С
22020	Structure engineering and earthquake engineering-related Applied mechanics, Structure engineering, Steel structure, Concrete structure, Composite structure, Wind engineering, Earthquake engineering, Aseismatic structure, Earthquake prevention, etc.	22	С
	Geotechnical engineering-related		
22030	Soil mechanics, Foundation engineering, Rock engineering, Engineering Geology, Ground behavior, Soil structure, Geo-disaster prevention, Geoenvironmental engineering, Tunnel engineering, Soil environment, etc.	22	С
	Hydroengineering-related		
22040	Hydraulics, Environmental hydraulics, Hydrology, River engineering, Water resource engineering, Coastal engineering, Port and harbor engineering, Ocean engineering, etc.	22	С
	Civil engineering plan and transportation engineering-related		
22050	Civil engineering plan, Regional urban planning, Spatial planning, Disaster prevention plan, Transportation plan, Transportation engineering, Railway engineering, Surveying and remote sensing, Landscape design, Civil engineering history, etc.	22	С

Basic	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
22060	Environmental systems for civil engineering-related  Environment plan, Environmental system, Environment conservation, Water serve and drainage systems, Waste, Water environment, Atmospheric circulation, Noise and vibration, Environment ecology, Environmental monitoring, etc.	22	С
23010	Building structures and materials-related  Load theory, Structural analysis, Structural design, Structures, Earthquake resistant design,  Foundation, Geotechnics, Structural material, Maintenance, Building construction method, etc.	23	С
23020	Architectural environment and building equipment-related  Sound environment, Vibration environment, Light environment, Heat environment, Air environment, Environmental psychology/physiology, Building equipment, Fire engineering, Urban environment, Environment design, etc.	23	С
23030	Architectural planning and city planning-related Planning theory, Design theory, Housing theory, Buildings, Urban/regional planning, Administration, Building economics, Production management, Disaster prevention planning, Landscape, etc.	23	С
23040	Architectural history and design-related Architectural history, Urban history, Architectural theory, Design, Landscape, Preservation, Renovation, etc.	23	С
24010	Aerospace engineering-related Thermo-fluid dynamics, Structural strength, Propulsion, Aerospace craft design, Production engineering, Aircraft system, Specific aircraft, Aerodynamics, Spacecraft system, Space utilization, etc.	24	С
24020	Marine engineering-related  Navigation, Structural mechanics, Structural design, Production technology, Marine propulsion, Marine transport, Marine development engineering, Underwater engineering, Polar engineering, Marine environmental technology, etc.	24	С
25010	Social systems engineering-related Social systems, Industrial engineering, Operations research, Industrial management, Reliability engineering, Policy science, Regulatory science, Quality control, etc.	25	С
25020	Safety engineering-related Safety engineering, Safety system, Risk engineering, Risk management, Work safety, Product safety, Safety information, Human engineering, Liability engineering, etc.	25	С
25030	Disaster prevention engineering-related  Disaster prediction, Hazard map, Building prevention against disaster,  Lifeline prevention against disaster, Regional disaster prevention planning,  Risk evaluation of disaster, Disaster prevention policy, Disaster resilience, etc.	25	С
26010	Metallic material properties-related  Electric and magnetic properties, Electronic information properties, Metastable states, Diffusion, Phase transformation, Phase diagram, Crystal lattice defects, Mechanical properties, Thermal and optical properties, Materials computational science, etc.	26	D
26020	Inorganic materials and properties-related Functional ceramics, Functional glasses, Structural ceramics, Carbon-based materials, Crystal structure analysis, Microstructure control, Electric properties, Mechanical properties, Physical and chemical properties, Grain boundary, etc.	26	D

Basic	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
	Composite materials and interfaces-related	33333	
26030	Functional composite materials, Structural composite materials, Biocompatible composite materials, Polymer composite, Surface treatment, Dispersion control, Joining and welding, Adhesive bonding, Interface properties, Gradient function, etc.	26	D
	Structural materials and functional materials-related		
26040	Social infrastructure materials, Toughness, Medical welfare materials, Functional polymer materials, Reliability, Photo-functional materials, Sensor materials, Energy materials, Battery functional materials, Environment functional materials, etc.	26	D
	Material processing and microstructure control-related		
26050	Processing and molding, Thermal treatment, Crystal microstructure control, Laser processing, Precision processing, Polishing, Powder metallurgy, Coatings, Metal plating, Corrosion and protection, etc.	26	D
	Metals production and resources production-related		
26060	Separation and purification, Melting and solidifying, Crystal growth, Casting, Resource security reservation, Scarce resources substitution, Low environment impact, Recycle, Ecomaterials, Energy saving, etc.	26	D
	Transport phenomena and unit operations-related		
27010	Phase equilibrium, Transport properties, Momentum/heat/mass transfer, Fluid-phase unit operation, Adsorption, Membrane separation, Mixing, Powder technology, Crystallization, Film formation, etc.	27	D
	Chemical reaction and process system engineering-related		
27020	Reaction operation, Novel reaction process, Reaction mechanism, Reactor design, Materials synthesis process, Micro-chemical process, Process control, Process system design, Process informatics, etc.	27	D
	Catalyst and resource chemical process-related		
27030	Catalysis, Catalyst preparation, Catalytic function, Energy conversion process, Energy development, Energy-saving technology, Resources effective utilization technology, etc.	27	D
	Biofunction and bioprocess engineering-related		
27040	Biocatalyst engineering, Biofunction engineering, Food engineering, Medicochemical engineering, Bioproduction process, Nano-bioprocess, Bioreactor, Bioseparation, Biosensor, Biorefinery, etc.	27	D
	Nanometer-scale chemistry-related		
28010	Nanostructure creation, Clusters, Nanoparticles, Mesoscopic chemistry, Superstructures, Nanometer-scale surfaces and interfaces, Self-assembly, Nanocarbons, Molecular devices, Nanometer-scale optical devices, etc.	28	D
	Nanostructural physics-related		
28020	Physics in nanoscale materials and structures, Nanoprobes, Quantum effects, Quantum dots, Quantum devices, Electron devices, Spin devices, Nanotribology, Nanocarbon physics, etc.	28	D
	Nanomaterials-related		
28030	Creation of nanomaterials, Analysis of nanomaterials, Nanosurfaces, Nanointerfaces, Functional nanomaterials, Nanostructures, Nanoparticles, Carbon nanomaterials, Nanocrystalline materials, Nanocomposites, Nanodefects, Nanofabrication process, etc.	28	D
	Nanobioscience-related		
28040	Biomolecular devices, Molecular manipulation, Molecular imaging, Nanomeasurements, Nanosynthesis, Single molecule science, Nano-bio interfaces, Biomolecular array, Genome engineering, etc.	28	D

Basic Section	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
28050	Nano/micro-systems-related  MEMS, NEMS, BioMEMS, Nano/micro-fabrication, Nano/micro-optical devices, Nano/micro-chemical systems, Nano/micro-biosystems, Nano/micro-organism systems, Nano/micro-mechanics, Nano/micro-sensors, etc.	28	D
29010	Applied physical properties-related  Magnetic materials, Superconductors, Dielectrics, Fine particles, Organic molecules, Liquid crystals, New functional materials, Organic molecules and bioelectronics, Spintronics, etc.	29	D
29020	Thin film/surface and interfacial physical properties-related Thin-film engineering, Thin-film electronics, Oxide electronics, Vacuum, Surface science, Analysis, Measurement, Nanoscopic technology, Surface and interfacial engineering, Advanced equipment, etc.	29	D
29030	Applied condensed matter physics-related  Elementary quantities, Standards, Units, Physical quantity measurements and detection, Energy conversion, etc.	29	D
30010	Crystal engineering-related  Metals, Semiconductors, Ceramics, Amorphous materials, Crystal growth, Artificial structures, Crystal characterization, Plasma materials engineering, Plasma processing, Plasma engineering, etc.	30	D
30020	Optical engineering and photon science-related  Optical materials, Optical elements, Optical properties, Optical information processing, Laser,  Optical sensing, Optical recording, Opto-electronics, Nonlinear optics, Vision optics, etc.	30	D
31010	Nuclear engineering-related  Reactor physics and safety design, Thermal-hydraulics and structure, Fuel material, Nuclear chemistry, Nuclear life cycle, Radiation safety, Radiation beam engineering, Plasma engineering for fusion reactor, Equipment and material engineering for fusion reactor, Nuclear social environment, etc.	31	D
31020	Earth resource engineering, Energy sciences-related  Earth resource sciences, Resource prospecting, Resource development, Resource cycle, Resource economy, Energy system, Environmental load evaluation, Renewable energy, Natural resource and energy technological policy, etc.	31	D
32010	Fundamental physical chemistry-related Theoretical chemistry, Molecular spectroscopy, Structural chemistry, Electronic state dynamics, Chemical reaction dynamics, Surface/interface, Cluster and nano materials, Bio-related physical chemistry, Liquid structure dynamics, Solid state properties, Molecular properties, etc.	32	Е
32020	Functional solid state chemistry-related  Optical properties, Electron spin, Molecular electronics and devices, Supermolecules, Liquid crystals, Crystals, Surface/interface, Nano particles, Colloids, Electrochemistry, Electronic properties, etc.	32	Е
33010	Structural organic chemistry and physical organic chemistry-related Organic crystals, Molecular recognition, Supermolecules, Organic functional materials, Extended $\pi$ -electron system compounds, Heterocyclic chemistry, Organic lement chemistry, Organic reaction mechanism, Organic photochemistry, Theoretical organic chemistry, etc.	33	Е
33020	Synthetic organic chemistry-related  Selective reactions, Asymmetric synthesis, Organometallic complex/catalysis, Catalyst design, Organocatalysts, Biocatalysis, Sustainable organic synthesis, Natural product synthesis, Process chemistry, Organic electrochemistry, etc.	33	Е

Basic Section	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
	Inorganic/coordination chemistry-related		
34010	Coordination chemistry, Organometallic chemistry, Inorganic solid-state chemistry, Bioinorganic chemistry, Solution chemistry, Clusters, Supramolecular complexes, Coordination polymers, Typical elements, Physical properties and functions, etc.	34	Е
34020	Analytical chemistry-related  Spectrometric analysis, Advanced measurements, Surface/interface analysis, Separation analysis, Analytical reagents, Radiochemical analysis, Electrochemical analysis, Bioanalysis, New analysis methods, etc.	34	Е
34030	Green sustainable chemistry and environmental chemistry-related Green process, Green catalysts, Recycle, Environmental assessment, Environmentally conscious materials, Reduction of environmental load, Environmental restoration, Resource saving, Geochemistry, Environmental radioactivity, etc.	34	E
35010	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.	35	Е
35020	Polymer materials-related  Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.	35	Е
35030	Organic functional materials-related Organic semiconductors, Liquid crystals, Optical materials, Device-related materials, Electrically conductive materials, Hybrid materials, Molecular functional materials, Organic hybrid materials, Materials for energy conversion, etc.	35	E
36010	Inorganic compounds and inorganic materials chemistry-related  Crystals, Amorphous, Ceramics, Semiconductors, Inorganic device-related materials,  Low-dimensional compounds, Porous materials, Nanoparticles, Multicomponent compounds,  Hybrid materials, etc.	36	E
36020	Energy-related chemistry  Energy resources, Energy conversion materials, Energy carriers, Solar energy utilization, Material separation, Catalytic transformation, Battery and electrochemical materials, Energy-saving materials, Renewable energy, Unused energy, etc.	36	Е
37010	Bio-related chemistry Bioorganic chemistry, Biological reaction engineering, Biofunctional chemistry, Biofunctional materials, Biotechnology, etc.	37	Е
37020	Chemistry and chemical methodology of biomolecules-related  Natural product chemistry, Biologically active compounds,  Molecular mechanism of biological activities, Biofunctional molecules, Combinatorial chemistry,  Metabolomic analysis, etc.	37	E
37030	Chemical biology-related In vivo functional expression, Intracellular chemical reactions, Drug discovery science, Chemical library, Structure-activity relationship, Chemical probes, Biomolecular measurements, Molecular imaging, Proteomics, etc.	37	E
38010	Plant nutrition and soil science-related  Plant metabolism and physiology, Nutritional elements in plants, Soil classification, Soil physical chemistry, Soil organisms, etc.	38	F

Basic	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
38020	Applied microbiology-related Microbial genetics/breeding, Microbial function, Microbial metabolism and physiology, Microbial applications, Control of microbes, Microbial ecology, Production of useful materials, etc.	38	F
38030	Applied biochemistry-related  Cellular biochemistry, Applied biochemistry, Structural biology, Regulation of bioactivity,  Metabolism and physiology, Cellular function, Molecular function,  Production of useful materials, etc.	38	F
38040	Bioorganic chemistry-related Bioactive substances, Signal molecules, Natural products chemistry, Biosynthesis, Structure-activity relationship, Synthetic organic chemistry, Chemical biology, etc.	38	F
38050	Food sciences-related Food function, Food chemistry, Nutritional chemistry, Food analysis, Food engineering, Food safety, Functional food, Nutritional epidemiology, Clinical nutrition, etc.	38	F
38060	Applied molecular and cellular biology-related  Molecular cell biology, Cellular bioengineering, Molecular engineering, Gene expression control, Cell-cell/intermolecular interactions, Cellular function, Production of useful materials, etc.	38	F
39010	Science in plant genetics and breeding-related Genetic resources, Breeding theories, Genomic breeding, Plants with novel traits, Quality components, Stress tolerance, Yielding ability, Reproduction and multiplication, Growth physiology, Development, etc.	39	F
39020	Crop production science-related  Field crops, Crop yield, Crop product quality, Crop morphology, Growth prediction, Crop physiology, Field management, Low-cost cultivation techniques, Environmentally friendly agriculture, Field ecosystem, etc.	39	F
39030	Horticultural science-related  Plant growth, flowering, and fruit development, Nursery plant propagation and production, Crop production systems, Cultivation techniques, Protected horticulture, Controlled environment systems, Breeding and development of new cultivars, Quality of horticultural products, Postharvest physiology and management, Socio-horticulture, etc.	39	F
39040	Plant protection science-related  Plant pathology, Clinical plant science, Agricultural insect pest, Natural enemy, Weed, Agricultural chemicals, Integrated pest management, etc.	39	F
39050	Insect science-related  Sericulture insect technology, Insect genetics, Insect pathology, Insect physiology and biochemistry, Insect ecology, Chemical ecology, Systematics, Symbiosis and parasitism, Social insects, Medical entomology, etc.	39	F
39060	Conservation of biological resources-related  Conservation biology, Biodiversity conservation, Conservation of phylogenetic diversity,  Conservation of genetic resources, Ecosystem conservation, Conservation of endemic species,  Conservation of microorganisms, etc.	39	F
39070	Landscape science-related  Landscape architecture, Parks and open space planning, Landscape planning, Cultural landscape, Nature conservation, Landscape ecology, Parks and open space management, Parks, Environmental greening, Participatory community design, etc.	39	F
40010	Forest science-related  Forest ecology, Forest biodiversity, Forest genetics and breeding, Silviculture, Forest protection, Forest environments, Erosion control, Forest planning, Forest policy, etc.	40	F

Basic	Examples of related research content	Medium-sized Sections a Broad Section correspond Basic Sections	
Section		Medium-sized Section	Broad Section
	Wood science-related		
40020	Wood structure, Wood property, Lignocellulose, Trace element, Fungus, Wood processing, Biomass-refinery, Wood based material, Wooden building, Forest products education, etc.	40	F
40030	Aquatic bioproduction science-related Aquatic environment, Fisheries, Aquatic resource management, Aquatic organisms, Aquatic ecosystem, Aquaculture, Fisheries engineering, Fishing community/fisheries policy, Fisheries economics/management/marketing, Fisheries education, etc.	40	F
40040	Aquatic life science-related  Aquatic nutrition, Aquatic pathology, Aquatic genetics/heredity/breeding, Aquatic physiology,  Utilization of aquatic organisms and biomass, Aquatic biological chemistry, Aquatic biotechnology,  Aquatic food sciences, etc.	40	F
41010	Agricultural and food economics-related  Food economy, Agricultural production economy, Policy for agriculture, forestry and fishery, Food system, Food marketing, International agricultural development, Trade of agricultural commodities and livestock products, Rural resources and environment, etc.	41	F
41020	Rural sociology and agricultural structure-related Farm organization, Farm management, Agricultural structure, Agricultural market, Agricultural history, Rural society, Rural life, Agricultural cooperative, etc.	41	F
41030	Rural environmental engineering and planning-related Irrigation and drainage, Reclamation and conservation of agricultural land, Rural planning, Rural environment, Circulation of resources and energy, Disaster prevention in rural area, Stock management of agricultural infrastructures, Hydrodynamics and hydrology, Soil physics, Design and construction materials, etc.	41	F
41040	Agricultural environmental engineering and agricultural information engineering-related Agricultural production facilities, Bioproduction machinery, Environmental control, Agricultural meteorology and micrometeorology, Agricultural information, Greenhouse horticulture, Plant factory, Postharvest and supply chain, Nondestructive measurement, Remote sensing and geographic information system, etc.	41	F
41050	Environmental agriculture-related Biomass, Environmental manipulation, Biodiversity, Environmental analysis, Ecosystem services, Resources circulation system, Low-carbon societies, Life-cycle assessment, Environmental friendly agriculture, Watershed management, etc.	41	F
42010	Animal production science-related  Breeding/genetics, Reproduction, Nutrition/feeding, Anatomy/physiology, Product, Environment, Behavior, Therapy, Grassland, Grazing, etc.	42	F
42020	Veterinary medical science-related  Basic veterinary science, Pathological veterinary science, Applied veterinary science, Clinical veterinary science, Animal nursing, Animal welfare, Wildlife, etc.	42	F
42030	Animal life science-related  Homeostasis, Cellular function, Biological defense, Integrated genetics, Development/differentiation, Biotechnology, etc.	42	F
42040	Laboratory animal science-related  Genetic engineering, Developmental engineering, Animal models of disease, Facility management, Laboratory animal welfare, Laboratory animal-related technology, Bioresource, etc.	42	F

Basic	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
	Molecular biology-related		
43010	Chromosome function, Chromatin, Epigenetics, Genome maintenance, Genome transmission, Chromosome re-organization, Gene expression, Non-coding RNA, Regulation of protein function, Molecular genetics, etc.	43	G
	Structural biochemistry-related		
43020	Proteins, Nucleic acids, Lipids, Carbohydrates, Biological membrane, Molecular recognition, Denaturation, Three-dimensional structural analysis, Three-dimensional structural prediction, Molecular dynamics, etc.	43	G
	Functional biochemistry-related		
43030	Enzymes, Sugar chain, Bioenergy conversion, Biological trace elements, Physiologically active substances, Cell signaling, Membrane transport, Proteolysis, Molecular recognition, etc.	43	G
	Biophysics-related		
43040	Structure biology, Physical property of biomolecules, Biomembrane, Photobiology, Molecular motor, Biometrics, Bioimaging, Systems biology, Synthetic biology, Theoretical biology, etc.	43	G
	Genome biology-related		
43050	Genome organization, Genome function, Genome diversity, Molecular evolution of genome, Genome repair/maintenance, Trans-omics, Epigenome, Gene resource, Genome dynamics, etc.	43	G
	System genome science-related		
43060	Network analyses, Synthetic biology, Biological databases, Bioinformatics, Genome analysis technology, Genome biotechnology, etc.	43	G
	Cell biology-related		
44010	Cytoskeleton, Proteolysis, Organelle dynamics, Nuclear structure and function, Extracellular matrix, Signal transduction, Cell cycle, Cell motility, Cell-cell interaction, Cellular genetics, etc.	44	G
	Developmental biology-related		
44020	Cell differentiation, Stem cells, Regeneration, Germ layer formation, Morphogenesis, Organogenesis, Fertilization, Germ cells, Regulation of gene expression, Developmental genetics, Evolution and development, etc.	44	G
	Plant molecular biology and physiology-related		
44030	Photosynthesis, Growth physiology, Plant development, Organelle, Cell wall, Responses to environment, Plant-microbe interaction, Metabolism, Plant molecular function, etc.	44	G
	Morphology and anatomical structure-related		
44040	Animal and plant morphology, Micro-organismal morphology, Molecular morphology, Microstructure, Tissue organization, Morphogenesis, Comparative endocrinology, Microscopic technology, Imaging, etc.	44	G
	Animal physiological chemistry, physiology and behavioral biology-related		
44050	Metabolic physiology, Neurophysiology, Neuroethology, Behavioral physiology, Animal physiological chemistry, Chronobiology, Comparative physiology, etc.	44	G
	Genetics-related		
45010	Genetic mechanism, Molecular genetics, Cellular genetics, Population genetics, Evolutionary genetics, Developmental genetics, Behavioral genetics, Genetic diversity, etc.	45	G
	Evolutionary biology-related		
45020	General evolutionary biology, Molecular evolution, Phenotypic evolution, Evolution of developmental traits, Evolution of ecological traits, Evolution of behaviors, Experimental evolution, Evolutionary theory, Evolution of symbiosis, Phylogenetics, Speciation, etc.	45	G

Basic Section	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
		Medium-sized Section	Broad Section
	Biodiversity and systematics-related		
45030	Taxonomic characters, Taxon, Classification system, Biodiversity, Phylogenetics, Evolution, Natural history, Speciation, etc.	45	G
	Ecology and environment-related		
45040	Chemical ecology, Molecular ecology, Physiological ecology, Evolutionary ecology, Behavioral ecology, Population ecology, Community ecology, Ecosystem, Conservation ecology, Natural environment, etc.	45	G
	Physical anthropology-related		
45050	Molecular anthropology and genetics, Morphology and function, Bioarchaeology, Behavior and cognition, Ecology, Primates, Evolution, Development and ontogeny, Variation and diversity, etc.	45	G
	Applied anthropology-related		
45060	Physiological anthropology, Ergonomics, Forensic anthropology, Medical anthropology, Physiological polymorphisms, Environmental adaptability, Somatic and physiological function, Anthropometry and bioengineering, etc.	45	G
	Neuroscience-general-related		
46010	Neurochemistry, Neuron, Glia, Genome, Epigenetics, Neurobiology, Information processing, Synapse, Neurogenesis, etc.	46	G
	Anatomy and histopathology of nervous system-related		
46020	Neural development, Anatomy of nervous system, Neural network structure, Neuropathology, etc.	46	G
	Function of nervous system-related		
46030	Neurophysiology, Neuropharmacology, Neurotransmission, Neuroinformatics, Behavioral neuroscience, Neural system physiology, Cerebral blood flow, Autonomic nervous system, etc.	46	G
	Pharmaceutical chemistry and drug development sciences-related		
47010	Inorganic chemistry, Organic chemistry, Medicinal chemistry, Medicinal molecular design, Drug discovery, Bio-related materials, Chemical biology, etc.	47	Н
	Pharmaceutical analytical chemistry and physicochemistry-related		
47020	Environmental analysis, Bioanalysis, Physicochemistry, Biophysics, Structural biology, Radiochemistry, Bioimaging, Drug formulation design, Computer science, Information science, etc.	47	Н
	Pharmaceutical hygiene and biochemistry-related		
47030	Environmental hygiene, Healthful nutrition, Disease prevention, Toxicology, Drug metabolism, Host defense, Molecular biology, Cell biology, Biochemistry, etc.	47	Н
	Pharmacology-related		
47040	Pharmacology, Pharmacogenomics, Applied pharmacology, Signal transduction, Drug interactions, Drug response, Pharmacotherapy, Pharmacotoxicology, etc.	47	Н
	Environmental and natural pharmaceutical resources-related		
47050	Environmental resource science, Natural products chemistry, Bioactive natural compounds, Medicinal resources, Medicinal foods, Pharmaceutical microbiology, etc.	47	Н
	Clinical pharmacy-related		
47060	Pharmacokinetics, Medical informatics, Social pharmacy, Clinical pharmacy, Pharmaceutics, Regulatory science, Education for the pharmacist, etc.	47	Н
	Anatomy-related		
48010	Macroscopic anatomy, Histology, Embryology, etc.	48	Н

Basic Section	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
	Physiology-related		
48020	General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.	48	Н
48030	Pharmacology-related Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology,	48	Н
.0050	Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.		
	Medical biochemistry-related	_	
48040	Biofunctional molecular and medical biochemistry, Genome medical sciences, Human genetics, Disease model, etc.	48	Н
	Pathological biochemistry-related		
49010	Molecular pathology, Metabolic disorders, Molecular diagnosis, etc.	49	Н
	Human pathology-related		
49020	Molecular pathology, Cyto- and histo-pathology, Diagnostic pathology, etc.	49	Н
	Experimental pathology-related		
49030	Disease models, Pathological regulation, Tissue regeneration, etc.	49	Н
	Parasitology-related		
49040	Parasite, Vector organism, Parasite pathogenicity, Epidemiology of parasites, Control of parasite infections, etc.	49	Н
	Bacteriology-related		
49050	Bacterium, Fungus, Antimicrobial resistance, Bacterial pathogenicity, Epidemiology of bacteria, Control of bacterial infections, etc.	49	Н
	Virology-related		
49060	Virus, Prion, Viral pathogenicity, Epidemiology of viruses, Control of viral infections, etc.	49	Н
40050	Immunology-related	40	**
49070	Immune system, Immune response, Inflammation, Immune-related disorder, Immune regulation, etc.	49	Н
	Tumor biology-related		
50010	Cancer and gene, Tumor development, Invasion, Metastasis, Cancer microenvironment, Cancer and signal transduction, Characteristics of cancer cells, etc.	50	I
	Tumor diagnostics and therapeutics-related		
50020	Genome analysis, Diagnostic markers, Molecule imaging, Chemotherapy, Nucleic acid therapy, Gene therapy, Immunotherapy, Molecular targeted therapy, Physical therapy, Radiation therapy, etc.	50	I
	Basic brain sciences-related		
51010	Brain-machine interface, Model animal, Computational brain science, Brain information decoding, Control technologies, Brain imaging, Brain biometrics, etc.	51	I
	Cognitive and brain science-related		
51020	Social behavior, Communication, Emotion, Decision making, Consciousness, Learning, Neuroeconomics, Neuropsychology, etc.	51	I
	Pathophysiologic neuroscience-related		
51030	Clinical neuroscience, Dolorology, Sensory impairment, Movement disorder, Neurological disorder, Neurogenesis, Neuroimmunology, Cellular degeneration, Disease model, etc.	51	I

Basic	Examples of related research content	Medium-sized Sections ar Broad Section correspondi Basic Sections	
Section		Medium-sized Section	Broad Section
	General internal medicine-related		
52010	Laboratory medicine, General practice, Geriatrics, Psychosomatic internal medicine, Oriental medicine, Palliative medicine, etc.	52	I
	Neurology-related		
52020	Neurology, Neurofunctional imaging, etc.	52	Ι
	Psychiatry-related		
52030	Clinical psychiatry, Biological psychiatry, Forensic mental health, etc.	52	I
	Radiological sciences-related		
52040	Diagnostic radiology, Therapeutic radiology, Radiation biology, Radiological technology, etc.	52	I
	Embryonic medicine and pediatrics-related		
52050	Fetal medicine, Neonatal medicine, Pediatrics, etc.	52	I
	Gastroenterology-related		
53010	Upper digestive tract, Lower digestive tract, Liver, Biliary tract, Pancreas, etc.	53	I
	Cardiology-related		
53020	Ischemic heart disease, Valvular heart disease, Arrhythmia, Cardiomyopathy, Heart failure, Peripheral arterial disease, Arteriosclerosis, Hypertension, etc.	53	I
	Respiratory medicine-related		
53030	Respiratory medicine, Asthma, Diffusive lung disease, COPD, Lung cancer, Pulmonary hypertension, etc.	53	I
	Nephrology-related		
53040	Acute renal failure, Chronic kidney disease, Diabetic nephropathy, Hypertension, Aqueous electrolyte metabolism, Artificial dialysis, etc.	53	I
	Dermatology-related		
53050	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.	53	I
	Hematology and medical oncology-related		
54010	Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc.	54	I
	Connective tissue disease and allergy-related		
54020	Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc.	54	I
	Infectious disease medicine-related		
54030	Infection diagnostics, Infection therapeutics, Host defense, International infection science, etc.	54	I
	Metabolism and endocrinology-related		
54040	Energy balance, Glucose metabolism, Lipid metabolism, Purine metabolism, Bone metabolism, Electrolyte balance, Endocrinology, Neuroendocrinology, Reproductive endocrinology, etc.	54	I
	General surgery and pediatric surgery-related		
55010	Surgical basic principles, Breast surgery, Endocrine surgery, Pediatric surgery, Transplant surgery, Artificial organs science, Regeneration, Operation support, etc.	55	I

Basic Section	Examples of related research content	Medium-sized Sections ar Broad Section correspondi Basic Sections	
		Medium-sized Section	Broad Section
	Digestive surgery-related	2000000	
55020	Upper gastrointestinal surgery, Lower gastrointestinal surgery, Hepatic surgery, Biliary surgery, Pancreatic surgery, etc.	55	I
	Cardiovascular surgery-related		
55030	Coronary artery surgery, Heart valve surgery, Surgery for myocardial disease, Aortic surgery, Vascular surgery, Congenital heart surgery, etc.	55	I
	Respiratory surgery-related		
55040	Lung surgery, Mediastinal surgery, Chest wall surgery, Respiratory tract surgery, etc.	55	I
	Anesthesiology-related		
55050	Anesthesiology, Perioperative management, Pain management, Resuscitology, Palliative medicine, etc.	55	I
	Emergency medicine-related		
55060	Intensive care medicine, Emergency resuscitation science, Trauma surgery, Disaster medicine, Disaster medical care, etc.	55	I
	Neurosurgery-related		
56010	Neurosurgery, Spine and spinal cord diseases, etc.	56	I
	Orthopedics-related		
56020	Orthopedics, Rehabilitation medicine, Sports medicine, etc.	56	I
	Urology-related		
56030	Urology, Male genitalia science, etc.	56	I
	Obstetrics and gynecology-related		
56040	Obstetrics, Reproductive endocrinology, Gynecologic oncology, Female health care medicine, etc.	56	I
	Otorhinolaryngology-related		
56050	Otorhinolaryngology, Head and neck surgery, etc.	56	I
	Ophthalmology-related		
56060	Ophthalmology, Ophthalmological optics, etc.	56	I
	Plastic and reconstructive surgery-related		
56070	Plastic surgery, Reconstructive surgery, Aesthetic plastic surgery, etc.	56	I
	Oral biological science-related		
57010	Oral anatomy, Oral histology and embryology, Oral physiology, Oral biochemistry, Pharmacology for hard tissues, etc.	57	I
	Oral pathobiological science-related		
57020	Oral infectious diseases, Oral pathology, Oral experimental oncology, Immunity and inflammation, Laboratory medicine, etc.	57	I
	Conservative dentistry-related		
57030	Operative dentistry, Endodontology, Periodontology, etc.	57	I

Basic Section	Examples of related research content		Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section	
	Regenerative dentistry and dental engineering-related	77.7.7.7		
57040	Regenerative dentistry, Biomaterial science, Dental materials science, Oral and maxillofacial prosthetics, Oral implantology, etc.	57	I	
57050	Prosthodontics-related Prosthodontics, Oral rehabilitation, Gerodontology, etc.	57	I	
	Surgical dentistry-related			
57060	Oral and maxillofacial surgery, Oral maxillofacial reconstructive surgery, Dental anesthesiology, Psychosomatic medicine dentistry, Dental radiology, etc.	57	I	
	Developmental dentistry-related			
57070	Orthodontics, Pediatric dentistry, etc.	57	I	
	Social dentistry-related			
57080	Dental hygiene, Preventive dentistry, Oral health administration and management, Dental education, Forensic odontology, etc.	57	I	
	Medical management and medical sociology-related			
58010	Medical management, Medical social science, Ethics for medical science, Ethics for medical care, Biomedical education, History of medical science, Health policy and economics, Clinical trials, Health and medical services administration, Disaster medical science, etc.	58	I	
	Hygiene and public health-related: including laboratory approach			
58020	Hygiene, Public health, Epidemiology, Global health, etc.	58	I	
	Hygiene and public health-related: excluding laboratory approach			
58030	Hygiene, Public health, Epidemiology, Global health, etc.	58	I	
	Forensics medicine-related			
58040	Forensic medicine, Forensic pathology, Forensic toxicology, Forensic genetics, Suicide, Abuse, Clinical forensic medicine, Sudden death, etc.	58	I	
	Fundamental of nursing-related			
58050	Fundamental of nursing, Nursing education, Nursing administration, etc.	58	I	
	Clinical nursing-related			
58060	Critical care and emergency nursing, Perioperative nursing, Nursing of chronic illness, Oncology nursing, Psychiatric nursing, Palliative care nursing, etc.	58	I	
	Lifelong developmental nursing-related			
58070	Women's health nursing, Maternal nursing, Midwifery, Family health nursing, Child health nursing, School nursing, etc.	58	I	
	Gerontological nursing and community health nursing-related			
58080	Gerontological nursing, Community health nursing, Public health nursing, Disaster nursing, etc.	58	I	
	Rehabilitation science-related			
59010	Rehabilitation medicine, Rehabilitation nursing, Rehabilitation medical care, Physicotherapeutics, Occupational therapy, Assistive technology, Speech and language therapy, etc.	59	I	

Basic	Examples of related research content	Broad Section	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section	
	Sports sciences-related			
59020	Sports physiology, Sports biochemistry, Sports medicine, Sports sociology, Sports management, Sports psychology, Sports education, Training science, Sports biomechanics, Adapted sports science, Doping, etc.	59	I	
	Physical education, and physical and health education-related			
59030	Growth developmental science, Physical and health education, Physical education in school, Educational physiology, Physical systems science, Higher brain function science, Martial arts theory, Outdoor education, etc.	59	I	
	Nutrition science and health science-related			
59040	Nutritional physiology, Nutritional biochemistry, Nutritional education, Clinical nutrition, Functional food, Lifestyle-related disease, Health promotion, Aging, etc.	59	I	
	Theory of informatics-related			
60010	Discrete structure, Mathematical logic, Theory of computation, Mathematical theory of programs, Computational complexity theory, Algorithm theory, Information theory, Coding theory, Theory of cryptography, Learning theory, etc.	60	J	
	Mathematical informatics-related			
60020	Optimization theory, Mathematical systems theory, System control theory, System analysis, System methodology, System modeling, System simulation, Combinatorial optimization, Queueing theory, Mathematical finance, etc.	60	J	
	Statistical science-related			
60030	Statistics, Data science, Modeling, Statistical inference, Multivariate analysis, Time series analysis, Statistical quality control, Applied statistics, etc.	60	J	
60040	Computer system-related Computer architecture, Circuit and system, LSI design, LSI testing, Reconfigurable system, Dependable architecture, Low power technology, Hardware/software codesign, Embedded system, etc.		J	
	Software-related Software-related			
60050	Programming language, Programming methodology, Operating system, Parallel and distributed computing, Software engineering, Virtualization technology, Cloud computing, Software dependability, Software security, etc.	60	J	
	Information network-related			
60060	Network architecture, Network protocol, Internet, Mobile network, Pervasive computing, Sensor network, IoT, Traffic engineering, Network management, Service platform technology, etc.	60	J	
	Information security-related			
(0070	Cryptography, Tamper resistance technology, Authentication, Biometrics, Access control, Malware countermeasure,		*	
60070	Countermeasures against denial-of-service attacks, Privacy protection, Digital forensics, Security evaluation and authorization, etc.	60	J	
	Database-related			
60080	Data model, Database system, Multimedia database, Information retrieval, Content management, Metadata, Big data, Geographic information system, etc.	60	J	
	High performance computing-related			
60090	Parallel processing, Distributed processing, Cloud computing, Numerical analysis, Visualization, Computer graphics, High performance computing application, etc.	60	J	

Basic	Examples of related research content	Medium-sized Sections and Broad Section corresponding Basic Sections	
Section		Medium-sized Section	Broad Section
60100	Computational science-related  Mathematical engineering, Computational mechanics, Numerical simulation, Multi-scale modeling, Large-scale computing, Massively parallel computing, Numerical computing methods, Advanced algorithms, etc.		J
61010	Perceptual information processing-related  Pattern recognition, Image processing, Computer vision, Visual media processing,  Acoustic media processing, Media editing, Media database, Sensing, Sensor fusion, etc.	61	J
61020	Human interface and interaction-related  Human interface, Multi-modal interface, Human-computer interaction,  Computer supported cooperative work, Virtual reality, Augmented reality, Realistic communication,  Wearable device, Usability, Ergonomics, etc.	61	J
61030	Intelligent informatics-related  Search, Inference, Machine learning, Knowledge acquisition, Intelligent system, Intelligent information processing, Natural language processing, Data mining, Ontology, Agent system, etc.	61	J
61040	Soft computing-related  Neural network, Evolutionary computation, Fuzzy theory, Chaos, Complex systems,  Probabilistic information processing, etc.		J
61050	Intelligent robotics-related Intelligent robot, Behavior and environment recognition, Planning, Sensory behavior system, Autonomous system, Digital human, Real world information processing, Physical agents, Intelligent space, etc.	61	J
61060	Kansei informatics-related  Kansei design, Kansei cognitive science, Kansei psychology, Kansei robotics,  Kansei measurement evaluation, Kansei interface, Kansei physiology, Kansei material science,  Kansei pedagogy, Kansei brain science, etc.	61	J
62010	Life, health and medical informatics-related  Bioinformatics, Life informatics, Biological information, Neuroinformatics, Neural information processing, Molecular computing, DNA computing, Medical information, Health information, Medical image, etc.	62	J
62020	Web informatics and service informatics-related  Web system, Social web, Semantic web, Web mining, Social network analysis, Service engineering, Educational service, Medical service, Welfare service, Social service, Information culture, etc.	62	J
62030	Learning support system-related  Media literacy, Learning media, Social media, Learning content, Learning management, Learning support, Remote learning, e-Learning, etc.	62	J
62040	Entertainment and game informatics-related  Music information processing, 3D content, Animation, Game programming, Network entertainment, Media art, Digital museum, Experience design, etc.  62		J
63010	Environmental dynamic analysis-related  Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc.		K
63020	Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc.	63	K

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section			Broad Section
	Chemical substance influence on environment-related		
63030	Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc.	63	K
63040	Environmental impact assessment-related  Atmosphere, Hydrosphere, Terrestrial impact, Impact assessment on human health, Social and economic impacts, Impact assessment on the future generation, Environmental impact assessment, Assessment methods, Monitoring, Simulation, etc.	63	K
	Environmental load and risk assessment-related		
64010	Environmental analysis, Environmental load analysis, Environmental monitoring, Dynamics of environmental pollution, Environmental modelling, Evaluation of contamination, Exposure assessment, Toxicity evaluation, Environmental assessment, Chemical substance management, etc.	64	K
	Environmental load reduction and remediation-related		
64020	Removal of contamination, Treatment of waste material, Control of contamination source, Disposal of waste material, E nvironmental load reduction, Remediation measure of contamination, Noise and vibration reduction, Countermeasure of ground settlement, Bioremediation, Radioactive decontamination, etc.	64	K
	Environmental materials and recycle technology-related		
64030	Recycle materials, Valuable materials recovery, Separation, refining and purification, Environment-conscious design, Recycle chemistry, Green production, Zero emission, Resource circulation, Renewable energy, Biomass utilization, etc.	64	K
	Social-ecological systems-related		
64040	Biodiversity, Conservation biology, Ecosystem services, Natural capital, Impact analysis on ecosystem, Ecosystem management, Ecosystem restoration, Ecological engineering, Regional environmental planning, Impact of climate change, etc.	64	K
	Sound material-cycle social systems-related		
64050	Sound material-cycle systems, Material and energy budget analysis, Low carbon society, Unused energy, Regional revitalization, Water use system, Industrial symbiosis, Life cycle assessment (LCA), Integrated environmental management, 3R (reduction, reuse, recycle) social systems, etc.	64	K
	Environmental policy and social systems-related		
64060	Environmental philosophy and ethics, Environmental laws, Environmental economics, Environmental information, Environmental education, Environmental social activities, Environmental management and governance, Consensus forming, Environmental safety and security, Social and public system, Sustainable development, etc.	64	K
	Design-related	Ī	
90010	Information design, Environmental design, Industrial design, Spatial design, Design history, Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.	1, 23, 61	A, C, J
	Library and information science, humanistic and social informatics-related		
90020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, etc.	2, 62	A, J
	Cognitive science-related		
90030	Cognitive science in general, Cognitive models, Kansei, Human factors, Cognitive and brain science, Comparative cognition, Cognitive linguistics, Cognitive engineering, etc.	10, 61	A, J

Basic	Examples of related research content		Medium-sized Sections and Broad Section corresponding Basic Sections	
Section			Broad Section	
	Biomedical engineering-related			
90110	Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.	90	D, I	
	Biomaterials-related			
90120	Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.	90	D, I	
	Medical systems-related			
90130	Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems, Minimally invasive treatment systems, Remote diagnosis and treatment systems, Organ preservation systems, Medical information systems, Computer-assisted surgery, Medical robot, etc.	90	D, I	
	Medical technology assessment-related			
90140	Regulatory science, Safety evaluation, Clinical study, Medical technology ethics, Medical devices, etc.	90	D, I	
90150	Medical assistive technology-related			
	Healthcare and rehabilitation engineering, Life assist technology, Care support technology, Accessibility design, Universal design, Rehabilitation and nursing robot, Assist device for artificial internal organ, Rehabilitation devices, Nursing science and engineering, etc.	90	D, I	

#### The Review Section Table (Table for Medium-sized and Broad Sections)

When selecting a review section, applicants should first acquire an overall picture of the review sections based on the Review Section Table (Overview). In addition, check the Review Section Table (Table for Medium-sized and Broad Sections) for the detailed contents of each section and select a review section for their research proposal.

Also, some items of Basic Section may be presented in plural Medium-sized and Broad Sections. The items of Basic Section presented in plural Medium-sized Section are 9 and 3 items among 9 are presented in plural Medium-sized and Broad Sections (as shown below).

In addition, five other Basic Sections (90110-90150) may be presented in only one Medium-sized Section and two Broad Sections.

## [Basic sections may be presented in plural Medium-sized and Broad Section]

Basic Section Item	Basic Section Description	Medium-sized Sections corresponding Basic Sections	Broad Sections corresponding Basic Sections
02090	Japanese language education-related	2, 9	A
02100	Foreign language education-related	2, 9	A
80010	Area studies-related	4, 6	A
80020	Tourism studies-related	4, 7, 8	A
80030	Gender studies-related	4, 6, 8	A
80040	Quantum beam science-related	14, 15	В
90010	Design-related	1, 23, 61	А, С, Ј
90020	Library and information science, humanistic and social informatics-related	2, 62	A, J
90030	Cognitive science-related	10,61	A, J
90110	Biomedical engineering-related	9 0	D, I
90120	Biomaterials-related	9 0	D, I
90130	Medical systems-related	9 0	D, I
90140	Medical technology assessment-related	9 0	D, I
90150	Medical assistive technology-related	9 0	D, I

#### [Medium-sized section may be presented in plural Broad Section]

Medium-sized Section Item	Medium-sized section Description	Broad Sections corresponding Medium-sized Section
9 0	Biomedical engineering and related fields	D, I

## Broad Section A Medium-sized Section 1: Philosophy, art, and related fields Basic Examples of related research content Section Philosophy and ethics-related Philosophy in general, Ethics in general, Western philosophy, Western ethics, Japanese philosophy, Japanese ethics, 01010 Applied ethics, etc. Chinese philosophy, Indian philosophy and Buddhist philosophy-related Chinese philosophy/thought, Indian philosophy/thought, Buddhist philosophy, Bibliography, Philology, etc. 01020 Religious studies-related History of religions, Philosophy of religion, Theology, Sociology of religion, Psychology of religion, 01030 Anthropology of religion, Studies of religious folklore, Mythology, Bibliography, Philology, etc. History of thought-related History of thought in general, History of Western thought, History of Eastern thought, 01040 History of Japanese thought, etc. Aesthetics and art studies-related Philosophy of art, Aesthetics, Miscellaneous art studies, etc. 01050 History of arts-related Japanese art, Eastern art, Western art, Contemporary art, Craft, Design, Architecture, 01060 Costume, Photography, etc. Theory of art practice-related Art expression, Arts management, Art policy, Art production, etc. 01070 Sociology of science, history of science and technology-related Sociology of science, History of science, History of technology, History of medicine, Industrial archeology, 01080 Philosophy of science, Foundation of science, STS (Science, technology and society), etc. Design-related Information design, Environmental design, Industrial design, Spatial design, Design history, Theory of design, 90010 Design standard, Design support, Evaluation of design, Design education, etc. Medium-sized Section 2: Literature, linguistics, and related fields Basic Examples of related research content Section Japanese literature-related 02010 Japanese literature in general, Ancient literature, Medieval literature, Chinese classics in Japan, Bibliography, Philology, Premodern literature, Modern literature, Contemporary literature, Literary theory, etc. Chinese literature-related Chinese literature, Bibliography, Philology, Literary theory, etc. 02020 English literature and literature in the English language-related English literature, American literature, Literature in the English language, Literary theory, Bibliography, 02030

French literature, Literature in the French language, German literature, Literature in the German language,

Classics, Russian and East European literature, Literature in other European languages, Literary theory,

Philology, etc.

02040

European literature-related

Bibliography, Philology, etc.

1 1		
		Literature in general-related
	02050	Literature in other languages and areas, Literary theory, Comparative literature, Bibliography, Philology, Literature education, etc.
		Linguistics-related
	02060	Phonetics/phonology, Semantics/pragmatics, Morphosyntax, Sociolinguistics, Contrastive linguistics, Psycholinguistics, Neurolinguistics, Historical linguistics, Corpus linguistics, Endangered and minority languages, etc.
		Japanese linguistics-related
	02070	Phonetics/phonology, Writing systems, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Language life, Dialect, History of the Japanese language, History of Japanese linguistics, etc.
		English linguistics-related
	02080	Phonetics/phonology, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Sociolinguistics, Diversity of the English language, Corpus linguistics, History of the English language, History of English linguistics, etc.
		Japanese language education-related
		Research on learners, Language acquisition, Teaching material, Curriculum evaluation,
	02090	Japanese language education for specific purposes, Bilingual education, Research on teachers,
		Japanese language for Japanese language education, History of Japanese language education, Cross-cultural understanding, etc.
		Foreign language education-related
		Learning method, Computer-assisted language learning (CALL), Teaching material, Language testing,
	02100	Theory of second language acquisition, Early English education,
		History of foreign language education and language policies, Curriculum evaluation, Training foreign language teachers, Cross-cultural understanding, etc.
		Training foreign language teachers, Cross-cultural understanding, etc.
		Library and information science, humanistic and social informatics-related
	90020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, etc.
Mediur		tion 3: History, archaeology, museology, and related fields
	Basic Section	Examples of related research content
	Section	Historical studies in general-related
	03010	Historical states in general related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc.
		Japanese history-related
	03020	Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
		History of Asia and Africa-related
_	03030	History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
		History of Europe and America-related
	03040	Ancient European history, Medieval European history, Modern and contemporary West European history, Modern and contemporary East European history, North and South American history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
		Archaeology-related
	0	Archaeology in general, Prehistoric archaeology, Historical archaeology, Japanese archaeology,
	03050	Asian archaeology, Ancient civilizations, History of material culture, Experimental archaeology, Information archaeology, Study of buried cultural property, etc.
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	Cultural assets study-related
03060	Dating methods, Material analysis, Production techniques, Conservation science, Archaeological prospection, Plant and animal residues, Human remains, Cultural heritage, Cultural resources, Cultural property policy, etc.
	Museology-related
03070	Exhibition studies, Museum pedagogy, Museum informatics, Museum business management, Public finance and administration of museums, Museum material resources, History of museology, etc.
um-sized Sec	tion 4: Geography, cultural anthropology, folklore, and related fields
Basic Section	Examples of related research content
	Geography-related
04010	Geography in general, Land use, Landscape, Environmental system, Geomorphology, Climatology, Hydrology, Cartography, Geographic information system, Regional planning, etc.
	Human geography-related
04020	Human geography in general, Economic geography, Social geography, Political geography, Cultural geography, Urban geography, Rural geography, Historical geography, Regional geography, Geography education, etc.
	Cultural anthropology and folklore-related
04030	Cultural anthropology in general, Folklore in general, Material culture, Ecology, Social relationship, Religion, Arts, Health care, Border crossing, Minority, etc.
	Area studies-related
80010	Area studies in general, Cross-regional comparative studies, Aid, International cooperation, Interregional exchange, Environment, Transnationalism, Globalization, Social development, etc.
	Tourism studies-related
80020	Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry, Regional development, Tourists, Pilgrimage, etc.
	Gender studies-related
80030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.
um-sized Sec	tion 5 : Law and related fields
Basic Section	Examples of related research content
	Legal theory and history-related
05010	Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,
	Law and policy, Law and economics, Judicial system, etc.
05020	Law and policy, Law and economics, Judicial system, etc.
05020	Law and policy, Law and economics, Judicial system, etc.  Public law-related  Constitutional law, Administrative law, Tax law, etc.  International law-related
05020	Law and policy, Law and economics, Judicial system, etc.  Public law-related  Constitutional law, Administrative law, Tax law, etc.
	Law and policy, Law and economics, Judicial system, etc.  Public law-related  Constitutional law, Administrative law, Tax law, etc.  International law-related  Public international law, Private international law, International human rights law, International economic law,
	Law and policy, Law and economics, Judicial system, etc.  Public law-related  Constitutional law, Administrative law, Tax law, etc.  International law-related  Public international law, Private international law, International human rights law, International economic law, EU law, etc.
05030	Law and policy, Law and economics, Judicial system, etc.  Public law-related  Constitutional law, Administrative law, Tax law, etc.  International law-related  Public international law, Private international law, International human rights law, International economic law, EU law, etc.  Social law-related
05030	Law and policy, Law and economics, Judicial system, etc.  Public law-related Constitutional law, Administrative law, Tax law, etc.  International law-related Public international law, Private international law, International human rights law, International economic law, EU law, etc.  Social law-related Labor law, Economic law, Social security law, Education law, etc.
05030	Law and policy, Law and economics, Judicial system, etc.  Public law-related  Constitutional law, Administrative law, Tax law, etc.  International law-related  Public international law, Private international law, International human rights law, International economic law, EU law, etc.  Social law-related  Labor law, Economic law, Social security law, Education law, etc.  Criminal law-related

		New fields of law-related
	05070	Environmental law, Medical law, Information law, Consumer law, Intellectual property law,
	03070	Law and gender, Legal profession, etc.
Mediun	n-sized Sect	tion 6: Political science and related fields
	Basic Section	Examples of related research content
		Politics-related
	06010	Political theory, History of political thought, Political history, Japanese political history, Japanese politics, Political process, Electoral studies, Political economy, Public administration, Local government, Comparative politics, Public policy, etc.
-		International relations-related
	06020	Theory of international relations, Modern international relations, Diplomatic history, International history, Foreign policy, International security, International political economy, Global governance, International cooperation, etc.
		Area studies-related
	80010	Area studies in general, Cross-regional comparative studies, Aid, International cooperation, Interregional exchange, Environment, Transnationalism, Globalization, Social development, etc.
-		Gender studies-related
	80030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.
Mediun	n-sized Sect	tion 7 : Economics, business administration, and related fields
_	Basic Section	Examples of related research content
		Economic theory-related
	07010	Microeconomics, Macroeconomics, Game theory, Behavioral economics, Experimental economics, Economic theory, Evolutionary economics, Economic institutions, Economic systems, etc.
		Economic doctrines and economic thought-related
	07020	Economic doctrines, Economic thought, Social thought, Economic philosophy, etc.
=		Economic statistics-related
	07030	Statistical system, Statistical research, Population statistics, Income/wealth distribution, National accounts, Econometrics, Financial econometrics, etc.
Ī		Economic policy-related
	07040	International economics, Industrial organization, Economic development, Urban economics, Regional economy, Environmental and resource economics, Japanese economy, Economic policy, Transportation economics, Development economics, International development, etc.
		Public economics and labor economics-related
	07050	Public finance, Public economics, Health economics, Labor economics, Social security, Education economics, Law and economics, Political economy, etc.
•		Money and finance-related
	07060	Monetary economics, Finance, International finance, Corporate finance, Financial engineering, Insurance, etc.
-		Economic history-related
	07070	Economic history, Business history, Industrial history, etc.
-		Business administration-related
	07080	Corporation theory, Organization theory, Organizational behavior, Corporate strategy, Business management, Human resource management, Management of technology, International business, Management information, Industrial management, Management in general, etc.

		Commerce-related
0	7090	Marketing, Consumer behavior, Distributive sciences, Logistics, Commerce in general, etc.
		Accounting-related
0	7100	Financial accounting, Management accounting, Auditing, Accounting in general, etc.
		Tourism studies-related
8	0020	Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry, Regional development, Tourists, Pilgrimage, etc.
Medium-siz	ed Sect	ion 8 : Sociology and related fields
	Basic ection	Examples of related research content
		Sociology-related
0.	8010	Sociology in general, Community, Family, Labor, Sociology of welfare, Gender, Media, Ethnicity, Social movements, Social research, Sociology of medicine, Social demography, etc.
		Social welfare-related
0.	8020	Social work, Social policy, Social welfare history, Child welfare, Social welfare for people with disabilities, Social welfare for aging, Community welfare, Poverty, Volunteerism, Social welfare in general, etc.
		Family and consumer sciences, and culture and living-related
	8030	Culture and living, Home economics, Consumer affairs, Lifestyle, Culture of clothing, Culture of food,
	8030	Culture of dwelling, Dress and fashion, Diet habits, Housing, Family and consumer sciences in general, Family and consumer education, etc.
		<u> </u>
		Tourism studies-related
8	0020	Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry, Regional development, Tourists, Pilgrimage, etc.
		Gender studies-related
8	0030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.
Medium-siz	ed Sect	ion 9 : Education and related fields
	Basic ection	Examples of related research content
		Education-related
0	9010	History of education, Philosophy of education, Curriculum and pedagogy, Evaluation of education, Teacher and trainer, School education, Social and community education, Vocational education and training, Lifelong learning, Institutions and administration, etc.
		Sociology of education-related
0	9020	Sociology of education, Socialization, Educational organization and system, Destination and career formation, Class disparities, Gender, Education policy, Comparative education, Globalization and development, etc.
		Childhood and nursery/pre-school education-related
0	9030	Childhood, Nursery/pre-school education, Right of child, Development, Contents and methods of child care, Childcare facilities and kindergarten, Caregiver and pre-school teacher, Child care support, Childhood culture, History and thought, etc.
		Education on school subjects and primary/secondary education-related
0	9040	Education of individual subjects, Education excluding subjects, Student guidance and counselling, Career education, School management, Teacher education, ESD, Environmental education, Literacy, etc.
		Tertiary education-related
0	9050	Policy, Admission and articulation, Curriculum, Career guidance, Teacher and staff, Scientific research, Regional link and contribution, Globalization, Management and governance, Non-university higher education, etc.
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09060	Special needs education-related
	Philosophy and history, Inclusion and cohesive society, Instructions and supports, Developmental disabilities, Emotional disturbance, Intellectual disabilities, Language disorders, Physical disabilities, Career education, etc.
09070	Educational technology-related
	Curriculum development, Teaching-learning support systems, Utilization of media, Utilization of ICT, Teacher's education, Information literacy, etc.
09080	Science education-related
	Science education, Science communication, Scientific literacy, Science and society, etc.
02090	Japanese language education-related
	Research on learners, Language acquisition, Teaching material, Curriculum evaluation,
	Japanese language education for specific purposes, Bilingual education, Research on teachers,
	Japanese language for Japanese language education, History of Japanese language education,
	Cross-cultural understanding, etc.
02100	Foreign language education-related
	Learning method, Computer-assisted language learning (CALL), Teaching material, Language testing,
	Theory of second language acquisition, Early English education,
	History of foreign language education and language policies, Curriculum evaluation,
	Training foreign language teachers, Cross-cultural understanding, etc.

# Medium-sized Section 10 :Psychology and related fields

Basic Section	Examples of related research content		
10010	Social psychology-related		
	Social psychology in general, Self, Group, Attitude and behavior, Affection/emotion, Interpersonal relation, Social issues, Culture, etc.		
	Educational psychology-related		
10020	Educational psychology in general, Development, Family, School, Clinical practice, Personality, Learning, Assessment and evaluation, etc.		
10030	Clinical psychology-related		
	Clinical psychology in general, Psychological disorder, Assessment, Psychological intervention, Training, Mental health, Crime and delinquency, Community, etc.		
	Experimental psychology-related		
10040	Experimental psychology in general, Sensation, Perception, Attention, Memory, Language, Emotion, Learning, etc.		
	Cognitive science-related		
90030	Cognitive science in general, Cognitive models, Kansei, Human factors, Cognitive and brain science, Comparative cognition, Cognitive linguistics, Cognitive engineering, etc.		

#### Broad Section B

Medium-sized Section 11: Algebra, geometry, and related fields

Basic Section	Examples of related research content		
11010	Algebra-related  Group theory, Ring theory, Representation theory, Algebraic combinatorics, Number theory, Arithmetic geometry, Algebraic geometry, Algebraic analysis, etc.		
11020	Geometry-related  Differential geometry, Riemannian geometry, Symplectic geometry, Complex geometry, Topology,  Differential topology, Low dimensional topology, etc.		

Basic	
Section	Examples of related research content
	Basic analysis-related
12010	Functional analysis, Complex analysis, Probability theory, Harmonic analysis, Operator theory, Spectral analysis, Operator algebras, Algebraic analysis, Representation theory, etc.
	Mathematical analysis-related
12020	Functional equations, Real analysis, Dynamical system, Variational method, Nonlinear analysis, Applied analysis, etc.
	Basic mathematics-related
12030	Mathematical logic and foundations, Information theory, Discrete mathematics, Computer mathematics, etc.
	Applied mathematics and statistics-related
12040	Numerical analysis, Mathematical modelling, Optimal control, Game theory, Statistical mathematics, etc.
n-sized Sec	tion 13: Condensed matter physics and related fields
Basic Section	Examples of related research content
	Mathematical physics and fundamental theory of condensed matter physics-related
13010	Statistical physics, Fundamental theory of condensed matter physics, Mathematical physics, Nonequilibrium nonlinear physics, Fluid dynamics, Computational physics, Quantum information theory, etc.
	Semiconductors, optical properties of condensed matter and atomic physics-related
13020	Semiconductors, Dielectrics, Atoms and molecules, Mesoscopic systems, Crystals, Surfaces and interfaces, Optical properties of condensed matter, Quantum electronics, Quantum information, etc.
	Magnetism, superconductivity and strongly correlated systems-related
13030	Magnetism, Strongly correlated electron systems, Superconductivity, Quantum fluids and solids, Molecular solids, etc.
	Biophysics, chemical physics and soft matter physics-related
13040	Physics of biological phenomena, Physics of biological matters, Liquids and glasses, Soft matters, Rheology, etc.
	tion 14: Plasma science and related fields
Basic Section	Examples of related research content
	Fundamental plasma-related
14010	Basic plasmas, Magnetized plasmas, Laser plasmas, Strongly coupled plasmas, Plasma diagnostics, Astrophysical and space plasmas, etc.
	Nuclear fusion-related
14020	Plasma confinement, Plasma control, Plasma heating, Plasma diagnostics, Edge plasma, Plasma wall interaction, Inertial fusion, Fusion material, Fusion system, etc.
	Applied plasma science-related
14030	Plasma processing, Plasma photonics, Plasma material science, General plasma applications, etc.
	Quantum beam science-related
	Accelerators, Beam physics, Radiation detectors, Beam control, Applied quantum beam science, etc.

	Basic	tion 15: Particle-, nuclear-, astro-physics, and related fields
	Section	Examples of related research content
		Quantum beam science-related
80040		Accelerators, Beam physics, Radiation detectors, Beam control, Applied quantum beam science, etc.
		Theoretical studies related to particle-, nuclear-, cosmic ray and astro-physics
	15010	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.
		Experimental studies related to particle-, nuclear-, cosmic ray and astro-physics
	15020	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.
Mediu	m-sized Sect	tion 16: Astronomy and related fields
	Basic Section	Examples of related research content
		Astronomy-related
	16010	Optical/infrared astronomy, Radio astronomy, Solar physics, Astrometry, Theoretical astronomy, X-ray/ $\gamma$ -ray astronomy, etc.
Mediu	m-sized Sect	tion 17: Earth and planetary science and related fields
	Basic	
	Section	Examples of related research content
		Space and planetary sciences-related
	17010	Solar-terrestrial physics, Aeronomy, Planetary science, Exoplanetary science, Extraterrestrial material science, etc.
		Atmospheric and hydrospheric sciences-related
	17020	Climate system, Atmospheric science, Ocean science, Limnology, Glaciology, Paleoclimatology, etc.
	Human geosciences-related	
	Geoenvironmental science, Natural disaster science, Geospatial information science, Quaternary rese Earth resources science, etc.	
		Solid earth sciences-related
	Solid earth geophysics, Geology, Earth's interior material science, Solid earth geochemistry, etc.	
		Biogeosciences-related
	17050	Origin and evolution of life, Extremophile biology, Biogeochemistry, Paleoenvironmental science, Paleontology, etc.
Section	ı C	•
	m-sized Sect	tion 18: Mechanics of materials, production engineering, design engineering, and related fields
Mediu		
Mediu	Basic Section	Examples of related research content

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Machine tools, Machining, Non-traditional machining, Ultraprecision machining, Additive manufacturing, Precision metrology, Manufacturing systems, Computer-aided technology, Process planning, etc.

Manufacturing and production engineering-related

18020

Γ		Design engineering-related
	18030	Product design, Service design, Design for reliability, Maintainability design, Lifecycle engineering,
		Reverse engineering, Safety design, Design engineering, etc.
		Machine elements and tribology-related
	18040	Machine elements, Mechanisms, Tribology, Actuators, Micromachines, etc.
edium	-sized Sect	ion 19:Fluid engineering, thermal engineering, and related fields
	Basic Section	Examples of related research content
		Fluid engineering-related
	19010	Fluid machinery, Flow measurement, Computational fluid dynamics, Turbulence, Multiphase flow, Compressible flow, Incompressible flow, etc.
_		Thermal engineering-related
	19020	Heat transfer, Convection, Combustion, Thermophysical properties, Refrigeration and air-conditioning, Heat engine, Energy conversion, etc.
dium	-sized Sect	ion 20: Mechanical dynamics, robotics, and related fields
	Basic Section	Examples of related research content
		Mechanics and mechatronics-related
	20010	Kinematics, Kinetics, Vibration, Acoustics, Automation, Learning control, Mechatronics, Micro/nano mechatronics, Biomechanics, etc.
		Robotics and intelligent system-related
	20020	Robotics, Intelligent system, Human mechanical system, Human interface, Planning, Intelligent spatial system, Virtual reality, Augmented reality, etc.
dium	-sized Sect	ion 21: Electrical and electronic engineering and related fields
Г	Basic	Ion 21. Decured and electronic engineering and related needs
	Section	Examples of related research content
		Power engineering-related
	21010	Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc.
		Communication and network engineering-related
	21020	Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems,
	21020	Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc.
		Measurement engineering-related
	21030	Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems, Signal processing, Sensing devices, etc.
		Control and system engineering-related
	21040	Control theory, System theory, Control systems, Knowledge-based control systems, System information processing, System control applications, Biosystems engineering, etc.
		Electric and electronic materials-related
	21050	Semiconductor, Dielectric materials, Magnetic materials, Organic materials, Superconductor, Composite materials, Thin films, Quantum structures, Thick films, Fabrication/characterization methods, etc.
-		Electron device and electronic equipment-related
	21060	Electron devices, Circuit design, Optical devices, Spintronic devices, Millimeter wave/terahertz wave, Applied wave devices, Storage devices, Displays, Micro fabrication process technology, Implementation technology, etc.

	Basic	
	Section	Examples of related research content
		Civil engineering material, execution and construction management-related
	22010	Concrete, Steel, Composite material, Wood, Pavement material, Repair and reinforce material, Execution, Maintenance, Construction management, Underground space, etc.
		Structure engineering and earthquake engineering-related
	22020	Applied mechanics, Structure engineering, Steel structure, Concrete structure, Composite structure, Wind engineering, Earthquake engineering, Aseismatic structure, Earthquake prevention, etc.
		Geotechnical engineering-related
	22030	Soil mechanics, Foundation engineering, Rock engineering, Engineering Geology, Ground behavior, Soil structure, Geo-disaster prevention, Geoenvironmental engineering, Tunnel engineering, Soil environment, etc.
		Hydroengineering-related
	22040	Hydraulics, Environmental hydraulics, Hydrology, River engineering, Water resource engineering, Coastal engineering, Port and harbor engineering, Ocean engineering, etc.
		Civil engineering plan and transportation engineering-related
	22050	Civil engineering plan, Regional urban planning, Spatial planning, Disaster prevention plan, Transportation plan, Transportation engineering, Railway engineering, Surveying and remote sensing, Landscape design, Civil engineering history, etc.
		Environmental systems for civil engineering-related
	22060	Environment plan, Environmental system, Environment conservation, Water serve and drainage systems, Waste, Water environment, Atmospheric circulation, Noise and vibration, Environment ecology, Environmental monitoring, etc.
edium-	sized Sect	tion 23: Architecture, building engineering, and related fields
	Basic Section	Examples of related research content
		Building structures and materials-related
	23010	Load theory, Structural analysis, Structural design, Structures, Earthquake resistant design, Foundation, Geotechnics, Structural material, Maintenance, Building construction method, etc.
		Architectural environment and building equipment-related
	23020	Sound environment, Vibration environment, Light environment, Heat environment, Air environment, Environmental psychology/physiology, Building equipment, Fire engineering, Urban environment, Environment design, etc.
		Architectural planning and city planning-related
	23030	Planning theory, Design theory, Housing theory, Buildings, Urban/regional planning, Administration, Building economics, Production management, Disaster prevention planning, Landscape, etc.
		Architectural history and design-related
	23040	Architectural history, Urban history, Architectural theory, Design, Landscape, Preservation, Renovation, etc.
		Design-related
		Information design, Environmental design, Industrial design, Spatial design, Design history, Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.
	90010	Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.
ledium-		tion 24: Aerospace engineering, marine and maritime engineering, and related fields
ledium-		
edium-	sized Sect	tion 24: Aerospace engineering, marine and maritime engineering, and related fields

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n C			Marine engineering-related			
(Broad Section C)		24020	Navigation, Structural mechanics, Structural design, Production technology, Marine propulsion, Marine transport, Marine development engineering, Underwater engineering, Polar engineering, Marine environmental technology, etc.			
(Bro	Medium-sized Section 25: Social systems engineering, safety engineering, disaster prevention engineering, and related fields					
		Basic Section	Examples of related research content			
			Social systems engineering-related			
		25010	Social systems, Industrial engineering, Operations research, Industrial management, Reliability engineering, Policy science, Regulatory science, Quality control, etc.			
			Safety engineering-related			
		25020	Safety engineering, Safety system, Risk engineering, Risk management, Work safety, Product safety, Safety information, Human engineering, Liability engineering, etc.			
			Disaster prevention engineering-related			
		25030	Disaster prediction, Hazard map, Building prevention against disaster, Lifeline prevention against disaster, Regional disaster prevention planning, Risk evaluation of disaster, Disaster prevention policy, Disaster resilience, etc.			
Broad	Section	D				
	Mediur	n-sized Sect	ion 26: Materials engineering and related fields			
		Basic Section	Examples of related research content			
			Metallic material properties-related			
		26010	Electric and magnetic properties, Electronic information properties, Metastable states, Diffusion, Phase transformation, Phase diagram, Crystal lattice defects, Mechanical properties, Thermal and optical properties, Materials computational science, etc.			
			Inorganic materials and properties-related			
		26020	Functional ceramics, Functional glasses, Structural ceramics, Carbon-based materials,			
			Crystal structure analysis, Microstructure control, Electric properties, Mechanical properties, Physical and chemical properties, Grain boundary, etc.			
			Composite materials and interfaces-related			
		26030	Functional composite materials, Structural composite materials, Biocompatible composite materials, Polymer composite, Surface treatment, Dispersion control, Joining and welding, Adhesive bonding, Interface properties, Gradient function, etc.			
			Structural materials and functional materials-related			
		26040	Social infrastructure materials, Toughness, Medical welfare materials, Functional polymer materials, Reliability, Photo-functional materials, Sensor materials, Energy materials, Battery functional materials, Environment functional materials, etc.			
			Material processing and microstructure control-related			
		26050	Processing and molding, Thermal treatment, Crystal microstructure control, Laser processing, Precision processing, Polishing, Powder metallurgy, Coatings, Metal plating, Corrosion and protection, etc.			
			Metals production and resources production-related			
		26060	Separation and purification, Melting and solidifying, Crystal growth, Casting, Resource security reservation, Scarce resources substitution, Low environment impact, Recycle, Ecomaterials, Energy saving, etc.			
	Mediur	n-sized Sect	ion 27: Chemical engineering and related fields			
		Basic Section	Examples of related research content			
			Transport phenomena and unit operations-related			
		27010	Phase equilibrium, Transport properties, Momentum/heat/mass transfer, Fluid-phase unit operation, Adsorption, Membrane separation, Mixing, Powder technology, Crystallization, Film formation, etc.			

		Chemical reaction and process system engineering-related
	27020	Reaction operation, Novel reaction process, Reaction mechanism, Reactor design,
		Materials synthesis process, Micro-chemical process, Process control, Process system design,
		Process informatics, etc.
		Catalyst and resource chemical process-related
	27030	Catalysis, Catalyst preparation, Catalytic function, Energy conversion process, Energy development,
		Energy-saving technology, Resources effective utilization technology, etc.
		Biofunction and bioprocess engineering-related
	27040	Biocatalyst engineering, Biofunction engineering, Food engineering, Medicochemical engineering,
		Bioproduction process, Nano-bioprocess, Bioreactor, Bioseparation, Biosensor, Biorefinery, etc.
Medium	-sized Sect	tion 28: Nano/micro science and related fields
	Basic	
	Section	Examples of related research content
		Nanometer-scale chemistry-related
	28010	Nanostructure creation, Clusters, Nanoparticles, Mesoscopic chemistry, Superstructures,
	28010	Nanometer-scale surfaces and interfaces, Self-assembly, Nanocarbons, Molecular devices,
		Nanometer-scale optical devices, etc.
		Nanostructural physics-related
	28020	Physics in nanoscale materials and structures, Nanoprobes, Quantum effects, Quantum dots,
		Quantum devices, Electron devices, Spin devices, Nanotribology, Nanocarbon physics, etc.
		Nanomaterials-related
	28030	Creation of nanomaterials, Analysis of nanomaterials, Nanosurfaces, Nanointerfaces,
	28030	Functional nanomaterials, Nanostructures, Nanoparticles, Carbon nanomaterials,
		Nanocrystalline materials, Nanocomposites, Nanodefects, Nanofabrication process, etc.
		Nanobioscience-related
	28040	Biomolecular devices, Molecular manipulation, Molecular imaging, Nanomeasurements, Nanosynthesis, Single molecule science, Nano-bio interfaces, Biomolecular array, Genome engineering, etc.
		Nano/micro-systems-related
	28050	MEMS, NEMS, BioMEMS, Nano/micro-fabrication, Nano/micro-optical devices,
	28030	Nano/micro-chemical systems, Nano/micro-biosystems, Nano/micro-organism systems,
		Nano/micro-mechanics, Nano/micro-sensors, etc.
Medium	n-sized Sect	tion 29: Applied condensed matter physics and related fields
	Basic	Examples of related research content
	Section	Examples of folded research content
		Applied physical properties-related
	29010	Magnetic materials, Superconductors, Dielectrics, Fine particles, Organic molecules, Liquid crystals,
		New functional materials, Organic molecules and bioelectronics, Spintronics, etc.
		Thin film/surface and interfacial physical properties-related
	29020	Thin-film engineering, Thin-film electronics, Oxide electronics, Vacuum, Surface science, Analysis,
		Measurement, Nanoscopic technology, Surface and interfacial engineering, Advanced equipment, etc.
		Applied condensed matter physics-related
	29030	Elementary quantities, Standards, Units, Physical quantity measurements and detection,
		Energy conversion, etc.
Medium	-sized Sect	tion 30: Applied physics and engineering and related fields
	Basic	Evolution of valeted vegeously content
	Section	Examples of related research content
		Crystal engineering-related
	30010	Metals, Semiconductors, Ceramics, Amorphous materials, Crystal growth, Artificial structures,
		Crystal characterization, Plasma materials engineering, Plasma processing, Plasma engineering, etc.
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		Optical engineering and photon science-related
	20020	Optical materials, Optical elements, Optical properties, Optical information processing, Laser,
	30020	Optical sensing, Optical recording, Opto-electronics, Nonlinear optics, Vision optics, etc.
		optical sensing, optical recording, opto-electronics, (volumear optics, vision optics, etc.
Mediur	m-sized Sec	tion 31: Nuclear engineering, earth resources engineering, energy engineering, and related fields
	Basic	Examples of related research content
	Section	Examples of related research content
		Nuclear engineering-related
	21010	Reactor physics and safety design, Thermal-hydraulics and structure, Fuel material, Nuclear chemistry,
	31010	Nuclear life cycle, Radiation safety, Radiation beam engineering, Plasma engineering for fusion reactor,
		Equipment and material engineering for fusion reactor, Nuclear social environment, etc.
		Earth resource engineering, Energy sciences-related
	21020	Earth resource sciences, Resource prospecting, Resource development, Resource cycle,
	31020	Resource economy, Energy system, Environmental load evaluation, Renewable energy,
		Natural resource and energy technological policy, etc.
Mediur	m-sized Sec	tion 90: Biomedical engineering and related fields
	Basic	Examples of related research content
	Section	Ziminproo or rounds rootates control
		Biomedical engineering-related
	90110	Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs,
		Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.
		Biomaterials-related
	90120	Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials,
		Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.
		Medical systems-related
		Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems,
	90130	Minimally invasive treatment systems, Remote diagnosis and treatment systems,
	, , , , ,	Organ preservation systems, Medical information systems, Computer-assisted surgery,
		Medical robot, etc.
		Medical technology assessment-related
	90140	Regulatory science, Safety evaluation, Clinical study, Medical technology ethics, Medical devices, etc.
	701.0	
		Medical assistive technology-related
	00150	Healthcare and rehabilitation engineering, Life assist technology, Care support technology,
	90150	Accessibility design, Universal design, Rehabilitation and nursing robot,
		Assist device for artificial internal organ, Rehabilitation devices, Nursing science and engineering, etc.

#### Broad Section E

Medium-sized Section 32: Physical chemistry, functional solid state chemistry, and related fields

Basic Section	Examples of related research content
	Fundamental physical chemistry-related
32010	Theoretical chemistry, Molecular spectroscopy, Structural chemistry, Electronic state dynamics, Chemical reaction dynamics, Surface/interface, Cluster and nano materials, Bio-related physical chemistry, Liquid structure dynamics, Solid state properties, Molecular properties, etc.
	Functional solid state chemistry-related
32020	Optical properties, Electron spin, Molecular electronics and devices, Supermolecules, Liquid crystals, Crystals, Surface/interface, Nano particles, Colloids, Electrochemistry, Electronic properties, etc.

	Basic	
	Section	Examples of related research content
		Structural organic chemistry and physical organic chemistry-related
	33010	Organic crystals, Molecular recognition, Supermolecules, Organic functional materials, Extended p-electron system compounds, Heterocyclic chemistry, Organoelement chemistry, Organic reaction mechanism, Organic photochemistry, Theoretical organic chemistry, etc.
		Synthetic organic chemistry-related
	33020	Selective reactions, Asymmetric synthesis, Organometallic complex/catalysis, Catalyst design, Organocatalysts, Biocatalysis, Sustainable organic synthesis, Natural product synthesis, Process chemistry, Organic electrochemistry, etc.
Mediu	ım-sized Sect	tion 34: Inorganic/coordination chemistry, analytical chemistry, and related fields
	Basic Section	Examples of related research content
		Inorganic/coordination chemistry-related
	34010	Coordination chemistry, Organometallic chemistry, Inorganic solid-state chemistry, Bioinorganic chemistry, Solution chemistry, Clusters, Supramolecular complexes, Coordination polymers, Typical elements, Physical properties and functions, etc.
		Analytical chemistry-related
	34020	Spectrometric analysis, Advanced measurements, Surface/interface analysis, Separation analysis, Analytical reagents, Radiochemical analysis, Electrochemical analysis, Bioanalysis, New analysis methods, etc
		Green sustainable chemistry and environmental chemistry-related
	34030	Green process, Green catalysts, Recycle, Environmental assessment, Environmentally conscious materials, Reduction of environmental load, Environmental restoration, Resource saving, Geochemistry, Environmental radioactivity, etc.
Mediu	ım-sized Sect	tion 35: Polymers, organic materials, and related fields
	Basic Section	Examples of related research content
		Polymer chemistry-related
		•
	Section	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties,
	Section	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.
	Section 35010	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.  Polymer materials-related Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers,
	Section 35010	Polymer chemistry-related  Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.  Polymer materials-related  Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.
Mediu	35010 35020 35030	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.  Polymer materials-related Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.  Organic functional materials-related Organic semiconductors, Liquid crystals, Optical materials, Device-related materials, Electrically conductive materials, Hybrid materials, Molecular functional materials,
Mediu	35010 35020 35030	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.  Polymer materials-related Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.  Organic functional materials-related  Organic semiconductors, Liquid crystals, Optical materials, Device-related materials, Electrically conductive materials, Hybrid materials, Molecular functional materials, Organic hybrid materials, Materials for energy conversion, etc.
Mediu	35010 35020 35030 am-sized Sect	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.  Polymer materials-related Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.  Organic functional materials-related Organic semiconductors, Liquid crystals, Optical materials, Device-related materials, Electrically conductive materials, Hybrid materials, Molecular functional materials, Organic hybrid materials for energy conversion, etc.  tion 36: Inorganic materials chemistry, energy-related chemistry, and related fields  Examples of related research content  Inorganic compounds and inorganic materials chemistry-related
Mediu	35010 35020 35030 am-sized Sect	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.  Polymer materials-related Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.  Organic functional materials-related Organic semiconductors, Liquid crystals, Optical materials, Device-related materials, Electrically conductive materials, Hybrid materials, Molecular functional materials, Organic hybrid materials chemistry, energy-related chemistry, and related fields  Examples of related research content
Mediu	35010 35020 35030 am-sized Section	Polymer chemistry-related Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers, Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties, Polymer structures, Polymer thin film/surface, etc.  Polymer materials-related Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.  Organic functional materials-related Organic semiconductors, Liquid crystals, Optical materials, Device-related materials, Electrically conductive materials, Hybrid materials, Molecular functional materials, Organic hybrid materials, Materials for energy conversion, etc.  Inorganic materials chemistry, energy-related chemistry, and related fields  Examples of related research content  Inorganic compounds and inorganic materials chemistry-related Crystals, Amorphous, Ceramics, Semiconductors, Inorganic device-related materials, Low-dimensional compounds, Porous materials, Nanoparticles, Multicomponent compounds,

	Basic Section	Examples of related research content
	500000	Bio-related chemistry
	37010	Bioorganic chemistry, Bioinorganic chemistry, Biological reaction engineering, Biofunctional chemistry, Biofunctional materials, Biotechnology, etc.
		Chemistry and chemical methodology of biomolecules-related
	37020	Natural product chemistry, Biologically active compounds, Molecular mechanism of biological activities, Biofunctional molecules, Combinatorial chemistry, Metabolomic analysis, etc.
		Chemical biology-related
	37030	In vivo functional expression, Intracellular chemical reactions, Drug discovery science, Chemical library, Structure-activity relationship, Chemical probes, Biomolecular measurements, Molecular imaging, Proteomics, etc.
Section	F	
Mediu	m-sized Sect	tion 38: Agricultural chemistry and related fields
	Basic Section	Examples of related research content
		Plant nutrition and soil science-related
	38010	Plant metabolism and physiology, Nutritional elements in plants, Soil classification, Soil physical chemistry, Soil organisms, etc.
		Applied microbiology-related
	38020	Microbial genetics/breeding, Microbial function, Microbial metabolism and physiology, Microbial applications Control of microbes, Microbial ecology, Production of useful materials, etc.
		Applied biochemistry-related
	38030	Cellular biochemistry, Applied biochemistry, Structural biology, Regulation of bioactivity, Metabolism and physiology, Cellular function, Molecular function, Production of useful materials, etc.
		Bioorganic chemistry-related
	38040	Bioactive substances, Signal molecules, Natural products chemistry, Biosynthesis, Structure-activity relationship, Synthetic organic chemistry, Chemical biology, etc.
		Food sciences-related
	38050	Food function, Food chemistry, Nutritional chemistry, Food analysis, Food engineering, Food safety, Functional food, Nutritional epidemiology, Clinical nutrition, etc.
		Applied molecular and cellular biology-related
	38060	Molecular cell biology, Cellular bioengineering, Molecular engineering, Gene expression control, Cell-cell/intermolecular interactions, Cellular function, Production of useful materials, etc.
Mediu	m-sized Sect	tion 39: Agricultural and environmental biology and related fields
	Basic Section	Examples of related research content
		Science in plant genetics and breeding-related
	39010	Genetic resources, Breeding theories, Genomic breeding, Plants with novel traits, Quality components, Stress tolerance, Yielding ability, Reproduction and multiplication, Growth physiology, Development, etc.
		Crop production science-related
	39020	Field crops, Crop yield, Crop product quality, Crop morphology, Growth prediction, Crop physiology, Field management, Low-cost cultivation techniques, Environmentally friendly agriculture, Field ecosystem, etc
		Horticultural science-related
		Plant growth, flowering, and fruit development, Nursery plant propagation and production,
	39030	Crop production systems, Cultivation techniques, Protected horticulture, Controlled environment systems,

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E			Environmental agriculture-related
(Broad Section F)		41050	Biomass, Environmental manipulation, Biodiversity, Environmental analysis, Ecosystem services, Resources circulation system, Low-carbon societies, Life-cycle assessment, Environmental friendly agriculture, Watershed management, etc.
(Br	Mediun	n-sized Sect	tion 42: Veterinary medical science, animal science, and related fields
		Basic Section	Examples of related research content
			Animal production science-related
		42010	Breeding/genetics, Reproduction, Nutrition/feeding, Anatomy/physiology, Product, Environment, Behavior, Therapy, Grassland, Grazing, etc.
	ŀ		Veterinary medical science-related
		42020	Basic veterinary science, Pathological veterinary science, Applied veterinary science, Clinical veterinary science, Animal nursing, Animal welfare, Wildlife, etc.
			Animal life science-related
		42030	Homeostasis, Cellular function, Biological defense, Integrated genetics, Development/differentiation, Biotechnology, etc.
			Laboratory animal science-related
		42040	Genetic engineering, Developmental engineering, Animal models of disease, Facility management, Laboratory animal welfare, Laboratory animal-related technology, Bioresource, etc.
3road	Section	G	
	Mediun	n-sized Sect	tion 43: Biology at molecular to cellular levels, and related fields
		Basic	
		Section	Examples of related research content
			Molecular biology-related
		43010	Chromosome function, Chromatin, Epigenetics, Genome maintenance, Genome transmission, Chromosome re-organization, Gene expression, Non-coding RNA, Regulation of protein function, Molecular genetics, etc.
			Structural biochemistry-related
		43020	Proteins, Nucleic acids, Lipids, Carbohydrates, Biological membrane, Molecular recognition, Denaturation, Three-dimensional structural analysis, Three-dimensional structural prediction, Molecular dynamics, etc.
			Functional biochemistry-related
		43030	Enzymes, Sugar chain, Bioenergy conversion, Biological trace elements, Physiologically active substances, Cell signaling, Membrane transport, Proteolysis, Molecular recognition, etc.
			Biophysics-related
		43040	Structure biology, Physical property of biomolecules, Biomembrane, Photobiology, Molecular motor, Biometrics, Bioimaging, Systems biology, Synthetic biology, Theoretical biology, etc.
	ŀ		Genome biology-related
		43050	Genome organization, Genome function, Genome diversity, Molecular evolution of genome, Genome repair/maintenance, Trans-omics, Epigenome, Gene resource, Genome dynamics, etc.
		43060	System genome science-related  Network analyses, Synthetic biology, Biological databases, Bioinformatics, Genome analysis technology, Genome biotechnology, etc.
	Mediun	n-sized Sect	tion 44: Biology at cellular to organismal levels, and related fields
		Basic Section	Examples of related research content
			Cell biology-related
		44010	Cytoskeleton, Proteolysis, Organelle dynamics, Nuclear structure and function, Extracellular matrix, Signal transduction, Cell cycle, Cell motility, Cell-cell interaction, Cellular genetics, etc.

.		Developmental biology-related
	44020	Cell differentiation, Stem cells, Regeneration, Germ layer formation, Morphogenesis, Organogenesis,
	44020	Fertilization, Germ cells, Regulation of gene expression, Developmental genetics, Evolution and development, etc.
		Plant molecular biology and physiology-related
	44030	Photosynthesis, Growth physiology, Plant development, Organelle, Cell wall, Responses to environment, Plant-microbe interaction, Metabolism, Plant molecular function, etc.
		Morphology and anatomical structure-related
	44040	Animal and plant morphology, Micro-organismal morphology, Molecular morphology, Microstructure, Tissue organization, Morphogenesis, Comparative endocrinology, Microscopic technology, Imaging, etc.
		Animal physiological chemistry, physiology and behavioral biology-related
	44050	Metabolic physiology, Neurophysiology, Neuroethology, Behavioral physiology, Animal physiological chemistry, Chronobiology, Comparative physiology, etc.
Mediun	tion 45: Biology at organismal to population levels and anthropology, and related fields	
	Basic Section	Examples of related research content
		Genetics-related
	45010	Genetic mechanism, Molecular genetics, Cellular genetics, Population genetics, Evolutionary genetics, Developmental genetics, Behavioral genetics, Genetic diversity, etc.
		Evolutionary biology-related
	45020	General evolutionary biology, Molecular evolution, Phenotypic evolution, Evolution of developmental traits, Evolution of ecological traits, Evolution of behaviors, Experimental evolution, Evolutionary theory, Evolution of symbiosis, Phylogenetics, Speciation, etc.
		Biodiversity and systematics-related
	45030	Taxonomic characters, Taxon, Classification system, Biodiversity, Phylogenetics, Evolution, Natural history, Speciation, etc.
		Ecology and environment-related
	45040	Chemical ecology, Molecular ecology, Physiological ecology, Evolutionary ecology, Behavioral ecology, Population ecology, Community ecology, Ecosystem, Conservation ecology, Natural environment, etc.
		Physical anthropology-related
	45050	Molecular anthropology and genetics, Morphology and function, Bioarchaeology, Behavior and cognition, Ecology, Primates, Evolution, Development and ontogeny, Variation and diversity, etc.
		Applied anthropology-related
	45060	Physiological anthropology, Ergonomics, Forensic anthropology, Medical anthropology,
	43000	Physiological polymorphisms, Environmental adaptability, Somatic and physiological function, Anthropometry and bioengineering, etc.
Mediun	n-sized Sect	tion 46: Neuroscience and related fields
	Basic Section	Examples of related research content
		Neuroscience-general-related
	46010	Neurochemistry, Neuron, Glia, Genome, Epigenetics, Neurobiology, Information processing, Synapse, Neurogenesis, etc.
		Anatomy and histopathology of nervous system-related
	46020	Neural development, Anatomy of nervous system, Neural network structure, Neuropathology, etc.
		Function of nervous system-related
	46030	Neurophysiology, Neuropharmacology, Neurotransmission, Neuroinformatics, Behavioral neuroscience, Neural system physiology, Cerebral blood flow, Autonomic nervous system, etc.

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	zed Sect	ion 47: Pharmaceutical sciences and related fields
	Basic Section	Examples of related research content
		Pharmaceutical chemistry and drug development sciences-related
4	47010	Inorganic chemistry, Organic chemistry, Medicinal chemistry, Medicinal molecular design, Drug discovery, Bio-related materials, Chemical biology, etc.
		Pharmaceutical analytical chemistry and physicochemistry-related
4	47020	Environmental analysis, Bioanalysis, Physicochemistry, Biophysics, Structural biology, Radiochemistry, Bioimaging, Drug formulation design, Computer science, Information science, etc.
		Pharmaceutical hygiene and biochemistry-related
4	47030	Environmental hygiene, Healthful nutrition, Disease prevention, Toxicology, Drug metabolism, Host defense, Molecular biology, Cell biology, Biochemistry, etc.
		Pharmacology-related
4	47040	Pharmacology, Pharmacogenomics, Applied pharmacology, Signal transduction, Drug interactions, Drug response, Pharmacotherapy, Pharmacotoxicology, etc.
		Environmental and natural pharmaceutical resources-related
4	47050	Environmental resource science, Natural products chemistry, Bioactive natural compounds, Medicinal resources Medicinal foods, Pharmaceutical microbiology, etc.
		Clinical pharmacy-related
4	47060	Pharmacokinetics, Medical informatics, Social pharmacy, Clinical pharmacy, Pharmaceutics, Regulatory science Education for the pharmacist, etc.
Medium-siz	zed Sect	
		ion 48: Biomedical structure and function and related fields
I	Basic Section	Examples of related research content
I	Basic	
I So	Basic	Examples of related research content
I So	Basic Section	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.
4	Basic Section	Examples of related research content  Anatomy-related
4	Basic Section 48010	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.
4	Basic Section 48010	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related
4	Basic Section 48010	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.
4	Basic Section 48010	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related  Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.
4 4	Basic Section 48010	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related  Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.  Medical biochemistry-related
4 4 4	Basic Section 48010 48020 48030	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related  Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.  Medical biochemistry-related
4 4 4 Medium-siz	Basic Section 48010 48020 48030	Anatomy-related Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.  Medical biochemistry-related Biofunctional molecular and medical biochemistry, Genome medical sciences, Human genetics, Disease model,
4 4 4 Medium-siz	Basic Section  48010  48020  48030  48040  zed Sect Basic	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related  Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.  Medical biochemistry-related  Biofunctional molecular and medical biochemistry, Genome medical sciences, Human genetics, Disease model, ion 49: Pathology, infection/immunology, and related fields
4 4 4 Medium-siz	Basic Section  48010  48020  48030  48040  zed Sect Basic	Examples of related research content  Anatomy-related  Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related  General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related  Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.  Medical biochemistry-related  Biofunctional molecular and medical biochemistry, Genome medical sciences, Human genetics, Disease model, ion 49: Pathology, infection/immunology, and related fields  Examples of related research content
4 4 4 Medium-siz	Basic Section  48010  48020  48030  48040  zed Section  Section	Examples of related research content  Anatomy-related Macroscopic anatomy, Histology, Embryology, etc.  Physiology-related General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.  Pharmacology-related Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.  Medical biochemistry-related Biofunctional molecular and medical biochemistry, Genome medical sciences, Human genetics, Disease model, ion 49: Pathology, infection/immunology, and related fields  Examples of related research content  Pathological biochemistry-related

£			Experimental pathology-related				
(Broad Section H)		49030	Disease models, Pathological regulation, Tissue regeneration, etc.				
			Disease moders, I autological regulation, Tissue regeneration, etc.				
			Parasitology-related				
		49040	Parasite, Vector organism, Parasite pathogenicity, Epidemiology of parasites, Control of parasite infections, etc.				
			Bacteriology-related				
		49050	Bacterium, Fungus, Antimicrobial resistance, Bacterial pathogenicity, Epidemiology of bacteria, Control of bacterial infections, etc.				
			Virology-related				
		49060	Virus, Prion, Viral pathogenicity, Epidemiology of viruses, Control of viral infections, etc.				
			Immunology-related				
		49070	Immune system, Immune response, Inflammation, Immune-related disorder, Immune regulation, etc.				
Broad	Section I						
	Medium-si	ized Sect	ion 50: Oncology and related fields				
		Basic Section	Examples of related research content				
			Tumor biology-related				
		50010	Cancer and gene, Tumor development, Invasion, Metastasis, Cancer microenvironment, Cancer and signal transduction, Characteristics of cancer cells, etc.				
			Tumor diagnostics and therapeutics-related				
		50020	Genome analysis, Diagnostic markers, Molecule imaging, Chemotherapy, Nucleic acid therapy, Gene therapy, Immunotherapy, Molecular targeted therapy, Physical therapy, Radiation therapy, etc.				
	Medium-sized Section 51: Brain sciences and related fields						
	5	Basic Section	Examples of related research content				
			Basic brain sciences-related				
		51010	Brain-machine interface, Model animal, Computational brain science, Brain information decoding, Control technologies, Brain imaging, Brain biometrics, etc.				
			Cognitive and brain science-related				
		51020	Social behavior, Communication, Emotion, Decision making, Consciousness, Learning, Neuroeconomics, Neuropsychology, etc.				
			Pathophysiologic neuroscience-related				
		51030	Clinical neuroscience, Dolorology, Sensory impairment, Movement disorder, Neurological disorder, Neurogenesis, Neuroimmunology, Cellular degeneration, Disease model, etc.				
	Medium-si	ized Sect	ion 52: General internal medicine and related fields				
		Basic Section	Examples of related research content				
			General internal medicine-related				
		52010	Laboratory medicine, General practice, Geriatrics, Psychosomatic internal medicine, Oriental medicine, Palliative medicine, etc.				
			Neurology-related				
		52020	Neurology, Neurofunctional imaging, etc.				
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		Psychiatry-related
	52030	Clinical psychiatry, Biological psychiatry, Forensic mental health, etc.
		Radiological sciences-related
	52040	Diagnostic radiology, Therapeutic radiology, Radiation biology, Radiological technology, etc.
		Embryonic medicine and pediatrics-related
	52050	Fetal medicine, Neonatal medicine, Pediatrics, etc.
Mediur	n-sized Sect	tion 53: Organ-based internal medicine and related fields
	Basic	
	Section	Examples of related research content
		Gastroenterology-related
	53010	Upper digestive tract, Lower digestive tract, Liver, Biliary tract, Pancreas, etc.
	1	Cardiology-related
	53020	Ischemic heart disease, Valvular heart disease, Arrhythmia, Cardiomyopathy, Heart failure,
		Peripheral arterial disease, Arteriosclerosis, Hypertension, etc.
		Respiratory medicine-related
	53030	Respiratory medicine, Asthma, Diffusive lung disease, COPD, Lung cancer, Pulmonary hypertension, etc.
		Nephrology-related
	53040	Acute renal failure, Chronic kidney disease, Diabetic nephropathy, Hypertension, Aqueous electrolyte metabolism,
		Artificial dialysis, etc.
		Dermatology-related
	53050	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.
Mediur	m-sized Sect	tion 54: Internal medicine of the bio-information integration and related fields
	Basic	
	Section	Examples of related research content
		Hematology and medical oncology-related
	54010	Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc.
		Connective tissue disease and allergy-related
	54020	Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc.
		Infectious disease medicine-related
	54030	Infection diagnostics, Infection therapeutics, Host defense, International infection science, etc.
		Metabolism and endocrinology-related
	54040	Energy balance, Glucose metabolism, Lipid metabolism, Purine metabolism, Bone metabolism,
		Electrolyte balance, Endocrinology, Neuroendocrinology, Reproductive endocrinology, etc.
Mediur	n-sized Sect	tion 55: Surgery of the organs maintaining homeostasis and related fields
	Basic	
	Section	Examples of related research content
		General surgery and pediatric surgery-related
	55010	Surgical basic principles, Breast surgery, Endocrine surgery, Pediatric surgery, Transplant surgery,
		Artificial organs science, Regeneration, Operation support, etc.
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		Digestive surgery-related			
	55020	Upper gastrointestinal surgery, Lower gastrointestinal surgery, Hepatic surgery, Biliary surgery, Pancreatic surgery, etc.			
		Cardiovascular surgery-related			
	55030	Coronary artery surgery, Heart valve surgery, Surgery for myocardial disease, Aortic surgery, Vascular surgery, Congenital heart surgery, etc.			
		Respiratory surgery-related			
	55040	Lung surgery, Mediastinal surgery, Chest wall surgery, Respiratory tract surgery, etc.			
		Anesthesiology-related			
	55050	Anesthesiology, Perioperative management, Pain management, Resuscitology, Palliative medicine, etc.			
		Emergency medicine-related			
	55060	Intensive care medicine, Emergency resuscitation science, Trauma surgery, Disaster medicine, Disaster medical care, etc.			
Mediun	n-sized Sect	tion 56: Surgery related to the biological and sensory functions and related fields			
	Basic Section	Examples of related research content			
		Neurosurgery-related			
	56010	Neurosurgery, Spine and spinal cord diseases, etc.			
		Orthopedics-related			
	56020	Orthopedics, Rehabilitation medicine, Sports medicine, etc.			
		Urology-related			
	56030	Urology, Male genitalia science, etc.			
		Obstetrics and gynecology-related			
	56040	Obstetrics, Reproductive endocrinology, Gynecologic oncology, Female health care medicine, etc.			
		Otorhinolaryngology-related			
	56050	Otorhinolaryngology, Head and neck surgery, etc.			
		Ophthalmology-related			
	56060	Ophthalmology, Ophthalmological optics, etc.			
		Plastic and reconstructive surgery-related			
	56070	Plastic surgery, Reconstructive surgery, Aesthetic plastic surgery, etc.			
Mediun	n-sized Sect	tion 57: Oral science and related fields			
	Basic Section	Examples of related research content			
		Oral biological science-related			
	57010	Oral anatomy, Oral histology and embryology, Oral physiology, Oral biochemistry, Pharmacology for hard tissues, etc.			
		Oral pathobiological science-related			
	57020	Oral infectious diseases, Oral pathology, Oral experimental oncology, Immunity and inflammation, Laboratory medicine, etc.			
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		Conservative dentistry-related
	57030	Operative dentistry, Endodontology, Periodontology, etc.
		Regenerative dentistry and dental engineering-related
	57040	Regenerative dentistry, Biomaterial science, Dental materials science, Oral and maxillofacial prosthetics,
		Oral implantology, etc.
		Prosthodontics-related
	57050	Prosthodontics, Oral rehabilitation, Gerodontology, etc.
		Surgical dentistry-related
	57060	Oral and maxillofacial surgery, Oral maxillofacial reconstructive surgery, Dental anesthesiology, Psychosomatic medicine dentistry, Dental radiology, etc.
_		Developmental dentistry-related
	57070	Orthodontics, Pediatric dentistry, etc.
	37070	
-		Social dentistry-related
	57080	Dental hygiene, Preventive dentistry, Oral health administration and management, Dental education,
	27000	Forensic odontology, etc.
Madium	aizad Saat	tion 58: Society medicine, nursing, and related fields
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	Basic Section	Examples of related research content
		Medical management and medical sociology-related
	58010	Medical management, Medical social science, Ethics for medical science, Ethics for medical care,
	38010	Biomedical education, History of medical science, Health policy and economics, Clinical trials, Health and medical services administration, Disaster medical science, etc.
-		
	58020	Hygiene and public health-related: including laboratory approach
		Hygiene, Public health, Epidemiology, Global health, etc.
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	58030	Hygiene and public health-related: excluding laboratory approach  Hygiene, Public health, Epidemiology, Global health, etc.
		rygiene, rubiic neami, epidennology, Global neami, etc.
-		
	50040	Forensics medicine-related Forensic medicine, Forensic pathology, Forensic toxicology, Forensic genetics, Suicide, Abuse,
	58040	Clinical forensic medicine, Sudden death, etc.
-		Fundamental of nursing-related
	58050	Fundamental of nursing, Nursing education, Nursing administration, etc.
	38030	a manner and an analysis and a
-		Clinical nursing-related
	58060	Critical care and emergency nursing, Perioperative nursing, Nursing of chronic illness, Oncology nursing,
		Psychiatric nursing, Palliative care nursing, etc.
		Lifelong developmental nursing-related
	58070	Women's health nursing, Maternal nursing, Midwifery, Family health nursing, Child health nursing,
		School nursing, etc.
		Gerontological nursing and community health nursing-related
	58080	Gerontological nursing, Community health nursing, Public health nursing, Disaster nursing, etc.
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Basic Section	Examples of related research content
	Rehabilitation science-related
59010	Rehabilitation medicine, Rehabilitation nursing, Rehabilitation medical care, Physicotherapeutics, Occupational therapy, Assistive technology, Speech and language therapy, etc.
	Sports sciences-related
59020	Sports physiology, Sports biochemistry, Sports medicine, Sports sociology, Sports management, Sports psychology, Sports education, Training science, Sports biomechanics, Adapted sports science, Doping, e
	Physical education, and physical and health education-related
59030	Growth developmental science, Physical and health education, Physical education in school, Educational physiology, Physical systems science, Higher brain function science, Martial arts theory, Outdoor education, etc.
	Nutrition science and health science-related
59040	Nutritional physiology, Nutritional biochemistry, Nutritional education, Clinical nutrition, Functional food, Lifestyle-related disease, Health promotion, Aging, etc.
m-sized Sec	tion 90: Biomedical engineering and related fields
Basic Section	Examples of related research content
	Biomedical engineering-related
90110	Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.
	Biomaterials-related
90120	Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.
	Medical systems-related
90130	Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems, Minimally invasive treatment systems, Remote diagnosis and treatment systems, Organ preservation systems, Medical information systems, Computer-assisted surgery, Medical robot, etc.
	Medical technology assessment-related
	Regulatory science, Safety evaluation, Clinical study, Medical technology ethics, Medical devices, etc.
90140	
90140	Medical assistive technology-related

#### Broad

Medium-sized Section 60: Information science, computer engineering, and related fields

Basic Section	Examples of related research content
	Theory of informatics-related
60010	Discrete structure, Mathematical logic, Theory of computation, Mathematical theory of programs, Computational complexity theory, Algorithm theory, Information theory, Coding theory, Theory of cryptography, Learning theory, etc.
	Mathematical informatics-related
60020	Optimization theory, Mathematical systems theory, System control theory, System analysis, System methodology, System modeling, System simulation, Combinatorial optimization, Queueing theory, Mathematical finance, etc.

	Statistical science-related
60030	Statistics, Data science, Modeling, Statistical inference, Multivariate analysis, Time series analysis, Statistical quality control, Applied statistics, etc.
	Computer system-related
60040	Computer architecture, Circuit and system, LSI design, LSI testing, Reconfigurable system, Dependable architecture, Low power technology, Hardware/software codesign, Embedded system, etc.
	Software-related
60050	Programming language, Programming methodology, Operating system, Parallel and distributed computing, Software engineering, Virtualization technology, Cloud computing, Software dependability, Software security, etc.
	Information network-related
60060	Network architecture, Network protocol, Internet, Mobile network, Pervasive computing, Sensor network, IoT, Traffic engineering, Network management, Service platform technology, etc.
	Information security-related
60070	Cryptography, Tamper resistance technology, Authentication, Biometrics, Access control, Malware countermeasure, Countermeasures against denial-of-service attacks, Privacy protection, Digital forensics, Security evaluation and authorization, etc.
	Database-related
60080	Data model, Database system, Multimedia database, Information retrieval, Content management, Metadata, Big data, Geographic information system, etc.
	High performance computing-related
60090	Parallel processing, Distributed processing, Cloud computing, Numerical analysis, Visualization, Computer graphics, High performance computing application, etc.
	Computational science-related
60100	Mathematical engineering, Computational mechanics, Numerical simulation, Multi-scale modeling, Large-scale computing, Massively parallel computing, Numerical computing methods, Advanced algorithms, etc.

#### Medium-sized Section 61: Human informatics and related fields

Basic Section	Examples of related research content	
	Perceptual information processing-related	
61010	Pattern recognition, Image processing, Computer vision, Visual media processing, Acoustic media processing, Media editing, Media database, Sensing, Sensor fusion, etc.	
	Human interface and interaction-related	
61020	Human interface, Multi-modal interface, Human-computer interaction, Computer supported cooperative work, Virtual reality, Augmented reality, Realistic communication, Wearable device, Usability, Ergonomics, etc.	
	Intelligent informatics-related	
61030	Search, Inference, Machine learning, Knowledge acquisition, Intelligent system, Intelligent information processing, Natural language processing, Data mining, Ontology, Agent system, etc.	
	Soft computing-related	
61040	Neural network, Evolutionary computation, Fuzzy theory, Chaos, Complex systems, Probabilistic information processing, etc.	
	Intelligent robotics-related	
61050	Intelligent robot, Behavior and environment recognition, Planning, Sensory behavior system, Autonomous system, Digital human, Real world information processing, Physical agents, Intelligent space, etc.	
	Kansei informatics-related	
61060	Kansei design, Kansei cognitive science, Kansei psychology, Kansei robotics, Kansei measurement evaluation, Kansei interface, Kansei physiology, Kansei material science, Kansei pedagogy, Kansei brain science, etc.	
	Design-related Design-related	
90010	Information design, Environmental design, Industrial design, Spatial design, Design history, Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.	

<u>.</u>			Cognitive science-related			
ion		0020	Cognitive science in general, Cognitive models, Kansei, Human factors, Cognitive and brain science,			
(Broad Section J)	9	90030	Comparative cognition, Cognitive linguistics, Cognitive engineering, etc.			
(Broa	Medium-siz	zed Sect	ion 62: Applied informatics and related fields			
		Basic ection	Examples of related research content			
			Life, health and medical informatics-related			
	6	52010	Bioinformatics, Life informatics, Biological information, Neuroinformatics, Neural information processing, Molecular computing, DNA computing, Medical information, Health information, Medical image, etc.			
			Web informatics and service informatics-related			
	6	52020	Web system, Social web, Semantic web, Web mining, Social network analysis, Service engineering, Educational service, Medical service, Welfare service, Social service, Information culture, etc.			
			Learning support system-related			
	6	52030	Media literacy, Learning media, Social media, Learning content, Learning management, Learning support, Remote learning, e-Learning, etc.			
			Entertainment and game informatics-related			
	6	52040	Music information processing, 3D content, Animation, Game programming, Network entertainment, Media art, Digital museum, Experience design, etc.			
			Library and information science, humanistic and social informatics-related			
	9	90020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, etc.			
Broad S	Section K					
Г						
]	Medium-siz	zed Sect	ion 63: Environmental analyses and evaluation and related fields			
		Basic ection	Examples of related research content			
			Environmental dynamic analysis-related			
	6	53010	Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc.			
			Radiation influence-related			
	6	53020	Radiation, Measurement, Control, Repair, Biological effects, Risk, etc.			
			Chemical substance influence on environment-related Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc.			
	6	53030	Toxicology, Toxic substance to numan, Trace chemical substance, Endocrine disruptor, Repair, etc.			
			Environmental impact assessment-related			
	6	63040	Atmosphere, Hydrosphere, Terrestrial impact, Impact assessment on human health, Social and economic impacts, Impact assessment on the future generation, Environmental impact assessment, Assessment methods, Monitoring,			
			Simulation, etc.			
1	Medium-siz	zed Sect	ion 64: Environmental conservation measure and related fields			
		Basic ection	Examples of related research content			
			Environmental load and risk assessment-related			
	6	54010	Environmental analysis, Environmental load analysis, Environmental monitoring, Dynamics of environmental pollution, Environmental modelling, Evaluation of contamination, Exposure assessment, Toxicity evaluation, Environmental assessment, Chemical substance management, etc.			

(Broad Section K)			Environmental load reduction and remediation-related
		(4020	Removal of contamination, Treatment of waste material, Control of contamination source, Disposal of waste material,
		64020	Environmental load reduction, Remediation measure of contamination, Noise and vibration reduction,
ad S			Countermeasure of ground settlement, Bioremediation, Radioactive decontamination, etc.
(Brc			Environmental materials and recycle technology-related
		64030	Recycle materials, Valuable materials recovery, Separation, refining and purification, Environment-conscious design, Recycle chemistry, Green production, Zero emission, Resource circulation, Renewable energy, Biomass utilization, etc.
		64040	Social-ecological systems-related
			Biodiversity, Conservation biology, Ecosystem services, Natural capital, Impact analysis on ecosystem,
			Ecosystem management, Ecosystem restoration, Ecological engineering, Regional environmental planning, Impact of climate change, etc.
		64050	Sound material-cycle social systems-related
			Sound material-cycle systems, Material and energy budget analysis, Low carbon society, Unused energy,
			Regional revitalization, Water use system, Industrial symbiosis, Life cycle assessment (LCA),
			Integrated environmental management, 3R (reduction, reuse, recycle) social systems, etc.
		64060	Environmental policy and social systems-related
			Environmental philosophy and ethics, Environmental laws, Environmental economics, Environmental information,
			Environmental education, Environmental social activities, Environmental management and governance,
			Consensus forming, Environmental safety and security, Social and public system, Sustainable development, etc.

### Attached Table 3 Generative Research Fields

This table applies only to the application section "Generative Research Fields" within the categories "Scientific Research (B)" and "Scientific Research (C)".

The period for which proposals are solicited for these areas is fixed as 3 years, beginning with the first fiscal year when the areas is established. In the first fiscal year of solicitation, the research period for which application proposals can be made is from 3 to 5 years, in the second fiscal year from 3 to 4 years, and in the third fiscal year 3 years.

### OAreas Designated for FY2019 Recruitment

Area	Detail	Area Number	Proposal Solicitation
Orality and Society	Orality is a concept typically contrasted with literacy. Whereas literacy refers to the culture of letters and the world of written language, orality means the culture of the voice and the world of spoken language. This research field is, however, not limited narrowly to the spoken language, but looks for a more extended concept of orality, that is, social relations characterized by co-presence.  Face-to-face relationships such as parenting, nursing and clinical care, and casual or intimate conversations are examples of co-presence. Even though oral communication is the core, an important role is played by physical interactions that cannot be reduced to conversation alone. Orality further encompasses the ability to understand the other person's feelings from voice, facial expression, gestures, and narrative, to suggest that he or she is looked after, and to sustain co-presence. Accordingly, orality goes beyond conversation and other vocal communication and oral culture, but with the implication of co-presence and empathic abilities, to include gestures, physical expressions, sign language, emotions and affect, care, narrative therapy, life story, oral history, performance art, collective memory, and other such elements. Nor is it limited to human beings, as it includes also the vocal and physical communications of animals and the relationships between human beings and animals.  Today, with the advance of media technologies such as SNS and mobile phones, the nature of co-presence having orality as its core is undergoing major changes. Our social activities have expanded greatly in scope and become more convenient. Attention is further being directed to progress in modern technologies for assisting with research on orality as co-presence (voice and image sensing and analysis technologies, SNS recording, content analysis, mining, etc.) and technologies applying such research results (nursing care robots, machine translation, elearning, multimodal conversation, etc.).  There are at the same time arguments that the	N010	FY2017 — FY2019

Area	Detail	Area Number	Proposal Solicitation
Agricultural Resources for the Next Generation	Primary industry used to refer to economic activities based on sustainable use of abundant local natural and agricultural resources. More recently, however, due to market mechanisms that promote myopic pursuit of productivity and profitability, the concentration of resources in specific sectors and uniformity of resource-using industries have economically impoverished primary industries as a whole and substantially diminished the sustainability of local communities.  For example, while humans in the past cultivated thousands of plant varieties for food, the pursuit of economic rationality for increasing food production and overcoming starvation have led to a decline in the number of varieties of farm products and a loss of biodiversity. Similar trends can be seen in all kinds of agricultural resources in the forestry, fishery, and livestock industries. The loss of biodiversity and the trend toward uniform use of agricultural resources (the trend to monoculture) have resulted in deterioration of the soil, reduction of productivity in agricultural lands, and an increase in the risk of disaster.  Moreover, increasing urban populations and policies favoring convenience, combined with the loss of diversity in agricultural resource utilization, have led to the decline of self-sustained and diverse local communities. To create a sustainable society, in addition to a reconsideration of economic policies, research will need to be conducted from a long-term perspective, based on science, on the prospects for new uses of agricultural resources for the next generation.  Aiming to create a sustainable society, this generative research field seeks to develop a field that comprehensively promotes research addressing a range of topics including (a) restoring diversity of agricultural resource use; (b) how restoration of biodiversity will affect long-term reduction in negative environmental impacts, including reducing the risk of disaster; (c) enhancing the functionality of agricultural resources and promoting technical innovat	N011	FY2017 — FY2019
The Information Society and Trust	With the rapid evolution of the information and communication fields, huge amounts of sensing information are being generated on networks of computers, sensors and other devices and are being stored as Big Data in cyber space, typically in cloud storage. As this information is flexibly utilized for combining people and things in real space, new services are being created that contribute to people's daily life, socio-economic activity, education and research activity, and administrative activity, moving us toward a new information society in which large numbers of people use these services as social infrastructure.  To ensure sound advancement of the information society, it will be important to ensure trust without hindering the ubiquity of information and communication. Since long ago, connections between people have grown into organizations, markets, and society, and trust has been built on the foundation of personal relationships. That alone, however, is not sufficient for building trust in a society extending over networks of unseen faces, where various social problems have arisen concerning security and privacy. It is not easy to achieve trust in the information society, where multi-stakeholders exist. In many cases the preconditions for trust are not clearly defined among the people, organizations, services, systems and other constituent elements, namely, who (or what) is to trust what to what extent. Seen from individual elements or in the aggregate, often it is not clear how trust is realized. Objective measures and methods for evaluation of trust, as well as methods for properly designing and realizing trust based on a variety of constraints, have not been established, nor have methods for strengthening society's efforts to ensure trustworthiness of the target services and systems.  In many different fields of society, including manufacturing, farming, commerce, finance, logistics, transportation, tourism, social services, healthcare, education, disaster prevention, energy conservation, and environmenta	N012	

### Attached Table 4 Generative Research Fields Review Division

This table applies only to the "Challenging Research (Pioneering/Exploratory)".

### ODivisions Designated for FY2019 Recruitment

Division	Detail		Proposal Solicitation
A New Phase of Our Advanced Science and Technology Society	While the advance of science and technology has brought numerous benefits to humankind, it has also given rise to such problems as ecosystem destruction and pollution. Initially it was believed that these problems arose from the basic framework of society and could be overcome or avoided in the future. Today, however, astonishing advances in science and technology are opening the path toward processes that modify human beings themselves, throwing into question the standing of humans as rational beings in control of science and technology. Thus, as the basic framework of society itself becomes unsteady, we can conclude that our advanced science and technology society is entering a new phase.  The elucidation of brain mechanisms, for example, along with its practical applications, will induce us to reconsider our notions of human autonomy and dignity, conceptual skills and creativity which are related to scholarly pursuits, and the meaning of societal concepts such as responsibility, justice, and fairness, and economic and political behavior. The development of reproductive techniques has contributed greatly to infertility treatment, but the possibility of pre-birth diagnosis, birth without parent-child relationship, genome editing, designer babies and the like has changed our concept of family and love, marriage, and gender, calling on us to re-examine the societal and legal systems involved in these matters.  Transformations in human understanding and society brought about by advanced science and technology are observed in various areas. Artificial intelligence, which is starting to become a reality in daily life and the workplace, is expected not only to alter the quality of labor and the work environment but to lead to major structural changes in the social hierarchy. Information and communications technologies are changing existing media structures, thereby affecting the nature of economy, politics, society, and nations. Further, the impact of advanced science and technology is spreading to literature, the a	Division Number	Proposal Solicitation
Studies on the Super-Aging Society	problems of the new phase being entered by our advanced science and technology society.  Japan, with 26.7 percent of its population aged 65 or above as of 2015, is rapidly becoming a super-aging society. Among the factors contributing to the emergence of the super-aging society in Japan are high educational levels, provision of public sanitation, good nutrition, and wide availability of effective measures for treating diseases. The declining birth rate is another reason for the rapid increase in the percentage of the elderly in the population. The ways each individual lives in this new kind of society are many and varied, and to grasp the full picture is difficult.  In Japan today, the gap between "healthy life span"—the time in which individuals can self-dependently live in society—and actual life span—the time until the end of life—is around ten years. One factor behind this gap is the state of medical care in Japan, which fully utilizes the most advanced medical techniques produced by modern life sciences and applied beyond treatments, endeavoring to avoid the extinction of life to the greatest extent possible. The super-aging society raises new questions concerning the human dignity of each individual, such as how to cope with the increase in dementia patients, the propriety of life-extension treatments with no endpoint, and death with dignity. Not only the mental, physical, and economic burden on the elderly themselves and the families caring for them, but also the burden on the whole society are increasing. In the United States, the "Choosing Wisely" campaign has been initiated, with the aim of withholding excessive medical treatment with thin evidence of its worth. Research is applying science and thanatology to comprehensively study appropriate measures for selecting optimal treatments, by predicting from various aspects how well a person can continue life.  The World Health Organization defines health as including not only physical well-being but also mental and social well-being. In reality, a healthy	CN02	FY2018 — FY2020

#### 4. Completion of Research Ethics Education Course and others

Principal Investigators and Co-Investigators taking part in a research funded by the KAKENHI, are requested to have completed properly the following procedures including research ethics, by the time they submit the formal application for grant delivery of a newly adopted research project in the FY2019 Grants-in-Aid for Scientific Research, and <u>upon the formal application for a grant delivery</u>, it shall be confirmed through the electronic application system whether they will have taken the research ethics education coursework, etc.

If a PI or Co-I completed the research ethics related procedures in the past, or has moved from the research institute at which he/she completed the procedure, he/she should check with the administrative section of his/her current institution for the validity of the procedure he/she conducted in the past.

#### [Actions to be taken by the Principal Investigator]

- The PI must either read through and learn the teaching materials by oneself concerning the research ethics education coursework such as "For the Sound Development of Science The Attitude of a Conscientious Scientist" published by the Editorial Committee of the JSPS named "For the Sound Development of Science, the "e-Learning Course on Research Ethics [eL CoRE] or "APRIN e-learning program (CITI Japan)", or attend a lecture on research ethics conducted by research institutes based on "Guidelines for Responding to Misconduct in Research (Adopted by the MEXT on August 26, 2014), by the time of the formal application for grant delivery.
- The PI must understand thoroughly and exercise the proper research practices in conducting their research, from amongst the contents of both the statement "Code of Conduct for Scientists -Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" issued by the JSPS, by the time of the formal application for grant delivery.
- From each Co-Investigator-to-be, the PI must
  - ① obtain a consent of participation in the research project as a Co-I through the electronic application system and also a consent expressing "the completion of a seminar attendance or other kinds of coursework relevant to research ethics by the time of the formal application for the grant delivery of the research project in question", by the time of submitting (sending) the Research Proposal Document to the research institution which the PI belongs to, and;
  - ② ascertain that the Co-I has actually completed the coursework such as an attendance at the lecture on research ethics by the time of the formal application for the grant delivery.

#### [Actions to be taken by the Co-Investigator]

• The Co-I must provide the PI with both a consent of the participation in the research project as a Co-Investigator via the electronic application system and a consent expressing "the completion

of a seminar attendance or other kinds of coursework relevant to research ethics by the time of

the formal application for the grant delivery of the research project in question".

• The Co-I must either read through and learn the teaching materials by oneself concerning the

research ethics education coursework such as "For the Sound Development of Science - The

Attitude of a Conscientious Scientist" published by the Editorial Committee of the JSPS named

"For the Sound Development of Science, the "e-Learning Course on Research Ethics [eL CoRE]

or "APRIN e-learning program (CITI Japan)", or attend a lecture on research ethics conducted

by research institutes based on "Guidelines for Responding to Misconduct in Research (Adopted

by the MEXT on August 26, 2014), and report the PI to the effect that he/she has done, by the

time of the formal application for the grant delivery by the PI.

• The Co-I must understand thoroughly and exercise the proper research practices in conducting

their research, from amongst the contents of both the statement "Code of Conduct for Scientists

-Revised Version-" by the Science Council of Japan and the booklet "For the Sound

Development of Science -The Attitude of a Conscientious Scientist-" issued by the JSPS, and

report the PI to the effect that he/she has done, by the time of the formal application for the grant

delivery by the PI.

5. Registration of the Researcher Information in Researchmap

The "Researchmap (https://researchmap.jp/)" is the Japan's largest researcher information

database as a general guide to Japanese researchers. The information on the research

achievements registered in the Researchmap is ready to be openly available over the Internet and

the database itself is linked to the e-Rad, other many university faculty databases and so on, and

also the Japanese Government as a whole is going to further utilize the Researchmap.

Furthermore, since the posted information in the Researchmap and/or the database of the

Grants-in-Aid for Scientific Research (KAKEN) is to be handled as a reference according to the

necessity in the review of the KAKENHI, the registration of the researcher information into the

Researchmap is encouraged. In addition, when doing so, make sure to register the "Researcher

Number" because the posted information is to be searched with the "Researcher Number" when

referring to the posted information in the Researchmap at the review.

< Inquiries >

National Institute of Advanced Industrial Science and Technology

Knowledge base information department service support center (in charge of Researchmap)

Web inquiry form: https://researchmap.jp/public/inquiry/

Telephone: 03-5214-8490

(Open hours: 9:30 - 12:00, 13:00 - 17:00)

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### IV. Instructions for Grant Recipients

# 1. Handling of a research project that is to be continued in FY2019 (hereafter referred to as "continued research project")

For a continued research project, the PI does not need to submit any application form afresh. However, he/she has to prepare and submit the necessary documents, including the form of the formal application for grant delivery, after receiving a notification of the provisional grant decision. It is in principle not permitted to withdraw a continued KAKENHI project in order to apply for a new KAKENHI grant. If the PI intends to make a major plan change for his/her continued research project, the necessary procedures and handling of the case differ for different research categories, as described below.

#### (1) Specially Promoted Research

# 1) A case in which the PI intends to make a major change in the research plan of the continued project

If the applicant intends to make a major change in the research plan of the continued project, he/she needs to submit a revised Research Proposal Document reflecting the intended change. The procedure for submission of the revised Research Proposal Document is the same as for "Preparation of the KAKENHI Application Form (Research Proposal Document)" (see page 45) which the PI should refer to. When preparing the revised Research Proposal Document, the same review section as that at the time of adoption should be selected.

Note that, in this case, the revised Research Proposal Document shall be reviewed afresh. It may happen that the proposed change not be approved. In that case, the provisional grant money to be delivered in FY2019 on will not be delivered.

Here, "major changes" in the research plan in this context include (1) a change in the research objective or a change in the title of the research project, (2) a change in the annual delivery plan of the grant in FY2019 and after (a change by use of the Adjustment Funds is excluded), (3) a change in the overall grant (increase or decrease), or a shortening of the research period, etc. In order to know whether the change the PI intends to make falls under these categories, he/she is advised to contact in advance to the Scientific Research Aid Division II of the Research Program Department via research institution (see "Inquiries" in page 153).

#### (2) Research categories other than Specially Promoted Research

# 1) A case in which the PI intends to make a major change in the research plan of a continued project

Concerning research fields other than Scientific Research (B/C) (application section "Generative Research Field"), if the PI intends to make a major change in the research plan, he/she needs to submit a revised Research Proposal Document. For specifics concerning the application procedure, the PI should refer to the "Preparation of the KAKENHI Application Form (Research

Proposal Document)" (see page 45). In principle, an application asking for a grant increase for continued research project will not be accepted.

It is reminded that changes in the annual plan of grant spending within the framework allowed for the KAKENHI (its Multi-year Fund portion), the fund-based-grant type of KAKENHI (its Partial Multi-year Fund portion) and KAKENHI (its portion based on the Series of Single-year Grants) using the Adjustment Funds; however, it is not allowed for the "major changes" concerned here. Note that, when a revised Research Proposal Document with a major change in the research plan is submitted, it shall be reviewed afresh. It may happen that the proposed change not be approved. In that case, the provisional grant money to be delivered in FY2019 on will not be delivered. Therefore, the PI should consult in advance with the Research Aid Division I of the Research Program Department, in order to know whether the change the PI intends to make falls under these categories (see "Inquiries" in page 153).

## 2) A case in which a continued research project has proceeded beyond expectation, and the original research goal has already been reached

If the PI of the continued project decides that his/her project proceeded beyond expectation and research goal has already been reached, and he/she intends to pursue a new research development (\*) by transferring to another research category, he/she may opt to apply for a new KAKENHI grant, after submitting a "Notice of Completion of Research Project" and a "Statement of Reason" (refer to the supplementary edition "Forms/Procedures for Preparing and Entering a Research Proposal Document") by October 19, 2018 (Friday). (Documents that arrive later will not be accepted.)

Note that, if the content of the "Statement of Reason" is deemed inappropriate by the review panel, the new KAKENHI proposal is excluded from the review. Even in this case, the grant for the continued research project of which the PI has already filed the "Notice of Completion of Research Project" cannot be reclaimed for FY2019 or after.

(\*) Here, the "case in which the PI intends to pursue a new research development by transferring to another research category" refers to such cases as changing over from a continued project in the "Scientific Research (C) (General)" category to a new proposal in the "Scientific Research (B) (General)" category. Changing over to the "Scientific Research on Innovative Areas (Research in a Proposed Research Area)" category is not acceptable.

# 2. Handling of Continued Research Projects Whose PI Fails to Submit the Report on the Research Achievements of his/her Other KAKENHI Project

As is the case for new proposal submissions, no KAKENHI will be delivered to a researcher who fails to submit the Report on the Research Achievements at the end of the research period, without any justifiable reason. In such cases, a cancellation of the official grant decision and an order for refund of the grant may be issued. In addition, the information such as the name of the

research institute of the said researcher may be made public.

Furthermore, if a researcher fails to submit the scheduled Report on the Research Achievements without any justifiable reason, then he/she may be ordered to suspend the spending of his/her other KAKENHI grant(s) for the same fiscal year.

#### 3. Completion of Research Ethics Education Coursework, etc.

The PI should check with the administrative section of his/her institution about the rules concerning the research ethics education coursework, etc.

In case that the PI intends to add a new Co-Investigator to the continued project in FY2019, the PI has to obtain a consent to become a Co-Investigator from the researcher via the electronic application system in advance.

In this case, the Co-Investigator-to-be has to complete the followings prior to the formal application for grant delivery and report to the PI what he/she has done. (Or, in case the grant has been already delivered, he/she has to do the followings by the time the "application for approval of change of the Co-Investigator" is submitted by the PI to JSPS).

- Either to read through and learn the teaching materials by oneself concerning the research ethics education coursework such as "For the Sound Development of Science The Attitude of a Conscientious Scientist" published by the Editorial Committee of the JSPS named "For the Sound Development of Science, the "e-Learning Course on Research Ethics [eL CoRE] or "APRIN e-learning program (CITI Japan)", or to attend a lecture on research ethics conducted by research institutes based on "Guidelines for Responding to Misconduct in Research (Adopted by the MEXT on August 26, 2014)
- To understand thoroughly and to exercise the proper research practices in conducting their research, from amongst the contents of both the statement "Code of Conduct for Scientists -Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" issued by the JSPS

#### V. Instructions for Administrative Staff of Research Institution

#### 1. Sharing the Purpose and Aim of the KAKENHI System

The KAKENHI provides a financial support for the creative and pioneering researches based on the original ideas of researchers.

Review of the submitted research proposals is conducted by the peer review process, in which researchers selected from their own community engage themselves in the assessment and reviewing of each research proposals on the basis of its scientific merit. The KAKENHI review process is based on the cooperation of more than 7,000 reviewers every year.

While the KAKENHI review process has been continually improved by, for instance, the introduction of new review methods from the FY2018 grant, the growing needs of KAKENHI have resulted in the number of new applications exceeding one hundred thousand in recent years. The workload on the researchers who are cooperating as reviewers is getting heavier along with the increase in the applicant number. Pressing concern is that if the burden on the reviewers keeps increasing to be excessive, it may seriously affect the reviewers' own research and educational activities, and may also result in deterioration of the quality of the review process. One of the possible factors for the recent increase in the application number may be attributed to the fact that some of research institutions seem to set the KAKENHI application as one of their organizational activity indicators. Application for the KAKENHI grant per se should be made on the basis of the initiative of the researchers. Therefore, such action on the part of research institutions as to set quota to the constituent researchers is undesirable.

All research institutions are requested to share and disseminate within themselves the primary purpose and aim of the KAKENHI system afresh.

#### 2. Issues to Be Completed Beforehand by the "Research Institution"

### (1) Requirements as a "Research Institution" and Procedures for Designation and Change

In order to apply for the KAKENHI, a researcher needs to belong to a "Research Institution".

Concerning the "Research Institution" cited here, the following four types of "Research Institution" have been designated as eligible in Article 2 of the Rules for the Handling of Grants-in-Aid for Scientific Research announced by the Ministry of Education, Culture, Sports, Science, and Technology (MEXT).

- 1) Universities and inter-university research institutions
- 2) MEXT facilities and other institutions engaged in scientific research
- 3) Technical colleges
- 4) Institutions designated by the MEXT (see Note as below)

#### Note:

In order to become a research institution, institutions not falling under 1) to 3) first need to receive the designation by the MEXT. Therefore, institutions should consult with the Scientific Research Aid Division of the Research Promotion Bureau of the MEXT.

Moreover, if changes in one of the following items have been scheduled, institutions that have received the designation by the MEXT and already have been recognized as a research institution should promptly report the content of these changes to the Scientific Research Aid Division of the Research Promotion Bureau of the MEXT.

- A) Abolition or dissolution of the research institution
- B) Name and address of the research institution, and name of the representative
- C) Matters concerning laws, regulations, endowment acts, and other rules that prescribe the purpose of establishment, the business content, and the internal organization of the research institution

Moreover, researchers who belong to such institutions should consider that, in order to conduct research activities using the KAKENHI, the research institution should meet the requirements mentioned below.

#### < Requirements >

- 1) The research institution must authorize the research project for which the KAKENHI is granted, as its proper activity.
- 2) The research institution must take responsibility for management and accounting of the KAKENHI delivered to its researcher staffs.

#### (2) Ascertainment of the Eligibility to Apply of the Affiliated Researcher

Researchers who try to apply for KAKENHI should meet the requirements A) and B) below. Therefore, they should sufficiently verify these requirements with the research institution.

If JSPS Research Fellows (SPD, PD, or RPD) meet the following application requirements at their research institutions which they register as their host research institutions, they can also apply only from the host research institutions for below research categories other than the "Grant-in-Aid for JSPS Fellows (JSPS Research Fellow)". (Cf. "Table of Restriction on Parallel Grant Application/Receipt".) In this case, the research institution should operate in a way that it recognizes applications where the research period exceeds the period of JSPS support.

- 1) Publicly Offered Research within Grant-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area)
- 2) Grant-in-Aid for Scientific Research (B/C)
- 3) Grant-in-Aid for Challenging Research (Exploratory)
- 4) Grant-in-Aid for Early-Career Scientists
- 5) Fund for the Promotion of Joint International Research (Fostering Joint International Research (A)) (\*)
- (\*) The researchers who meet the application requirements for the Fund for the Promotion of Joint International Research (Fostering Joint International Research (A)) are eligible for application. The details are to be confirmed separately through the booklet of the Application Procedures for this research category.

Moreover, research institutions should bear in mind that JSPS Research Fellows (DC) and

Overseas JSPS Fellows, graduate students or other students cannot apply, even if they hold a position in which they conduct research activities in the research institution to which they belong or in another research institution.

# Researchers who try to apply for KAKENHI should meet following Eligibility to Apply. (see page 27)

① At the time of the proposal submission, a researcher needs to have been approved by his/her research institution as an eligible researcher who meets the Requirements 1), 2) and 3) stated below, and have his/her Researcher Information properly registered in the e-Rad system as eligible for KAKENHI application.

#### < Requirements >

- 1) The applicant must be an individual belonging to a research institution with job assignment including research activity within the said institution. (Whether the job is paid/unpaid, or full-time/part-time is irrelevant. It is not a prerequisite of eligibility that the research activity constitutes the main part of his/her job.)
- 2) The applicant must be actually engaged in research activity in his/her research institution. (Those who are only engaged in research assisting jobs are ineligible.)
- 3) The applicant must not be a graduate student or any other categories of student. (An individual who has a position in a research institution with research activity as his/her main job (e.g., university teaching staff, researcher belonging to a company, etc.), and holds a student status at the same time is ineligible.)
- ② The individual must not be categorized as ineligible for grant acquisition in FY2019, as a penalty for his/her improper grant spending, fraudulent grant acquisition, or research misconduct.

KAKENHI employee is generally bound by their employment contract to concentrate on the research work relevant to the employment-related work specified in his/her employment contracts. Therefore, such a KAKENHI employee cannot apply for his/her own KAKENHI project which is to be conducted within the working hours of his/her employment.

However, provided that he/she can clearly demarcate his/her own research hours from the working hours of employment and intends to conduct his/her own research project during the working hours on his/her own initiative, the KAKENHI employee can submit his/her own KAKENHI proposal, on the condition that the following points are verified by his/her research institution. In this case, he/she can apply as PI, or participate to other KAKENHI project(s) as Co-I.

- The KAKENHI employee is granted on his/her employment contract, to conduct research on his/her own initiative, besides the employment-related work.
- The employment-related work and the work devoted to the research on his/her own initiative are clearly demarcated in regard to the working hours and the effort.
- The KAKENHI employee is able to secure enough research hours (besides the working

hours for his/her employment-related work) to be allotted to his/her own KAKENHI project.

#### (3) Confirmation of the Researcher Information Registered in the e-Rad System

In addition to the Principal Investigator who tries to apply, the Co-Investigator who makes up the Project Members should be limited to whom the researcher information has been registered in e-Rad as "Eligible to Apply for KAKENHI" when research institution submits (sends) the Research Proposal Document to JSPS.

Regarding the registration (update) of the researcher information necessary when applying, the administrative staff in the research institution to which the researcher belongs should perform the procedures using e-Rad. (if there is any item, such as the institution, the position, or others, that needs to be corrected, even though he or she has already been included in the researcher list of the research institution, the applicant needs to register the correct information on the researcher list.)

For specifics on the method of registration, the research institution should verify the "Manual for Research Institutions to which the Researchers belong (for Research Institution Office Representatives and for Research Institution Office Workers)".

Moreover, concerning the registration of the researcher information in e-Rad, there is no registration period (deadline). Therefore, registration is possible at any time.

However, since Research Proposal Document will not be accepted after the deadline for submission of Research Proposal Document, applicants should complete the registration (update) of the researcher information early, in order to have sufficient time to submit them.

In order not to negatively affect the compilation of the applications within the research institution, when completing the applications, the research institution should perform the various procedures (including the procedures within the research institution), positioning this specific procedure as one of the important procedures to be performed by the research institution.

### \* On the entry of "Date of Ph.D. Acquisition" in the e-Rad system for those applying for the "Early-Career Scientists" category

From the FY2018 Call for Proposals, the eligibility for application to the "Early-Career Scientists" category, the application requirements is based on "the number of years after acquiring Ph.D." (See page 24). The verification of the eligibility of an applicant will be made by the registered information of the "Date of Ph.D. Acquisition" in the e-Rad system.

For the verification of eligibility for the Early-Carrier Scientist" category, the applicant should select one of the four classifications for application eligibility given below, when he/she prepares a research proposal document on the KAKENHI Electronic Application System.

- (1) An applicant who is less than 8 years after the acquisition of his/her Ph.D. as of April 1, 2019. (A researcher who acquired Ph.D. between April 2, 2011 and the time of proposal submission)
- (2) An applicant who does not carry a degree at the time of proposal submission, but is in prospect of acquire Ph.D. by April 1, 2019, *and* is over 40 years of age as of April 1, 2019.
- (3) An applicant who is deemed less than 8 years after acquisition of his/her Ph.D. as of April 1, 2019 by exempting (\*) the period(s) of childcare leave etc. (prenatal/postpartum break, childcare leave).
  - (\*) Calculate the sum total of the leave periods, round up the total period to the fiscal year unit and then subtract it from the number of years after Ph.D. acquisition

(Example: If the applicant has taken 6-month childcare leave three times, the fiscal years to be subtracted will be 2 (1 year and 6 months  $\rightarrow$  2 fiscal years))

(4) < A transitional measure: approximately for 3 years on and after the call for FY2018> An applicant who does not carry a degree, and is 39 years of age or under, as of April 1, 2019.

An applicant with the eligibility in the classification (1) or (3) must register the "Date of Ph.D. Acquisition" in the e-Rad system at the time of proposal submission. Since the registration to the e-Rad system cannot be made by the applicant him/herself, the applicant should contact the administrative section of his/her institution and secure the registration of the Date of Ph.D. Acquisition in the e-Rad system in time for the proposal submission.

For details on registration to the e-Rad system and the eligibility for the "Early Career Scientists" category, refer to "Regarding the registration work to the Cross-ministerial Research and Development Management System (e-Rad) in connection with the change of the application requirements of Grants-in-Aid for Scientific Research (Early-Career Scientists)" on July 6, 2017.

URL: http://www.mext.go.jp/a menu/shinkou/hojyo/1362786.htm

#### (Reference) On "Grant-in-Aid for Research Activity Start-up"

The "Grant-in-Aid for Research Activity Start-up" is aimed at supporting researchers who are not able to apply for this round of call for proposals, such as those who are newly obtaining research position, and those who are returning from their leave of absence for childcare etc. after the regular submission deadline.

The FY2019 call for Proposals in this category is scheduled for March 2019, and the provisional conditions of the eligibility for application are as follows:

- ① An individual who could not submit a KAKENHI proposal, because he/she obtained the eligibility for KAKENHI application only after the application deadline (November 7, 2018) to the research categories (\*) of which the Call for Proposals is announced in September 2018 by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and by the Japan Society for the Promotion of Science (JSPS).
- ② An individual who could not submit a KAKENHI proposal to the research categories (\*) for which the call for proposals is announced in September 2018 by MEXT and JSPS, because he/she was on a leave of absence for childcare etc. in FY2018.

(For the details, the Application Procedures for the "Grant-in-Aid for Research Activity Start-up" to be announced in March 2019 should be referred to.)

Since the registration to the e-Rad system is handled by the research institution, researchers who may come to fall under the category ① above, should act accordingly by contacting the administrative section of his/her prospective research institution.

(\*) Here, the relevant research categories are "Scientific Research on Innovative Areas", "Specially Promoted Research", "Scientific Research", "Challenging Research" and "Early-Career Scientists" among the Grants-in-Aid for Scientific Research for FY2019.

(Note) JSPS Research Fellows (SPD, PD, or RPD) are not eligible for application to the "Grant-in-Aid for Research Activity Start-up", even if they satisfy the above application conditions.

### (4) Obtainment of an ID and a Password for the Researcher Belonging to the Research Institution

In order to apply for KAKENHI, researchers should perform the procedures, by accessing the "Electronic Application System", he/she should retain the ID and the Password for e-Rad.

For this reason, the research institution should verify whether researchers who are scheduling to apply have an ID and a Password, or not.

In the case where there is a researcher who has scheduled to apply and who has neither ID nor Password, the research institution should provide him or her with an ID or password in accordance with the following procedure.

1) In order to provide the researcher with an ID and a Password, the research institution needs to have an ID and a Password for use of the research institution. If the research institution has not yet obtained them, it should first of all download a registration form from the e-Rad Portal site,

conduct a registration application in writing.

It takes approximately two weeks for the "ID and Password for use of the research institution" to arrive after registration application the "Application for Use of the Electronic Application System".

#### Notes:

- \*1: Please refer to "the How to Apply for the Registration on Research Institutions." (URL: <a href="https://www.e-rad.go.jp/organ/entry.html">https://www.e-rad.go.jp/organ/entry.html</a>) on the e-Rad website for information on downloading the ID and password for e-Rad.
- \*2: Research institutions that already obtained an ID and a password for e-Rad issued do not need to obtain it again.
- \*3: It is not necessary to obtain an ID and a password for e-Rad for each research category of the KAKENHI.
- 2) After obtaining an ID and a Password for use of the research institution, the administrative staff in the research institution should provide this ID and password to the researcher who is planning to apply as a Principal Investigator. The ID and password for each researcher is issued through registration of the researcher information in e-Rad. Please refer to the "Manual for Research Institutions to which the Researchers Belong" (the section on the Procedures for Researchers; for Research Institution Office Representatives and for Research Institution Office Workers) for information on the concrete way how to provide them.

#### Notes:

- \*1: When providing the login ID and password, research institutions must make it known to researchers that they must strictly protect the login ID and password in order to prevent them from being disclosed to others.
- \*2: Once the ID and the password for the researcher have been provided they can be used, even if the research institution changes.
- \*3: Please be sure to obtain and use the latest version of the Operation Manual.
- (5) Submission of the "Self-Assessment Checklist on the Improvement of the System" based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)"

Because research institutions submitting KAKENHI applications must comply with the content of the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)" (Revised on February 18, 2014) (hereinafter called "Guidelines"), they must set up a system of the management and audit for implementing the public research funds and report the state of implementation and other matters.

Therefore, "those research institutions which Principal Investigators and Co-Investigators applying for KAKENHI in 2019 belong to" and "those research institutions which Principal Investigators and Co-Investigators of the continued research projects using KAKENHI are scheduled to belong to in FY2019" must submit a "Self-Assessment Checklist on the

Improvement of the System and Other Matters" based on the Guidelines to the Office of Research Funding Administration of the Promotion Policy Division of the Research Promotion Bureau of the MEXT by September 28, 2018 (Friday), using e-Rad. Please be advised that, in case the report is not submitted, applications of researchers who belong to the research institution in question in the electronic system will not be considered. (Even if the "Self-Assessment Checklist on the Improvement of the System and Other Matters" based on the "Guidelines on public research expenses" or the "Checklist Pertaining to the Current Status" based on "Guidelines for Responding to Misconduct in Research" (see page 133) has been submitted, it takes approximately 2 weeks for researchers belonging to these research institutions before they are able to apply for KAKENHI.)

If the checklist has already been submitted in April 2018 or later through e-Rad when applying for competitive funding or other kinds of funding that is allotted by the MEXT or by independent administrative legal entities under the control of the MEXT, it is not necessary to submit it again. With regard to the checklist submission method, checklist forms and other matters using e-Rad, the research institution should verify the text "Concerning the Form Files 'Self-Assessment Checklist on the Improvement of the System and Other Matters' based on the 'Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)" on the webpage of the MEXT.

(URL: http://www.mext.go.jp/a menu/kansa/houkoku/1324571.htm)

Note: When using e-Rad, ID and Password for the research institution are necessary.

< Inquiries >

(Concerning forms of the guidelines and submission)

Office of Research Funding Administration, Promotion Policy Division, Research Promotion Bureau, the MEXT

e-mail: kenkyuhi@mext.go.jp

URL: http://www.mext.go.jp/a menu/kansa/houkoku/1324571.htm

(Concerning the research institute e-Rad registration)

Helpdesk of the Cross-ministerial Research and Development management system of the MEXT

Telephone: 0570-066-877 (Navi Dial)

(Office hours: 9:00-18:00, except on Saturdays, Sundays, National Holidays and the New

Year Holidays (from December 29 until January 3))

URL: https://www.e-rad.go.jp/shozoku/system/index.html

(Time period when e-Rad is available for use)

(Monday to Sunday) 0:00 - 24:00 (in operation 24 hours a day, 365 days a year)

However, even during the above-mentioned time period, it may happen that the operation of e-Rad is disrupted or suspended, when maintenance and inspection is being carried out. If the operation is scheduled to

## (6) Submission of the "Checklist Pertaining to the Current Status" based on the "Guidelines for Responding to Research Misconduct"

Based on the "Guidelines for Responding to Research Misconduct" (Adopted by the MEXT on 26 August 2014) (hereinafter referred to as Guidelines on Research Misconduct), Research institutes applying for KAKENHI need to establish related provisions.

Furthermore, when applying for KAKENHI, from FY 2017 there is a need to submit a "Checklist Pertaining to the Current Status" based on "Guidelines for Responding to Research Misconduct" (hereinafter referred to as Checklist Pertaining to the Current Status)

Therefore the "research institute to which the Principal Investigator or Co-investigator of a new KAKENHI research project in FY 2019 belong" or the "research institution to which the Principal Investigator or Co-investigator of a research project that will continue to receive KAKENHI in FY 2019 will belong" need to submit the "Checklist Pertaining to the Current Status" to the MEXT Science and Technology Policy Bureau, Knowledge Infrastructure Policy Division, Office for Promotion of Correct Research by September 28, 2018 (Friday) using e-Rad.

Please note that if no submission is made, the applications made by researchers belonging to said research institute cannot be admitted. Even if both the "Checklist Pertaining to the Current Status" based on the "Guidelines on Research Misconduct" and the "Self-Assessment Checklist on the Improvement of the System and Other Matters" based on the "Guidelines on public research expenses" (see page 131) are both submitted, it takes about 2 weeks from submission until researchers can apply for KAKENHI.

Note: Please note that while the "Checklist Pertaining to the Current Status" is similar to the "Self-Assessment Checklist on the Improvement of the System and Other Matters" based on the "Guidelines on public research expenses" in that it uses e-Rad for the submission, the submission destination is different so both checklists must be submitted.

Furthermore, there is no need to resubmit the checklists, if the checklists have been already submitted via e-Rad after the administrative notification from the MEXT on March 23 2018, when applying to competitive funds of the MEXT or independent administrative institutions managed by the MEXT.

For information regarding the method of checklist application using e-Rad or information regarding the format, please check the MEXT homepage: "(administrative notification) Regarding the submission of the "Checklist Pertaining to the Current Status" based on "Guidelines for Responding to Research Misconduct" (Request) March 23, 2018".

URL: <a href="http://www.mext.go.jp/a">http://www.mext.go.jp/a</a> menu/jinzai/fusei/1405816.htm

Note: When using e-Rad, you need an ID and a Password for use of the research institution.

< Inquiries >

(Concerning the format and submission of Guidelines on Fraudulent Acts)

\* Differs from the contact information for public research expenses.

Office for Promotion of Correct Research, Knowledge Infrastructure Policy Division,

Science and Technology Policy Bureau, the MEXT

e-mail: kiban@mext.go.jp

URL: <a href="http://www.mext.go.jp/a">http://www.mext.go.jp/a</a> menu/jinzai/fusei/index.htm

(Concerning the research institute e-Rad registration)

The Helpdesk of the Cross-ministerial Research and Development management system of the MEXT

Telephone: 0570-066-877 (Navi Dial)

(Office hours: 9:00-18:00, except on Saturdays, Sundays, National Holidays and the New Year

Holidays (from December 29 until January 3))
URL: https://www.e-rad.go.jp/organ/entry.html

(Time period when e-Rad is available for use)

(Monday to Sunday) 0:00 - 24:00 (in operation 24 hours a day, 365 days a year)

However, even during the above-mentioned time period, the operation of e-Rad may be disrupted or suspended, when maintenance and inspection is being carried out. If the operation is scheduled to be disrupted or suspended, this will be announced beforehand on the Portal Site.

# (7) Implementation of a Research Ethics Education Course based on the "Guidelines on Research Misconduct", etc.

Principal Investigators and Co-Investigators taking part in a new research project have to complete followings before the formal application for grant delivery.

- Either to read through and learn teaching materials such as a textbook "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" (Editing Committee "For the Sound Development of Science", JSPS), the "e-Learning Course on Research Ethics (eL CoRE)", the "APRIN e-learning program (CITI Japan)", etc., or to attend a lecture on research ethics conducted by research institutes based on the "Guidelines for Responding to Misconduct in Research (issued on August 26, 2014 by the MEXT)"
- To understand thoroughly and to exercise the proper research practices in conducting their research, from amongst the contents of both the statement "Code of Conduct for Scientists -Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" issued by the JSPS

To that end, each research institution is requested to disseminate broadly what the researchers should consider, in conducting of their researches as well as carrying out an Ethics Education in Research Training Session based on the "Guidelines for Responding to Research Misconduct in Research"

#### (8) On the Submission of the Report on the Research Achievements

The research institution to which researchers belong has to collect and submit the report on the research achievements. If the research institution has failed, without good reason, to submit the report on the research achievements at the end of the research period, it may happen that it is treated as indicated below. Therefore, it is the responsibility of the representative of the research institution to ensure that the report on the research achievements is submitted without fail.

• No KAKENHI will be delivered to researchers who do not submit the report on the research achievements at the end of the research period, without good reason. Moreover, it may happen that the official grant decision to the researcher is cancelled, that an order to return the grant is issued, or that the information such as the name of the research institute said researcher belongs to is disclosed in public.

Furthermore, if researchers have failed to submit the scheduled report on the research achievements without justified reason, then execution of other KAKENHI implemented in the same fiscal year will be suspended.

### (9) Obtaining Sufficient Knowledge about the Contents of the Application Procedures

The research institution should beforehand disseminate the contents of the Application Procedures to all the researchers on the campus. JSPS would especially like to request the dispersion of information on the items listed in the Application Procedures and the submission deadlines of Research Proposal Document, in order to avoid potential misunderstandings.

Moreover, the Application Procedures are available on the section Grants-in-Aid for Scientific Research of the JSPS website.

URL: https://www.jsps.go.jp/j-grantsinaid/index.html

# 3. Issues that Need to Be Verified When Compiling the Application Forms (Preparing the Research Proposal Document)

The contents of the Research Proposal Document should be verified in each research institution, and all the Research Proposal Document should be submitted to JSPS together. When doing so, special attention should be paid to the following points.

#### (1) Ascertainment of the Eligibility for KAKENHI Application

It should be verified whether the Principal Investigator and the Co-Investigator(s) listed in the Research Proposal Document are researchers who meet the requirements that are stipulated in the Application Procedures (see page 27), and also whether the researcher information is registered in e-Rad as "Eligible to Apply for KAKENHI".

Moreover, it should be verified certainly that whether the researchers have not been excluded from receiving KAKENHI, due to an improper grant spending of KAKENHI.

### (2) Confirmation of the Researcher Information Registered in the e-Rad System

Regarding the registration (update) of the researcher information necessary when applying, the administrative staff in the research institution to which the researcher belongs should perform the procedures using e-Rad.

Moreover, if there is any item such as the institution, the position, or others that needs to be corrected, the applicant needs to correct information on the researcher list even though applicant has already been included in the researcher list of the research institution.

### (3) Verification with the Principal Investigator

The research institution should verify whether the Principal Investigator and the Co-Investigator(s) who have been listed in the Research Proposal Document have completed the Research Proposal Document, after confirming the description in the column "II. Call for Proposals" in this Application Procedures for Grants-in-Aid for Scientific Research.

### (4) The Process of the Participation of Co-Investigator in Project Members

A research institution should conduct the process such as giving a consent with regard to the researcher of the research institution becoming a Co-Investigator via the electronic application system.

When the information on the Co-Investigator-to-be is presented to the research institution to which the Co-Investigator-to-be belongs via the electronic application system after the researcher who was requested to become a Co-Investigator from the Principal Investigator gave a consent to do so in the electronic application system, then the research institution need to give a consent to do so, etc. as well.

Since the Principal Investigator cannot submit (send) the Research Proposal Document to his/her research institution until the Co-Investigators-to-be' research institution gives a consent to do so, etc., the research institution should proceed with the consent process in time for the deadline of the submission.

\* Please refer to the Kakenhi (Grants-in-Aid for Scientific Research) Electronic Application System Operation Manual (URL: <a href="http://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html">http://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html</a>) for the detail information such as operating environment, operating method, and so on.

### (5) Verification of the Application Forms

It should be verified whether the application format is in conformity with the prescribed format. Moreover, the format and other matters of the application forms for each research category are as follows.

	Research Proposal Document			
Research category Application Section	Items to be entered in the Website (First half)	Forms to be uploaded (File ID)	Items to be entered in the Website (Second half)	
Specially Promoted Research (New Proposal)	To be entered in the electronic application system (Title of research project, Fundamental data on the research project such as total budget, Data on the project members, etc.)	S-1 (1) S-1 (2) S-1 (3) Items to be entered in the Website (Second part) will be inserted between S-1 (2) and (3)		
Specially Promoted Research (Continued)		S-2		
Scientific Research (S) Scientific Research (A) Application Section "General"		S-11 S-12	To be entered in the electronic application system  (Title of research project Fundamental data on the research project such as total budget, Data on the project members, etc.)	
Scientific Research (B) Application Section "General"		S-13		
Application Section "Generative Research Fields"		T-1-1		
Scientific Research (C) Application Section "General"		S-14		
Application Section "Generative Research Fields"		T-1-2		
Challenging Research		S-41-1		
(Pioneering)	_	S-41-2	_	
Challenging Research (Exploratory)		S-42-1 S-42-2		
Early-Career Scientists		S-21		
Continued Research Project (in the case of a major change in the research project)		S-99		

# 4. Submission and Other Matters of the Research Proposal Document (Preparing the Research Proposal Document)

- (1) The research institution should access the "Electronic Application System", using the ID and the password for e-Rad, obtain the information of the Research Proposal Document (PDF files) that the Principal Investigators prepared, and verify their contents and other matters.
- (2) The research institution should perform the "approval" process on all the Research Proposal Document (PDF files) that has no mistakes in their contents. (Completed to submit the Research Proposal Document (PDF files) to JSPS.) Moreover, it is not possible to make corrections or other modifications to the Research Proposal Document (PDF file) for which the research institution has already performed the "approval" process.

The deadline for the submission of the Research Proposal Document is:

November 7, 2018 (Wednesday), 4:30 pm (This deadline should be strictly observed.)

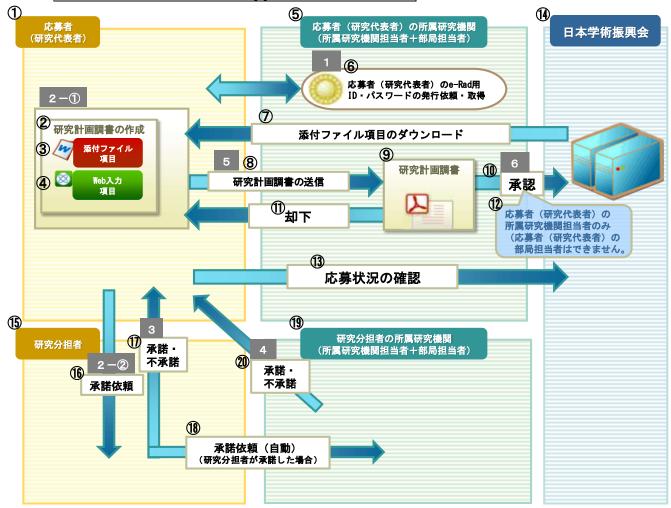
Note 1: Research Proposal Document that is submitted after this deadline will not be accepted for any reason. Therefore, the documents should be submitted well in advance.

Note 2: After the submission of the application documents, it is not possible to make corrections or to re-submit them.

(3) The ID and the password which are used in the e-Rad are designed to verify the individual. Therefore, the handling and administration of them should be done carefully when carrying out the application procedures. Moreover, an outline of the procedures for electronic application can be found below. However, for details on the operating environment, procedure, etc. of the "Electronic Application System", please refer to the "Operation Manual" as shown below.

URL: http://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei ka.html

## **Outline of the Electronic Application Procedures**



- ① Applicant (Principal Investigator)
- 2 Preparation of Research Proposal Document
- 3 Forms to be uploaded
- 4 Items to be entered in the website
- (5) The research institution to which the applicant (Principal Investigator) belongs (Administrative staff in the research institution + Administrative staff in the department)
- 6 Request for issue and acquisition of the applicant's (Principal Investigators') ID and password for e-Rad
- ① Downloading of the forms to be uploaded and the Letter of Intent
- 8 Sending the Research Proposal Document
- Research Proposal Document
- (11) Approval
- 1 Rejection
- ① Only the administrative staff in the research institution to which the applicant (Principal Investigator) belongs (The administrative staff in the department of the applicant (Principal Investigator) cannot make an approval.)
- (13) Confirmation of the state of the application
- 14 JSPS
- (15) Co-Investigator
- (b) Request for consent

- ① Consent/Dissent
- (B) Request automatically for consent (in case the Co-Investigator gave a consent)
- (9) The research institution to which the Co-Investigator belongs (Administrative staff in the research institution + Administrative staff in the department)
- 20 Consent/Dissent

# [The administrative staff in the research institution to which the applicant (Principal Investigator) belongs]

The administrative staff in the research institution to which the applicant belongs issues the ID and the password to the applicant.

### [The applicant (Principal Investigator)]

- 2 (1) The applicant accesses the "Electronic Application System", using the ID and the password he or she received, and prepares the Research Proposal Document (PDF file), by entering the items to be entered in the website and by uploading the forms to be uploaded as an attached file. In addition, the applicant requests the overseas joint researcher to confirm the Letter of Intent and give a signature on it.
- 2 (2) The applicant enters the researcher whom the applicant wants to add to the project members and requests his/her to give a consent to become a Co-Investigator in the "Project Members List" column of the "Application Information Input" screen in the electronic application system.

### [The researcher who is requested to become a Co-Investigator]

The researcher is requested to participate in the project members as a Co-Investigator from the applicant (Principal Investigator) via the electronic application system and then the Co-Investigator selects "Consent" or "Dissent" after confirming the contents of the consent.

Note that in case the Co-Investigator participates in the project members as an "early-career researcher", the researcher also confirms the matter in this process.

#### [The administrative staff in the research institution to which the Co-Investigator belongs]

When the Co-Investigator gave a consent in the electronic application system, the research institution to which the Co-Investigator belongs selects "Consent/Confirm" or "Dissent".

#### [The applicant (Principal Investigator)]

If there are no mistakes in the Research Proposal Document (PDF file) and Letter of Intent the applicant prepared, he or she submits the Research Proposal Document (PDF file) to the research institution to which he or she belongs, by performing the "completed and submission" process. Note that the applicant cannot submit (send) the Research Proposal Document until he/she obtains the consents from all the Co-Investigators and Co-Investigators' research institutions listed in the Project Members List of the Research Proposal Document.

# [The administrative staff in the research institution to which the applicant (Principal Investigator) belongs]

- By approving the Research Proposal Document (PDF file), etc. the administrative staff in the research institution to which the applicant belongs submits (sends) it to JSPS.
  - Moreover, if the Research Proposal Document (PDF file), etc. that the applicant submitted is not approved due to mistakes or other reasons, it will be rejected and the applicant will be requested to make corrections.

### VI. Other Relevant Issues

# 1. Concerning Support through Grant-in-Aid for Scientific Research on Innovative Areas - Platforms for Advanced Technologies and Research Resources

In order to respond effectively to the diverse needs of researchers of KAKENHI research projects, the Grant-in-Aid for Scientific Research on Innovative Areas—Platforms for Advanced Technologies and Research Resources forms a resource and technical support platform for research (hereinafter referred to as Platform) under the close cooperation of relevant institutes with inter-university research institutes and Joint Usage / Research Centers as core institutes. Together with providing technical support towards individual research projects and providing advanced problem solving methods to researchers, it provides an integral promotion of cooperation between researchers, interdisciplinary integration, and human resources development.

Applications for technical support etc. are open for each of the platforms below where it concerns research projects carried out through KAKENHI. Researchers desiring technical support etc. from each of the platforms are requested to check their respective websites etc. and actively apply.

- \* "Technical Support etc." points to the sharing of equipment with researchers from a wide range of research fields, technical support and the collecting, conservation, and providing of resources (documents, data, experiment samples, specimen, etc.), and support for conservation techniques etc.
  - "Advanced Technology Support Platform Program" has scientific value and an advanced nature through the combination of multiple facilities and equipment, and provides shared use of equipment and technical support to researchers in a wide variety of research areas.
  - "Research Platform Resource Support Program" Collects, conserves, and supplies the resources that are the basis of research (documents, data, experiment samples, specimen, etc.) and also conducts support for conservation techniques etc.

Area	Platform Name	Core Institution	Support Function	Estimated Period
orm Program	Platform of Advanced Bioimaging Support (*)	National Institute for Physiological Sciences National Institute for Basic Biology	Advanced technical support and user training for:  Light microscopy Electron microscopy Magnetic resonance imaging Imaging analysis	FY2016 – FY2021
Support Platform Program	Platform of Advanced Animal Model Support(*)	The Institute of Medical Science The University of Tokyo	Support for constructing animal models, Support for pathological analysis, Support for physiological analysis, and Support for molecular profiling	FY2016 – FY2021
Advanced Technology	Platform for Advanced Genome Science (*)	National Institute of Genetics	Advanced genome analysis (de novo genome sequencing; re-sequencing for genome variation detection; analysis of transcriptome, epigenome and metagenome; ultra-high sensitivity analysis for single cells, single molecules, etc.; big-data analysis and advanced bioinformatics; by using of the latest facilities and technologies)	FY2016 – FY2021

Area	Platform Name	Core Institution	Support Function	Estimated Period	
n	Platform for Integration and	National Museum	Digital Picture Library for Area		
rar	Sophistication of Image	of Ethnology	Studies	FY2016 – FY2018	
m ogram	Information on Area Studies	(Note)			
arch Platform Support Prog	Supply Platform of Short-lived	Research Center	Supply short-lived radioisotopes		
	Radioisotopes for Fundamental	for Nuclear	produced by accelerators for	FY2016 – FY2018	
	Research	Physics, Osaka	fundamental research in various		
		University (Note)	scientific fields.		
Research ource Sup	Platform of Supporting Cohort	The Institute of	Support for cohort study using	FY2016 – FY2021	
	Study and Biospecimen	Medical Science	bioresources, Support for maintaining		
ses	Analysis (*)	The University of	and utilizing human brain resources,		
<u> </u>		Tokyo	and Support using biospecimen		

(Note) The estimated periods for the platforms may be extended based on the results of the interim assessment scheduled in the FY2018.

Also, Committee on Promoting Collaboration in Life Sciences that functions as a general information point and coordinator across the 4 platforms marked with an (\*) above is set up. (Core Institution: The Institute of Medical Science, The University of Tokyo)

Each platform's website can be found in the link collection below:

URL: <a href="http://www.mext.go.jp/a">http://www.mext.go.jp/a</a> menu/shinkou/hojyo/1367903.htm

### 2. Concerning the Promotion of the Shared Use of Research Equipment

In "Reform of Competitive Research Funds: Towards a Sustained Output of Research Achievements (Interim Summary)" (June 24, 2015, Competitive Research Fund Reform Review meeting) it was decided that, when the original research objectives were fully achieved, versatile and large equipment should, in principle, be shared.

Furthermore, in "On the Management of Research Organizations and the Introduction of a New, Unified System for the Shared Use of Research Equipment" (November, 2015, Science and Technology Council Advanced Research Foundation Subcommittee), the establishment and operation of a "research equipment sharing system on the research organization level" (hereinafter referred to as equipment sharing system) is demanded of universities and national research and development agencies etc.

With this in mind, when purchasing equipment with competitive research funds, please actively work on the use of equipment purchased with other research funds, and the purchase and shared use of equipment from several research funds where it concerns especially large and versatile equipment. Please also make ensure that sharing is possible within the rules of the said competitive research funds, and no obstacle is made to the execution of the research project.

O"On the Management of Research Organizations and the Introduction of a New, Unified System for the Shared Use of Research Equipment"

(November 25, 2015 Science and Technology Council Advanced Research Foundation Subcommittee)

URL: http://www.mext.go.jp/b menu/shingi/gijyutu/gijyutu17/houkoku/1366220.htm

O"A Reform of Competitive Research Funds: Towards a Sustained Output of Research Achievements (Interim Summary)"

(June 24, 2015 Competitive Research Fund Reform Review meeting)

URL: http://www.mext.go.jp/b menu/shingi/chousa/shinkou/039/gaiyou/1359306.htm

On the unification of usage rules for competitive funds

(Revised version of the March 31, 2015 agreement of the related ministries liaison conference on competitive funds on April 20, 2017)

URL: <a href="http://www8.cao.go.jp/cstp/compefund/shishin3">http://www8.cao.go.jp/cstp/compefund/shishin3</a> siyouruuru.pdf

# 3. On the Promotion of the 'Dialogue on Science and Technology with Citizens' (A Basic Approach Policy)

In "On the Promotion of the 'Dialogue on Science and Technology with Citizens' (A Basic Course of Action)" (Adopted by the Minister of State for Science and Technology Policy and the Experts of the Council for Science and Technology Policy on June 19, 2010) which has been compiled in June 2010, the activity in which researchers explain the content and achievements of their research activities to society and citizens in an easy-to-understand form is placed in the above-mentioned 'Dialogue on Science and Technology with Citizens'. Researchers and other researchers who have received an allotment of public research funds amounting more than 30 million yen per year per case are requested to positively work on the 'Dialogue on Science and Technology with Citizens'. Universities and other research institutions are also requested to make positive efforts in order to ensure the proper implementation of the Dialogue on Science and Technology between Citizens, on the one hand, and researchers and other researchers who have received public research funds, on the other hand, for example, by setting up support systems.

For KAKENHI, there is the question "Are you positively trying to publicize and disseminate the research content and research achievements?", especially in the research progress assessment of Specially Promoted Research, for which researchers receive a relatively high amount of research funds, and the interim assessment of Scientific Research on Innovative Areas (Research in a proposed research area). Therefore, based on the above-mentioned Basic Approach Policy, researchers should disseminate the achievements of research funded with KAKENHI to society and citizens in an even more positive way.

### 4. Cooperation with the National Bioscience Database Center

The National Bioscience Database Center (URL: <a href="https://biosciencedbc.jp/">https://biosciencedbc.jp/</a>) has been established in the Japan Science and Technology Agency (JST, a National Research and Development Agency), in order to promote the integrated use of databases in the area of life science that have been created by various research institutions and other institutions.

This Center spurs the active participation of related institutions, and based on four pillars, namely (1) the planning of strategies, (2) creation and operation of portal websites, (3) research on and development of core technology for the integration of databases and (4) the promotion of the integration of biotechnology-related databases, it is promoting projects aiming at the integration of databases in the area of life science. In this way, through wide sharing and utilization in the researchers

community of the research achievements in the area of life science produced in Japan, the Center aims

at invigorating overall research in the area of life science, including research and development

connected to basic research and industrial applied research.

JSPS would like to request researchers to cooperate by providing to the Center copies of raw data

related to achievements published in research papers and other output in the area of life science, or

copies of created open databases.

Moreover, the copies provided will be able to be utilized on a non-exclusive basis as reproductions,

alterations, or in other necessary forms. Furthermore, JSPS would like researchers to understand in

advance that, in response to requests of the institutions that received copies, it would also like request

researchers to cooperate by providing all the information necessary for utilizing the copies.

Furthermore, the National Bioscience Database Center has developed guidelines for data on humans,

in order to promote the sharing and use of data related to research in the area of life science, with due

considerations to the protection of personal information.

NBDC human data sharing guidelines

URL: https://humandbs.biosciencedbc.jp/guidelines/

< Inquiries >

Japan Science and Technology Agency, National Bioscience Database Center

Telephone: 03-5214-8491

5. On the Inter-University Bio-Backup Project

The purpose of the Inter-University Bio-Backup Project is to "back up" biological genetic resources,

which are indispensable research resources in various research areas, and to avoid damage or loss of

biological genetic resources due to unforeseen accidents, disasters, etc. The project newly commenced

from 2012.

In the National Institute for Basic Biology of the Inter-University Research Institute Corporation

National Institutes of Natural Sciences, which is the core of this project, the IBBP Center

(Inter-University Bio-Backup Project for Basic Biology) (URL: http://www.nibb.ac.jp/ibbp/) has been

established as a backup center for biological genetic resources. It is equipped with the newest

equipment necessary for the backup of biological genetic resources.

Any researcher who belongs to a university or a research institution may apply for storage. Biological

genetic resources that can be stored in IBBP are samples that can be proliferated (amplified) or

cryopreserved (for vegetable seeds, the refrigeration or deep-freezing preservation condition needs to

be definite), and being not pathogenic is also a condition. Since backup is provided free of charge,

researchers should make use of IBBP.

Any researcher who belongs to a university or a research institution may apply for storage. Biological

genetic resources that can be stored in IBBP are samples that can be proliferated (amplified) or

cryopreserved (for vegetable seeds, the refrigeration or deep-freezing preservation condition needs to

be definite), and being not pathogenic is also a condition. Since backup is provided free of charge,

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researchers should make use of IBBP.

< Inquiries >

Inter-University Research Institute Corporation National Institutes of Natural Sciences, IBBP Center,

**Executive Office** 

Telephone: 0564-59-5930, 5931

6. National BioResource Project

NBRP (National BioResource Project) strategically collects and preserves important bioresources that

are the basic and foundation of life science research at the core bases of this project and provides them

to universities and research institutes, thereby contributing to the development of life science research

in Japan. In the future, in order to contribute to the development of life science research in Japan, it is

necessary to continually collect useful bioresources.

For that matter, please deposit (\*) available bioresources among bioresources developed by

Grant-in-Aid for Scientific Research (limited to the bioresource targeted for NBRP). Please cooperate

with the NBRP collecting activities.

It is recommended to utilize the already improved resources of NBRP from the viewpoint such as

efficient implementation of research.

(\*) Deposit: This is a procedure to approve the use (preservation / provision) in this project without

transferring the various rights related to the resource. By specifying specific provision

conditions in the deposit agreement, you can add usage conditions such as restrictions on

usage and quotation of articles to users.

List of NBRP core bases representative agencies

URL: <a href="http://www.nbrp.jp/center/center.jsp">http://www.nbrp.jp/center/center.jsp</a>

< Inquiries >

Bio-Bank Division, Japan Medical Research and Development Organization Basic Research Division

Telephone: 03-6870-2228

7. Security Export Control Policy

In Japan, export controls (\*) are carried out under the Foreign Exchange and Foreign Trade Act (Act

No. 228 of 1949) (hereinafter referred to as "Foreign Exchange Law"). Therefore, in principle, in

order to export (provide) cargo and technology regulated by the Foreign Exchange Law, it is

necessary to obtain permission of the Minister of Economy, Trade and Industry.

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(\*) Japan's Security Export Control System established on the basis of international agreements

mainly consists of ① "List rules" which require permission of the Minister of Economy, Trade

and Industry in principle when exporting cargo or providing technology that carry specifications

and/or functions higher than certain levels, such as carbon fiber and numerically controlled

machine tool etc., and ② "Catch-all regulation" which requires permission of the Minister of

Economy, Trade and Industry when exporting cargo or providing technology that are not subject to

regulation under the List rules but do fall under certain regulatory requirements (application

requirements, consumer requirements and/or informed requirements).

Not only export of cargo but also provision of technology will be subject to the regulation by the

Foreign Exchange Law. When providing a "List rules" technology to nonresidents or providing it in a

foreign country, prior permission for provision is required. "Provision of technology" includes not

only providing technical information such as design drawings, specifications, manuals, samples, and

prototypes via storage media such as paper, mail, CD, USB memory, but also providing work

knowledge and technical assistance at seminars through technical instruction, skill training etc.

Researchers should be aware that there may be case in which technologies subject to regulation by the

Foreign Exchange Law are involved when mentoring foreign students and/or joint research activities

with oversea groups.

For this reason, research institutions are asked to take systematic measures to ensure that in

implementation their various research activities, including research projects funded with KAKENHI,

WMD technologies are not transferred to WMD developers, terrorist organizations, or people carrying

out other dubious activities by way of their participation in research that can be converted to military

purposes.

< Reference > Stature to strengthen the export control system in universities and public research

institutions (proposed)

http://www.mext.go.jp/b menu/shingi/gijyutu/gijyutu8/toushin/06082811/015/001.htm

As for the details on "Security Export Control Policy", please see as below.

Ministry of Economy, Trade and Industry: Security Trade Control (General) Division

URL: <a href="http://www.meti.go.jp/policy/anpo/">http://www.meti.go.jp/policy/anpo/</a>

< Inquiries >

Ministry of Economy, Trade and Industry, Trade and Economic Cooperation Bureau, Trade

Management Department, Security Trade Control Division

Telephone: 03-3501-2800

FAX: 03-3501-0996

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## (Reference 1) Review Panels and Other Matters

### 1. Concerning KAKENHI Review

#### **Omitted**

### 2. Review Methods and Other Matters

The review for the KAKENHI is carried out by the Scientific Research Grant Committee of the Japan Society for the Promotion of Science (JSPS), and it is based on the Research Proposal Document.

The review takes place behind closed doors. The submitted Research Proposal Document is not returned to the applicants.

The details on "assessment rules" such as assessment criteria for each research category (Rules concerning the review and assessment for the Grants-in-Aid for Scientific Research, called "review and assessment rules" below) can be checked on the JSPS website:

(URL: https://www.jsps.go.jp/j-grantsinaid/index.html).

(The "review and assessment rules" for FY2019 will be posted on the JSPS website around middle October.)

- (1) The review of the "Specially Promoted Research" is performed by the way that the eight to fourteen reviewers conduct the document reviews for all the applied research projects at the three separate committees of specialized fields below based on the Research Proposal Document, review comments which are finalized by close researchers in the field of specialization after being drafted by approx. three researchers each from domestic research institutions and overseas and so on. The specialized fields are the "Humanities and Social Sciences", the "Science and Engineering", and the "Biological Sciences". Afterward, the same reviewers who have engaged in the document reviews above will select projects for interview by conducting a discussion from a broad perspective on each research project at panel reviews and then proceed with interview reviews. (This is called a "Comprehensive Review".)
- (2) The review of the "Scientific Research (S)" is performed by the way that the six reviewers conduct the document reviews for all the applied research projects by each Broad Section based on the Research Proposal Document, review comments which are finalized by close researchers in the field of specialization after being drafted by approx. three researchers from domestic research institutions and so on. Afterward, the same reviewers who have engaged in the document reviews above will select projects for interview by conducting a discussion from a broad perspective on each research proposal at panel reviews and then proceed with interview reviews. (the "Comprehensive Review")
- (3) The review of the "Scientific Research (A) (application section "General")" is performed by

each Medium-sized Section. The six to eight reviewers will conduct document reviews for all research proposals, and the same reviewers who have engaged in the document reviews above will conduct a discussion from a broad perspective on each research proposal at panel reviews. (the "Comprehensive Review")

- (4) The reviews of the "Scientific Research (B/C) (application section "General")" and the "Early-Career Scientists" are performed by each Basic Section. The six reviewers for the "Scientific Research (B)" and four reviewers each for the "Scientific Research (C) and the "Early-Career Scientists" will conduct document reviews in two-stage. The panel reviews will not be conducted. (This is called a "Two-Stage Document Review")
- (5) The review of the "Scientific Research (B/C) (application section "Generative Research Fields")" is performed by each Generative Research Fields. The eight to ten reviewers will conduct document reviews for all research proposals after the preliminary screening, and the same reviewers who have engaged in the document reviews above will conduct a discussion from a broad perspective on each research proposal at panel reviews. (the "Comprehensive Review") (Preliminary screening will not be conducted if the number of application is small.)
- (6) The review of the "Challenging Research" is performed by each Medium-sized Section and Generative Research Fields Review Division. The six to eight reviewers will conduct document reviews for all research proposals after the preliminary screening, and the same reviewers who have engaged in the document reviews above will conduct a discussion from a broad perspective on each research proposal at panel reviews. (the "Comprehensive Review") (Preliminary screening will not be conducted if the number of application is small.)
  - \* The Review Section and Review Method have been revised since FY2018 Grants-in-Aid for Scientific Research-KAKENHI- (FY2018 Reform of the KAKENHI Review System). For details, please refer to the following report.
- "About Reform of the Review System for Grants-in-Aid for Scientific Research-KAKENHI-"
  (January 17, 2017, Subdivision on Science, Council for Science and Technology)
  URL: <a href="http://www.mext.go.jp/a\_menu/shinkou/hojyo/1284543.htm">http://www.mext.go.jp/a\_menu/shinkou/hojyo/1284543.htm</a>
- KAKENHI Reform Briefing (Held at the University of Tokyo on June 8, 2017 and at Kansei Gakuin University on June 15, 2017). The materials and video are available as follows:
   URL: http://www.mext.go.jp/a menu/shinkou/hojyo/1387297.htm
- \* In the review process, the reviewers can utilize, as necessary, the "Researchmap" and the database of Grants-in-Aid for Scientific Research (KAKEN). (see page 122)

### 3. Notification of the Review Results

### (1) Specially Promoted Research

- 1) JSPS will issue a notification in writing to the research institution on the results of the selection of the research projects for which an interview will be organized. (This is scheduled in March.)
- 2) JSPS will issue a notification in writing to the research institution on whether the research project has been adopted or not, based on the results of the review. (Planned in late April)
- 3) JSPS will issue a notification to the Principal Investigator of the adopted research project on the opinions expressed in the review results. If failed to be adopted, an approximate ranking per category and opinions expressed in the review results will be disclosed via the electronic application system. (Planned in July)
- 4) JSPS will open to the public the summary of the opinions expressed in the review results for the adopted research project including on the database of the Grants-in-Aid for Scientific Research (KAKEN). (Planned in August)

#### (2) Scientific Research (S)

- 1) JSPS will issue a notification in writing to the research institution on the results of the selection of research projects for which an interview will be organized. (Planned in April)
- 2) JSPS will issue a notification in writing to the research institution on whether the research project has been adopted or not, based on the results of the review. (Planned in late June)
- 3) JSPS will issue a notification to the Principal Investigator of the adopted research project on the opinions expressed in the review results. If failed to be adopted and the Principal Investigator wishes to request for disclosure the results of the review, an approximate ranking per Broad Section and opinions expressed in the review results will be disclosed via the electronic application system. (Planned in August)
- 4) JSPS will open to the public the summary of the opinions expressed in the review results for the adopted research project including on the database of the Grants-in-Aid for Scientific Research (KAKEN). (Planned in November)

### (3) Scientific Research (A) (application section "General")

- 1) JSPS will issue a notification in writing to the research institution on whether the research project has been adopted or not, based on the results of the review. (Planned in early April)
- 2) JSPS will issue a notification to the Principal Investigator of the adopted research project on the opinions expressed in the review results via the electronic application system. If failed to be adopted and the Principal Investigator wishes to request for disclosure the results of the review, an approximate ranking per Medium-sized Section and opinions expressed in the review results will be disclosed via the electronic application system. (Planned in April)
- 3) JSPS will open to the public the summary of the opinions expressed in the review results for the adopted research project including on the database of the Grants-in-Aid for Scientific

### (4) Scientific Research (B/C) (application section "General") and Early-Career Scientists

- 1) JSPS will issue a notification in writing to the research institution on whether the research project has been adopted or not, based on the results of the review. (Planned in early April)
- 2) To Principal Investigators whose proposals have not been adopted and who wish to request for disclosure the results of the review at the first stage of the review, JSPS is ready to disclose the approximate ranking per the Basic Section, the score (average score), and the "standard-format opinion" via the electronic application system. (Planned in April)

# (5) Scientific Research (B/C) (application section "Generative Research Fields") and Challenging Research (Pioneering/Exploratory)

- 1) JSPS will issue a notification in writing to the research institution on whether the research project has been adopted or not, based on the results of the review (Planned in July).
- 2) To Principal Investigators whose proposals have not been adopted and who wish to request for disclosure the results of the review, JSPS is ready to disclose the approximate ranking per each section, etc. via the electronic application system. Moreover, in conjunction with the item mentioned above, JSPS is ready to disclose the "opinions expressed in the review results" in the case their proposals have not been adopted in panel reviews. (Planned in August)

# (Reference 2)

**Procedures on the Handling of Grants-in-Aid for Scientific Research** (Omitted)

# (Reference 3)

Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research (KAKENHI (Series of Single-year Grants)) (Omitted)

# (Reference 4)

Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research (KAKENHI (Multi-year Fund)) (Omitted)

## **Inquiries**

# 1. Inquiries about the invitation of applications should be directed to the following divisions through the research institution.

### (1) For inquiries concerning the invitation of applications:

### · General inquiries about the Application Procedures

Research Aid Planning Division, Research Program Department, Japan Society for the Promotion of Science

Telephone: 03-3263-4796 FAX: 03-3263-9005

### Specially Promoted Research and Scientific research(S)

Research Aid Division II, Research Program Department, Japan Society for the Promotion of Science

Telephone: 03-3263-4254 (Specially Promoted Research)

03-3263-4388 (Scientific Research (S))

### · Scientific research (A/B/C) and Early-Career Scientists

Research Aid Division I, Research Program Department, Japan Society for the Promotion of Science

Telephone: 03-3263-4758, 0996, 4779, 4724

### · Challenging Research (Pioneering/Exploratory)

Research Aid Planning Division, Research Program Department, Japan Society for the Promotion of Science

Telephone: 03-3263-0977

\* Available every day except on Saturdays, Sundays, National Holidays, the New Year Holidays (from December 29 until January 3), and the Anniversary of the Foundation of JSPS (September 21).

#### (2) For inquiries concerning the use of the KAKENHI electronic application system:

### Call center:

Telephone: 0120-556-739 (toll-free)

\* Available from 9:30 to 17:30 every day except Saturdays, Sundays, National Holidays and the New Year Holidays (from December 29 until January 3)

#### • The following phone numbers are also available:

Institutional Research and Information Division, Policy Planning Department, Japan Society for the Promotion of Science

Telephone: 03-3263-1017, 1022, 1107, 1024

# (3) For inquiries concerning the use of the Cross-ministerial Research and Development management system (e-Rad):

### · e-Rad help desk:

Telephone: 0570-066-877 (Navi Dial)

- \* Available from 9:00 to 18:00 except on Saturdays, Sundays, National Holidays and the New Year Holidays (from December 29 until January 3)
- \* The following phone numbers are also available: 03-6631-0622

#### < Important points >

① How to operate e-Rad

Manuals on how to operate e-Rad can be referred or downloaded from the portal site (URL: <a href="https://www.e-rad.go.jp">https://www.e-rad.go.jp</a>). Please agree to the terms of service and apply.

② Time period when e-Rad is available

(Monday to Sunday) 00:00 - 24:00 (in operation 24 hours a day, 365 days a year)

However even during the above-mentioned time period, the operation of e-Rad may be disrupted or suspended, when maintenance and inspection is being carried out. If the operation is scheduled to be disrupted or suspended, this will be announced beforehand on the portal site.

# (4) For matters related to the "Self-Assessment Checklist on the Improvement of the System" based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)":

Office of Research Funding Administration, Promotion Policy Division, Research Promotion Bureau, the MEXT

Telephone: 03-6734-4014

# (5) For matters related to the "Checklist Pertaining to the Current Status" based on the "Guidelines for Responding to Misconduct in Research":

Office for Promotion of Correct Research, Knowledge Infrastructure Policy Division, Science and Technology Policy Bureau, the MEXT

Telephone: 03-5253-4111

### (6) For matters related to "the National Bioscience Database":

National Bioscience Database Center, Japan Science and Technology Agency (JST)

Telephone: 03-5214-8491

### (7) For matters related to the "Inter-University Bio-Backup Project":

Inter-University Research Institute Corporation National Institutes of Natural Sciences, IBBP

Center, Executive Office

Telephone: 0564-59-5930, 5931

### (8) For matters related to the "National BioResource Project":

Bio-Bank Division, Japan Medical Research and Development Organization Basic Research

Division

Telephone: 03-6870-2228

### (9) For matters related to the "Researchmap":

National Institute of Advanced Industrial Science and Technology

Knowledge base information department service support center (in charge of Researchmap)

Web inquiry form: https://researchmap.jp/public/inquiry/

Telephone: 03-5214-8490

(Open hours: 9:30 - 12:00, 13:00 - 17:00)

### (10) For matters related to the "Security Export Control Policy":

Ministry of Economy, Trade and Industry, Trade and Economic Cooperation Bureau, Trade

Management Department, Security Trade Control Division

Telephone: 03-3501-2800 FAX: 03-3501-0996

#### 2. Application forms can be downloaded from the following website.

JSPS's website on Grants-in-Aid for Scientific Research

 $\begin{array}{l} URL: \underline{https://www.jsps.go.jp/j-grantsinaid/index.html} \ [Japanese] \\ URL: \underline{https://www.jsps.go.jp/english/e-grants/index.html} \ [English] \\ \end{array}$